

Soil hydrology in the Earth system

Article

Accepted Version

Vereecken, H., Amelung, W., Bauke, S. L., Bogen, H., Brüggemann, N., Montzka, C., Vanderborght, J., Bechtold, M., Blöschl, G., Carminati, A., Javaux, M., Konings, A. G., Kusche, J., Neuweiler, I., Or, D., Steele-Dunne, S., Verhoef, A. ORCID: <https://orcid.org/0000-0002-9498-6696>, Young, M. and Zhang, Y. (2022) Soil hydrology in the Earth system. *Nature Reviews Earth & Environment*, 3. pp. 573-587. ISSN 2662-138X doi: <https://doi.org/10.1038/s43017-022-00324-6> Available at <https://centaur.reading.ac.uk/105378/>

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To link to this article DOI: <http://dx.doi.org/10.1038/s43017-022-00324-6>

Publisher: Nature

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0. Abstract

Predicting the impact of land use and climate change on the Earth system hinges on credible representation of soil hydrological processes (SHP), adequate availability of parameters and hydrological states and inclusion of key soil properties. There is increasing evidence that extreme events such as droughts and high intensity precipitation, and land use changes, affect fundamental hydrological processes such as infiltration and runoff generation. In this review, we analyse the influence of soil structure on SHP, critically evaluate the parameterization of soil hydrologic properties and their importance in representing the terrestrial water cycle and highlight the key role of soil hydrology in the functioning of carbon-rich soils and in linking the water and carbon cycles. It emerges that linking soil hydrology and pedology will lead to better understanding critical zone processes, especially in tropical regions. Further, we discuss the role of local scale hydrological processes in understanding root water uptake, vegetation and groundwater dynamics and feedbacks. These processes control and modulate the impact of extreme events such as droughts, floods and heatwaves and they are essential to assess drought and flooding. Finally, new emerging technologies such as wireless and automated sensing approaches, soil moisture observation through novel synthetic aperture radars satellites, big data analysis and machine learning approaches offer unique opportunities to advance soil hydrology.

92 **1. Introduction**

93 The terrestrial water cycle is subject to rapid changes, resulting in an increase of extreme
94 events such as frequent and intense droughts, floods and heat waves that promote
95 wildfires, cause crop failure and threaten communities in arid regions¹⁻⁴. SHP play an
96 important role in modulating the rates by which the Earth system is pushed towards its
97 boundaries within which mankind can operate safely⁵. These processes are confined to a
98 thin layer of soil which stores only 0.05% of the total freshwater on Earth, yet supports 70%
99 of the annual terrestrial evaporation and transpiration flux⁶. This thin skin plays a pivotal
100 role in supporting life in natural and managed ecosystems. The partitioning of incident
101 radiation and precipitation on the land surface and into fluxes of energy, water and matter
102 from terrestrial surfaces is controlled by SHP^{7,8}. The SHP comprise the storage of water in
103 the subsurface down to the groundwater, also termed vadose zone, evapotranspiration,
104 infiltration, redistribution, drainage, capillary rise and runoff (Fig.1).

105
106 The partitioning of precipitation at the land surface into water that infiltrates into the soil
107 and surface runoff is strongly controlled both by soil structure and soil moisture content.
108 Root water uptake processes that impact the transpiration of water are modulated by the
109 soil water status and by the properties of soil. The groundwater level is determined by the
110 fluxes in the water balance and impacts the partitioning of energy at the soil surface⁷.
111 Through capillary rise, groundwater provides soil water that can be used by plants, while
112 deep rooting plants can also access groundwater directly. Soil hydrology controls root water
113 uptake and thus evapotranspiration⁹ which constitutes the second largest flux in the soil
114 water balance.

115
116 The global increase in droughts and floods in the last decade pointed out the need to
117 improve our understanding and parameterization of SHP at catchment, river basin and
118 continental scales^{10,11}. Current approaches in hydrological and land surface modeling still
119 have room to improve SHP description and parameterization including estimation of soil
120 hydraulic properties using pedotransfer functions (PTF) and describing SHP in carbon rich
121 soils^{8,12,13} and tropical soils¹⁴. SHP also modulate the impact of climate change on terrestrial
122 ecosystems and control feedback mechanisms between the water, energy and carbon and
123 nitrogen cycles^{7,15,16}. However, soils differ in properties such as texture, organic matter, and
124 structure but also their spatial distribution and the vegetation cover affect SHP, resulting in
125 differences in the provision of soil moisture supply to crops, infiltration and runoff¹⁷.
126 Regional impacts of climate change on the land surface also challenges soil hydrology to
127 expand beyond the soil profile or pedon scale (Fig. 1). The critical zone concept (CZC)
128 addresses this challenge by framing soils in a landscape and regional context and analyzing
129 SHP from the bottom of the groundwater through the vadose zone, and vegetation up into
130 the atmosphere¹⁸.

131
132 In this review, we highlight the role of soil hydrology in the Earth system. We discuss key soil
133 properties that influence SHP, the estimation of soil hydraulic parameters and highlight the
134 links between water and carbon cycles with a focus on carbon-rich soils. We demonstrate

135 the importance of local scale SHP in understanding root water uptake, vegetation and
136 groundwater dynamics and feedbacks. We explore the role of SHP in controlling and
137 modulating the impact of extreme events such as droughts, floods and heatwaves and how
138 soil hydrology contributes to assessing drought and floods. Finally, we explore the potential
139 of new emerging technologies for advancing the field of soil hydrology.

140

141 **1. Soil properties and hydrology**

142 All water fluxes depicted in Fig. 1 are strongly controlled by physical, chemical and biological
143 properties of soils. Primarily, physical properties such as soil texture and bulk density have
144 widely been used to parametrize soil hydraulic properties in land surface models (LSM) by
145 using PTF^{8,19}. There is, however, increasing awareness that other pedological properties and
146 processes also affect soil hydraulic properties and thus soil water dynamics (Text box 1).

147

148 Based on this awareness, hydropedology was introduced²⁰ two decades ago with the aim of
149 integrating hydrological and pedological knowledge to better understand and predict SHP at
150 the landscape scale. Later on, hydropedology²¹ was embedded in the CZC, which allowed
151 addressing SHP at and beyond the pedon scale and to frame local processes such as bypass
152 flow, water accessibility and hydrophobicity in a landscape context. It also allows to
153 consider effects of soil structure, spatially varying soil horizons and anisotropy on local and
154 non-local water flow. Remarkably, soil structure and related hydraulic properties, which
155 have evolved slowly over decades to millenia, are sensitive to changes in land management
156 and global change and can therefore rapidly change²² (Fig.2).

157

158 **2.1 Soil structure**

159 Soil structure is a key property that is lacking in current hydrological, land surface and Earth
160 system models. Soil structure describes the spatial arrangement of particles in soil, which
161 determines pore size distribution, connectivity and tortuosity. At the microscale, soil water
162 flux is controlled by aggregation processes: organic gluing agents such as extracellular
163 polymeric substances and microbial gums, and inorganic cementing agents like carbonate
164 precipitates and oxy-hydroxides bind primary particles to form clay- and silt-sized organo-
165 mineral complexes (< 20 µm diameter). With adherence to fungal hyphae and fine roots, soil
166 further clusters into micro- and macroaggregates (20-250 µm and > 250 µm respectively)
167 and finally peds^{23,24}. The voids or pores existing within and in-between the aggregates are
168 usually small (up to a few µm in diameter) and of high tortuosity²⁵. These pores mainly
169 contribute to capillary water flow in the soil matrix and thus to its hydraulic conductivity and
170 water retention within the soil profile²⁴. They generally indirectly affect infiltration, as it
171 depends on the initial soil water content at the onset of infiltration processes⁸, but they can
172 dominate near-surface water flow processes in older, structured soils.

173 Soil structure formation differs among different soil groups (Text box 1). In Phaeozems,
174 Chernozems or Luvisols with silty texture, the biological formation of macropores by plants
175 is stabilized by, e.g., earthworms^{26,27} and other burying animals. In Vertisols, elevated clay
176 contents promote crack formation, especially in dry conditions, enabling rapid bypass flow
177 of precipitation until these cracks close again during soil rewetting. Preferential flow can
178 significantly change groundwater recharge²⁸; but in Planosols, Stagnosols or Plinthosols

179 root-restricting layers can induce anisotropies and impair vertical water flow. In Leptosols,
180 high stone contents funnel infiltrating water into smaller volumes²⁹, and crusts build up
181 following particle dispersion after heavy rain and/or due to high salt contents. Further,
182 specific SHP prevail in organic soils, such as bogs and fens and folic Histosols, which have a
183 high capacity to store plant-available water but have a different connection to groundwater
184 (section 2.3).

185

186 Natural soil structure forming processes create larger scale pores in between
187 macroaggregates and peds. These macropores include cracks formed by shrinkage in clayey
188 soils due to soil drying, but in many terrestrial systems, vegetation and soil fauna are two of
189 the main factors in macropore formation. Both root systems and burrowing activity of the
190 soil fauna (Fig. 2) create such biopores, which in contrast to the above-described inter- and
191 intra-aggregate pores, are wider in diameter (up to several mm or even cm), have low
192 tortuosity and often connect the soil surface with the subsoil to a depth of several
193 metres^{30,31} (Fig. 1). In loamy and silty soils, in particular, the accumulation and persistence of
194 macropores alters SHP and gas exchange significantly. Under most soil conditions,
195 macropores are drained and contribute to enhanced gas exchange pathways in the soil.
196 During intense precipitation events, however, water-filled macropores can contribute to
197 rapid infiltration and transmission of water through the soil profile via preferential flow
198 pathways³¹⁻³³.

199 Natural soil structure formation takes decades to centuries, yet it may be disrupted by a
200 single tillage or erosion event with significant ramifications for soil functioning and carbon
201 storage. Agronomic management of soil structure, for example, has been practiced since the
202 dawn of civilization producing short lived and fragile seedbed for crops³⁴. Tillage induces
203 loss of macroporosity, interrupts pore continuity, and potentially forms compacted plough
204 pans that impede root growth and vertical water fluxes. Tilled soil surfaces are prone to
205 aggregate slaking during heavy rain, causing the clogging of fine pores and formation of
206 surface crusts³⁵. The degree to which these processes occur varies with tillage and land-use
207 practices^{36,37}. However, the largely unknown time scales of aggregates and macro-porosity
208 turnover challenge assumptions of stable pore-size distributions used in SHP modelling.

209

210 **2.2 Soil hydrological parameterization**

211 A reliable parameterization of soil hydraulic properties is critical for SHP representation in
212 soil water balance models, hydrological models, land surface models (LSM), and climate
213 models and ESM^{8,38}. In these models, the fluxes and states of soil water are mostly
214 described by Richards Equation (Eq.1) which links Darcy-Buckingham flux law with
215 conservation of mass:

216

217 $\frac{\partial \theta}{\partial t} = -div.\vec{q} - S$ (1)

218

219 where $\vec{q} = -\mathbf{K}(h, \theta)\nabla (h + z)$ with \vec{q} the Darcy flux, *div* is the divergence operator
220 describing the local sinks of \vec{q} , h is the soil matric potential, z the vertical coordinate and
221 $\mathbf{K}(h, \theta)$ the soil hydraulic conductivity tensor which becomes a scalar quantity, $K(h, \theta \equiv K)$
222 for isotropic one-dimensional domains, and S describes a general external sink-source term
223 such as root water uptake. Frequently used numerical model codes to solve Richards
224 equation have been extensively reviewed³⁹. The use of Richards' equation requires explicit
225 knowledge of key soil hydraulic functions: the soil moisture retention $\theta(h)$ and K . These
226 characteristic functions describe the volumetric water content or K as functions of soil water
227 tension (matric potential). The choice of hydraulic functions and associated parameters
228 have a significant impact on model performance in terms of water fluxes in the soil water
229 balance, and model numerical stability⁴⁰. Moreover, spatial variability of soil hydraulic
230 parameters has to be accounted for to correctly describe SHP. The determination of these
231 functions for larger scale approaches remains an ongoing challenge.

232

233 Direct measurements of soil hydraulic properties are often difficult and time-consuming^{41,42},
234 and impossible at larger spatial scales. PTF were therefore developed to estimate soil
235 hydraulic parameters, as well as parameters in equations related to soil heat flow, and
236 biogeochemical parameters from readily available soil properties such as soil texture, bulk
237 density, and organic carbon content¹⁹. Text box 1 shows how PTF based on simple soil
238 properties translate this information in soil hydraulic parameters that can be used to
239 estimate SHP such as soil water storage, infiltration and evapotranspiration. In several
240 cases, however, the use of PTF can lead to inaccurate or even false parametrizations of the
241 functions used to describe the soil hydraulic properties. Several reasons account for such
242 failure. The determination of basic and hydraulic soil properties is frequently conducted
243 with different measurement methods^{19,43}, thus producing systematic biases, and
244 inconsistent results^{43,44}. Therefore, it is critical to standardize and unify measurement
245 methods and protocols. Soil structure is not explicitly represented in soil hydraulic functions
246 and related PTF development⁴⁵. Such limitations have prompted efforts to revise the soil-
247 centered framework by considering environmental covariates that modify soil structure and
248 properties such as vegetation cover and type^{33,46}, and climatic soil forming processes that
249 alter clay type^{47,48}. These local variations not encapsulated in the standard texture-based
250 PTF offer a means to improve soil hydraulic parameterization and potentially improve the
251 representation of hydrologic processes in LSM. Further options to account for soil structure
252 in PTF include the incorporation of geometrical properties of structured soils derived from
253 non-invasive techniques such as Micro-Computed Tomography or Magnetic Resonance
254 Imaging⁴⁹, and applying machine learning methods to adapt to soil-class-specific
255 information within continuous PTF^{50,51}. Also, a poor representation of specific soil properties
256 such as the distribution of soil organic matter significantly affect modeling of hydraulic
257 functions, in particular in peatlands and carbon-rich permafrost soils.

258 But further efforts are needed to improve the description of SHP processes in models using
259 PTF. While dual-modal and multi-modal hydraulic functions have already been
260 developed^{52,53}, they are currently not used in LSM and reliable PTF for these functions are
261 not yet available. Moreover, it is important to take into account the effect of rock or gravel
262 content on soil hydraulic properties⁵⁴ as this is generally overlooked in most PTF. In
263 addition, there is a need for unifying theoretical soil physical approaches, which requires
264 fully coupling soil hydraulic, thermal and gas flow properties^{55,56}. This would allow for a
265 more consistent description of interactions and feedbacks between the soil water balance,
266 the thermal regime, and the carbon fluxes in LSM. Ideally, multi-scale PTF should be
267 developed that can be used seamlessly from the soil profile to the global scale, building for
268 example on the development^{57,58} of multiscale Bayesian neural network based PTF, which
269 allow upscaling and downscaling of soil hydraulic parameters.

270 Most models rely on a single set of PTF to estimate soil hydraulic properties^{19,59}. This often
271 results in statistical bias, underestimation PTF uncertainty, and overconfidence in the
272 predictive ability of PTF. To alleviate such bias, ensemble PTFs that unify multiple sets of
273 PTFs are recommended^{59,60}.

274 In addition, most of the measurements for PTF parameterization originate from arable land
275 and have been developed for temperate regions. These PTF frequently fail in fine-textured
276 soils of the tropics and subtropics^{14,61}. Due to absence of glaciation, these soils are highly
277 weathered, and in Ferralsols and Acrisols low-activity clays dominate the mineral
278 composition (Text box 1). These clays react with oxides and form pseudo-silt and pseudo-
279 sand, i.e., a micro-aggregated structure that the hydrology of silty or sandy sites. With some
280 additional macroaggregates formed with inputs of soil organic matter as found in Cambisols,
281 the parameters used to describe the soil hydraulic properties of tropical soils generally differ
282 from those of respective soils in temperate climates^{14,62}. Therefore, there is an urgent need
283 for PTF development for soils that formed below natural vegetation and consider different
284 regions^{63,64}.

285 Finally, PTF assume that estimated properties are constant in time. Yet we know that
286 properties like saturated K and porosity vary not only in space but also in time, due to land
287 management⁴³. The next generation of PTFs should therefore account for this temporal
288 dependence.

289

290 **2.3 Carbon-rich soils**

291 The SHP of carbon-rich soils feature specific properties, which are of fundamental
292 importance for their carbon sink function but challenging to be represented in LSM. Across
293 the globe, carbon-rich soils are unevenly distributed. Particularly, many permafrost soils are
294 rich in organic carbon and store an estimated 1700 Pg of carbon, twice as much as carbon
295 storage in the atmosphere^{65,66}. Large areas on terrestrial Earth are covered by permafrost,
296 accounting for 13.9×10^6 km² in the Northern hemisphere alone⁶⁷. Part of the carbon-rich

297 soils (mainly in permafrost regions but also elsewhere) are classified as peatlands. These
298 cover 3 % of the global land surface only, but store approximately 644 Pg C⁶⁸, and a
299 significant portion of near-surface freshwater with intimate atmospheric exchange.

300 A key controlling factor on soil moisture dynamics in carbon-rich soils is exerted by the
301 shallow groundwater level in peat and permafrost soils. Because of their high content of
302 organic matter, carbon-rich soils have frequently total pore volumes of 70 to > 90%, and
303 pore sizes reaching 5 mm⁶⁹. This high macroporosity dampens groundwater level
304 fluctuations and thus importantly stabilizes the wet conditions that are critical to inhibit
305 aerobic soil organic matter decomposition. The shallow groundwater conditions are further
306 supported by the low *K* of deeper organic soil layers or the flow barrier of the permafrost
307 layer that limit the drainage losses and causes trapping of rain, snow melt or run-on water⁷⁰.

308 The factors leading to shallow groundwater levels are currently significantly altered either
309 directly or indirectly by humans. In dry conditions, the structure of the soil organic matter
310 of carbon-rich soils substantially changes due to microbial decomposition and irreversible
311 compaction⁶⁹. The soils lose their high water storage capacity and thus groundwater level
312 fluctuations are amplified which eventually further enhances decomposition. In its
313 extremes, these alterations in structure of organic soils can be observed in peatlands that
314 were directly drained by humans and in which the enhanced decomposition causes
315 peatlands to be global hotspots of greenhouse gas emissions⁶⁸. Another threat to the
316 shallow groundwater levels of carbon-rich soils is exerted by ongoing permafrost thaw that
317 may increase drainage losses and also initiate a negative feedback loop between soil
318 moisture and decomposition⁷¹.

319 Despite the critical role of SHP for the carbon cycle of carbon-rich soils, specific SHP for such
320 soils are currently only beginning to be implemented in a sophisticated manner in LSM and
321 climate models^{13,72}. It has been noted that conventional hydrological concepts for
322 groundwater that are based on the TOPMODEL⁷³ and that relate subgrid-scale topography
323 to groundwater table (GW) and soil moisture variability, fail in the extensive flat terrains
324 typical of most peat and carbon-rich permafrost soils and miss critical small-scale processes
325 relevant to shallow GW conditions^{70,74}. In response, modules to simulate the shallow GW
326 and other specific features of peat and carbon-rich permafrost soils were added to a
327 number of LSM^{70,74,75}. To advance their reliability, the community currently faces two major
328 challenges.

329 First, there is a lack of spatial input data for peatlands and carbon-rich permafrost soils that
330 could be used to parameterize spatially variable soil hydraulic properties and lateral water
331 fluxes. About half of the carbon-soils classified as peatlands are bogs and in contrast to fens,
332 by definition are solely fed by rainwater and do not depend on water inputs from surface
333 water or the aquifer underlying the peat layer. Given the lack of spatial input on the
334 distribution of bogs and fens, current peat-specific global land model implementations
335 either assume all peatlands to be either bogs⁷⁴ or fens⁷⁶.

336 Second, the hydraulic properties of peat and carbon-rich permafrost soils are dynamic at
337 different timescales, which critically control their resilience to short- and long-term changes
338 in boundary conditions^{69,71}. In addition, the thermal soil properties affect freeze-thaw cycles
339 with strong implications for soil water flow dynamics⁷⁷. Soil moisture fluctuations can cause
340 reversible changes in soil properties due to swelling and shrinking, but there are also
341 irreversible changes to hydraulic properties caused by cryoturbation, permafrost thawing or
342 enhanced peat degradation in response to climate change or direct anthropogenic
343 disturbance. These changes are typically accompanied by a change in vegetation that is the
344 main substrate provider for the future organic layers. The implementation of these key
345 ecohydrological feedbacks will be critical in simulating trends over multiple decades^{78,79}.

346 We recommend that future research on the hydrology of carbon-rich soils should put
347 specifically emphasize conducting detailed field studies in data scarce regions, such as large
348 parts of tropical⁸⁰ and permafrost peatlands⁷¹, to understand and quantify the variability of
349 local feedback mechanisms. Besides there is the need to combine remote sensing data on
350 hydrology⁸¹, vegetation and peatland type^{82,83} with soil hydrological models to eventually
351 constrain the spatial variability of parameters. Finally, this approach will contribute to
352 adequately simulating the feedback loops between water, energy, and biogeochemical
353 cycles on Earth.

354

355 **3. Local scale hydrology**

356 Soils play an important role in buffering the precipitation (P) signal and storing incoming
357 water. How water is transferred to deeper soil layers or kept in the upper soil layers
358 depends on soil hydraulic properties. At the scale of soil pedon, a field, or a forest stand, the
359 moisture status of soils, the vegetation and the GW dynamics impact each other. In respect
360 to vegetation growth, the uptake of water by plant roots, described by the sink term S in
361 Eq.(1) controls transpiration (T) fluxes. The proportion of S with respect to P varies with
362 climate, vegetation type, and the soil properties. Global averages of the ratio of
363 evapotranspiration (ET) to P, ET/P, on land vary between 0.6 and 0.7^{84,85}. The partitioning of
364 ET into evaporation (E) and T are much more uncertain, and estimates of global terrestrial
365 T/ET ratios range between 0.25 and 0.6, but local ratios vary almost across the entire range
366 between 0 and 1⁸⁶. Accurate estimation of T is, however, important to assess the impact of
367 land use or land cover changes on the soil water and to determine how the soil water
368 balance may change with changing climate. Since T is related to carbon assimilation,
369 accurate predictions of T fluxes are also of relevance for the terrestrial carbon cycle and the
370 water-use efficiency of terrestrial vegetation. In the following, we discuss how climate, soil,
371 and vegetation properties, with a focus on root properties, influence each other and the soil
372 water balance components. Fig. 3 illustrates the different processes and interactions
373 between soil, vegetation and groundwater.

374

375 **3.1 Root water uptake in soils**

376 T is driven by the available energy that can be used for evaporating water, that is T demand,
377 and is downregulated by stomatal closure that responds to the energy required to extract
378 water from the soil, that is T supply. The simplest models of T supply from root water
379 uptake (RWU) use a stress function that express how the ratio of T supply to T demand
380 declines with decreasing fraction of total available water in the root zone, that is the water
381 stored in the root zone at water potentials between -10 kPa for sandy soils or -30 kPa for
382 silty soils (field capacity) and -1500 kPa (permanent wilting point). However, since they only
383 consider soil water content, they lack a direct sensitivity to T demand which is
384 overcompensated by oversensitivity to soil moisture, making predictions of the impact of
385 globally increasing T demand on T and vegetation stress uncertain⁸⁷. The inclusion of plant
386 hydraulics in the soil-plant atmosphere systems allows estimating the leaf water potential
387 needed to sustain a given transpiration rate for a given soil water distribution. Since
388 stomatal regulation depends on leaf water status, soil-plant-hydraulic models
389 mechanistically link stomatal regulation to soil drying⁸⁸.

390 Typically, soil moisture is non-uniformly in the root zone and the distribution of roots and
391 water in the root zone affects the total RWU. The extent to which roots can shift water
392 extraction to wetter zones (RWU compensation) and can redistribute water from wet to dry
393 soil zones bypassing the soil (root water redistribution and hydraulic lift) is a hydraulic
394 process that is driven by water potential gradients and depends on soil and root hydraulic
395 properties^{89,90}. Text box 2 gives more details about soil-root hydraulic properties and how
396 they can be represented and simplified in soil-plant hydraulic models. Reported magnitudes
397 of these water transfers⁹¹ range from 0.04 to 3 mm d⁻¹ and they can delay stomatal closure
398 by several weeks and maintain T by vegetation that accesses deeper groundwater during
399 drought spells. In addition, it plays an important role in soil biogeochemical cycles, as it
400 prevents surface layers from drying out causing a strong reduction in microbial activity⁹².

401

402 **3.2 Soil, climate and vegetation properties**

403 In order to adapt to the dynamics of available soil water and T demand, vegetation
404 properties are strongly interlinked with soil properties, climate, and management.
405 Ecohydrological models that solve a stochastic root-zone soil water balance⁹³, use two
406 dimensionless numbers to characterize its dependence on soil, vegetation, and climate
407 properties. These are the number of average daily rainfall events required to fill the plant
408 accessible soil water reservoir and either the Budyko⁹⁴ dryness index (long term potential ET
409 to precipitation rate) or the ratio of the time to deplete the plant accessible water reservoir
410 by potential ET to the characteristic time between rainfall events. Such models can predict
411 the change in vegetation properties as a function of soil and climate and assess the
412 development of vegetation in the course of climate change. When coupled to an
413 optimization of the carbon cost for root development, stochastic eco-hydrological
414 models^{95,96}, could reproduce the relation between root zone depth, climate and soil type,
415 with deeper roots in seasonally dry, semiarid to humid tropical regions and less likely in
416 medium textured soils⁹⁷.

417

418 Infiltration of surface runoff (run-on), and capillary rise from groundwater also contribute to
419 root zone soil moisture. In addition to climate, soil type and depth, and topography, GW

420 table depth is important to predict and produce global maps of root distributions⁹⁸. Runoff-
421 run-on processes as well as groundwater recharge and flow are scale-dependent lateral flow
422 processes that both determine and are influenced by vegetation growth, composition, and
423 patterning^{99,100}. Soil E, infiltration, and runoff from non-vegetated surfaces play a crucial
424 role in the ecohydrology, vegetation patterning and water balances of catchments in arid
425 and semi-arid regions. These processes are controlled by soil surface hydraulic properties
426 that depend on soil structure. Aggregate destruction and crust building by rain splash on
427 barely vegetated soil surfaces reduces the infiltration capacity leading to increased surface
428 runoff. In contrast infiltration capacity, run-on, and preferential flow reducing water losses
429 through E from the soil surface, are larger in vegetated patches with macropores created by
430 roots and soil fauna and water repellency due to increased organic matter input²².
431 Manipulation of these processes by changing soil surface hydraulic properties is the basis of
432 water harvesting and water saving methods in dryland agriculture that focus on the
433 reduction of soil E from bare soil and increasing run-on and infiltration. However, near
434 surface soil structure and soil hydraulic properties vary strongly with depth and time which
435 complicates accurate prediction and simulation of soil E¹⁰¹ and rapid infiltration.

436
437 Data on root distributions are scarce and models often underestimate the rooting depth.
438 This is especially true in stony soils and (weathered) bedrocks, from which plants can also
439 extract water^{102,103}. In addition to root distributions, plants can also adapt root hydraulic
440 traits such as xylem cavitation resistance to adapt to environmental conditions. To access
441 strongly bound soil water, desert shrub species develop higher cavitation resistances in
442 loamy than in sandy soils¹⁰⁴. The differentiation of root systems of different species to
443 access specific subsurface niches¹⁰⁵ and interactions from deep rooting species facilitating
444 water uptake from wet deep soil layers to shallower, drier layers with subsequent water
445 uptake by species with shallow root systems⁹¹ are used to explain the higher resilience and
446 productivity of mixed ecosystems¹⁰⁶. But the mechanisms and conditions under which mixed
447 species perform better than homogeneous systems are context-dependent and not fully
448 understood^{107,108}. Higher productivity can lead to an 'overcrowding effect' which reduces
449 resilience to drought. Mechanistic modelling of RWU in these complex ecosystems is
450 important for a better understanding of the belowground competition for and facilitating
451 water uptake¹⁰⁹. Yet, upscaled relations between soil moisture distribution and RWU of
452 different species or individuals sharing the same land surface and soil volume and that are
453 derived in a bottom-up approach based on canopy and root hydraulic traits are still lacking.

454

455 **3.3 Vegetation and groundwater feedbacks**

456 Changes in vegetation and land cover impact water, energy and carbon exchanges between
457 the land surface and the atmosphere. Vegetation cover reduction leads to an increase of soil
458 E. Since the travel distance of water to the surface where E takes place is much larger than
459 to the absorbing root surfaces in the root zone, the water storage that can be depleted by
460 soil E is much smaller than what can be extracted by plant roots. As a consequence, a
461 decrease in vegetation cover generally leads to a decrease in ET losses, an increase in
462 groundwater recharge and runoff, larger warming of the land surface, and higher air
463 temperatures near the surface.

464 Soil surface and root zone drying are mitigated by upward capillary flow from the
465 subsurface. It sustains ET during dry spells and decreases groundwater recharge on a longer
466 time scale and it depends on the wetness of the subsurface and ultimately on the GW
467 depth. The non-linear dependence of soil hydraulic properties on soil water content is
468 propagated into a non-linear relation between GW depth, subsurface moisture content, and
469 upward capillary flow. For GW depths above roughly 1 m, the root zone stays wet and ET is
470 controlled by the available energy whereas GWs deeper than 10 m have no influence on
471 root zone wetness and land surface-atmosphere interactions¹¹⁰. The depth range over
472 which GW depth influences land surface atmosphere interactions depends on the soil
473 hydraulic properties and the rooting depth. Steady upward capillary flow at typical potential
474 ET rates can be maintained over a few cm in sandy and heavy clays soils up to roughly 1 m in
475 loamy soils¹¹¹. Rooting depth can adapt to the specific site conditions and to changes in GW
476 depth that are not too fast or too strong and do not exceed adaption rate (root growth rate)
477 and the cost-benefit ratio of this adaptation¹¹².

478

479 **4. Large scale impact of soil hydrology**

480

481 Soil hydrology plays a central role in shaping the impacts of climate change on terrestrial
482 ecosystems, not only at the local scale but also at much larger scales due to the close
483 interaction between the land surface and the atmosphere at all scales. In addition, SHP are
484 central to the feedback effects of the land surface on the Earth's climate system¹¹³. In the
485 following section, we will explore these feedback processes in more detail, as well as the
486 effects of extreme climate events. Finally, we will address the importance of terrestrial
487 water storage (TWS) in deeper soil layers and its more precise quantification for the
488 response of the terrestrial system to climate change.

489

490 **4.1 Climate system feedbacks**

491 An important aspect of changes in SHP as well as whole ecosystem processes caused by land
492 use, land-use change and climate change is their feedback to the climate system via direct
493 and tele-connected processes, leading to large uncertainty in regional climate
494 predictability¹¹⁴. For example, increased soil moisture can trigger precipitation events,
495 especially under spatially heterogeneous soil moisture conditions, with precipitation
496 preferentially falling on dry patches of land¹¹⁵. Following the same observed trend,
497 increased deforestation has led to large changes in precipitation patterns in Rondônia,
498 Brazil, in the range of $\pm 25\%$ between the upwind and downwind parts of the deforested
499 area relative to the mean precipitation of the entire area ¹¹⁶. Agricultural intensification,
500 especially in combination with irrigation, may lead to cooling at the subcontinental scale
501 due to increased ET and persistent changes in atmospheric circulation and moisture
502 transport, as observed, for example, for the U.S. Midwest¹¹⁷. In contrast, drought at the
503 regional, continental and global scale is exacerbated by the feedbacks of decreasing soil
504 moisture on land surface temperature and relative humidity, leading to a decrease in P,
505 which in turn exacerbates this feedback loop¹¹⁸.

506 Long-term simulations with fully-coupled land–atmosphere–climate models revealed a
507 strong positive relationship between heatwave intensity and drought severity for water-
508 limited regions, such as the southwestern U.S.A.¹¹⁹ and the Mediterranean¹²⁰. However, a
509 strong link and feedback loop between precipitation and soil moisture has also been
510 identified for wetter regions such as the tropics¹²¹. It is important to note that major soil
511 moisture perturbations can last much longer than the cause of the perturbations and
512 therefore also represent a long-term feedback on the climate system¹²². Ultimately, these
513 multiple interactions and feedbacks between soil, land surface, and atmosphere can be
514 summarized as a negative soil feedback loop between soil moisture and temperature, that
515 is, a decrease in soil moisture leads to an increase in temperature, and a positive feedback
516 loop between soil moisture and precipitation, that is, an increase in soil moisture leads to an
517 increase in precipitation⁷.

518

519 Local SHP play an important role in controlling and modulating the impact of extreme
520 events, such as high intensity rainfall as well as prolonged droughts and heat waves, on the
521 land surface but also the consequences caused by sea level rise on soils in coastal areas,
522 such as saltwater intrusion and inundation. Changes in infiltration capacity at the land
523 surface, loss of soil porosity and a decrease in soil organic matter caused, for example, by
524 changes in land use (such as deforestation due to agricultural intensification) and land cover
525 (for example by surface sealing due to urbanization) may lead to an increased likelihood of
526 large-scale flooding and soil erosion¹²³.

527

528 To project the behavior of floods, as well as extreme low flow conditions, into the future, it
529 is essential to attribute such changes to their driving processes. The predominant
530 mechanism of runoff generation is overland flow when rainfall intensity exceeds the
531 infiltration capacity at the soil surface¹²⁴. In this context, infiltration capacity is highly
532 susceptible to land-use changes, such as those associated with more intensive agriculture¹²⁵.
533 On the other hand, flooding in larger watersheds is usually caused by storms of lower
534 intensity and longer duration¹²⁶, which generate surface runoff through the mechanism of
535 saturation excess when the water table reaches the soil surface. This mechanism is
536 controlled more by soil depth and less by land-use change, which explains the decreasing
537 importance of land-use change with increasing scale.

538

539 Extreme events may also alter intrinsic soil properties that control SHP. Prolonged droughts
540 can promote macropore formation, primarily through the formation of cracks in clay-rich
541 soils¹²⁷. Changes in effective porosity due to climate change would result in changes in
542 saturated soil K ranging from -55 to +34 percent in five different physiographic regions in
543 the USA, depending on whether climate change results in an increase or decrease in
544 precipitation at the regional scale¹²⁸. High intensity rainfalls may lead to sealing of the soil
545 surface, a reduction of soil porosity and thus a reduction in infiltration capacity of soils. This
546 reduction in infiltration capacity may cause increased overland flow and soil erosion.

547

548

549 4.2 Terrestrial water storage

550 The dynamics of subsurface and groundwater storage are important not only for the
551 impacts of climate change on terrestrial systems and their feedback to the climate system,
552 but also for the conservation and sustainable use of the world's freshwater resources¹²⁹,
553 and for the coupling of water and carbon cycles¹³⁰. However, these dynamics are currently
554 not well understood¹³¹, and cannot be well constrained by observations except in the
555 regions with shallow soils. To infer the terrestrial water budget, information is needed on
556 water storage and residence time also at depths below the vadose zone. While surface soil
557 moisture, temperature and Pion can be measured at the land surface with sensors or from
558 satellite-based systems, the major obstacle to understanding water availability dynamics at
559 depth has been the lack of observational capabilities. As a result, long-term changes in TWS
560 were often simply assumed to be zero, for example in water balance models¹³². Since 2002,
561 the gravity satellite missions GRACE and GRACE-FO have provided global observations of
562 TWS anomalies. Because of the measurement principle, TWS refers to water storage in all
563 compartments, including rivers, lakes and reservoirs, canopy water, and atmospheric
564 moisture (the latter removed in data analysis). Only temporal anomalies are observed and
565 referenced to a long-term average, and due to sensor limitations, the data products provide
566 monthly averages and an effective resolution of about 300 km¹³³. Water balance can be
567 inferred with GRACE/GRACE-FO data¹³⁴ for catchments down to 100.000 km². Extreme
568 events are recorded^{130,135}, but are difficult to interpret due to coarse data resolution.

569
570 In general, soil moisture dynamics exhibits an increasing phase shift and decreasing
571 amplitudes with depth. Combining soil water and soil temperature measurements with
572 GRACE data in the central U.S., over 40% of the variability in water storage of the
573 unsaturated zone was found to occur below 75 cm, while groundwater storage calculated as
574 the residual had a variability that was well correlated and comparable in magnitude to soil
575 moisture variability in the uppermost 4 m¹³⁶. Combining GRACE data with observed and
576 gridded Fluxnet ET data improved the simulation of soil E in the Community Land model
577 (CLM) by replacing an empirical parameterization of soil resistivity with a mechanistic
578 formulation in which soil E is controlled by the diffusion rate of water vapor through a dry
579 surface layer¹³⁷.

580
581 Significant improvements in simulating soil water availability in the root zone of grasslands
582 and croplands were also reported by jointly assimilating satellite soil moisture products and
583 GRACE data into an ecohydrological model¹³⁸. This assimilation resulted in a better
584 agreement between vegetation response and soil water availability in the root zone,
585 suggesting the potential for model tuning and better prediction of vegetation conditions.
586 Recently, it was demonstrated that merging GRACE and satellite soil moisture data with LSM
587 can improve the estimation of moisture profiles in mountainous areas and can be
588 successfully used as a predictor in global landslide models¹³⁹.

589
590 It is known from GRACE/GRACE-FO that TWS is not in equilibrium at decadal time scales for
591 natural and anthropogenic reasons^{129,140}. On the global scale, the variability of water stored

592 on continents responds strongly to the El Niño Southern Oscillation (ENSO), resulting in
593 pronounced sea-level declines. For example, the exceptional sea-level drop in 2011 was
594 explained by Australia's endorheic hydrology responding to intense rainfall¹⁴¹. The GRACE
595 data have shown that hydrology models underestimate decadal trends, while better
596 representing seasonal dynamics, and they have helped identify the need for better
597 representation of soil column depth and layers, snow storage, and groundwater storage
598 changes in coupled climate models¹⁴².
599

600 **5. Emerging technologies**

601 To adequately inform soil hydrological and land surface models and to better use existing
602 observational capabilities, there is a need for improved data acquisition, data curation and
603 analytical tools. Here we present an overview of the status of modern sensing technologies,
604 citizen science approaches, cyber infrastructures and global data cubes to advance our
605 understanding of SHP at all scales.

606 **5.1 Sensing soil hydrology**

608 Information on soil water content, temperature, matric potential and other states requires a
609 variety of established and novel technologies that capture their high degree of variability in
610 time and space¹⁴³. Established in-situ point methods include electromagnetic approaches to
611 measure in situ water content, for example time domain reflectometry (TDR)¹⁴⁴, time
612 domain transmission (TDT)¹⁴⁵, and capacitance¹⁴⁶ and impedance sensors¹⁴⁷, while other
613 point-based approaches use thermal soil properties (thermal pulse sensors)¹⁴⁸. In-situ
614 sensed soil moisture has been coupled with the remote sensing data to acquire large scale
615 soil profile moisture variation using physically based methods¹⁴⁹, data assimilation
616 methods¹⁵⁰, (semi-) empirical methods¹⁵¹, data-driven methods¹⁵², and statistical
617 methods¹⁵³.

618

619 Field-scale soil moisture measurements can be obtained by non-invasive methods, such as
620 cosmic-ray neutron sensing (CRNS), Global Navigation Satellite System Reflectometry (GNSS-
621 R), gamma-ray monitoring, and ground penetrating radar (GPR)¹⁵⁴. Regional to global
622 coverage of near-surface soil moisture content is usually achieved with satellite-based
623 sensors such as the Soil Moisture and Ocean Salinity (SMOS), Soil Moisture Active Passive
624 (SMAP), Advanced Scatterometer (ASCAT)¹⁵⁵, Advanced Microwave Scanning Radiometer
625 (AMSR-E/AMSR-2) with a resolution of tens of kilometers¹⁵⁶⁻¹⁵⁸. Through multi-sensor
626 integration higher resolutions¹⁵⁹ or global long-term (1978-now) products¹⁶⁰ are generated.
627 Native finer resolution data (tens of meters) involve synthetic aperture radars (SAR) such as
628 ESA's Sentinel-1¹⁶¹ and JAXA's ALOS-2¹⁶². The upcoming SAR missions NISAR (NASA ISRO
629 Synthetic Aperture Radar)¹⁶³, and ROSE-L (Radar Observing System for Europe at L-band)¹⁶⁴
630 operate at longer wavelengths than previous SAR sensors which monitor soil moisture over
631 a depth of about 5 cm. Soil moisture information down to a depth of about 25 cm will be
632 provided by P-band sensors used by BIOMASS mission¹⁶⁵ and the SigNals Of Opportunity: P-
633 band Investigation (SNOOPI). The latter exploits transmissions from telecommunications

634 satellites reflected at the Earth's surface to retrieve soil moisture¹⁶⁶. Similarly, the Global
635 Navigation Satellite Systems-Reflectometry (GNSS-R) concepts use navigation signals of
636 opportunity to perform scatterometry with ground-based¹⁶⁷ or space-borne receivers¹⁶⁸.
637 The relatively lower cost of sensors that take advantage of such existing 'signals of
638 opportunity' theoretically enables more frequent observations by making it cost-effective to
639 fly a large number of sensors.

640

641 **5.2 Monitoring networks and citizen science**

642 Understanding the impact of anthropogenic change on SHP and designing adaptation
643 strategies requires long-term observations^{169,170}. The concept of soil hydrologic in-situ
644 monitoring networks is increasingly relevant for a range of environmental issues¹⁷¹, leading
645 to an increasingly multi-disciplinary focus of long-term observatories¹⁷⁰, often coordinated
646 as networks^{172,173}. Ongoing national and international observatory networks that include soil
647 hydrological observations are Critical Zone Observatories (CZO)^{172,174}, NEON (National
648 Ecological Observatory Network)¹⁷⁵, TERENO (Terrestrial Environmental Observatories)¹⁷⁶,
649 TERN (Terrestrial Ecosystem Research Network)¹⁷⁷, and ISMN (International Soil Moisture
650 Network)¹⁷⁸ providing in-situ soil moisture data from 2842 stations worldwide. These
651 networks can be supported by public participation of non-scientists known as Citizen
652 Science (CS)¹⁷⁹. CS ranges from community-based data collection to Internet-based
653 execution of various scientific tasks, with the help of large numbers of volunteers and
654 crowd-sourcing^{180,181}. Recent sensor development, data processing and visualization have
655 opened new opportunities for engaging the public in scientific research¹⁸². For example,
656 low-cost, low-maintenance soil moisture sensors have enabled the development of large-
657 scale public sensor networks¹⁸³. Another recent CS project used human perception to
658 evaluate similarity and dissimilarity between spatial patterns in the simulation results of a
659 hydrologic model¹⁸⁴. It was shown that human perception in distinguishing between
660 similarity and dissimilarity provides additional information that is valuable for model
661 diagnosis. CS is typically staff intensive and requires proper training and education of those
662 involved¹⁸¹ as well as openness to data sharing¹⁸⁵. Techniques are being developed to assess
663 and increase the accuracy of crowdsourced environmental data¹⁸⁶.

664

665 **5.3 Cyber infrastructure and big data**

666 Cyber-physical infrastructures provide solutions for the integrated management of
667 heterogeneous data resources such as live sensors, sensor models, simulation systems;
668 collaborative observation systems based on multiple platforms such as wireless sensing
669 networks, remote sensing, and methods for scalable processing and fusion of multi-sourced
670 environmental data (Fig. 4). Cyber-physical infrastructures improve environmental research
671 by combining different types of data such as real-time wireless sensor network data with
672 global remote sensing data. They also become important in the framework of the Internet
673 of Things (IoT)¹⁸⁷, which provides real-time environmental data, enabling large-scale
674 networks and possibly continental coverage in the near future¹⁸⁸. Global internet access is

675 being pursued via high altitude balloons, solar planes, and hundreds of planned satellite
676 launches, providing a means to exploit the IoT¹⁸⁹. Such global access will enable real-time
677 collection of data from billions of smartphones or from remote research platforms and
678 adequate cyber-physical infrastructures are essential to manage the petabytes of data that
679 could be produced in the future by such systems. This presents a unique opportunity to gain
680 new insights that advance fundamental aspects of soil science. However, given the discrete
681 and irregular nature of the associated data, this will require a radical rethinking of how we
682 deploy and use these new observing systems¹⁸⁹, and the cyber tools needed to harmonize
683 and synthesize these unstructured data into a comprehensive picture of Earth system
684 processes and properties.

685 For decades, a huge amount of data related to soil hydrology has been recorded by
686 satellites, monitoring networks, and governments. However, these data is often
687 underutilized due a lack of availability, discoverability, accessibility, storage capacities,
688 processing methods, visualization and dissemination tools, or high performance computing
689 facilities with low usability levels. Here, public Analysis Ready Data (ARD) repositories with
690 the possibility to apply new processing and analysis methods ideally with affordable
691 processing power are needed¹⁹⁰. Both public and private entities invest in this field of big
692 data accessibility and cloud computing, for example DIAS (Data and Information Access
693 Service) the European Commission, Theia in France, BDAP (Big Data Analytics Platform) of
694 the Joint Research Center, (Copernicus Data and Exploitation Platform – DE) of the German
695 Aerospace Center, Google Earth Engine, and Open Data on Amazon Web Services, just to
696 name a few. Furthermore, there is a growing recognition that data storage principles are
697 needed to enable reuse and repurposing of data; for example, the FAIR principles
698 (findability, accessibility, interoperability, reusability) are now being adopted in many
699 venues.

700 Basic land surface data is typically available on cloud platforms, and sometimes also soil
701 moisture information, but more detailed soil hydrology data need to be processed with new
702 approaches. Here, portable and efficient software container solutions like Docker and
703 kubernetes¹⁹¹ can be implemented, as well as interactions with scripts of common
704 languages such as python and R via application programming interfaces (API) performed.
705 These solutions open also the potential to apply deep learning methods, to perform
706 advanced analytics approaches similar to those used for the SoilGrids250m soil information
707 data such as random forest, gradient boosting or multinomial logistic regression
708 techniques¹⁹². For example, training environmental monitoring data to point-scale in situ
709 soil measurements could provide spatial maps at sufficient accuracy for further
710 implementation in regional or global soil hydrological simulations. Moreover, methods for
711 generating new soil hydrological understanding may benefit from a combination of both
712 process and empirical modelling¹⁹³. The wealth of data being generated provides news
713 opportunities to explore novel data analysis methods. Machine learning approaches (MLA)
714 such as artificial neural networks and support vector machines have been widely used in the
715 past decades to simulate various hydrological processes, including soil water dynamics^{194,195}.
716 In addition, MLA have been successfully applied to the prediction of soil moisture using
717 remote sensing data^{196,197}. It is important that such models are first trained on a training

718 data set, which should contain as much data and conditions as possible, so that they can
719 also take unusual events into account and achieve good prediction accuracy. Given suitable
720 input data, machine learning approaches can also be used for irrigation planning and
721 agricultural water resource management¹⁹⁸.

722

723

724 **6. Outlook**

725

726 In the last two decades, the field of soil hydrology has evolved to a research field that not
727 only studies local scale SHP but also embraces the challenge of quantifying and
728 understanding the influence of SHP at catchment, regional and continental scale. This
729 increase in scale requires a better understanding of a broad variety of processes and
730 phenomena ranging from biogeochemical and hydrological processes to extreme events
731 such as drought, heat waves and floods amplified by climate change. These advances have
732 been made possible by an unprecedented increase in measuring capabilities empowered by
733 novel remote sensing technologies and new ground-based technologies to measure key soil
734 hydrological properties such as soil moisture. Daily, and even sub-daily, global observations
735 such as soil moisture and ET (evapotranspiration), are now a reality. Research activities in
736 the near future should comprise a better use of observational capabilities to inform soil
737 hydrological and LSM predicting SHP. A combination of CS approaches, cyberinfrastructures
738 and global data cubes will advance our understanding of SHP at all scales, if leveraged
739 appropriately. To this end, big SHP data need to be integrated to continuously improve the
740 accuracy of the derived information, which is of key importance to reduce the significant
741 uncertainties that are still present in soil hydrology models used to predict effects of global
742 environmental change on terrestrial systems. Machine learning tools are expected to be
743 pivotal in this integration

744 In the future, soil hydrologists will increasingly need to address challenges related to
745 adapting land management in the frame of the ongoing climate and land use change. The
746 warming of our planet also strongly affects large permafrost regions in the Northern
747 Hemisphere. More than ever a better understanding and description of key SHP such as
748 infiltration, evapotranspiration and its separation in E and T as well as the accurate
749 estimation and forecasting of soil moisture dynamics is needed to assess the future release
750 potential of CO₂ and other greenhouse gases and the complex feedbacks this invokes
751 between the various biochemical cycles and the water- and energy cycles. The predictions of
752 hydrological and biogeochemical processes using LSM as part of global climate models
753 strongly depends on how soils and SHP are being characterized and parameterized. Despite
754 its importance, the role of soil structure and its dynamic impact on SHP and soil
755 biogeochemical processes have been almost completely neglected, and a closer cooperation
756 between soil scientists and global land surface and climate modelers is urgently needed.

757

758

759

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761

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1385 **Highlighted references:** None

1386

1387 **Acknowledgements:** Wulf Amelung, Nicolas Brüggemann, Carsten Montzka, Jan Vanderborght, and
1388 Harry Vereecken acknowledge support from the Deutsche Forschungsgemeinschaft under
1389 Germany's Excellence Strategy, EXC- 2070–390732324–PhenoRob. Wulf Amelung, Heye Bogena,
1390 Nicolas Brüggemann, Carsten Montzka, Jan Vanderborght, and Harry Vereecken acknowledge
1391 support from the Terrestrial Environmental Observatories, TERENO, funded by the Helmholtz–
1392 Gemeinschaft, Germany. This research was also funded by the Deutsche Forschungsgemeinschaft
1393 (DFG, German Research Foundation) – SFB 1502/1–2022 - Projektnummer: 450058266

1394

1395 **Authors contributions:** H.V., W.A., S.B., H.B., N.B., C.M., and J.V. led the conceptualization, writing
1396 and figure drafting.

1397 D.O., M.B., G.B., A.C., A.K., J.K., I.N., S.S., A.V., M.Y. and Y.Z. supported in equal parts the
1398 conceptualization, writing and figure drafting

1399

1400 **Competing interest:** None

1401

1402 **Key points:**

1403

1404 • Local scale soil hydrological processes regulate climatic effects on the global terrestrial water
1405 cycle

1406 • Regional scale soil hydrology is modulated by land-use and climate change effects on soil
1407 structure

1408 • Global scale soil hydrology benefits from emerging technologies and big data analysis but
1409 still faces parametrization challenges from specific soil processes

1410 • Specific soil hydrological processes prevail in distinct soil groups like permafrost and peat
1411 soils

1412

1413 **Figures legends and boxes:**

1414

1415 **Fig. 1: The soil hydrological system from the pore to global scale.** At the pore scale, capillary and
1416 molecular forces act on the pore soil water. At the soil profile or pedon scale, hydrological processes
1417 include drainage, evapotranspiration, soil water storage, capillary rise, and runoff generation.
1418 Typically, water flows either through the matrix or through preferential flow paths such as
1419 macropores and cracks. At the regional scale, similar processes occur but in addition water is now
1420 routed through the landscape. At the global scale, SHP can influence larger scale atmospheric
1421 processes such as droughts and convective rainfall events caused by feedbacks and teleconnections
1422 but they also modulate the impact of extreme events.

1423

1424 **Fig. 2: Time scales and soil structure forming processes.** (a) soil genesis that can be different in
1425 different climates and soil forming processes; (b) natural soil structure at hydrologic time scales; (c)
1426 managed soil structure at agronomic time scales.

1427

1428 **Fig. 3: Effect of soil properties and moisture status on water fluxes in the soil-plant system.** Fig. 3a
1429 sketches the water fluxes during a dry period and Fig. 4b for a precipitation event in sandy soils (left)
1430 and loamy soils (right) with and without vegetation. During dry periods (fig. 3a), more water is lost
1431 by transpiration from vegetated areas than by evaporation from the soil surface in non-vegetated
1432 areas since vegetation can extract water from deeper soil layers. This leads to larger groundwater
1433 recharge in non-vegetated areas. In sandy soils, evaporation losses are lower than in loamy soils due
1434 to smaller capillary forces in sandy soils. Capillarity sustains larger upward flows from the
1435 groundwater to the root zone in loamy than in sandy soils and deep root systems act as hydraulic
1436 lifts that take up water from deeper and wetter soil layers and release it into shallower and drier
1437 layers. Loss of soil structure in non-vegetated areas leads to less infiltration and more run-off from
1438 non-vegetated surfaces during precipitation events. Biopores and soil structure that is stabilized by
1439 organic matter input in vegetated areas increase the infiltration capacity of vegetated areas where
1440 water can be transferred rapidly by preferential flow to deeper soil layers. After a precipitation event
1441 (Fig. 3b), water is redistributed faster and to deeper soil layers by matrix flow in sandy than in loamy
1442 soils. To access this redistributed water, vegetation develops deeper roots in sand than in loamy
1443 soils.

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1445 Fig. 4: **The four key elements for cyber-physical infrastructures.** It shows the role of wireless sensor
1446 networks (adapted from¹⁹⁹) in providing soil hydrological information that can be injected into
1447 models using data assimilation methods or data-driven approaches.

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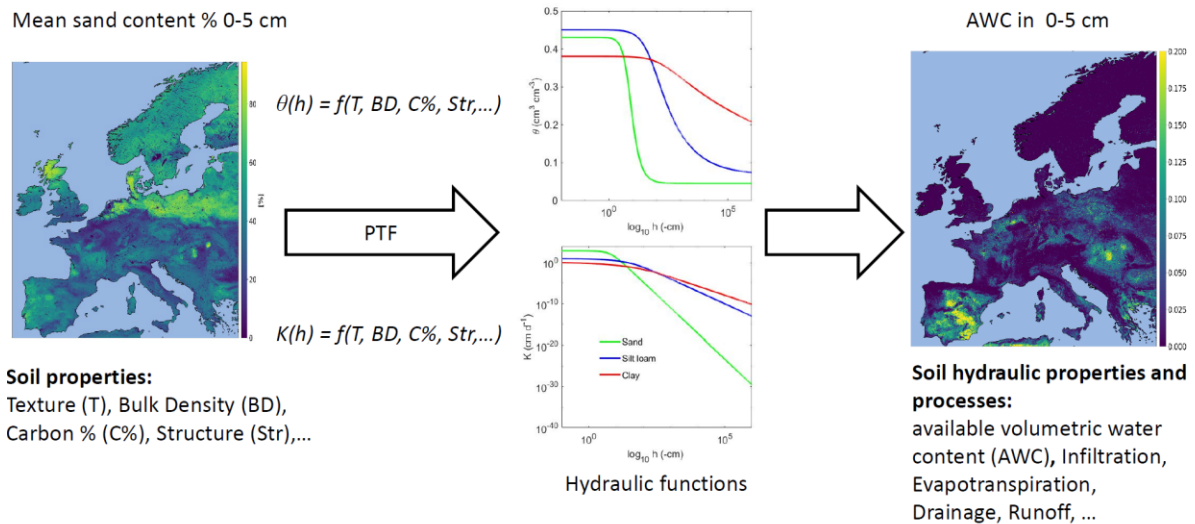
1449 **Text box 1: The diversity of soils and PTF**

1450 Soils strongly differ in their formation factors, land cover and composition that greatly affect their
1451 hydraulic properties. Currently, we employ easy-to-measure soil physical properties such as texture,
1452 bulk density and organic matter in PTF used to estimate soil hydraulic properties (fig. 3). This
1453 approach tacitly assumes dominance of these attributes in determining soil hydraulic properties and
1454 applies auxiliary simplifying assumptions of homogeneity, unimodality of pore size distribution, while
1455 ignoring differences in rock fragments, mineralogy, chemical and biological properties. We thus
1456 expect improvements in PTF-based soil hydraulic properties with future inclusion on nuanced
1457 differences in soils and their specific properties⁴⁷. Examples for soil groups²⁰⁰ with pronounced
1458 properties not yet accounted for in PTF are:

- 1459 • Formation and persistence of preferential flow paths due to animal burrows common in
1460 silty soils such as Phaeozems, Chernozems, or Luvisols; persistent unless disturbed by
1461 management;
- 1462 • Temporal formation of preferential flow paths due to swelling and shrinking processes in
1463 Vertisols caused by the presence of three-layer-clay minerals;
- 1464 • Good drainage in Ferralsols and Acrisols due to pseudo-aggregate formation from two-
1465 layer clay minerals and oxides, as well as in some Andosols exhibiting low bulk density;
- 1466 • Low water storage capacity in Leptosols due to percentages of rock fragments, affecting
1467 both the soil hydraulic and thermal properties which are therefore frequently not
1468 effectively parameterized;
- 1469 • High water storage capacity in Histosols due to high organic matter contents;
- 1470 • Crust formation in, for example, Gypsisols or clayey Solonetz and clayey Solonchaks,
1471 distorting infiltration patterns;
- 1472 • Dense subsoil layers leading to stagnant water in Planosols, Stagnosols, or Plinthosols

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1474 Hence, next generation PTF will be required to integrate specific rock fragments, mineralogical,
1475 biological and chemical interactions that alter soil hydraulic properties^{28,33}. To facilitate such
1476 progress current databases used to develop PTF must be expanded to include physical, chemical and
1477 biological properties of the above-mentioned soil groups, which are typically found in large parts of
1478 Africa, South America, India, the Middle East, Japan, China and Australia. First attempts have been
1479 made with a dedicated hydrophysical data base to develop PTF for tropical soils in Brazil⁶¹;
1480 unfortunately, adequate high-resolution data are frequently missing for other parts of the tropical
1481 and subtropical world, such as in Africa.



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Text box 2: Soil-plant hydraulics

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Text box 1 figure: **The concept of PTF.** It shows how PTF are being used to predict soil hydraulic properties from soil properties for Europe as a basis for estimating large scale soil hydrological processes, such as water storage, infiltration, evapotranspiration, drainage, and runoff. The hydraulic conductivity (bottom middle panel), K , indicates the ease with which water can flow in the soil: the value of this parameter will decrease rapidly with decreasing θ . Together with the gradient in hydraulic potential ($\nabla(h+z)$), with h being determined by the water retention curve and z the vertical coordinate, K determines the flow of water in the soil, thereby affecting the processes of infiltration, redistribution and drainage, as well as root water uptake and evaporation. The information contained in the water retention curve (top middle panel) also provides the models with parameters that determine how much water a certain soil can hold in its pore system (the available volumetric water content, AWC) and how easy it is for the roots to take up this water (that is, how tightly the water is being held in the pores).

Parameterizing root hydraulic properties in plant hydraulic models remains challenging. A common simplification neglects the resistance to axial flow in the root system. But, for deep roots water uptake does not increase with root length since axial conductance becomes limiting²⁰¹. Approaches to simulate RWU which account for the distribution of radial and axial conductance in root system networks²⁰² have been developed²⁰³. Using upscaling approaches, information about root architecture and root hydraulic traits can be ingested directly into larger scale soil-plant hydraulic models^{204,205}.

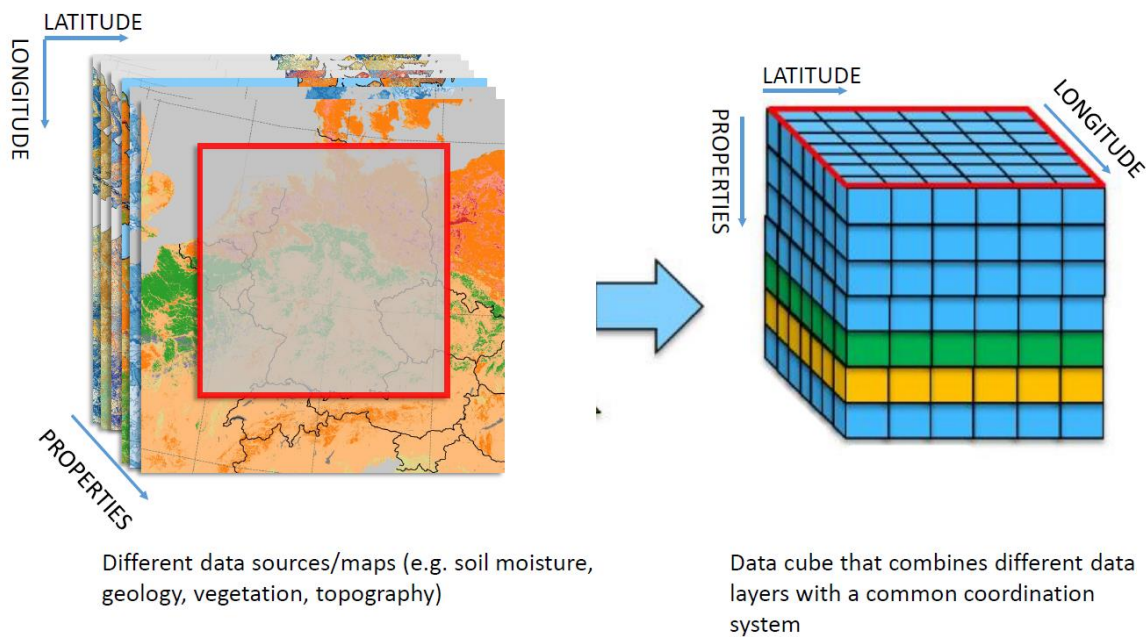
The resistance to flow from bulk soil to root surfaces through the so-called rhizosphere becomes increasingly important when the soil dries out²⁰⁶. Root exudates and mechanical effects of root growth influence the hydraulic properties of the rhizosphere and consequently RWU^{207,208}. An additional complexity is that the conductivity of the root-soil interface is reduced when roots and soil shrink during soil drying and contact to the soil is lost²⁰⁹. How plants engineer the rhizosphere and its impact on SHP is a multifaceted problem that includes micro-scale soil and root mechanics and hydraulics. These small-scale processes are key to understanding how plants affect soil structure and infiltration processes, which are important feedback mechanisms that structure and sustain vegetation in water limited ecosystems.

1514 The adaptation of vegetation and its hydraulic properties to environmental conditions referred to as
1515 plant plasticity can be predicted based by invoking optimisation principles, but it remains unclear
1516 why they apply when natural selection is not a mechanism for optimisation. Unravelling the
1517 mechanisms that couple growth and stress physiology and plant hydraulics will be crucial for a
1518 mechanistic modelling of plant and vegetation plasticity. This coupling entails the coupling of
1519 phloem carbon transport and xylem water flow, and how they respond to changing environmental
1520 conditions²¹⁰ as well as a comprehensive understanding of how changing environmental conditions
1521 in the soil are sensed by plants²¹¹ and signalled between the plant organs or individual plants.

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1524 **Text box 3: The soil data hypercube**

1525 The confluence of rapidly expanding Earth observing platforms at all scales, availability of massive
1526 computational resources and the urgent need to provide information for increasingly complex and
1527 highly resolved Earth system models create unprecedented opportunities for individual
1528 characterization of every grid of the Earth surface²¹². The hypercube approach stacks gridded
1529 geospatial data according to standardized global coordinates such as DGSS (DGGSs)²¹³ and adding a
1530 z-dimension for various information layers that incorporate localized legacy-data, vegetation,
1531 geomorphic, climate and other environmental attributes, and, of course, soil variables at different
1532 depths (Fig. 5). This data structure provides unique opportunities for data fusion and temporal
1533 information assimilation to derive parameters or variables, and enhance the quality of inputs to EMS
1534 applications especially as novel machine learning approaches can be used to impose physical
1535 constraints and extract auxiliary information for the representation of SHP. Combined with modern
1536 data cube geospatial data management and analysis software, such as provided by the Open Data
1537 Cube (ODC) initiative, and unique indexing of grid cells down to 150 m resolution²¹⁴. This realizes the
1538 vision of Digital Earth and populating of every grid cell with soil and hydrologic information unique to
1539 each grid cell and location on the planet²¹⁵.



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1542 Text box 3 Figure **Basic principle of a data cube**. It links different data layers (e.g. soil moisture,
1543 geology, vegetation, topography) with a common coordinate system.

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For example, we envision the development of the next generation PTF and geomorphic functions in their geo-referenced and local attribute-based context to greatly enhance SHP-related information and offer a path for continual improvement as more information enters into the local hypercube. The richness of information and advanced analytical methods will supersede our present non-referenced generic attribute-based PTFs and offer local and updatable referenced hydrologic and surface information at an ever-increasing resolution and expanding temporal record²¹⁵. For effective exploration, management, querying, and updating the massive geospatial information, the community will need to embrace hypercube-based visualization²¹⁶, that extends traditional space-time cubes into higher dimensions spanned by contemporary soil and environmental information (Fig. 5). Recent developments point to the central role of cloud computing in management, extraction and direct simulation of spatial data (Google Earth Engine)²¹⁷. The potential for rich soil (and environmental) information unique to a location, where local and extrapolated new measurements and observations are harmonized and integrated using ensemble machine learning tools to continuously update and improve data quality and derived parameters, holds great promise for reducing uncertainties of present Earth system models.