

Seaweed fermentation within the fields of food and natural products

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Seaweed Fermentation within the fields of Food and Natural Products

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Highlights

- Seaweed fermentation remains an underdeveloped branch of marine biotechnology.
- Fermentation can facilitate the extraction of bioactive compounds from seaweeds.
- Products of seaweed fermentation show enhanced bioactive and sensory profiles.
- Full scope of applicability, bioactivities and mechanisms relies on further research.

Keywords

Bioactive compounds, Extraction enhancement, Functional foods, Marine Biotechnology, Nutraceuticals

24 Abstract

25 **Background:**

26 Seaweeds are promising substrates for biotransformation via fermentation, something that has been
27 primarily utilized by the field of biofuels but focused less attention from other fields of research..
28 Considering that the fermentation of abundant land resources has become an important means by which
29 new added-value compounds can be obtained, exploring the same process for seaweeds can contribute
30 to an effective and sustainable exploitation of marine resources.

31 **Scope and approach**

32 In this review, recent advances demonstrating the potential behind the fermentation of seaweeds are
33 evaluated. A breakdown of the most relevant seaweed compounds and their effect on potential
34 bioprocesses is presented, along with pre-processing techniques that have become popular in biofuel
35 fermentations. The applications of seaweed fermentation products in the fields of natural product
36 research, functional foods and nutraceuticals, as well as the limitations and opportunities of seaweed
37 fermentation are also highlighted.

38 **Key findings and conclusions**

39 Research revealing that seaweed fermentation can be used to create novel food and nutraceutical
40 products that demonstrate high bioactivity and sensory quality was presented. The studies included
41 demonstrate the use of this process in algal tissues and extracts as an enhancer of antioxidant,
42 antimicrobial, anti-inflammatory and antidiabetic activities, among others.. Many of the difficulties
43 related to fermenting seaweed have been addressed by research within the field of biofuels, providing
44 insight on the conditions and pre-treatments necessary to improve seaweed fermentability. Food
45 applications for seaweed fermentation products are still underdeveloped, but the nutritional, sensory
46 and bioactive profiles collected so far highly encourage further developments.

47 Introduction

48 Fermentation has accompanied humanity throughout the evolution of its living practices and
49 social developments, becoming the source of its most popular drug, the very first means of preserving
50 food and an important enhancer of bioavailability. (McGovern et al., 2017). The term was initially used
51 to define the yeast-driven transformations that occurred on fruit and cereal mashes, but has now come
52 to represent many different microbiological processes across various industries and fields of study
53 (Stanbury et al., 2017). In the strict biochemical definition, fermentation refers to energy-generation
54 bioprocesses in which organic compounds, as opposed to oxygen, act as electron acceptors (Marquez
55 et al., 2015). This contrasts with the broad industry definition, which defines fermentation as any
56 process aiming to obtain a product from microorganisms cultivation (Maneein et al., 2018). These can
57 include single cell protein from pure cultures, metabolites as products of substrate catabolism, enzymes,
58 modified substrate compounds, or the production of recombinant metabolites (Stanbury et al., 2017).
59 The earliest archaeological and archaeobotanical evidence for purposeful fermentation of natural
60 products for human consumption is wine production from grape in the Near East, dating back to the
61 early Neolithic (ca. 6,000–5,800 BC). Fermented foods became central to civilization as we know it in
62 the West, and these products are presented as some of the earliest illustrations of human ingenuity and
63 technological development (McGovern et al., 2004). Since then, a variety of fermentation products and
64 technologies have been developed independently across the entire globe. Indeed, no sedentary
65 civilization reached a level of development beyond tribal structures without use of fermentation for the
66 preservation and enhancement of their food stockpiles (McGovern et al., 2017). In modern times, the
67 role fermentation has expanded across many different fields, including medicine and pharmaceuticals
68 (Hussain et al., 2016). Within these fields, fermentation became a key tool in the synthesis of new
69 compounds, and in the enhancement of already existing sources of treatment. A characteristic example
70 lies in the production of vitamin B12 via the aerobic metabolism of *Pseudomonas denitrificans*,
71 enabling cheap and effective treatment of pernicious anemia (Uchida & Miyoshi, 2013).

72 In the beginning of the 21st century, the fields of food, pharmaceuticals and cosmetics were
73 dominated by chemical industry products, following significant developments in chemical processes in

74 the previous century, (Ferreira et al., 2021; Francavilla et al., 2021; Singla & Sit, 2021). These products
75 aimed at solving specific needs of each sector (e.g. chemical leavening agents), are commercialized as
76 bioactive molecules, capable of exerting a desired biological activity in a target matrix, and can be either
77 extracted from natural sources, or entirely synthesized from precursor compounds. In the traditional
78 approach, organic solvents and hazardous substances are commonly employed in the production or
79 extraction of these substances (Sanches-Silva et al., 2014). Increased environmental awareness and the
80 urge for sustainable processes has highlighted significant disadvantages in the use of chemical industry
81 products and in turn benefited novel sources of natural bioactive products, capable of matching or
82 surpassing the effectiveness of traditional synthetic molecules. In this context, fermentation has the
83 opportunity to take precedent as a leading tool in the discovery, extraction, and processing of novel
84 compounds with industrial applications (Philippsen et al., 2014). It presents itself as a process capable
85 of transforming perishable and low-value natural resources into stable and valuable commodities that
86 are nearly impossible to replicate with alternative means. It is also highly energy-efficient when
87 compared to other biomass processing technologies, gaining increased attention now that sustainability
88 is a major investment priority. Due to these factors and an ever-increasing understanding of the
89 underlying biological processes, fermentation is expected to have a growing relevance in the modern
90 food, feed and pharmaceutical industries (Uchida & Miyoshi, 2013).

91 Many processes that fall under the designation of fermentation involve complex substrate
92 matrixes subjected to unfettered microbial metabolism. Under these circumstances, a wide variety of
93 biochemical processes can occur, ultimately leading to an abundance of secondary metabolites in the
94 fermentation products. (Houngbédji et al., 2019; Stanbury et al., 2017). A standout example of this lies
95 in traditional food fermentations, such as those involved in the production of cured cheese or sourdough.
96 These processes, safe for highly optimized industrial adaptations, still occur in loosely monitored
97 conditions where pH, water content/activity, temperature culture composition and other stress factors
98 can shift greatly (Houngbédji et al., 2019). These shifting parameters frequently expose cultures to
99 undesirable growth conditions and can, in combination, favour the production of bioactive secondary
100 metabolites. Microbial synthesis of antimicrobial peptides, vitamins, folates and organic acids has been
101 associated with stress-inducing culture systems (Adewumi & Science, 2018; Cuvás-Limon et al., 2020).

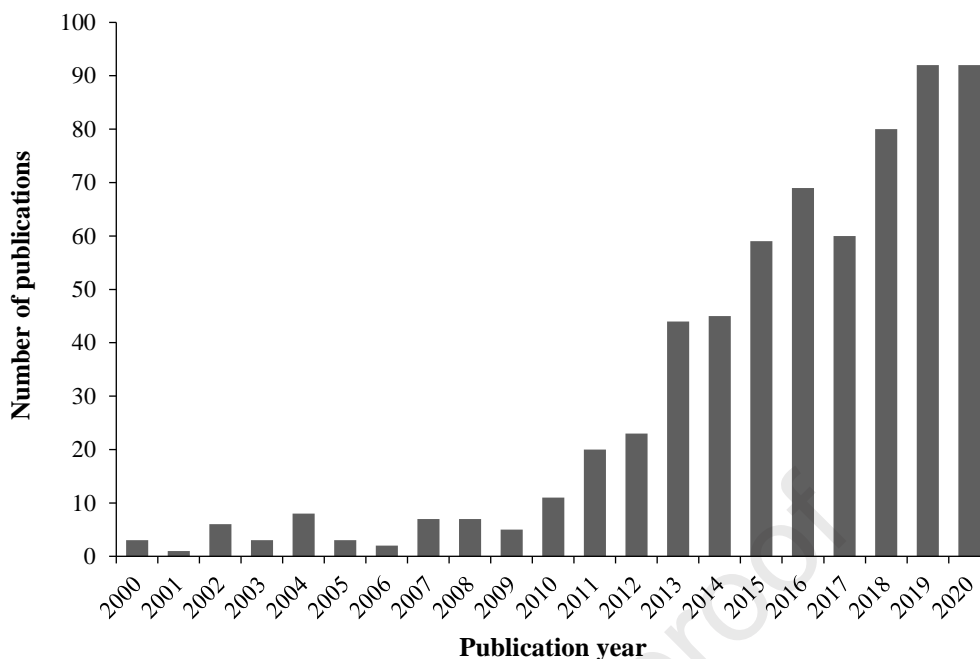
102 In agreement with the main motivators of biotechnology research, taming this unstable synthesis can
103 be the key to unlock an unprecedented new source of valuable bioactive compounds.

104 While it is still limited in scope and in number of publications, the increased demand for new
105 sources of natural bioactive compounds has led to research on how fermentation can enhance the
106 bioactive potential of complex edible substrates (Abdel-Aty et al., 2019; Chye et al., 2018; Hur et al.,
107 2014; Hussain et al., 2016). Specific organisms with recognized biotechnological uses have provided
108 much insight that allowed researchers to predict how fermentations can modify and enhance a specific
109 substrate, as well as some of the most relevant metabolites that a given culture can produce. Unravelling
110 the metabolomics of most spontaneous fermentations associated with traditional food products is still
111 however, a work in progress. The complexity of this task and the limited short-term industrial
112 applicability, discourage funding and hold back this type of research (Reese et al., 2020). The principle
113 behind the enhancement of biological activities in fermentation products, when compared to their
114 unfermented counterparts, is widely attributed to microbial-driven hydrolysis and the release of
115 intracellular compounds. It is for this reason that phenolic compounds and bioactive peptides,
116 compounds that are naturally present in plant cells in the case of the former, and products of protein
117 hydrolysis in the latter, are pointed out as the most frequent culprits of bioactivity enhancement via
118 fermentation (Hur et al., 2014).

119 The recovery of bioactive compounds from fermented plant material has now evolved to the
120 point that the most recent publications often target the optimized production of a single compound (Li
121 et al., 2019; Moccia et al., 2019). Despite this, certain authors insist on exploring new cultures,
122 conditions and substrates within plant fermentation, offering interesting new insights on how this
123 process can still be expanded. Enhanced recovery of antimicrobial and antioxidant phenolic compounds
124 has been achieved using filamentous fungi (Abdel-Aty et al., 2019; Olukomaiya et al., 2020), and lactic
125 acid bacteria (Budiari et al., 2019). Outside the realm of plant products, extensive research is being
126 conducted on the proteolytic potential of yeast and lactic acid bacteria on milk, with the latest
127 publications focusing on recovery and purification efforts (Daliri et al., 2019; Fan et al., 2019; García-
128 Tejedor et al., 2013).

129 Distanced from the examples provided so far, macroalgae (also known as seaweeds) are an
130 alternative source of fermentable biomass that has received a great deal of attention from the biofuel
131 industry, where they are used in the production of ethanol and methane. (Buschmann et al., 2017; FAO
132 et al., 2018; Lafarga et al., 2020). The fermentation of seaweeds for food or pharmaceutical applications
133 has been explored to a much lesser extent (Uchida & Miyoshi, 2013). The topics that follow present an
134 overview of the research surrounding the use of seaweeds as a fermentable biomass to produce food
135 and natural bioactive products. Seaweed compounds that can play pivotal roles in the process of
136 fermentation are also described in detail, and the potential uses of the end-products of fermentation are
137 discussed.

138 Seaweed consumption has followed a steady increase in the last decades, owing greatly to Asian
139 market demand, which has led into steep increases in aquaculture yields. In 2016, 29 million tonnes of
140 seaweed were harvested worldwide. Their usage was mainly distributed between human consumption,
141 animal feed, and hydrocolloid production for food and pharmaceutical applications (FAO et al., 2018).
142 This number corresponds to a 39% increase since 2014, and a clear sign of increased recognition as a
143 critical raw material. As with all novel sources of biomass, the energy industry was quick to pick up on
144 the possibility of using this abundant resource as a source of biofuel. Seaweeds are particularly desirable
145 to this end as early studies showed promising yields, and their growth systems do not compete with
146 agricultural crops nor require fresh water supply (Kerrison et al., 2015; Milledge & Harvey, 2016;
147 Milledge & Heaven, 2014). Thus, a rising interest in the possibilities of seaweed fermentation had begun
148 and is growing steadily, which is reflected in the number of publications on this subject (Figure 1).



149

150 **Figure 1.** Web of Science Core Collection matches for the number of publications matching the topic keyword search
 151 “seaweed+fermentation” between Jan 2000 and Dec 2020.

152

153 Researchers in the field of energy fuels have split the microbially-driven digestion of organic
 154 biomass between the terms “fermentation” and “anaerobic digestion” depending on whether the target
 155 is the production of ethanol or biogas, respectively (Buschmann et al., 2017; Chye et al., 2018).. Even
 156 the most recent publications on biofuels research highlights important factors that make seaweeds a
 157 desirable fermentation substrate (Nguyen et al., 2020). Some of these include high (>80%) water
 158 content, which makes them readily suitable for wet biomass processes, and high energy-to-area yields
 159 as an aquaculture cultivation, with ratios comparable to maize (Allen et al., 2015; Milledge et al., 2014).
 160 These promising statistics have led to greater funding of research into the biochemical processes
 161 underlying the anaerobic microbially-induced digestion of seaweeds for the purposes of biogas
 162 production.

163 Figure 2 provides a diagram of the most biotechnologically relevant products of seaweed
 164 fermentation. In all commercially useful fermentations, hydrolysis of the main structural
 165 polysaccharides occurs, resulting in a sugar-rich mash. The most abundant polymer depends on the type
 166 of seaweed: laminarin, alginate, and fucoidan are present in brown seaweeds, agar and carrageenans in

167 red seaweeds, and starch and ulvan in green seaweeds. Brown seaweeds have additional fermentable
168 sugars, in the form of mannitol and glucuronic acid, that can further enrich the fermentable mash -
169 assuming mannitol-fermenting cultures are used (Chades et al., 2018; Tajima et al., 2018). These sugars,
170 along with the hydrolysed polysaccharides, are converted to pyruvate through glycolysis and then
171 ethanol and CO₂ via alcoholic fermentation, or lactic acid via lactic acid fermentation (Marquez et al.,
172 2015). Ethanol fermentation of seaweeds has a significant hurdle in the fact that most microbial cultures
173 are incapable of utilizing certain seaweed sugars, including mannuronic and uronic acids, fucose,
174 rhamnose, and xylose (Bobin-Dubigeon et al., 1997). Genetically engineered cultures have been
175 developed for this purpose, as some of these sugars are present in plant biomass, and have demonstrated
176 efficient conversion of seaweed sugars as well (Katahira et al., 2004; Parachin et al., 2011; Poblete-
177 Castro et al., 2020; Surendhiran & Sirajunnisa, 2019; Tajima et al., 2018). Increased sugar conversion
178 compatibility is the most common target of these modifications, given their goal of maximizing biofuel
179 production., but future enhancements of seaweed-processing cultures could also attempt to maximize
180 their competitive advantages against undesirable cultures, increasing the viability of fermenting
181 unsterilized substrates (Poblete-Castro et al., 2020). Further still, highly proteolytic microbial strains
182 could lead to the development of new food and nutraceutical products from protein-rich macroalgae
183 such as *Palmaria palmata* and *Porphyra* spp. (Øverland et al., 2019).

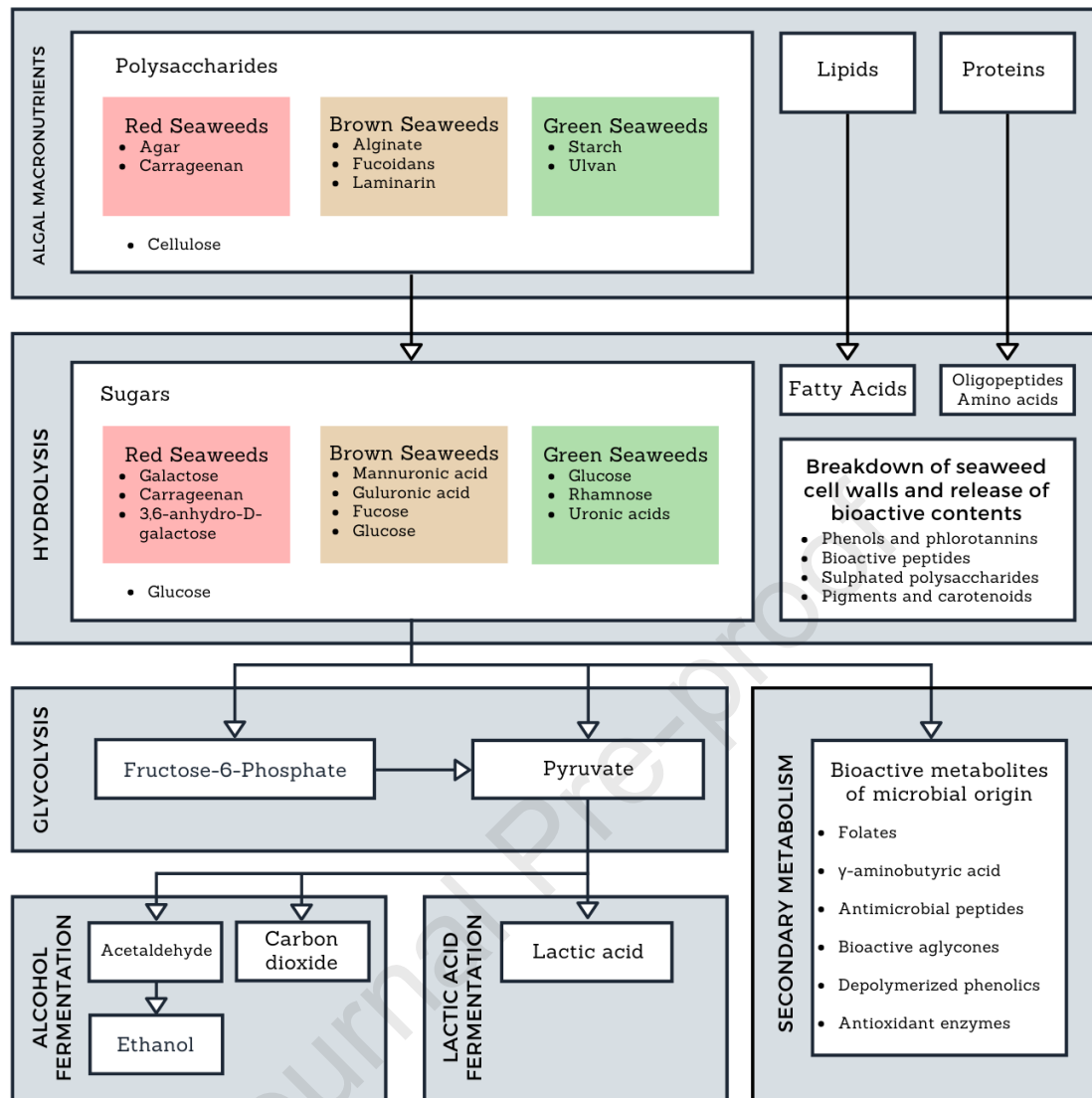


Figure 2. Overview of the production of added-value compounds from seaweed fermentation.

Successful seaweed fermentation has proven to be highly dependent on effective pre-treatment of the algal biomass and in the last decade, there have been continuing efforts to optimize pre-treatments to achieve better yields at lower costs (Maneein et al., 2018). While most of this research was directed towards energy yields, it has seen successful adaptation in other instances of seaweed fermentation (Park & Han, 2013; Suraiya, Lee, et al., 2018; Uchida et al., 2017). Milledge & Harvey (2016), have discussed the challenges of handling seaweed during harvest and post-harvest processing (cleaning, size reduction and storage). The authors highlighted the importance of effective storage of seaweed post-harvest, achieved using ensilage. Jung, Lim, Kim, & Park (2013) have also briefly reviewed characteristics of different seaweeds, highlighting microorganisms capable of hydrolysing seaweed carbohydrates, and different hydrolysis treatments developed to produce bioethanol from seaweed. The

196 extensive research, development and optimization of pre-processing methods developed for the
197 production of biofuels is a highly valuable source of information for any seaweed-fermenting
198 endeavour, regardless of goal or target product. The following section will focus on highlighting certain
199 compounds of seaweed origin from the perspective of their fermentability, and how they can affect
200 either positively or negatively most microbially-driven processes.

201 Seaweed as fermentation substrate

202 The variability of environmental conditions, seasonal and acute, has a substantial influence on
203 seaweed composition. Changes in sea currents, temperature, heavy metal concentrations and light
204 intensity have proven to incur significant differences in amino acid, polysaccharide and ash content in
205 brown seaweeds (Jung et al., 2013). The thickening of cell walls is an adaptation mechanism intended
206 to limit the absorption of toxic compounds, and involves the modification of polysaccharide chain
207 length, branching, and degree of sulphation (Habig & Ryther, 1983; Zeroual et al., 2020). The amount
208 of phenolic compounds, an important class of seaweed bioactive compounds that can have a significant
209 inhibitory effect on fermentation, can also be subject to change depending on environmental and
210 seasonal changes (Michalak, 2018). Seaweed sodium, ash and polyphenols content, along with a unique
211 composition of structural polysaccharides can minimize solid-liquid extraction yields but remain
212 compatible with biotransformation via fermentation, given that tailored cultures and conditions are
213 defined (Milledge & Harvey, 2016). There is no shortage of published work detailing the healthcare
214 and technological uses of seaweed compounds. The value associated to some of these compounds is
215 often retained in fermented seaweed products and therefore, can supplement the benefits of
216 fermentation. Seaweed compounds with either novel or known value are briefly detailed below, as well
217 as their general known role in seaweeds microbial processing.

218 *Polysaccharides*

219 One of the most distinguishing features of seaweeds as a source of natural products and as a
220 fermentation substrate comes from their unique polysaccharide composition. Much of the modern use
221 of seaweeds in the food and pharmaceutical industries relates to the use of these molecules as thickening

222 agents and hydrocolloids. Phycocolloids have a broad range of applications and are very difficult to
223 replace with cost-effective alternatives (Holdt & Kraan, 2011). Bioactive polysaccharides are also
224 abundant in many species, with some highlighted bioactivities including anticoagulant, anti-
225 inflammatory, and antitumoral (Magalhaes et al., 2011; Michalak & Chojnacka, 2015). Part of these
226 activities have been associated with the high amounts of sulphated polysaccharides, present in brown
227 seaweeds as sulphated fucans, in red seaweeds as sulphated galactans, and in green seaweeds as a
228 variety of sulphated heteropolysaccharides, including xyloarabinogalactans (Berteau & Mulloy, 2003;
229 Percival, 1979; Rodríguez-Jasso et al., 2013). The presence of these sulphated polysaccharides, as well
230 as their structure and degree of sulfation is also highly variable across geographical distribution and
231 season (Rodríguez-Jasso et al., 2014).

232
233
234

Table 1. Sugars and polysaccharides in red, green, and brown seaweed, and published research detailing their fermentation. Studies that resorted to or have developed genetically modified strains are marked as “Eng.” (engineered). * Study focuses on the fermentation of plant-based xylan. Presently, and to the best knowledge of the authors, no research on the degradation of seaweed xylan was performed. Search performed in April of 2021.

Seaweed type	Polysaccharide	Sugar	Fermenting cultures	Target compound/modification	Reference
Rhodophyta	Agar	D-galactose	Spontaneous fermentation	Hydrogen	Jung, Kim, & Shin Hang-Sik, 2011
	Carrageenan	D-galactose	Spontaneous fermentation	Hydrogen	Jung et al., 2011
			<i>Saccharomyces cerevisiae</i>	Ethanol	Meinita et al., 2012
	Xylan	Xylose	Eng. <i>S. cerevisiae</i>	Xylan breakdown; ethanol	Katahira et al., 2004*
	--	D-galactose D-glucuronic acid	Lactic acid bacteria	Lactic acid, acetic acid	Hwang, Lee, Kim, & Lee, 2011
Eng. <i>Corynebacterium glutamicum</i>			L-lysine, L-ornithine and lycopene	Hadiati et al., 2014	
Phaeophyceae	Alginate	Glucose, D-mannitol, mannuronic acid, guluronic acid	Spontaneous fermentation	Hydrogen	Jung et al., 2011
			Eng. <i>Sphingomonas sp. A1</i>	Ethanol	Takeda, Yoneyama, Kawai, Hashimoto, & Murata, 2011
			Endophyte fungal isolates	MW reduction	Hifney, Fawzy, Abdel-Gawad, & Gomaa, 2018
			<i>Clostridium beijerinckii</i>	Butanol, acetone, ethanol, butyrate	Hou, From, Angelidaki, Huijgen, & Bjerre, 2017
	Fucoidan	Aspergillus, Penicillium, and Mucor fungal strains	Endophyte fungal isolates	Fucan-degrading enzymes	Rodríguez-Jasso, Mussatto, Pastrana, Aguilar, & Teixeira, 2010
			Endophyte fungal isolates	MW reduction	Hifney et al., 2018
	Laminarin	D-mannitol	Spontaneous fermentation,	Hydrogen	Jung et al., 2011
			<i>Pichia angophorae</i>	Ethanol	Horn, Aasen, & Emptyvstgaard, 2000
	--	L-fucose D-mannitol, D-glucuronic acid, L-fucose D-mannitol	<i>Escherichia coli</i>	Induction of propanediol oxidoreductase expression	Boronat & Aguilar, 1981
			Lactic acid bacteria	Lactic acid, acetic acid	Hwang et al., 2011
<i>Thermoanaerobacter pseudoethanolicus</i>			Ethanol	Chades et al., 2018	
Chlorophyta	Celulose	Glucose	<i>S. cerevisiae</i>	Ethanol	Yanagisawa, Nakamura, Ariga, & Nakasaki, 2011
	Starch		<i>S. cerevisiae</i>	Ethanol	Yanagisawa et al., 2011
	Ulvan	Xylose	Eng. <i>S. cerevisiae</i>	Ethanol	Parachin et al., 2011
	--	L-rhamnose	<i>E. coli</i>	Induction of propanediol oxidoreductase expression	Boronat & Aguilar, 1981
			Lactic acid bacteria	Lactic acid, acetic acid	Hwang et al., 2011

*C. beijerinckii*Acetic acid, butyric acid,
isopropanol, butanol,
ethanol, 1,2-propanediol

Diallo et al., 2018

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236 The cell wall structure of seaweeds also varies greatly between classification and is highly
237 relevant when considering using this source of biomass in an industrial bioprocess. Cellulose is the
238 main cell wall component in brown seaweeds, structured as ribbon-shaped microfibrils of variable
239 orientation depending on species. In turn, these microfibrils are meshed within a matrix of proteins,
240 phenols and sulphated or carboxylic polysaccharides, which are theorized to act as binders of the
241 cellulose fibrils, and can have a determinant effect on the fermentability of seaweed species with
242 specific cultures (Deniaud-Bouët et al., 2014). Red and green seaweeds have xylans and mannans, as
243 well as cellulose as major constituents of their cell walls. Green seaweeds in particular, while rich in
244 cellulose compared to the brown and red variants, can also have high amounts of ulvans (Lakshmi et
245 al., 2017). These polysaccharides are rich in sugars with low fermentability, including galactose,
246 rhamnose, uronic acid, and xylose, making them not only difficult to process via microorganisms, but
247 also reducing the access to other, more fermentable compounds. Red seaweeds with high carrageenan
248 content, and alginate-rich brown seaweeds are similarly associated with lower yields of fermentation
249 products (Bobin-Dubigeon et al., 1997; Lakshmi et al., 2017). These factors have discouraged the
250 exploration of certain seaweed species for biofuel exploits outside of a few enzymatic pre-treatment
251 trials (Maneein et al., 2018). This in turn signifies that ulvan, carrageenan and alginate-rich seaweeds
252 remain largely unexplored when it comes to the products of their fermentation. Table 1 exemplifies
253 studies that have addressed the fermentation of seaweed polysaccharides from different types of
254 seaweed.

255 Pre-treatments used in the production of ethanol and biogas successfully increase the
256 fermentability of seaweed polysaccharides but have reported disadvantages. Thermal pre-treatments of
257 algal biomass have reportedly led to the production of toxic/carcinogenic or otherwise undesirable
258 compounds, such as furfural and 5- hydroxymethylfurfural (HMF) (Wei et al., 2013). While pre-
259 treatments and fermentation are often used in tandem, certain processes have successfully achieved
260 useful fermentations without pre-treatments, and when wielding environmental and sustainability
261 concerns, these should be regarded as highly valuable (Monlau et al., 2014).

262

263 *Peptides*

264 Protein is the macronutrient present in seaweeds that is subject to the highest seasonal
265 variability. Contents can change from 10 to 40 % (w/w, dry weight) across different species and seasons,
266 with higher percentages during winter months (Pangestuti & Kim, 2015). Seaweed protein amino acid
267 profile has long been the focus of interest within the food industry, as most seaweed protein contains
268 all the essential amino acids. Brown seaweeds are already becoming a popular source of protein in
269 human diet, as they are also rich sources of alanine, glycine, leucine, lysine, threonine, and valine, with
270 cysteine, methionine, histidine, tryptophan, and tyrosine are also present lower amounts (Holdt &
271 Kraan, 2011). Additionally, aspartic and glutamic acids are present in high concentrations in brown
272 seaweeds, making up to 44% of total amino acids content (Mæhre et al., 2014; Munda, 1977). Certain
273 species of red seaweed also contain nearly all the essential amino acids, such as *Hypnea charoides* and
274 *Hypnea japonica*, both boasting a complete amino acid profile with the exception of tryptophan (Wong
275 & Cheung, 2000). A significant amount of these amino acids are found in free form in red and brown
276 seaweeds and are considered major contributors to the sensation of umami (Mouritsen et al., 2019).
277 This sensation is increased when in presence of ribonucleotides such as guanosine-5'-monophosphate
278 and inosine-5'-monophosphate (Milinovic et al., 2020). The concentration of these compounds is often
279 below the detectable threshold in seaweeds, with the majority of exceptions being red seaweeds such
280 as *Chondrus crispus*, *Gracilaria gracilis* and *Osmundea pinnatifida* (Milinovic et al., 2020; Mouritsen
281 et al., 2012).

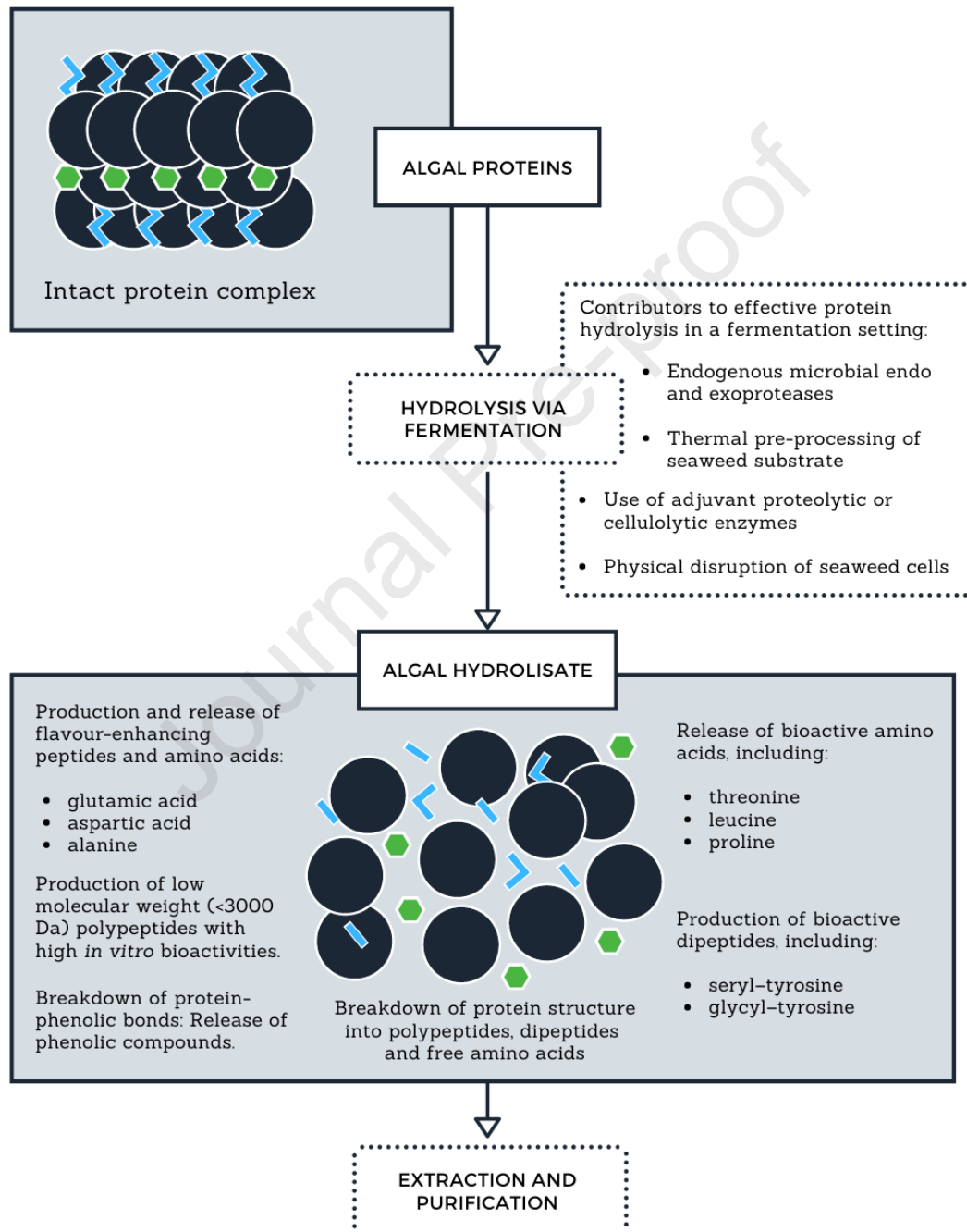
282 Since their discovery, umami compounds have been widely accepted as the source of the
283 perceived fifth taste. Seaweeds have been historically linked to the discovery of these compounds, as
284 the Japanese chemist Kikunae Ikeda first identified monosodium glutamate in *dashi*, a broth made with
285 the brown seaweed *Saccharina japonica* (Mouritsen et al., 2012). Verified umami receptors in the
286 human tongue, such as the mGluR4 and T1R1+T1R3 taste receptors, along with proven taste enhancing
287 capabilities of monosodium glutamate, succinic acid, theanine and gallic acid, among other additives,
288 have placed umami compounds in constant high demand in the food industry (Yin Zhang et al., 2017).

289 However, the synthetic origin of many of these additives is becoming undesirable and incompatible
290 with modern dietary trends, motivating a search for new natural sources of umami compounds.

291 The organoleptic properties of protein-rich foods can be greatly enhanced by proteolytic
292 processes and in fact, protein hydrolysates via microbial or enzymatic degradation have become a major
293 source of flavour enhancers (Nasri, 2017). This phenomenon is partially responsible for the unique
294 sensory profiles of many fermented food products, including cheese, fermented meat products and soy
295 sauces (Schlichtherle-Cerny & Amadò, 2002). While all five basic tastes have been perceived in both
296 synthesized and natural peptides, the most common flavour contributions they provide are bitter and
297 umami, making them desirable sources of these flavours (Temussi, 2012). It is thus no surprise that
298 hydrolysates of abundant sources of protein have already been developed and commercialized, leaving
299 most of recent published work focused on the extraction and purification of target peptides for highly
300 specific use within the fields of food and pharmaceuticals (Ang & Ismail-Fitry, 2019; Yamasaki &
301 Maekawa, 1978; Yin Zhang et al., 2017). Surprisingly, the more recent research delving into the secrets
302 of the flavour of peptides has revealed underwhelming flavour abilities in single isolated specimens,
303 and a struggle to specify the mechanisms behind the sensory appeal of complex hydrolysate mixtures.
304 As described by Temussi (2012) many authors have been unable to find significant umami flavour in
305 short peptides after thorough purification. The author further attributes the possibility of Asp and Glu
306 residues, obtained by partial hydrolysis, as the culprits for their reported umami taste.

307 It is evident, however, that peptides do not play a role in food taste exclusively via their own
308 contribution. Oligopeptides and polypeptides play a significant role in the early stages of the Maillard
309 reaction, defining another mechanism by which peptides can determine food taste, texture, and aroma.
310 This set of reactions is responsible for important colour changes during the fermentation of rice and
311 soybeans in many east-Asia dishes, including *miso* and *douchi* (Yuhao Zhang et al., 2015). New
312 information regarding the reactivity and rate of Amadori rearrangements of specific peptides has
313 uncovered useful information regarding their potential as ingredients and additives for novel food
314 products (Van Lancker et al., 2011). Scalone, Cucu, De Kimpe, & De Meulenaer (2015) have
315 highlighted the importance of peptides in the production of substituted and unsubstituted pyrazines via
316 Maillard reaction, reporting higher rates of formation when compared to free amino acids. These

317 volatile compounds are responsible for the roasted, meaty or nutty aroma of many cooked food products.
 318 Combining this understanding with assessments of the organoleptic and nutritional potential of protein
 319 hydrolysates could lead to tailored processes of protein cleavage (including fermentation), that
 320 maximize positive flavour, texture and aroma traits. A simplified overview of the process of protein
 321 hydrolysis via fermentation and its most valuable products is presented in figure 3.



322

323 **Figure 3.** Flowchart depicting steps, contributors and products of a microbially-driven seaweed protein hydrolysis. Dotted
 324 line boxes address processes, while continuous lines address products and substrates. The examples provided of flavour-
 325 active or bioactive peptides and amino acids are standouts reported by Cian, et al. (2012), Lafarga et al. (2020), and Uchida
 326 et al. (2018), and do not represent a complete list of products of seaweed protein hydrolysis.

327 The production of protein hydrolysates and the search for new functional biomolecules has also
328 led to great strides in uncovering the bioactive potential of bioactive peptides. Some of the most potent
329 angiotensin-converting enzyme inhibitors include microbially-obtained milk protein hydrolysates, with
330 the tripeptides Val-Pro-Pro and Ile-Pro-Pro being two famous examples (Nasri, 2017). It is now well-
331 established that bioactive peptides are responsible for part of the antioxidant activity of algal extracts
332 (Harnedy & Fitzgerald, 2011). Heo, Park, Lee, & Jeon (2005) generated a large amount of antioxidant
333 hydrolysates of proteins isolated from *Ecklonia cava*, *Ishige okamurae*, *Sargassum fullvelum*,
334 *Sargassum horneri*, *Sargassum coreanum*, *Sargassum thunbergii*, and *Scytosipon lomentaria* using the
335 commercial enzymes Alcalase, Flavourzyme, Neutrase, Protamex, and Kojizyme. Japan has begun
336 commercializing novel food products with seaweed-derived peptides, with the recent approval of the
337 Ministry of Health and Welfare regarding the stated health claims. Wakame peptide jelly (Riken
338 Vitamin Co., Ltd., Tokyo, Japan) and Nori peptide S (Shirako Co., Ltd., Tokyo, Japan) are two
339 examples of these products readily available for the mass market (Nakai et al., 2011). Cian, Martínez-
340 Augustin, & Drago (2012) obtained different enzymatic hydrolysates from co-products of *Porphyra*
341 *columbina* using alcalase, trypsin, and combinations of both. In addition, Harnedy, O’Keeffe, &
342 FitzGerald (2017) generated an enzymatic hydrolysate of *P. palmata* using the food-grade enzyme
343 Corolase PP. Bioavailability of peptides with antioxidant properties has been also evaluated, and the
344 antioxidant activity of peptides derived from *P. columbina* increased after a simulated gastrointestinal
345 digestion (Cian et al., 2015).

346 Given that bioactive peptides have been obtained through microbial-driven breakdown of plant
347 protein, and considering the rich source of unique proteins that certain species of seaweeds are, then the
348 abundant production of bioactive and flavour-enhancing peptides as a result of seaweed fermentation is
349 a possibility worthy of investigation, that has so far only been superficially explored (Hou et al., 2015;
350 Wijesinghe & Jeon, 2012).

351 *Phenols*

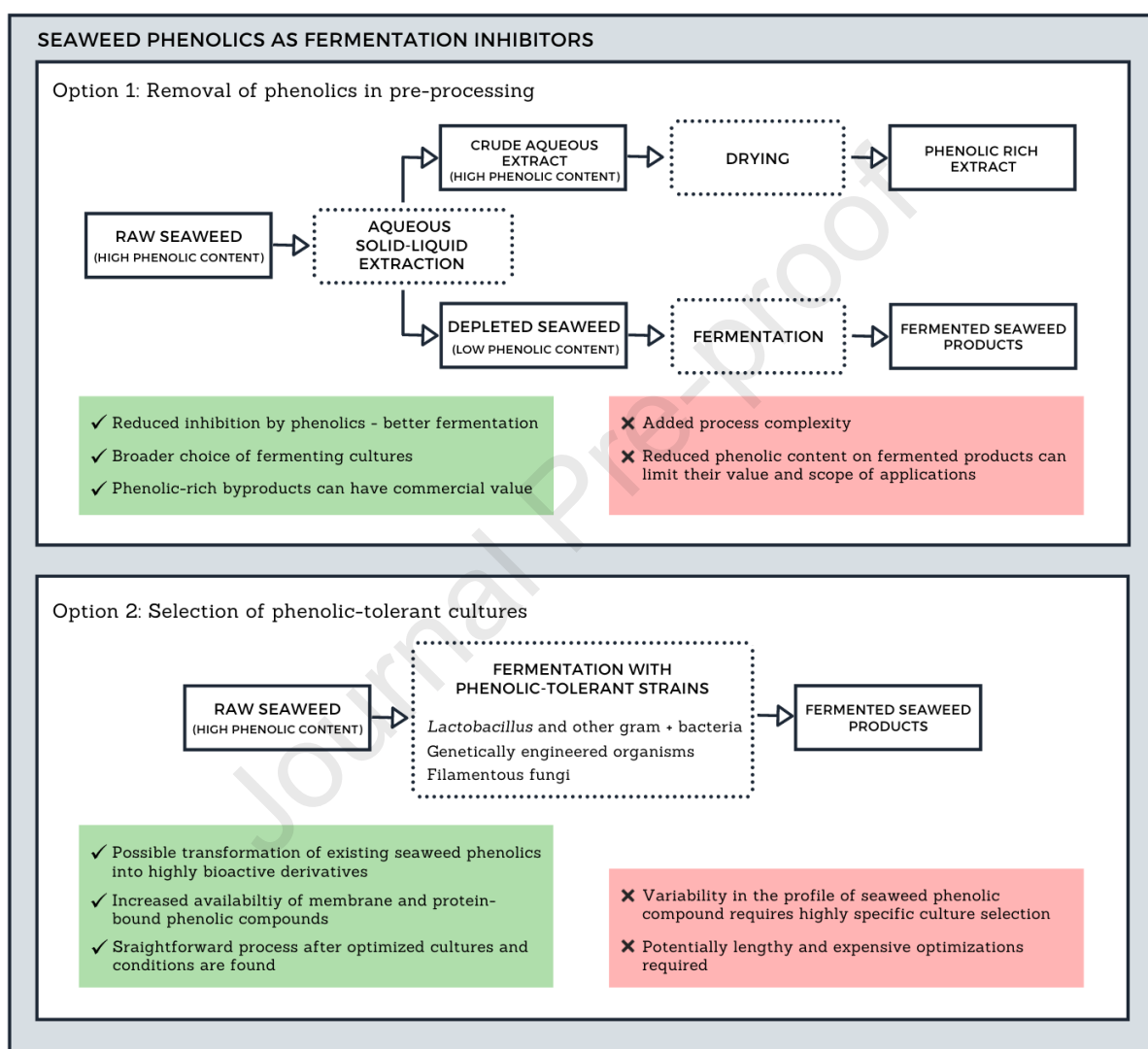
352 Phenols are a class of organic compounds predominantly found in plants and algae (Naczka &
353 Shahidi, 2006; Philippus et al., 2018). These highly diverse phytochemicals are secondary metabolism

354 products that can occur both as a consequence of natural development or as a response to environmental
355 stress (Naczki & Shahidi, 2006). Their prevalence in plants and herbs, long associated with traditional
356 medicine, has cemented their role as bioactive compounds with major importance to human
357 development (Rai et al., 2019). Modern biomedical research has associated a multitude of bioactivities
358 to phenolic compounds, including but not limited to antimicrobial, antioxidant, anti-inflammatory,
359 antidiabetic, and anti-carcinogenic (Bulzomi et al., 2012; Plouguerné et al., 2006).

360 A number of authors have linked the antimicrobial effects of phenolic compounds to inhibition
361 of desired fermentations in plant and algal biomass (Maneein et al., 2018). Monlau et al. (2014) have
362 reported a significant antimicrobial effect by low molecular weight phlorotannins. This effect was
363 attributed to disruption of cell membrane permeability and enzyme inactivation. The bactericidal effects
364 of phloroglucinol extracted from brown seaweed *Laminaria digitata* were evidenced in anaerobic
365 bacteria, where the same membrane disruption phenomena was observed (Hierholtzer et al., 2013).
366 Inhibition of certain plant and algae-processing enzymes such as α -amylase and α -glucosidase was
367 observed upon treatment with phenolic-rich *Ascophyllum nodosum* extracts, and attributed to the well-
368 known protein-binding effects of phlorotannins (Pantidos et al., 2014). Recent work performed by
369 Milledge, Nielsen, & Harvey (2019), thoroughly evaluated the inhibitory effect of specific phenolic
370 compounds present in a desired fermentation substrate (*Sargassum muticum*) in a model anaerobic
371 digestion of glycerol, cellulose, alginic acid and sodium alginate. Their work revealed that the
372 fermentation of readily digestible glycerol was not affected by the presence of phenolic compounds,
373 but high concentrations of phloroglucinol and epicatechin significantly inhibited the microbial
374 processing of alginic acid and sodium alginate.

375 These potent antimicrobial activities have proven to be a significant difficulty when attempting
376 to ferment seaweed biomass, but can be circumvented with the use of adequate cultures and pre-
377 treatments (Chye et al., 2018; Maneein et al., 2018). Among the wide variety of phenolic compounds
378 residing in seaweed cells lie caffeic, p-coumaric and ferulic acids, which are fermentable by some lactic
379 acid bacteria, such as *Lactobacillus plantarum* and *Lactobacillus brevis*, into their vinyl and ethyl
380 derivatives (Curiel et al., 2010). Bioprocess inhibition due to phenolic compounds affects in a similar
381 manner the processing of plant matter (Jönsson et al., 2013). Similar solutions to the ones presented in

382 this topic were proposed by Jönsson, Aliksson, & Nilvebrant (2013) and for the purpose of
 383 lignocellulose degradation, included the use of genetically engineered strains of *S. cerevisiae* and
 384 alkaline pre-treatments. Figure 4 provides an overview of these strategies in the context of a potential
 385 industrial-scale seaweed fermentation process. Further examples of seaweed fermentations that resulted
 386 in modified or enhanced phenolic content are given in the sections below.



387

388 **Figure 4.** Strategies addressing the potential difficulties in industrial-scale fermentation of seaweeds rich in phenolic
 389 compounds. The flowcharts depict processes in dotted lines and raw-materials or products in continuous lines.

390 As mentioned previously, seaweeds have become a popular source of novel natural bioactive
 391 compounds, and phenolic compounds make up a large slice of the research associated with this effort
 392 (Boisvert et al., 2015; Lordan et al., 2013; Tierney et al., 2013). Previous discussions on how to best
 393 add value to fermentable seaweed have mentioned the possibility of a sophisticated biorefinery circuit
 394 that can combine the extraction of compounds with uses for other industries (including microbial-

395 inhibiting phenolics), from raw algae, and follow it with a fermentation of the spent biomass (Maneein
396 et al., 2018), promoting a circular economy framework. This idea has been suggested by several authors
397 detailing the implementation and improvement of seaweed biorefineries and is a promising solution to
398 the still expensive cultivation and harvest of both macro and microalgae, and the large amounts of waste
399 that the extraction of phycocolloids generates (Álvarez-Viñas et al., 2019; Ubando et al., 2020). While
400 this approach can certainly seem more promising within the scope of biofuel fermentation, its potential
401 can be far wider if these post-extraction fermentations are optimized for the synthesis of other bioactive
402 compounds. The lack of scientific publications exploring the use of spent seaweed biomass for any
403 purpose other than biofuels is simultaneously a demonstration of how underexplored seaweed
404 fermentation is, and a remarkable opportunity to provide valorisation to the growing industry of
405 seaweed production.

406 Biotechnological applications of seaweed fermentation

407 *Seaweed fermentation resulting in enhanced bioactivity*

408 The role of microorganisms in the biosynthesis of bioactive molecules has a long history, and has
409 been developed in tandem with modern medicine, as well as with the chemical, food and cosmetic
410 industries (Wee et al., 2006). Through time, the biochemical processes involved and their relevant
411 metabolic pathways have revealed valuable information on how these organisms catabolise different
412 substrates, and how to best manipulate the conditions and organisms involved in order to maximize the
413 usefulness of the overall process. The entire process of discovering, optimizing and manipulating the
414 microbial biotransformation of natural resources must be adapted to new cultures, substrates and target
415 metabolites, and as such, is still a continuous labour of investigation for biotechnology researchers. The
416 fermentation of seaweeds to produce novel bioactive compounds is one such new frontier, that has
417 barely over two decades of dedicated research, and is still in the first stages of exploitation. Detailed
418 below are some of the most significant studies performed so far by authors aiming to evaluate the
419 bioactivity potential of fermented seaweeds products. These reported bioactivities are attributed to

420 transformed components of the original substrate via fermentation, in contrast with the previous section,
421 where the goal was to use microbial degradation to extract bioactives already present in the seaweed
422 matrix.

423 While there were many earlier attempts to obtain valuable fermentation products from marine
424 biomass, these were mostly focused on producing ethanol and methane. (Chye et al., 2018; Maneein et
425 al., 2018). This research was heavily influenced by the growing biofuels industry, and it is only natural
426 that the first publications deviating from the standard use of fermented algal products shared much in
427 common with the earlier approaches. One such study was conducted by Sawabe et al. (2003). Initially
428 targeting the synthesis of acetic acid from alginate using *Vibrio halioticoli*, an abalone gut bacterium,
429 the fermentation produced high quantities of formic acid, a potent antimicrobial agent. Around this
430 time, other researchers were also starting to comment on the functional and biotechnological potential
431 of fermented seaweed products upon preliminary studies (Uchida & Murata, 2002, 2004b).

432 Publication numbers detailing bioactive compound production from seaweed fermentation
433 started increasing from 2010 onwards, with the search terms “seaweed+fermentation+bioactive”
434 reaching 24 records between 2010 and 2020 in a Web of Science search conducted in July of 2020.
435 Extracts from *Laminaria japonica* processed using *Aspergillus oryzae* resulted in increased antioxidant
436 activities, total phenolic content, and a sharp increase in γ -aminobutyric acid (GABA), from 14.19 to
437 as high as 44.02 $\mu\text{mol}/100\text{g}$. Most free amino acid content was also increased, peaking at around 4 days
438 of fermentation (Bae & Kim, 2010). Similar results were published the same year using a *L. brevis*
439 strain isolated from traditional fermented foods. The study revealed that increased GABA
440 concentrations were likely due to the conversion of glutamic acid, an amino acid present in high
441 concentrations on many seaweed species (Lee et al., 2010). Several studies detailing the
442 hepatoprotective effect of fermented seaweed GABA were performed shortly after, and confirmed a
443 high bioactive potential, and promising nutraceutical and pharmaceutical applications (Cha et al., 2011;
444 Kang et al., 2011). More recently, these GABA-enriched fermented *L. japonica* products exhibited
445 promising cognitive improving properties (Reid et al., 2018a).

446 The fermentation of brown seaweed *Eisenia bicyclis* with *Cyberlindnera jadinii*, originated a
447 significant increase in antioxidant activity, with a maximum of 72% DPPH radical reduction inhibition

448 reported for a fermented sample (control exhibited approximately 65% reduction inhibition). There was
449 also an increase in phenolic content for the samples that underwent fermentation, from an initial 36.1
450 mg to a final 47.5 mg of phloroglucinol equivalents per gram of dry weight (Eom et al., 2011). This
451 enhanced activity was later correlated with higher concentrations of phlorotannins, including eckol,
452 dieckol, dioxinodehydroeckol, and phlorofucofuroeckol-A suggesting that the effect was likely caused
453 by facilitated release of algal compounds, instead of novel microbial metabolites (Eom et al., 2013).

454 Enriched seaweed broths were fermented with several LAB, *Weissella sp.* SH-1, *Lactobacillus*
455 *sp.* SH-1, *Leuconostoc sp.* SH-1, and *Streptococcus sp.* SH-1 in a study published by Lee et al. (2015).
456 Antioxidant activity, phenolic content, and angiotensin converting enzyme inhibition were increased in
457 all fermented samples, but maximum activities in each assay varied greatly depending on culture
458 (*Lactobacillus sp.* produced the highest antioxidant response and angiotensin converting enzyme
459 inhibition, while *Weissella sp.* and *Leuconostoc sp.* had a greater increase in phenolic content). While
460 the mechanisms responsible for the measured activities were not assessed, the results raise interesting
461 questions about the role of fermentation in this assay. Either a selective tissue degradation occurred,
462 releasing different seaweed compounds depending on the dominant culture, or entirely different
463 secondary metabolites were produced in each case. *S. thunbergii* fermented with *Lactobacillus* obtained
464 from *kimchi* was used to produce extracts with high anti-inflammatory activity (Mun et al., 2017). While
465 the authors discuss the possibility of higher phenolic release due to fermentation, the modification of
466 seaweed compounds via microbial metabolism was not excluded.

467 Some of the most recent studies on bioactive fermented seaweed extracts were performed by
468 Suraiya et al. (2018) using filamentous fungi *Monascus spp.* and *Monascus purpureus*. Targeting the
469 optimized production of lovastatin, these studies revealed that the unique blend of polysaccharides in
470 seaweeds made them a highly suitable substrate for the production of this compound, either for isolation
471 and purification, or for the production of functional foods. Additional phenolic content, antioxidant and
472 antidiabetic activities were reported in *S. japonica* and *Undaria pinnatifida* fermented with *M.*
473 *purpureus* and *Monascus kaoliang* (Suraiya, Lee, et al., 2018). Further studies conducted by these
474 authors revealed immunomodulatory effects and anti-adipogenic activities in *Monascus spp.* fermented
475 *S. japonica* (Suraiya, Choi, et al., 2019b; Suraiya, Jang, et al., 2019). It becomes clear that the potential

476 of seaweeds as a substrate for the synthesis of powerful bioactive compounds is still underdeveloped.
477 While the study of plant-derived fermentation compounds can certainly help determine what to expect
478 from some scenarios, it still paints an incomplete picture of the most promising results when working
479 with algal substrates, particularly when the degradation of sulphated polysaccharides can be involved
480 (Huynh et al., 2014). Table 3 shows a collection of recently published content that linked enhanced
481 bioactivity of samples to the process of fermentation.

Journal Pre-proof

482 **Table 2.** Recent publications reporting enhanced bioactivity upon fermentation of seaweed substrate. Search performed in April of 2021.

Substrate	Culture/enzyme	Measured bioactivity	Featured fermentation products	Reference
Seven species of brown seaweed	Several commercial mixtures of hydrolytic enzymes	Antioxidant (DPPH, superoxide anion, hydroxyl radical, hydrogen peroxide scavenging and oxidative DNA damage inhibition)	N/A	Heo et al., 2005
<i>Lomentaria catenata</i>	Spontaneous fermentation	Anticoagulant (activated partial thromboplastin time, prothrombin time, thrombin time)	Anticoagulant sulphated proteoglycan	Pushpamali et al., 2008
<i>L. japonica</i>	<i>A. oryzae</i>	Antioxidant (DPPH, phenolic content), likely unrelated to the identified compounds	γ -aminobutyric acid	Bae & Kim, 2010
Commercial “sea tangle” (<i>L. japonica</i>)	<i>L. brevis</i>	Antioxidant (DPPH, superoxide scavenging, xanthine oxidase inhibition).	γ -aminobutyric acid	Lee et al., 2010
<i>Hizikia fusiforme</i> aqueous extracts	<i>L. brevis</i>	Antioxidant (DPPH, hydroxyl radical, superoxide scavenging, alkyl radical)	N/A	Song, Eom, Kang, Choi, & Kim, 2011
<i>E. bicyclis</i> aqueous extracts	<i>Candida utilis</i> (<i>C. jadinii</i>)	Antioxidant (DPPH, phenolic content)	N/A	Eom et al., 2011
<i>L. japonica</i>	<i>L. brevis</i>	Hepatoprotective (glutathione content level and gamma-glutamyl transpeptidase activity on ethanol-induced toxicity in HepG2 cells)	N/A	Kang et al., 2011
<i>L. japonica</i>	<i>L. brevis</i>	<i>In-vivo</i> hepatoprotective (protection against ethanol-induced hepatotoxicity in Sprague-Dawley rats)	γ -aminobutyric acid	Cha et al., 2011
<i>P. columbina</i>	Proteolytic enzymes (trypsin and alcalase)	Antioxidant (DPPH, TEAC, ORAC, copper-chelating activity); Immunomodulatory (cytokine determination and lactate dehydrogenase assay); Antihypertensive (angiotensin-converting enzyme inhibitory activity)	Low molecular weight bioactive peptides	Cian et al., 2012
<i>E. bicyclis</i>	<i>C. utilis</i> (<i>C. jadinii</i>)	Antimicrobial (MIC in methicillin-resistant <i>Staphylococcus aureus</i>);	eckol, dieckol, dioxinodehydroeckol, and phlorofucofuroeckol-A	Eom et al., 2013
<i>Sargassum siliquanstrum</i>	<i>Weissella</i> sp.; <i>Lactobacillus</i> sp.; <i>Leuconostoc</i> sp.;	Antioxidant (DPPH, phenolic content) Antihypertensive (angiotensin-converting enzyme inhibitory activity)	N/A	Lee et al., 2015

Substrate	Culture/enzyme	Measured bioactivity	Featured fermentation products	Reference
	<i>Streptococcus sp.</i>			
<i>L. japonica</i>	<i>L. brevis</i>	Anti-obesity (brain derived neurotrophic factor-related muscle growth and lipolysis in middle aged women)	γ -aminobutyric acid	Choi et al., 2016
<i>S. thunbergii</i>	<i>Lactobacillus sp.</i>	Anti-inflammatory (assorted inflammatory responses in LPS-induced RAW 264.7 macrophage cells)	N/A	Mun et al., 2017
<i>E. bicyclis</i> ; <i>Sargassum fusiforme</i> ; <i>Pyropia sp.</i> ; <i>Gloiopeltis furcate</i> ; <i>Chondrus ocellatus</i> ; <i>Chondrus elatus</i> ; <i>Gelidiaser sp.</i> ; <i>Monostroma nitidum</i> ; <i>Ulva sp.</i>	<i>L. plantarum</i>	Antioxidant (Phenolic content, DPPH, Fe-reducing power, Superoxide anion radical scavenging)	N/A	Takei et al., 2017
<i>L. japonica</i>	<i>L. brevis</i>	Anti-ageing (assortment of neuropsychological tests and antioxidant enzyme activities)	N/A	Reid, Ryu, Kim, & Jeon, 2018
<i>L. japonica</i>	<i>L. brevis</i>	Anti-dementia (cognitive impairment tests in model mice with ethanol-induced dementia)	γ -aminobutyric acid	Reid et al., 2018a
<i>S. japonica</i> ; <i>U. pinnatifida</i>	<i>M. purpureus</i> ; <i>M. kaoliang</i>	Antioxidant (phenolic content, ABTS radical scavenging activity, oxidative DNA damage inhibition); Antidiabetic (intestinal α -glucosidase inhibition, pancreatic lipase inhibition, pancreatic α -amylase inhibition)	Increased reducing sugar, protein and essential fatty acid content; Increased phenolic compound concentration	Suraiya, Lee, et al., 2018
<i>Cystoseira trinodis</i>	Six endophyte fungal isolates	Antioxidant (TAC, DPPH, FRAP, hydroxyl radical scavenging activity)	Low molecular weight fucoidan and alginate residues	Hifney, Fawzy, Abdel-Gawad, & Gomaa, 2018
<i>Ulva sp.</i> hydrolyisate	<i>C. jadinii</i>	Antioxidant (phenolic content, DPPH)	N/A	Dhandayuthapani & Sultana, 2019
<i>S. japonica</i>	<i>Monascus spp.</i>	Anti-adipogenesis (inhibition of adipogenic gene expression and inhibition of lipid accumulation)	Authors claim high lovastatin content in fermented extracts from previous studies	Suraiya, Choi, et al., 2019
<i>S. japonica</i>	<i>M. purpureus</i> ; <i>M. kaoliang</i>	Immunomodulatory (enhanced cytokine gene expression of THP-1 cells);	Fermented extracts rich in bioactive esters, alcohols, ketones, alkanes, fatty acids, and phenolic compounds,	Suraiya, Jang, et al., 2019

Substrate	Culture/enzyme	Measured bioactivity	Featured fermentation products	Reference
		Antioxidant (phenolic content)	but no specific association between bioactivities and identified compounds was made	
<i>Macrocystis pyrifera</i> ; Industrial waste composed of unspecified brown seaweed	<i>Paradendryphiella salina</i>	Antioxidant (phenolic content, DPPH)	Analysis of amino acid profiles reveals increased concentrations of antioxidant peptides, including histidine and tyrosine, but otherwise there are no other bioactive compounds identified	Salgado et al., 2021
<i>Kappaphycus spp.</i>	<i>A. oryzae</i>	Antioxidant (total phenolic content and complete phenolic compound profile)	Complete characterization of phenolic content, with significant increases to caffeic acid, gallic acid, quinic acid and ferulic acid; Complete characterization of amino acid content, with increases to histidine, glutamic acid, tyrosine likely contributing to increased antioxidant potential	Norakma et al., 2021

484 *Seaweed fermentation as an enhancer of extraction yields*

485 The popularity of seaweeds as novel sources of bioactive compounds has garnered great interest
486 and funding from the food, feed, pharmaceutical, and cosmetic industries. This has led to extensive
487 profiling of their bioactivities and constant innovation on the techniques applied to the extraction of
488 desired compounds. Environmental concerns overlap this interest and shape innovation in the
489 methodologies used, attempting to mitigate the use of toxic organic solvents, in favour of green and
490 sustainable processes that can still effectively disrupt the cellular structures of seaweeds and allow easy
491 access to the content inside (Martins et al., 2011). Innovations such as the microwave extraction have
492 greatly enhanced the yields of certain extractions and/or even assisted in reducing costs. Yet, any novel
493 compound detected, or seaweed species tested, is often accompanied with difficulties in the extraction
494 process. Even if these are overcome in laboratory trials, it is likely that completely different solutions
495 are required when attempting to increase the scale of the process (Michalak & Chojnacka, 2015).

496 Fermentation presents itself as an alternative to other novel natural product extraction methods.
497 The microbial-induced digestion of cellular compounds can be less expensive, generate less toxic waste,
498 and be highly specific to certain cellular structures such as the cellulosic cell wall, or the vacuole
499 membrane, and achieve simultaneous cellular disruption and compound transformation (Huynh et al.,
500 2014; Khosravi & Razavi, 2020; Maneein et al., 2018). It should be noted that enzymatic treatments are
501 still better suited for highly specific digestive actions, with fermentations holding more potential as a
502 broader, less controllable digestion/transformation hybrid method (Wijesinghe & Jeon, 2012).
503 However, most published work on the fermentation of natural resources for production of bioactive
504 compounds fails to acknowledge the role that this process can have as a tool for tissue breakdown,
505 adopting enzymatic pre-processing of the substrate and focusing its relevance on secondary metabolites.

506 Some of the first research involving microbial degradation as a process for seaweed tissue
507 breakdown was carried out by Uchida, Nakata, & Maeda (1997). The unconventional
508 *Pseudoalteromonas espejiana* was used to degrade wet mashes of seaweed into Single Cell Detritus
509 (SCD), fragments with 5.8 to 11.5 µm in diameter, as defined by the authors, prepared by decomposing
510 seaweed to a cellular level. Further improvements to this approach involved the use of lactic acid

511 bacteria and the addition of cellulase on top of a thorough optimization of fermentation conditions and
 512 enhanced cell wall degradation (Uchida & Murata, 2002; Uchida, Murata, & Ishikawa, 2007). These
 513 experiments set the authors in a pioneering path to reveal the multifaceted potential of fermented
 514 seaweeds, as will be detailed in later topics. A less controlled approach to tissue degradation via
 515 fermentation was executed by Pushpamali et al. (2008) on the isolation and purification of anticoagulant
 516 proteoglycans from *L. catenata*. In this study, spontaneous fermentation of the algal biomass was
 517 selected specifically for its simplicity and cost-effectiveness. It also fulfilled the necessary hydrolysis
 518 of carrageenans needed for them to exhibit anticoagulant activity and did so with comparable yields to
 519 an enzyme-treated control after 4 weeks of fermentation.

520 *C. jadinii*, formerly known as *C. utilis*, was used by Wijesinghe et al. (2013) to enhance the
 521 bioactive potential of phlorotannin-rich extracts obtained from *E. cava*. The authors attributed the
 522 higher anti-inflammatory activity of the fermented seaweed extracts to an increased phlorotannin
 523 availability, consequence of the yeast-driven breakdown of algal tissues (Wijesinghe et al., 2012). The
 524 same authors had previously reviewed enzymatic approaches to assist the extraction of seaweed
 525 compounds, and mentioned the underappreciated advantages that fermentations have in replacing this
 526 process in the studies that followed (Wijesinghe et al., 2013; Wijesinghe & Jeon, 2012).

527 In a recent literature review, Khosravi & Razavi (2020) pointed out that fermentation is one of
 528 the most promising extraction techniques for the recovery of polyphenols from agricultural waste. This
 529 was attributed not only to the cost and environmental advantages already stated, but also due to the
 530 production of complex mixtures of cell wall degrading enzymes that include α -amylase, β -glycosidase,
 531 xylanase, among others (Huynh et al., 2014; Wang et al., 2014). Considering that seaweeds are a proven
 532 source of phenolic compounds, and that fermentation optimizations are carried out so that the thorough
 533 degradation of seaweed tissue is achieved, extraction yields of bioactive phenolics can be highly
 534 increased.

535 **Table 3.** Reported use of seaweed fermentation as an extraction yield enhancer and algal tissue
 536 breakdown.

Substrate	Fermenting culture(s)	Target compound/effect	Reference
<i>Ulva sp.</i>	<i>P. espejiana</i>	Seaweed size reduction	Motohara Uchida et al., 1997

Substrate	Fermenting culture(s)	Target compound/effect	Reference
		Increased protein content of fermented blend	
<i>U. pinnatifida</i>	<i>L. brevis</i> <i>Debaryomyces hansenii</i> <i>Candida sp.</i>	Seaweed size reduction Optimized cellulase and NaCl concentrations	Uchida & Murata, 2002
<i>P. palmata</i>	<i>Rhizopus microscopus var. chinensis</i> <i>A. oryzae</i> <i>Trichoderma pseudokoningii</i>	Improved digestibility via degradation of insoluble fibers	Marrion, Schwartz, Fleurence, Guéant, & Villaume, 2003
<i>L. catenata</i>	Spontaneous fermentation	Anticoagulant sulphated proteoglycan	Pushpamali et al., 2008
<i>E. bicyclis</i>	<i>C. utilis (C. jadinii)</i>	eckol, dieckol, dioxinodehydroeckol, and phlorofuofuroeckol-A	Eom et al., 2013
<i>E. cava</i>	<i>C. utilis (C. jadinii)</i>	triphlorethol-A, eckol, dieckol, and eckstolonol	Wijesinghe et al., 2013; Wijesinghe & Jeon, 2012
<i>S. japonica</i>	<i>M. purpureus</i>	Lovastatin	Suraiya, Kim, et al., 2018

537

538 Given the early stage of research that the literature cited so far addresses, there is little mention of
539 downstream processes, or of different approaches for the recovery of select compounds within seaweed
540 fermentation products. There are considerable advantages in the early recognition and consideration of
541 downstream hurdles when developing new bioprocesses (Castro-Muñoz, Boczkaj, et al., 2020). An
542 early optimization of fermentation conditions that takes into account specific separation techniques and
543 limits desired outputs within a certain molecular weight (among other exclusion parameters) can be met
544 with success in later stages of scale-up and implementation, as it avoids unexpected investment and
545 adaptations for the efficient recovery of compounds, otherwise incompatible with the available means
546 of recovery (Díaz-Montes & Castro-Muñoz, 2019). While this concern can appear limiting, modern
547 compound separation technologies are highly flexible, with membrane separations receiving particular
548 attention in recent years for this very characteristic (Castro-Muñoz, Boczkaj, et al., 2020; Castro-
549 Muñoz, Díaz-Montes, et al., 2020).

550 As demonstrated in table 2 of the last section, most bioactive compounds recovered from seaweed
551 fermentations so far are either phenolic compounds or other smaller sized biomolecules, including
552 peptides, amino acids and γ -aminobutyric acid. Membrane technologies, such as Ultrafiltration and
553 Nanofiltration present themselves as the ideal separation and purification methods for the range of
554 compounds identified, while maintaining the newly developed process compatible with sustainability

555 goals (Cassano et al., 2018; Castro-Muñoz, Boczkaj, et al., 2020). One of the major challenges these
556 technologies face is the medium to long-term accumulation of biological matter along the membranes,
557 a phenomenon known as biofouling. This phenomena is greatly accentuated when paired with
558 bioprocesses involving large amounts of residual microorganisms, as is the case with fermentations
559 (Stavros Kalafatakis et al., 2020; Pichardo-Romero et al., 2020). Fortunately, the rising popularity of
560 membrane separation technologies has led to an accelerated resolution of many of its drawbacks.
561 Biofouling has been tackled via clever manipulation of the physico-chemical properties of membranes,
562 aiming mainly at an increase in their hydrophilic properties. The embedding of nanomaterials into the
563 polymer matrix is one such approach, but modern systems often combine advanced materials with
564 optimized flow-rates and adjustments of the feed solution pH and cell concentration (S. Kalafatakis et
565 al., 2018; Pichardo-Romero et al., 2020).

566 *Seaweed fermentation for biosynthesis of other valuable compounds*

567 The production of any type of compound as a result of seaweed fermentation is valuable insight
568 when attempting to create added-value products using this combination of raw-material and process.
569 Much of the microbial breakdown of seaweeds has been detailed through the study of methanogenesis
570 and alcoholic fermentation for the biofuels industry, and even the synthesis of organic acids can provide
571 further knowledge on optimal process conditions for a given culture and substrate (Maneein et al., 2018;
572 Sawabe et al., 2003; Uchida & Miyoshi, 2013).

573 The production of lactic acid has seen a shift in methodologies over the last two decades. A highly
574 desired compound in the pharmaceutical, cosmetic, food and chemical industries, its source has shifted
575 away from chemical synthesis due to environmental concerns (Wee et al., 2006). Hwang, Lee, Kim, &
576 Lee (2011) performed an early benchmark on lactic acid production via seaweed fermentation by
577 comparing the microbial consumption of seaweed sugars (D-galactose, D-mannitol, L-rhamnose, D-
578 glucuronic acid, and L-fucose) against that of plant sugars (D-glucose, D-xylose, D-mannose, and L-
579 arabinose). Several *Lactobacillus* species were tested, and the results were used to predict lactic acid
580 yields across various species of seaweeds and terrestrial plants. The authors reported a promising
581 similarity in terms of both real and estimated yields, and noted that further knowledge of seaweed tissue

582 breakdown, as well as adequate pre-treatments of algal biomass, could improve these yields further. A
583 contemporary and similarly pioneering study by Gupta, Abu-Ghannam, & Scannell (2011) also reported
584 high compatibility of *L. plantarum* with an algal substrate, along with high lactic acid yields at
585 optimized conditions. A comprehensive list of seaweeds and LAB, along with their lactic acid yields
586 with a cellulase pre-treatment was then compiled by Uchida & Miyoshi (2013), but new insights on
587 lactic acid fermentation of seaweeds are now continually published, and slowly expand the range of
588 tested cultures and conditions (Lin et al., 2020).

589 Even within the field of biofuels, the unique composition of seaweeds has motivated integrated
590 approaches to bioenergy production, with simultaneous use of protein-rich hydrolysates having been
591 studied by Hou, Hansen, & Bjerre (2015).

592 Seaweed polysaccharide-cleaving enzymes have also been produced in controlled seaweed
593 fermentations (Rodríguez-Jasso et al., 2013). While to the best of our knowledge no further use of this
594 enzyme was published, the authors have contributed with valuable insight on the fermentation of
595 seaweeds with *Aspergillus niger* and *Mucor sp.* in rotating drum bioreactors.

596 Inspired by a similar use of fermentation performed on *Paratapes undulatus* hydrolysates, Du et al.,
597 (2021) employed this process to reduce the concentrations of undesired volatile compounds present in
598 *Bangia fuscopurpurea*. Fermentations with *S. cerevisiae*, having achieved the highest reduction in
599 undesired aromas, were further profiled via SPME-GC-MS. This analysis revealed significant increases
600 in alcohols, acids, and alkanes of microbial origin, including nonanol, non-(2E)-enoic acid, (E,E)-2,4-
601 decadienol, 2,4-decadienoic acid, and nonadiene. Norakma et al., (2021) also achieved a reduction of
602 the undesired volatile pentadecanoic acid methyl ester when fermenting *Kappaphycus* spp. using *A.*
603 *oryzae*, accompanied by an increase in hexadecane pentadecane and heptadecane. These two recent
604 studies provide a rare exploration on how fermentation can modify the sensory profile of seaweeds and
605 greatly encourages the employment of its methods in a wider scope, with the study of a greater number
606 of substrate and culture combinations.

607

608 **Table 4.** Fermentation of seaweeds and seaweed compounds for the production of organic acids,
 609 biomass or for other miscellaneous goals.

Substrate	Fermenting culture(s)	Target compound/effect	Reference
<i>U. pinnatifida</i>	14 strands of LAB	Lactic acid Culture predominance	Uchida et al., 2007
Alginate	<i>V. haliotocoli</i>	Acetic acid	Sawabe et al., 2003
<i>Ulva spp.</i>	Spontaneous fermentation	Identification of predominant microorganisms; Lactic acid; Ethanol;	Uchida & Murata, 2004a
<i>Himantalia elongata</i> ; <i>L. digitata</i> ; <i>L. saccharina</i>	<i>L. plantarum</i>	Acetic acid; Lactic acid; Optimum growth conditions	Gupta et al., 2011
Mixture of seaweed sugars	7 <i>Lactobacillus</i> species	Lactic acid	Hwang et al., 2011
<i>Gracilaria sp.</i> ; <i>Sargassum siliquosum</i> ; <i>Ulva lactuca</i>	<i>Lactobacillus acidophilus</i> ; <i>L. plantarum</i>	Lactic acid Content of reducing sugars	Lin et al., 2020
<i>Kappaphycus spp.</i>	<i>A. oryzae</i>	Complete characterization of phenolic, amino acid and volatile content in fermentation products	Norakma et al., 2021
<i>B. fuscopurpurea</i>	<i>S. cerevisiae</i> ; <i>Acetobacter pasteurianus</i> ; <i>L. plantarum</i>	Reduced concentration of undesired volatile compounds	Du et al., 2021

610

611 *Novel food, feed, and nutraceutical products*

612 Given the current-day concerns of climate change, loss of farmable land, overpopulation and
 613 crop sustainability, it is expected that any research field concerned with adding value to edible biomass
 614 dedicates substantial focus on food and feed applications (Darcy-Vrillon, 1993; FAO et al., 2018).
 615 Though still limited in volume, the study of fermenting seaweeds for non-energy applications has
 616 dedicated much of its published content to innovation in human nutrition.

617 Early studies of seaweed fermentation have expressed the potential that lies in the use of the
 618 fermented products for food applications, ranging from its functional properties granted by enriched
 619 bioactivities, to promising organoleptic profiles (Uchida et al., 2017; Uchida & Miyoshi, 2013; Uchida
 620 & Murata, 2002, 2004b). These studies have culminated in a recent set of publications detailing a
 621 fermented seaweed sauce with flavour profile similar to standard soy sauce, but lower sodium content
 622 (Uchida et al., 2018, 2017). The sauces were prepared from *Pyropia yezoensis* fermented with

623 commercially available *Tetragenococcus halophilus*, over the course of two years. The high umami
624 flavour profile was attributed to high concentrations of glutamic and aspartic acid, as well as an
625 unusually high concentration of taurine. A fermented seaweed beverage was also developed using
626 *Gracilaria fisheri* and a previously isolated culture of *L. plantarum*, achieving moderate acceptance
627 from a 30-member sensory evaluation panel and a stability of at least 3 months (Prachyakij et al., 2008).
628 So far, these studies remain the only published academic work detailing novel functional food products
629 entirely based on fermented seaweeds, setting ground for the potential use of fermented seaweed as
630 novel functional products and ingredients.

631 Other authors have stated the potential usefulness of their findings, or even the fermentation
632 products they developed, for the food industry. Bae & Kim (2010) stated in their early tests with GABA-
633 enriched fermented *L. japonica* that their product had direct application as a functional food product,
634 and that similar fermentations could unlock a whole set of processed foods compatible with current
635 consumer trends. A similar assessment was made by Takei et al. (2017) upon fermenting a variety of
636 edible seaweeds that included *S. fusiforme*, *Gloiopeltis furcata*, *Chondrus ocellotus*, *C. elatus*, *E.*
637 *bicyclis*, *Pyropia sp.*, among others. They noted significant increases in the antioxidant potential of the
638 tested red seaweeds using *L. plantarum* and suggested their use as novel functional foods. The recently
639 published work of Salgado et al. (2021), intended to create a unique food product made from the
640 mycelium of *P. salina* upon fermenting *M. pyrifer*. An alternative formulation using pre-treated
641 industrial by-products containing an unspecified brown seaweed as substrate was also tested and
642 analysed. The authors reported a highly protein-enriched product in both formulations, as well as an
643 abundance of functional amino acids and increased antioxidant activity and phenolic content (Salgado
644 et al., 2021). Norakma et al. (2021) performed a similar set of analysis on aqueous extracts obtained
645 from *A. oryzae*-fermented *Kappaphycus* spp. A detailed profiling of amino acids as well as phenolic
646 and volatile compounds revealed a nutritionally, functionally and sensory-enriched product.
647 Concentrations of histidine, glutamic acid and tyrosine reached values of 0.44, 4.27 and 0.64 g/100g
648 respectively on fermented *Kappaphycus striatum* var., and an improvement in volatile composition was
649 verified in all fermented seaweed samples (Norakma et al., 2021).

650 There is little published content detailing a true in-depth exploration of fermented seaweed products
 651 as potential nutraceuticals. Shifts to preventive medicine practices and new consumer trends have
 652 placed nutraceuticals and functional food products on the sights of many researchers and entrepreneurs
 653 and yet, when attempting to collect information regarding nutraceutical applications of fermented algae,
 654 enzymatically-processed seaweed derivatives remain the best approach (Charoensiddhi et al., 2017).
 655 Considering reported bioactivities compiled in this study, product development aimed at novel food
 656 products is the most unfulfilled premise of fermented seaweeds, and one that can be readily exploited
 657 by new research and new business ventures. This is strengthened by the fact that the main difficulties
 658 associated with the process have long been resolved by the efforts of the biofuel industry, which have
 659 optimized the primary metabolic process as extensively as needed. Only the optimization of functional
 660 properties and secondary metabolite production remains and can be done in a case-by-case approach.
 661 This unique opportunity was identified by Uchida & Miyoshi (2013) and remains unfulfilled today.

662 **Table 5.** Edible fermented seaweed products developed with a focus on nutraceutical and functional
 663 food properties.

Substrate	Fermenting culture(s)	Product description	Functional features	Reference
<i>G. fisher</i>	<i>L. plantarum</i>	Fermented seaweed beverage	Possible antimicrobial activity due to prolonged shelf-life	Prachyakij et al., 2008v
<i>L. japonica</i>	<i>A. oryzae</i>	Fermented aqueous extract	High γ -aminobutyric acid content; Antioxidant activity	Bae & Kim, 2010
<i>P. yezoensis</i>	<i>T. halophilus</i>	Fermented seaweed sauce	Organoleptic quality; Inhibitory activity of angiotensin-converting enzyme	Uchida et al., 2017
<i>E. bicyclis</i> ; <i>S. fusiforme</i> ; <i>Pyropia sp.</i> ; <i>G. furcata</i> ; <i>C. ocellatus</i> ; <i>C. elatus</i> ; <i>Gelidiaser sp.</i> ; <i>M. nitidum</i> ; <i>Ulva sp.</i>	<i>L. plantarum</i>	Fermented aqueous solutions	High antioxidant activity	Takei et al., 2017
<i>S. thumbergii</i>	<i>Lactobacillus sp.</i>	Fermented aqueous supernatant	High anti-inflammatory activity	Mun et al., 2017
<i>P. yezoensis</i>	Spontaneous fermentation	Fermented seaweed sauce	Low allergen-risk	Uchida et al., 2018
Nori (<i>P. yezoensis</i>)	Commercially available koji (<i>A.</i>)	Nori koji and nori sauces made with nori koji	Enhanced protein content Low allergen-risk	Uchida et al., 2019

Substrate	Fermenting culture(s)	Product description	Functional features	Reference
	<i>oryzae</i> and <i>Aspergillus flavus</i>)			
Nori (<i>P. yezoensis</i>) Kombu (<i>S. japonica</i>)	Commercially available koji	Nori and kombu aged koji	Enhanced protein content Enhanced amino acid profile	Murayama et al., 2020
<i>M. pyrifera</i> ; Industrial waste composed of unspecified brown seaweed	<i>P. salina</i>	<i>P. salina</i> mycelium and algal biomass under the designation of “mycoprotein”	Enhanced protein content; Enriched amino acid profile; High antioxidant activity and total phenolic content	Landeta-Salgado et al., 2021 Salgado et al., 2021
<i>Kappaphycus</i> spp.	<i>A. oryzae</i>	Aqueous extract from solid fermented seaweed	High phenolic content; High histidine, glutamic acid and tyrosine concentrations within amino acid profile	Norakma et al., 2021
<i>Saccharina latissimi</i> ; <i>Alaria esculenta</i>	<i>L. plantarum</i> ; <i>Leuconostoc mesenteroides</i>	Sauerkraut-like product from lactic acid fermentation	Antioxidant activity (unclear if related to fermentation)	Skonberg et al., 2021
<i>Porphyra dentata</i>	<i>Kombucha consortium</i>	Fermented beverage from seaweed infusions	High α -ketoglutaric and acetic acid content; Enhanced antioxidant activity	Aung & Eun, 2021

665 Conclusions and Perspectives

666 Though nowadays recognized as an important source of novel bioactive compounds, seaweeds
667 are still considerable underexploited when compared to terrestrial plant biomass. As their role in the
668 modern food, feed, pharmaceutical, cosmetic and energy industries increase, novel processes to extract
669 value from this abundant and sustainable biomass become increasingly valuable. Most currently
670 employed techniques for the extraction of seaweed compounds either have reduced yields due to the
671 difficulties in processing algal cell walls, have low cost-effectiveness, or resort to undesirably toxic
672 organic solvents.

673 There is strong evidence that the fermentation of seaweeds for the production and extraction of
674 bioactive compounds is a viable process for the valorisation of this resource. Biofuel research has
675 provided a robust set of knowledge on the microbial processing of macroalgae and revealed that
676 fermentation conveniently addresses the difficulties involved with the extraction of algal compounds.
677 Seaweeds can be a highly fermentable substrate upon careful selection of cultures and processing
678 conditions, resulting in a microbial-driven cell wall degradation that is cost-effective and
679 environmentally friendly. Additionally, the research conducted so far has demonstrated the potential to
680 generate novel compounds from both marine and microbial origin, including bioactive peptides and
681 polysaccharides, processed phenolic compounds, enzymes and organic acids. The fermentation
682 products are also an underexplored food resource, and the limited studies conducted so far demonstrate
683 that these could constitute a new and important entry in the functional food and nutraceutical markets.
684 Thus, the research gathered here points to seaweeds as a promising substrate for the development of
685 new bioprocesses that fulfil the modern demands of sustainability and fit within a circular economy-
686 driven system of added-value compound recovery.

687 Further research in this field would need to start by addressing the limited knowledge of
688 fermenting seaweeds for natural products. This could be achieved via large scale screening of different
689 seaweed species and microorganism combinations. Monitoring the products of these fermentations for
690 bioactivities in *in vitro* assays, sensory properties and growth conditions would provide a clear picture
691 of which of these processes are deserving of further attention. These conditions could then be adequately

692 optimized to maximize the output of positive bioactive responses or sensory properties. Additionally,
693 this type of preliminary research would reveal challenges that are still unknown in seaweed
694 fermentation, including the necessary pre-treatments of the mash and how to best manipulate, store and
695 extract the products of fermentation. Intricate chemical analysis of these products should run
696 parallel to the screening process. Identification of the most relevant chemical agents responsible for the
697 bioactivities and sensory features of seaweeds, fermented or otherwise, remains one of the greatest
698 vacuums in this field. Such elucidation could then allow precisely targeted optimizations of
699 fermentation processes and entice the pharmaceutical and food industries with a novel source of
700 valuable compounds. This should then be followed by careful consideration of the most suitable
701 downstream process, paying close consideration to their environmental impact and sustainability.
702 Membrane-based separation technologies can easily be implemented on newly developed processes that
703 seeks to isolate smaller biomolecules, such as those that may result from microbial degradation of algal
704 biomass. Once a larger set of information is gathered about the processes, substrates and cultures
705 yielding the best results, making seaweed fermentation compatible with modern industry demands will
706 likely involve using advanced biotechnological tools. Genetic engineering of fermenting cultures
707 requires a detailed understanding of their metabolic profiles when processing this unique substrate,
708 something that has, so far, only been done in limited amounts for the biofuel industry. Only after these
709 research milestones have been met can the feasibility of seaweed fermentation be evaluated in earnest,
710 and its potential as a novel source of useful products come to fruition.

711

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Highlights

- Seaweed fermentation remains an underdeveloped branch of marine biotechnology.
- Fermentation can facilitate the extraction of bioactive compounds from seaweeds.
- Products of seaweed fermentation show enhanced bioactive and sensory profiles.
- Full scope of applicability, bioactivities and mechanisms relies on further research.

Journal Pre-proof