

A three-stage decision-making process for cost-effective passive solutions in office buildings in the hot summer and cold winter zone in China

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1	A three-stage decision-making process for cost-effective passive
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3	in China
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16

17 Abstract

18 China, with the largest energy consumption system in the world, faces numerous challenges in achieving the 19 government's commitment to reach a carbon-peak and carbon-neutral target. As the most common public building 20 type in terms of floor area, office buildings have great potential for energy saving and emissions reduction. To meet 21 this target, building designers target passive solutions that can meet the thermal comfort needs of occupants and 22 also reduce energy consumption. This study aims to develop a decision-making method to select optimal solutions 23 from among tens of thousands of design options considering the factors of energy consumption, comfort, and cost. 24 We developed a novel optimization decision approach with the above-mentioned three objectives. The model consists of three stages: 1) the establishment of the reference building model, 2) sensitivity analysis to identify the 25 26 main influencing variables, and 3) the establishment of the optimization and decision-making model by applying 27 NSGA-II and TOPSIS methods. By applying this three-stage decision-making model, this paper first proposes cost-28 effective passive design solutions for office buildings throughout the Hot Summer and Cold Winter climate zone. Finally, an office building in Shanghai was chosen as a case study to demonstrate the practical implementation of the proposed solutions through a post-occupancy evaluation with a two-year energy auditing and thermal comfort survey. It is evident that the proposed solutions provide support for the new low energy building design guide for office buildings along with necessary revisions to the existing standards for the hot summer and cold winter climate zone in China.

34 Keywords

office buildings, cost-effective passive solutions, multi-objective optimization, decision-making, Hot Summer and
 Cold Winter

37

38 Acronyms

39	aPMV	Adaptive Predicted Mean Vote
40	ANN	Artificial Neural Network
41	BEO	Building Energy Optimization
42	CDD	Cooling Degree Days
43	СОР	Coefficient of Performance for heating [W/W]
44	EER	Energy Efficiency Ratio for cooling [W/W]
45	EUI _{H&C}	Annual Energy Use Intensity for heating and cooling [kWh/ m ²]
46	GA	Genetic Algorithm
47	HSCW	The Hot Summer and Cold Winter climate zone
48	HVAC	Heating, Ventilation, and Air Conditioning
49	HDD	Heating Degree Days
50	LCC	Life Cycle Cost
51	NSGA-II	Non-dominated Sorting Genetic Algorithm II
52	PMV	Predicted Mean Vote
53	SHGC	Solar Heat Gain Coefficient of the Window
54	TOPSIS	Technique for Order of Preference by Similarity to the Ideal Solution
55	WWR	Window-to-Wall Ratio
56	XPS	Extruded Polystyrene

57

58 **1 Introduction**

59 **1.1 Background information**

In response to global climate change, China has set ambitious carbon emission targets to reach its carbon peak by 2030 and to be carbon neutral by 2060. The building sector, as one of the major energy-consuming sectors, accounted for more than 42% of total carbon emissions in 2018 [1] and is the most important sector for energy saving and emissions reduction. Public buildings play a pivotal function in China's economic, social, and cultural development. The total area of public buildings in China had reached 12.8 billion m² in 2018 [1] and office buildings account for nearly 30% of the total energy consumption of public and commercial buildings in China [2].

66 The current energy efficiency standards for office buildings can be divided into two categories: mandatory standards and recommended standards. All new buildings should follow the design provisions of the mandatory 67 standards. The current mandatory standard is 'Design standard for energy efficiency of public buildings (GB50189-68 69 2015)', which was released in 2015, and this standard needs to be revised as people's comfort level increases and 70 new carbon emission reduction targets are set. The other category is the recommended standards, such as the 'Zero 71 Energy Building Technology Standard (GBT 51350-2019)', which mainly aims at reducing building energy 72 consumption and guiding new buildings to implement strict building technology design indexes, but the higher 73 incremental costs of Zero Energy Buildings have become a barrier to property developers [3, 4]. There is an urgent 74 need to explore technical solutions and standards that both reduce energy consumption and consider the occupants' 75 comfort whilst taking into account cost.

76 The Hot Summer and Cold Winter climate zone (HSCW) is a densely populated area with the fastest economic development in China. Its climate is unique, the summers are hot and long and winters are cold and humid [5] 77 78 leading to both high cooling and heating demands. Therefore, the energy consumption for heating and cooling is an 79 important part of the office buildings' operational energy consumption. A government report has published the 80 electricity consumption of 610 office buildings and 206 government office buildings in Shanghai showing that the 81 Energy Use Intensity for heating and cooling $(EUI_{H\&C})$ of public buildings accounted for 28.5% of the total 82 electricity consumption in 2019 [6]. The Chongqing Building Technology Development Center conducted a study 83 on office buildings and the proportion of $EUI_{H\&C}$ to the total energy consumption was about 50.7%. Zhu et al. [7] 84 conducted a study on 21 office buildings of government departments in Hangzhou, and the results showed that the 85 $EUI_{H\&C}$ accounted for about 33% of the total building energy consumption. In summary, reducing $EUI_{H\&C}$ is one 86 of the priorities of building energy conservation.

87 **1.2 Existing studies**

88 Building passive design technologies related to $EUI_{H\&C}$ include envelope thermal performance, shading, and 89 ventilation strategies. In response to the climatic characteristics of hot and humid regions, numerous scholars have 90 conducted studies on the adaptability of various building energy efficiency technologies. Some typical studies are 91 listed in Table 1. These studies mainly include 1) research on the optimal thickness of building envelope insulation 92 materials [8-11], 2) exploration of suitable parameters for building exterior window performance [12-14], 3) 93 research on shading strategies [12, 15], 4) research on building natural ventilation strategies [16], and 5) analysis of 94 new envelope technologies or materials [17]. These explorations on the climate suitability of individual technologies 95 provide strong support to optimize the building design.

However, the impact of various technologies on $EUI_{H\&C}$ is complex. A single technology does not bring out 96 97 the best potential for building energy efficiency. How to optimize the design of multiple energy-saving technologies 98 to achieve design goals is a key issue. Some scholars have set up different design scenarios to explore the best 99 combination of multiple technologies in the HSCW zone using the traditional one-by-one simulation method. Yao et al. [18] analyzed the effect of passive design measures on $EUI_{H\&C}$ and thermal comfort in the HSCW zone, such 100 101 as building orientation, thermal insulation, glazing area, shading devices, air tightness, and natural ventilation. Ge 102 et al. [19] worked out the energy efficiency optimization strategies in terms of building envelope thermal 103 performance, sun shading, and adaptive space heating and cooling behavior in research office buildings in Hangzhou. 104 When more technologies are available, there can be tens of thousands of design solutions. Multi-objective 105 optimization algorithms can quickly find the solution set that satisfies the objectives among many solutions. Farshad 106 Kheiri [20] summarized the application of algorithms for multi-objective optimization in recent years and pointed 107 out that the application rate of the genetic algorithm (GA) has increased rapidly since 2000 and is much higher than 108 other methods. Bichiou et al. [21] compared and analyzed three optimization algorithms, GA, Particle Swarm and 109 Sequential Search, and concluded that GA is the algorithm with the shortest computer runtime to achieve the 110 objective. In the process of specific research applications, GA has been studied and improved by a large number of researchers. Deb [22], an Indian scholar, improved GA and proposed the non-dominated and crowding distance 111 112 sorting genetic algorithm (NSGA-II), which improved the optimization speed and accuracy of the traditional GA. 113 To quickly and accurately obtain the optimal building design solution, some scholars have combined building energy 114 simulation software with intelligent optimization algorithms to find the optimal combination of building design 115 parameters that meet the objectives [23, 24]. Some typical studies in the HSCW zone have been listed in Table 1. 116 Gou et al. [25] developed a double-objective optimization model to optimize the passive design of newly-built

- residential buildings, using the NSGA-II coupled with the Artificial Neural Network (ANN) in Shanghai. Taking residential buildings in Chongqing as research subjects, Yu *et al.* [26] established a residential model with the double objectives of optimizing $EUI_{H\&C}$ and comfort, where 14 main building design parameters were selected as the design variables of the optimization model, and the optimal Pareto solution set was obtained. Zhao *et al.* [27] conducted a multi-objective optimization design for office buildings in different climate zones in China, aiming to minimize the heating, cooling, and lighting energy consumption and discomfort hours.
- 123 124

Table 1 Summary of the studies focused on building design passive measures in hot and humid regions

					1	
Authors	Areas	Building types	Methodology	Objectives	Technologies	Main findings
Dutta <i>et al</i> .	Tropical	Commercial	TRNSYS	Heating and cooling	Shading device	An automated experimentally designed movable exterior
[15]	climate	hospital	simulation	energy consumption		window shading device linked with the sun path is proposed.
		building	software			Incorporation of movable shading devices can reduce energy
						consumption by 9.8%.
Ghosh et al.	Warm and	Not mentioned	EnergyPlus	Heating, cooling and	Window to wall ratio	• When the WWR increases from 13.33% to 53.33%, the
[12]	humid		simulation	lighting energy	(WWR) and shading	total energy consumption increases by 26.67%;
	climate		software	consumption		• Compared to the window with no shading device, the
						total energy consumption was reduced by 4.62% for the
						newly designed shading.
Marino et al.	12 weather	Office	EnergyPlus	Heating, cooling and	WWR	• The optimal value of WWR is slightly influenced by
[13]	conditions in	building	simulation	lighting energy		climate conditions.
	Italy		software	consumption		• The average WWR values are 23.5% for the least
						insulated building and are 25.9% for the most insulated
						building.
Lee <i>et al</i> .	5 typical	Office	EnergyPlus	Heating, cooling and	Window properties	• The value of WWR is recommended lower than 25%.
[14]	Asian	building	simulation	lighting energy		• Triple glazing offers a performance advantage in saving
	climates		software	consumption		heating energy in all climates.
Taleb <i>et al.</i>	The hot	Residential	IES and CFD	Cooling energy	Natural ventilation	The correct combination of active cooling systems and
[28]	climate of	buildings	simulation	consumption		natural ventilation strategies has the potential to reduce the
	Dubai		software			cooling energy consumption by 25%.
Mottet <i>et al.</i>	Chongqing	Residential	EnergyPlus and	Thermal comfort	Natural ventilation	Two ventilation solutions have been proposed to reduce the
[16]	and	buildings	CFD simulation	condition		indoor temperature of typical two-bedroom study flats.
	Hangzhou,		software			
	the HSCW					
1	zone, China				-	
Yao <i>et al.</i>	Chongqing,	Residential	EnergyPlus	$EUI_{H\&C}$ and thermal	Building orientation,	An extensive parametric analysis of several passive
[18]	the HSCW	building	simulation	comfort condition	thermal insulation,	strategies was carried out for a typical apartment block
	zone, China		software		glazing area, shading	located in the HSCW zone.
					devices, air	
					tightness, and natural	
0 1 [10]		TT 1			ventilation	
Ge <i>et al</i> . [19]	Hangzhou,	University	EnergyPlus	$EUI_{H\&C}$ and thermal	Building envelope	In the analysis of investigated, measured and simulated data,
	the HSCW	research	simulation	comfort condition	thermal	typical adaptive behaviors and energy efficiency
	zone, China	building	software		performance,	optimization strategies are analyzed.
					shading, and human	

125

						behavior		
Chen et al.	Singapore	Office	NSGA-II	Cooling ene	ergy	WWR,	shading	A double-objective optimization model is developed and the
[29]		building		consumption,		strategy,	glazing	optimization result is manually clustered and compared.
				Daylighting		material		
Gou et al.	Shanghai, the	Residential	NSGA-II	Building Ene	ergy	17 main	building	A double-objective optimization model is developed to
[25]	HSCW zone,	building	Artificial Neural	Demand,		design para	meters	optimize the passive design of newly-built residential
	China		Network (ANN)	Comfort Time Ratio				buildings.
Yu et al. [26]	Chongqing,	Residential	Back	$EUI_{H\&C}$ and then	mal	14 main	building	A model with the double objectives of optimizing $EUI_{H\&C}$
	the HSCW	building	Propagation	comfort condition		design para	meters	and comfort was established.
	zone, China		Neural Network					
	,		(GA-BP),					
			NSGA-II,					
			EnergyPlus					
			simulation					
			software					
Zhao et al.	5 climatic	Office	NSGA-II,	Heating, cooling,	and	Building or	ientation,	A set of Pareto solutions are obtained after optimization,
[27]	regions,	building	DesignBuilder	lighting ene	ergy	the configu	ration of	which can give designers different scheme choices based on
	China		simulation	consumption	and	windows ar	nd	preferences.
			software	discomfort hours		shading sys	tem	

127 **1.3 Research gaps and contributions**

128 The above analysis of existing studies reveals two main research gaps in building design optimization. First 129 and foremost, previous studies lack the critical step of conducting further decision-making processes to obtain an optimal solution from the Pareto solution set. Building designers still face the challenge of making decisions on 130 131 the best solution among many candidate solutions. Furthermore, there are few studies of cost-effective design 132 solutions for office buildings in the HSCW climate zone. Architects play important roles in the decision-making 133 affecting building design solutions. However, the optimization processes are complex and time-consuming. The 134 lack of a robust approach supporting an architect becomes a hurdle for the delivery of low energy building designs 135 which is particularly important at the early design stage.

To fill these research gaps, this study aims to develop a decision-making model to obtain cost-effective design solutions for office buildings in the HSCW climate zone. The research results will provide a solid reference for the building designers and the revision of energy efficiency design standards for office buildings in the HSCW region.

140 2 Methodology

141 2.1 Framework

142 This study attempts to propose a decision-making model for cost-effective solutions for office buildings in the 143 HSCW zone. To obtain solutions with high generalizability, it is crucial to select a reference building that reflects 144 the main physical and thermal characteristics of most office buildings. The first stage of the model is to identify a 145 representative reference building. The sensitivity analysis method identifies the key factors affecting the research 146 objectives and reduces the input parameters for the optimization model. The second stage is a key step to improve 147 the efficiency of the optimization model and to analyze the rationality of the final solutions. Cost-effective office 148 building design solutions are those that provide a comfortable indoor environment, are economically feasible, and 149 have low energy consumption. Therefore, the decision-making process conducted in the third stage is analyzed with 150 comfort, energy and cost as the three objectives. To verify the feasibility of the decision-making process and 151 solutions proposed in this paper, an office project located in the A2 climate sub-zone was finally selected as a 152 research case for this study. This is analyzed from two perspectives: objective parameter testing and a subjective 153 survey of the occupants. Two years of monitored data and a questionnaire survey of occupants were used to verify 154 the practical effectiveness of the proposed solutions. Figure 1 shows the research methodology framework.



155 156

- Figure 1: Framework of this research
- 157 The three stages of the decision-making model are described in detail as follows:
- 158 Stage 1: Identification of the reference building

159 Seven typical cities are selected from seven climate sub-zones in the HSCW zone, and the office buildings in

- 160 each typical city are researched to collect characteristic information. Based on these results, a reference building
- 161 simulation model is established.
- 162 Stage 2: Global sensitivity analysis
- 163 The Morris global sensitivity analysis method is used to rank the importance of design parameters affecting 164 building energy consumption. Based on the results of the sensitivity analysis, the important design parameters are
- selected as input variables for the optimization model, thus reducing the number of variables involved in the
- 166 optimization and shortening the optimization run time.
- 167 Stage 3: Establishment of a decision-making model
- 168 This stage contains two processes: a multi-objective optimization process and a multi-criteria decision-making
- 169 process. In the first process, three objectives are defined and the optimization algorithm NSGA-II is applied in this

study to obtain Pareto solution sets that meet the three objectives. EnergyPlus is used to simulate energy consumption for heating and cooling and discomfort hours. The Life Cycle Cost (LCC) method is applied to calculate the cost of each solution. The optimization is implemented on the Python platform. In the second process, the TOPSIS decision-making method is applied to rank the alternative solutions from the Pareto solution sets. This helps decision-makers identify the best solutions.

The cost-effective solutions for office buildings in seven sub-zones of the HSCW climate zone are worked out by applying the decision-making model. Finally, a case office building is studied. We comprehensively evaluate the rationality of the case building design solution from two perspectives: objective monitoring and subjective evaluation. Monitored data for energy consumption, indoor air temperature, and indoor air relative humidity were collected over two years and a survey of the occupant's subjective evaluation of indoor thermal conditions was conducted.

181 **2.2 Climate condition of typical cities**

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191 192

The HSCW climate zone is located in the central part of China, which is also called a 'transitional area'[30]. The climate in this area is severe heat in summer and cold in winter. The temperature, humidity, and solar radiation in the entire region vary greatly, so different cities' cooling and heating demand are quite different. Therefore, it is necessary to subdivide this region into several climate sub-zones. Xiong *et al.* [31] performed a cluster analysis of the daily meteorological data from 166 meteorological stations (2006-2015) in the HSCW climate zone for the past 10 years and divided this zone into seven climate sub-zones and selected a typical city for each of them. The climatic characteristics of these typical cities are shown in Table 2 and Figure 2.



Table 2: Climatic characteristics of seven typical cities in the HSCW zone

NO.	Climate sub-	Typical cities	Cooling	Heating demand	HDD18	CDD26
	zone		uemanu			
1	A1	Wuhan	High	High	1501	283
2	A2	Changsha	High	Medium	1466	230
3	A3	Chongqing	High	Low	1089	217
4	B1	Xinyang	Medium	High	1863	137
5	B2	Yichang	Medium	Medium	1437	159
6	C1	Hanzhong	Low	High	1945	63
7	C2	Chengdu	Low	Medium	1344	56

193 As shown in Figure 2, the A1 climate sub-zone is located in the middle of the HSCW zone. Wuhan in the A1 194 climate sub-zone has the highest total heating and cooling demand and the highest value of CDD26. Both Changsha 195 (A2 climate sub-zone), and Chongqing(A3 climate sub-zone) have high cooling demand, but the two cities have 196 different heating demands, with Changsha's heating demand being higher than that of Chongqing. The B1 climate sub-zone is located in the northern part of the HSCW zone, where the heating demand is high and the cooling 197 198 demand is medium, and the typical city in this zone, Xinyang, has the highest value of HDD18. Yichang, located in 199 the B2 climate sub-zone, has medium heating and cooling demand. The C1 climate sub-zone is located in the 200 northwestern part of the HSCW zone, where the typical city of Hanzhong has high heating and low cooling demands. 201 The C2 climate sub-zone is located in the western part of the HSCW zone, which has a medium heating demand 202 and the lowest cooling demand making it the mildest climate sub-zone.

In the HSCW zone, the summers are very hot and humid and the winters are very cold and wet. As shown in Figure 3, in summer, the outdoor dry bulb temperature in Wuhan (A1) is the highest, with an average outdoor dry bulb temperature of 30°C in July and a maximum near 39°C. In winter, the outdoor dry bulb temperature in Hanzhong (C2) is the lowest, with an average outdoor dry bulb temperature of 2°C in January and a minimum near -4°C. The average outdoor relative humidity in each city exceeded 70% both in winter and summer. The average solar direct radiation intensity in all cities is over 100W/ m² in summer, which means the application of shading devices will provide better energy-saving potential.



212

213 **2.3 Model setting of the reference building**

214 The selection of the reference building determines whether the research results are representative. At present, the model of a typical office building has not been established in the HSCW zone. Therefore, in this study, the basic 215 216 characteristic information of office buildings is investigated using Baidu map street view information in the HSCW zone. The main research architecture shape information includes length, width, and the number of floors. The length 217 and width data of the building are directly measured through the measurement tool that comes with Baidu Maps, 218 219 and the numbers of floors of the building are obtained using street view data. This method can greatly help in 220 obtaining building information on a large scale without a large labor cost compared with the traditional ground 221 survey method. The limitation of the method though is that a few buildings are obscured by surrounding buildings, trees, etc. and some information cannot be obtained accurately. However, the missing information can be obtained 222 through photos or descriptions of the buildings on websites. 223

A total of 217 office buildings were investigated in seven typical cities, including 20 buildings in Wuhan (A1), buildings in Changsha (A2), 55 buildings in Chongqing (A3), 18 buildings in Xinyang (B1), 21 buildings in Yichang (B2), 15 buildings in Hanzhong (C1), and 38 buildings in Chengdu (C2).

The main building shape information of these buildings was obtained through Baidu map, including the length, width, number of floors, and height of each building. The survey results are shown in Figure 4, the length of most offices ranges from 56 to 82m, the width ranges from 15 to 35m, the height ranges from 16 to 32m, and the number of floors ranges from 4 to 8.



231 232

Figure 4: Main shape characteristics of typical office buildings

Reference buildings can be theoretical archetypes built on average data or real-reference buildings with characteristics similar to the median data [32]. In this study, a reference building was selected based on the characteristics mentioned above. As shown in Figure 5, the reference building has five floors with a building area



Figure 5: The simulation model of the reference building

240 **2.4 Sensitivity analysis method**

1) The Morris method

237 238 239

A building is a complex nonlinear system composed of many parameters with differing effects on building 242 243 performance. Therefore, to reduce the complexity of the model, it is necessary to select the parameters that most 244 critically affect the building performance analysis (BPA). As a powerful tool, sensitivity analysis (SA) has received increasing attention due to its outstanding performance in BPA. SA is mainly divided into local sensitivity analysis 245 246 (LSA) and global sensitivity analysis (GSA). LSA is a one-factor-at-a-time method where the value of one parameter 247 changes whilst the values of other parameters remain fixed. LSA has the advantage of simple and rapid computation. Compared with LSA, GSA can calculate the effect of the interaction between variables on the model output results 248 249 and can provide more sensitivity information, but the calculation process is complicated.

GSA includes regression-based methods and screening-based, variance-based and meta-modelling approaches. Among them, the most widely used sensitivity analysis method is the screening-based Morris method which is computationally fast and suitable for models with a large number of parameters. To determine the accuracy of the Morris method, Kristensen *et al.* [33] compared the performance of Sobol and Morris in the building energy model, and the results showed that the Morris method leads to the same identification and ranking of parameters as the more accurate Sobol method when the variation of inputs is uniformly distributed between the boundaries. However, the Morris method is dozens of times faster than that of Sobol.

The Morris sensitivity method was proposed by Morris in 1991 [34], and it is widely used in the field of building performance because of its ease of operation, high accuracy, and low computational effort to identify the importance of the influence of different parameters on the output variables [35]. The method indicates the importance of each input parameter by calculating two sensitivity indicators μ_i and σ_i . μ_i represents the sensitivity intensity of the ith variable, when a larger μ_i indicates that the variable has a greater degree of influence on the output result, i.e., the parameter is more important. σ_i represents the fluctuation of the importance of the ith variable on a given interval, reflecting the interaction between the variables or the nonlinear relationship between the input and output variables. To avoid positive and negative offsets due to interactions between parameters, Campolong *et al.* [36] proposed a new indicator μ_i^* , which provides the absolute value of importance. μ_i^* , μ_i , and σ_i become the indicators of sensitivity analysis for the Morris sensitivity analysis method.

In sensitivity analysis, there are thousands of different combinations of different design parameters. It is 267 obviously impossible to analyze the possible values of all parameters one by one. Therefore, each parameter needs 268 to be sampled in its defined interval, and the sampling method determines the accuracy of the sensitivity analysis 269 270 results. Morris et al. [34] proposed a sampling method based on trajectory. As shown in Figure 6, by changing a 271 fixed step length for each parameter in the entire multi-dimensional parameter space, a sampling trajectory which 272 contains the changes of all parameters is established, while taking into account the inner influence of multiple parameters. By randomly creating multiple trajectories in the parameter space, the sensitivity index for each 273 274 parameter is calculated.



$275 \\ 276$

277 Morris provided the calculation formula for a trajectory [37], based on the trajectory calculation matrix B* of 278 a random matrix, as shown in formulae (3) and (4)

279
$$B^* = (J_{m,1}x^* + \Delta B')P^*$$

280
$$B^* = \left(J_{m,1}x^* + \left(\frac{\Delta}{2}\right)\left[\left(2B - J_{m,k}\right)D^* + J_{m,k}\right]\right)P^*$$
(4)

(3)

Here, P^* is the permutation matrix, which is a randomly generated matrix. In each row and each column, there can only be one position of 1 and the remaining positions of 0. It is mainly used to exchange the positions of parameters and generate as many trajectories as possible. B' is a random position unique matrix, which determines whether the direction of the sampling trajectory changes in the positive or the negative direction, as shown in the calculation formula (5).

286
$$B' = 0.5[(2B - J_{m,k})D^* + J_{m,k}]$$
(5)

287 Where $J_{m,k}$ is a '1' matrix with m rows and k columns, k is the number of variables, m is equal to k plus 1, and 288 B is a sampling unit matrix with m rows and k columns composed of only 0 and 1, D* is a k-dimensional diagonal 289 matrix composed of 1 or (-1).

After the establishment process of the above-mentioned trajectory matrix, it is possible to calculate sample proof formed by different combinations of many parameters. By calculating the value of EE_i of each parameter on each trajectory on energy consumption, and integrating all the sampled trajectories, the corresponding parameters of each parameter can be calculated, see formulae (6-9).

294
$$EE_i = \frac{F(x_1, \dots, x_i + \Delta \dots x_n) - F(x_1, \dots, x_i \dots x_n)}{\Delta}$$
(6)

295
$$\mu_i = \frac{\sum_{i=1}^r EE_i}{r}$$
 (7)

296
$$\sigma_i = \sqrt{\frac{1}{r} \sum_{i=1}^r (EE_i - \mu_i)^2}$$
(8)

297
$$\mu_i^* = \frac{\sum_{i=1}^r |EE_i|}{r}$$
(9)

298 where r represents the number of levels of x_i within its value range, Δ is the change of the parameter x_i 299 relative to the reference value, and F(x) represents the calculation equation or calculation model.

300 In this study, we mainly examine the impact of different design parameters on energy consumption. EnergyPlus 301 simulation software is used to calculate energy consumption for heating and cooling, the Morris sampling method 302 is used to extract different parameter combinations from the parameter value space, and the impact of different 303 parameters from seven typical cities on building energy consumption is finally calculated.

304 2) Input setting of the Morris analysis model

The building is a complex system composed of a large number of parameters, many of which affect building energy consumption. Based on previous research results, passive design measures include improving thermal insulation, enhancing the airtightness, optimizing the window-to-wall ratio, and shading. The parameters involved in this study include thermal insulation performance (wall, roof), window performance (heat transfer coefficient /solar heat gain coefficient), airtightness, orientation, WWR (east, west, south, north), and shading (east, west, south, north).

The range of design parameters is shown in Table 5. The value of the building orientation is based on the 'Design standard for energy efficiency of public buildings' (GB50189-2015), and the value range is -30°C to 30°C. The value range of the external wall, roof, external window, window-to-wall ratio, and infiltration rate of the building is based on GB50189-2015 and GB/T51350-2019. The heat transfer coefficient of the roof and external

walls can be changed by changing the thickness of the thermal insulation material XPS. The structure of the external 315 walls and roofs are shown in Tables 6 and 7. The shading coefficient (SC) of the external shading is used as the 316 317 design parameter for shading. In this paper, the Venetian blinds are only used when the direct sun intensity is greater than $100W/m^2$. According to the calculation method provided by the literature [38], when the Venetian blinds 318 completely cover the outer windows, the shading coefficient is 0.4, and when the Venetian blinds are not used, the 319 320 shading coefficient is 1.0. According to GB50189-2015, shading for the north is not required in the HSCW zone. Therefore, in this study, only three directions of shading (south, east, and west) are considered. 321

Since the study focuses on the application of passive design measures, similar to many previous studies [18, 322 25, 27, 39, 40], an Ideal Loads Air System is built in EnergyPlus. The "Ideal Loads Air System" is an ideal heating 323 324 and cooling system to calculate the energy that must be added to or extracted from a space to maintain thermal 325 comfort without specified the HVAC forms. The system can be assumed as an ideal unit that mixes air at the zone exhaust condition with the specified amount of outdoor air and then adds or removes heat and moisture to produce 326 a supply air stream at the specified conditions [41]. The indoor thermal condition can be controlled within the design 327 328 range by the 'Ideal Loads Air System'. Hundreds of passive design solutions are compared and analyzed in the same scenario of creating the same indoor thermal condition. According to GB 50189-2015, the occupancy schedule is 329 330 7:00~18:00, the cooling setpoint is 26° C and the heating setpoint is 20° C. The power density of lighting and electrical equipment is 9.0 W/m² and 15 W/m², respectively. Electricity is the largest fuel for HVAC systems in 331 office buildings in the Hot Summer and Cold Winter zone [30, 42]. To evaluate the electricity consumption of the 332 333 most common HVAC devices (such as air-to-air heat pump) in office buildings, the heating and cooling demand calculated from the 'Ideal Load Air System' is converted into electricity usage. The Coefficient of Performance 334 (COP) for heating is 2.5. The Energy Efficiency Ratio (EER) for cooling is 3.0. The shading coefficient of the 335 336 external shading device $(SC_{shading})$ is defined as the ratio of the solar heat gain with shading measures to that 337 without shading measures [43]. It can be calculated by equation (10) [29].

338
$$SC_{shading} = I_s/I_o$$

339 Where I_s refers to the solar irradiation falling on the shaded fenestration (W), and I_o refers to the solar 340 irradiation falling on the no fenestration if it is not shaded (W).

(10)

341 For the heat balance calculation, the Conduction Transfer Function algorithm provided by Energyplus is used 342 and the number of timesteps per hour is 6. The weather file was downloaded from the EnergyPlus official weather 343 website. 344

Parameters	Description	Base value	Probability	Range
Orientation	Degrees from true North [°]	0	Continuous Uniform	[-30, 30]
U value of exterior wall	$[W/m^2K]$	0.83	Discrete	(0.83, 0.65, 0.53, 0.45, 0.39,
0-value of exterior wall	[w/ m.ĸ]	0.85	Discrete	0.35,0.31,0.28,0.26,0.24)
U-value of roof	$[W/m^2K]$	0.58	Discrete	(0.58,0.48,0.42,0.37,
	[w/m.K]	0.58	Diselete	0.33,0.30,0.27,0.24)
U-value of exterior window	[W/ m ² .K]	2.8	Discrete	(1.37,1.4,1.8,2.2,2.6)
SHGC of exterior window	SHGC	0.75	Discrete	(0.31, 0.37, 0.57, 0.63, 0.75)
Infiltration rate	[h ⁻¹]	1.0	Discrete	(0.5,0.6,0.8,1.0)
	WWR_S	0.4	Continuous Uniform	[0.2, 0.70]
Window to mall actio	WWR_N	0.4	Continuous Uniform	[0.2, 0.70]
window-to-waii fatio	WWR_E	0.2	Continuous Uniform	[0.2, 0.70]
	WWR_W	0.2	Continuous Uniform	[0.2, 0.70]
Shading Coefficient of	$SC_{shading}$ S	1.0	Discrete	(0.4,1.0)
venetian blinds	SC _{shading} _E	1.0	Discrete	(0.4,1.0)
, eneral onids	$SC_{shading}$ W	1.0	Discrete	(0.4,1.0)

			1	Table 6: The str	ucture of the roof	r		
NO.	Crushed Stone concrete (mm)	Cement mortar (mm)	XPS (mm)	SBS modified bitumen waterproofing membrane (mm)	Cement mortar (mm)	All- lightweight concrete (mm)	Reinforced Concrete (mm)	U-Value (W/ m ² .K)
1	20	20	40	5	20	30	20	0.58
2	20	20	50	5	20	30	20	0.48
3	20	20	60	5	20	30	20	0.42
4	20	20	70	5	20	30	20	0.37
5	20	20	80	5	20	30	20	0.33
6	20	20	90	5	20	30	20	0.29
7	20	20	100	5	20	30	20	0.27
8	20	20	120	5	20	30	20	0.24

NO. Cem	ent mortar	XPS	Cement mortar	Sintered shale porous brick	Cement mortar	U-Value
	(mm)	(mm)	(mm)	(mm)	(mm)	(W/ m ² .K)
1	5	20	20	200	20	0.83
2	5	30	20	200	20	0.65
3	5	40	20	200	20	0.53
4	5	50	20	200	20	0.45
5	5	60	20	200	20	0.39
5	5	70	20	200	20	0.35
7	5	80	20	200	20	0.31
8	5	90	20	200	20	0.28
9	5	100	20	200	20	0.26
10	5	120	20	200	20	0.24

350 2.5 Multi-objective optimization method

In the multi-objective optimization model, the input variables are parameters that have an essential influence

on $EUI_{H\&C}$ screened out through Morris, and the other parameters keep their reference values. Python is used to embed EnergyPlus into NSGA-II to input parameters to the model, read the results, and control the optimization process. The main optimization process of this research is as follows, and is shown in Figure 7:

- Set input parameters: as shown in Table 5, there are 13 input parameters, including the external wall heat
 transfer coefficient, roof heat transfer coefficient, heat transfer coefficient, solar heat gain coefficient of
 glass, airtightness, and shading.
- NSGA-II parameter setting: the parameters of the algorithm include population size, mutation rate,
 evolution times, and the number of parallel computing processes. In this study, the population size is 100,
 the mutation rate is 0.4, the evolution number is 100, and the number of parallel computing processes is
 96.
- 362 3) Optimization process: first, the initial population is randomly generated according to the NSGA algorithm; second, in the initial population, the values of the objective functions of each individual are calculated by 363 EnergyPlus; third, the non-dominated sorting of each individual is applied based on the values of the 364 objective functions; fourth, the crowded distance is calculated between each individual and their neighbors; 365 fifth, according to the calculation results of the third and fourth steps, the outstanding individuals of the 366 initial population are selected as the next generation population. Repeat the cycle from the first to the fifth 367 368 step until the maximum number of evolutions is reached. Through continuous evolution, outstanding 369 individuals are gradually preserved to form the Pareto solutions set.
- 370



371 372

Figure 7: The flow chart of NSGA-II[44]

373 As described above, the objectives of this study are the energy consumption intensity of HVAC ($EUI_{H\&C}$), the 374 percentage of uncomfortable hours in transition seasons (PUH), and the life cycle cost (LCC). The constraint 375 equation of the objective function can be described as (11):

376
$$\begin{cases} Minimize F_1(x) = EUI_{H\&C} \\ Minimize F_2(x) = PUH \\ Minimize F_3(x) = LCC \end{cases}$$
(11)

377 Energy consumption intensity of HVAC ($EUI_{H\&C}$)

Based on background research, in this study, the energy consumption of the annual heating and cooling is calculated by EnergyPlus. The $EUI_{H\&C}$ can be expressed by the annual electricity consumption per unit airconditioning area in $kW \cdot \frac{h_e}{m_{AC}^2}$. The energy consumption calculation formula is (12):

$$381 \qquad EUI_{H\&C} = \frac{1}{A_{AC}} \times (E_H + E_C) \tag{12}$$

382 Note: A_{AC} is the air-conditioning area in m^2 , E_H is the annual electricity consumption of heating in *kWh*, 383 and E_C is the annual electricity consumption of cooling in *kWh*.

384

385 Percentage of uncomfortable hours in transition seasons (PUH)

386 In the heating and cooling seasons, a comfortable indoor thermal environment is created using heating and

cooling equipment. When the equipment is active, the indoor environment can remain relatively stable and comfortable. However, in transition seasons, creating the indoor thermal environment mainly relies on passive methods, such as natural ventilation and shading, which are extremely susceptible to the influence of the outdoor environment, resulting in over-cooling or over-heating. To maintain a comfortable thermal environment during transition seasons, while extending the non-heating and air-conditioning time, the percentage of discomfort hours in transition seasons is used as the objective function; the calculation is shown in (13):

$$393 \qquad PUH = \frac{DH}{N} \times 100\% \tag{13}$$

Note: N is the occupied hours during the transition seasons, and *DH* is the discomfort hours during the transition seasons.

396 A transition season is the non-artificial thermal environment and is not suitable for directly adopting the PMV-397 PPD model proposed by Fanger. As an effective evaluation method, aPMV is widely used in the evaluation of indoor 398 thermal comfort levels in non-artificial thermal environments. In the "Evaluation standard for the indoor thermal 399 environment in civil buildings" (GB/T 50785-2012), the indoor thermal comfort level of the non-artificial thermal 400 environment is classified as shown in Table 8. In this study, the number of hours that aPMV is beyond the range of 401 level I (-0.5≤aPMV≤0.5) was defined as the uncomfortable hours. The number of uncomfortable hours in transition 402 seasons is counted and represented as a percentage. The smaller the PUH, the higher the indoor comfort. Fable 8: Thermal comfort level in the non-artificial cold and heat source intervention enviro 403

Table 8. Then	har connort level in the non-artificial cold and near source intervention environment
Level	Assessment Criteria (aPMV)
Ι	-0.5≤APMV≤0.5
II	$-1 \leq aPMV \leq -0.5$ or $0.5 \leq aPMV \leq 1$
III	aPMV<-1 or aPMV>1

404 According to the aPMV calculation method provided by Yao *et al.* [45], the calculation relationship between 405 the aPMV and PMV models is established, as shown in equation (14).

407 According to GB/T 50785-2012, in the HSCW zone, when PMV≥0, λ is 0.21 and when PMV <0, λ is -0.49.
408 The thermal comfort module in EnergyPlus provides the classic Fanger model to calculate the hourly PMV
409 throughout the year.

410 Life cycle cost

The building design solutions must not only achieve the goals of energy-saving and comfort but also be economically feasible. The economic evaluation method of life cycle cost (LCC) is usually adopted for construction projects. LCC is divided into two categories according to whether the static or dynamic method is used to consider the time value of costs. Due to the long service life of buildings, it is necessary to consider the impact on costs of bank interest rates, inflation and discount rate fluctuations, and the time value of costs. This study uses the dynamic method where the initial investment and operating costs related to $EUI_{H\&C}$ are considered. The LCC analysis method based on net present value is adopted, and the cost analysis period is set at 20 years. The equation for LCC can be expressed by equation (15) to (20).

$$420 \qquad \text{LCC} = C_{in} + C_a \tag{15}$$

421 where LCC is the net present value of the analysis period, CNY/m^2 ; C_{in} is the net present value of the initial 422 investment of the energy efficiency measures, CNY/m^2 ; and C_o is the net present value of the energy costs for heating 423 and cooling in the analysis period, CNY/m^2 .

(20)

424
$$C_{in} = \frac{C_{wall} + C_{win} + C_{roof} + C_{shd} + C_{inf}}{A_{AC}}$$
(16)

425
$$C_{\text{wall}} = \left(C_{i-\text{wall}} \cdot \delta_{\text{wall}} + C_{e-\text{wall}}\right) \cdot A_{\text{wall}}$$
(17)

426
$$C_{\text{roof}} = \left(C_{i-roof} \cdot \delta_{\text{roof}} + C_{e-roof}\right) \cdot A_{roof}$$
(18)

427
$$C_{win} = \left(C_{i-win} + C_{e-win} + C_{infil} + C_{shd}\right) \cdot A_{win}$$
(19)

428
$$C_o = EUI_{H\&C} \cdot C_e [1 - (1+I)^{-N}]/I$$

where C_{i-wall} and C_{i-roof} are the price of thermal insulation materials per unit volume of exterior walls and roof, 429 430 CNY/m³; δ_{wall} and δ_{roof} are the thickness of thermal insulation material of the exterior walls and roof, m; C_{i-win} is 431 the price per unit area of glass, CNY/ m²; C_{e-wall}, C_{e-win} and C_{e-roof} are the respective installation labor costs of 432 insulation materials per unit area of external walls, external windows, and roofs, CNY/m²; C_{inf} is the increased cost for improving the airtightness of the building, CNY/ m^2 ; C_{shd} is the cost of shading facilities, CNY/ m^2 ; A_{wall} , A_{win} 433 and A_{roof} are the area of the exterior walls, exterior windows, and roof, respectively; A_{AC} is the total air-conditioned 434 435 area, m²; EUI_{H&C} is the annual energy consumption intensity of heating and cooling per unit air-conditioned area, kWh/ m^2 ; C_e is the local electricity price, CNY/kWh; N is the analysis period in years; I is the bank interest rate, %. 436 437 The average price of office electricity in the HSCW zone of the State Grid in 2018 was 1.2 CNY/kWh; the analysis period is 20 years, and the bank loan interest rate is 4.9% based on the Bank of China interest rate (accessed date: 438 439 June 15,2018). Information on the costs of construction materials was obtained from an investigation of 440 manufacturers in different cities. The price of the insulation material XPS is 712.77 CNY/m³. The price of ordinary insulating glass is 116.51 CNY /m², the price of triple glazing is 266 CNY/ m², the price of LOW-E insulating glass 441 is 163.39 CNY $/m^2$, and the installation labor cost is 30 CNY $/m^2$. 442

443 The input interface of the multi-objective model is shown in Figure 8.







448 **2.6 The multi-criteria decision-making method**

449 Multi-criteria decision-making methods can help decision-makers choose the best solution from the Pareto solution set obtained by the multi-objective optimization model. Compared with other decision-making methods, 450 451 TOPSIS is more efficient and faster when dealing with a large number of solutions and attributes. TOPSIS has been successfully applied for building performance evaluation in several studies [44] [46] [47]. TOPSIS is based on 452 calculating the Euclidean distance of an alternative solution from the ideal solution. An alternative solution will be 453 454 selected when it has the shortest Euclidean distance from the positive ideal point and the farthest Euclidean distance from the negative ideal point. The ranking of alternatives is based on the comparison of these Euclidean distances. 455 As shown in Figure 9, the green point represents the positive ideal solution, the blue point represents the 456 457 negative ideal solution, and the red points represent all alternative solutions in the Pareto solution set. The solution closest to the green point is the optimal solution, which is denoted by the red star. A more detailed calculation 458 459 process can be found in [46].



462 **3 Results**

463 **3.1 Sensitivity study**

In this paper, the Morris diagram is used to present the sensitivity analysis results of different design parameters of each climate sub-zone, as shown in Figure 10. The X-axis of the Morris chart represents the average value μ_i , and the Y-axis represents the mean square error σ_i . When the X-axis value is very small, the parameter has little influence on the output result; when the X-axis value is large, the parameter has a strong non-linear relationship with the output. When the value of the Y-axis is small, the correlation between this parameter and other parameters is weak. However, when the values of the X-axis and Y-axis are both large, the parameter has a strong impact on the model output, and the correlation between this parameter and other parameters is strong.

Figure 10 shows the Morris diagram of the sensitivity analysis results of the A2 climate sub-zone. The A2 471 472 climate sub-zone is an area with medium heating demand and high cooling demand. As shown in Figure 10, the 473 infiltration rate is the most significant factor affecting heating and cooling demand and has a high correlation with 474 other design parameters. The SHGC of the window has a greater impact on the cooling demand, less on the heating demand, and a lower correlation with other design parameters. The south and north window-to-wall ratios, the heat 475 transfer coefficient of the exterior window, and the heat transfer coefficient of the exterior wall have a greater 476 477 influence on the heating demand in winter. From the perspective of total heating and cooling demand, the infiltration 478 rate, SHGC of the window, the south window-to-wall ratio and south shading should be considered in the design 479 solution for the A2 climate sub-zone.



480

Figure 10: Sensitivity analysis of design parameters to total heating and cooling energy demand for the A2 climate sub-zone

Figure 11 shows the ranking of sensitivity indicators for different design factors of heating energy demand, cooling energy demand, and total heating and cooling energy demand in different climate sub-zones. For total heating and cooling energy demand, the top 10 design factors of sensitivity index are ACH_Infil, U_roof, SHGC, U_wall, WWR_S, WWR_W, WWR_E, U_win, WWR_N, SC_S. The top 10 parameters are set as variables in the optimization model, and the remaining design parameters are set as fixed values.



Figure 11: Sensitivity ranks of different parameters for different climate sub-zones

490 **3.2 Optimization**

491 The multi-objective optimization result of NSGA-II is a Pareto non-dominated solution set, which contains all alternative solutions. The objective function of each solution in the solution set is drawn into a three-dimensional 492 493 scatter plot (Figure 12), and the three-dimensional plot is projected onto each coordinate plane for analysis, as shown in Figure 13. The grey points in Figure 12 represent the initial population randomly generated by NSGA-II, 494 and the distribution is very scattered. After 100 generations of iterative optimization, a non-dominated Pareto 495 496 solution set that weighs three objectives is obtained, which is represented by red points. In the Pareto solution 497 concentration, EUI_{H&C} of different solutions varies from 31.5 kWh/ m²~39.3 kWh/ m², DHR varies from 0.59 to 498 0.76, and LCC varies from 1980 to 6300 CNY/m². As shown in Figure 14, there is a mutual restriction relationship 499 between LCC and EUI_{H&C}, a mutual restriction relationship between LCC and PUH, and there is a positive 500 correlation between $EUI_{H\&C}$ and PUH. A decrease in $EUI_{H\&C}$ will cause an increase in LCC and a decrease in 501 PUH. With the continuous improvement in building envelope performance, EUI_{H&C} decreases, and LCC increases at different rates; EUI_{H&C} was reduced from 39.3 kWh/m² to 32.6 kWh/m², LCC increased from 1980 to 2395 502 503 CNY/m^2 , the number of thermal discomfort hours in the transitional season was reduced from 0.76 to 0.63, $EUI_{H&C}$ 504 was reduced by 17%, PUH decreased by 21%, and LCC increased by 20%. However, when energy consumption is reduced from 32.6 kWh/ m² to 31.5 kWh/ m², EUI_{H&C} is reduced by 3%, PUH is reduced by 6%, and LCC is 505

488

481 482

- 506 increased by 60%. Therefore, in the energy-saving optimization design of buildings, it is necessary to consider the
- 507 costs of the solutions; the costs of the proposed building design solution must be within an acceptable range to the

508 property developers, so they are feasible and easier to promote on a large scale.



Figure 12: The Pareto set of multi-objective optimization (A2)



511 Through the analysis of the Pareto solution set of seven typical cities, it can be seen that the ranges of $EUI_{H\&C}$, 512 DHR and LCC are different for each typical city due to different climatic factors. However, the mutual constraints 513 between the three objectives are all consistent. It is necessary to consider $EUI_{H\&C}$, LCC, and DHR, and determine 514 the optimal plan from the Pareto solution set.

515 3.3 Decision-making

516 In this section, we apply the TOPSIS decision-making method to rank all the solutions in the Pareto solution 517 set, select the first-ranked solution as the best solution, and choose the top 20 solutions as the recommended 518 solutions for each climate sub-zone (Figure 13).

We take the A2 climate sub-zone as an example to analyze the TOPSIS decision results in detail. Table 9 shows the calculation results of the top 20 solutions for the A2 climate sub-zone, including decision matrix D, normalize matrix R, weighted matrix V, and Euclidean distance. It can be seen from Table 9 that the $EUI_{H\&C}$ of the best solution is 32.93 kWh/ m², the PUH is 63.71%, and the LCC is 2290.67 CNY /m². Among the top 20 solutions, $EUI_{H\&C}$ varies from 32.77 kWh/m² to 33.38 kWh/m², PUH varies from 62.1% to 66.13%, and LCC varies from 2180.64 CNY /m² to 2411.33 CNY /m². The distribution of the top 20 solutions in the Pareto solution set is shown by the red dots in Figure 13.

Table 10 shows the design parameters of the top 20 solutions. U_wall varies from 0.53 to 0.83, U_roof varies from 0.48 to 0.58, U_win varies from 1.37 to 2.6, SHGC varies from 0.31 to 0.75, and WWR varies from 0.2 to 0.7. All the top 20 solutions recommend an infiltration rate of 0.5 and south shading.





Figure 13: Distribution of TOPSIS decision results in Pareto for the A2 climate sub-zone

531 532	2 Table 9: The result of the TOPSIS in Changsha													
000	Decision matrix	D	١	Normalize matrix l	R		Weighted matrix V	7		Euclidean distance	2			
EUI _{H&C}	PUH	LCC	EUI _{H&C}	PUH	LCC	EUI _{H&C}	PUH	LCC	D^+	D-	C*	Rank		
32.93	63.71	2290.67	0.0540	0.1045	0.0395	0.0178	0.0345	0.0130	0.0242	0.0039	0.1383	1		
33.03	64.11	2285.46	0.0542	0.1051	0.0394	0.0179	0.0347	0.0130	0.0242	0.0040	0.1424	2		
32.90	62.10	2400.67	0.0540	0.1018	0.0414	0.0178	0.0336	0.0137	0.0239	0.0040	0.1427	3		
33.23	65.32	2188.12	0.0545	0.1071	0.0377	0.0180	0.0354	0.0125	0.0246	0.0042	0.1445	4		
33.03	62.90	2383.14	0.0542	0.1032	0.0411	0.0179	0.0340	0.0136	0.0238	0.0041	0.1464	5		
33.00	64.52	2284.94	0.0541	0.1058	0.0394	0.0179	0.0349	0.0130	0.0242	0.0042	0.1469	6		
32.79	62.50	2411.33	0.0538	0.1025	0.0416	0.0177	0.0338	0.0137	0.0238	0.0041	0.1473	7		
33.32	65.73	2181.24	0.0547	0.1078	0.0376	0.0180	0.0356	0.0124	0.0246	0.0043	0.1495	8		
33.38	65.73	2180.90	0.0547	0.1078	0.0376	0.0181	0.0356	0.0124	0.0246	0.0043	0.1497	9		
33.04	65.73	2192.80	0.0542	0.1078	0.0378	0.0179	0.0356	0.0125	0.0245	0.0043	0.1498	10		
32.96	64.52	2309.38	0.0540	0.1058	0.0398	0.0178	0.0349	0.0131	0.0240	0.0043	0.1504	11		
33.09	64.92	2282.65	0.0543	0.1065	0.0394	0.0179	0.0351	0.0130	0.0241	0.0043	0.1516	12		
32.84	64.92	2295.06	0.0539	0.1065	0.0396	0.0178	0.0351	0.0131	0.0241	0.0043	0.1526	13		
32.96	65.32	2258.12	0.0541	0.1071	0.0390	0.0178	0.0354	0.0129	0.0242	0.0044	0.1527	14		
33.01	65.32	2259.92	0.0541	0.1071	0.0390	0.0179	0.0354	0.0129	0.0242	0.0044	0.1531	15		
32.83	63.31	2406.74	0.0538	0.1038	0.0415	0.0178	0.0343	0.0137	0.0237	0.0043	0.1535	16		
32.95	65.32	2265.59	0.0540	0.1071	0.0391	0.0178	0.0354	0.0129	0.0242	0.0044	0.1537	17		
33.27	66.13	2180.64	0.0546	0.1084	0.0376	0.0180	0.0358	0.0124	0.0245	0.0045	0.1546	18		
32.77	64.52	2356.75	0.0537	0.1058	0.0407	0.0177	0.0349	0.0134	0.0238	0.0044	0.1572	19		
32.93	63.71	2290.67	0.0540	0.1045	0.0395	0.0178	0.0345	0.0130	0.0242	0.0039	0.1383	20		

Rank	EUI _{H&C}	PUH	LCC	U_wall	U_roof	U_win	SHGC	WWR_E	WWR_W	WWR_S	WWR_N	SC_S	ACH_Infil
1	32.93	63.71	2290.67	0.65	0.58	1.37	0.31	0.41	0.20	0.26	0.48	0.4	0.5
2	33.03	64.11	2285.46	0.83	0.58	1.40	0.37	0.25	0.25	0.20	0.29	0.4	0.5
3	32.90	62.10	2400.67	0.65	0.58	1.37	0.31	0.57	0.22	0.40	0.49	0.4	0.5
4	33.23	65.32	2188.12	0.83	0.58	1.37	0.31	0.34	0.29	0.27	0.30	0.4	0.5
5	33.03	62.90	2383.14	0.65	0.58	1.37	0.31	0.48	0.23	0.20	0.57	0.4	0.5
6	33.00	64.52	2284.94	0.83	0.58	2.20	0.63	0.21	0.20	0.34	0.36	0.4	0.5
7	32.79	62.50	2411.33	0.65	0.58	1.37	0.31	0.35	0.24	0.34	0.20	0.4	0.5
8	33.32	65.73	2181.24	0.53	0.58	1.37	0.31	0.70	0.26	0.70	0.43	0.4	0.5
9	33.38	65.73	2180.90	0.53	0.58	1.37	0.31	0.28	0.23	0.54	0.43	0.4	0.5
10	33.04	65.73	2192.80	0.65	0.58	1.37	0.31	0.48	0.35	0.39	0.59	0.4	0.5
11	32.96	64.52	2309.38	0.65	0.58	1.37	0.31	0.31	0.28	0.36	0.51	0.4	0.5
12	33.09	64.92	2282.65	0.83	0.48	1.37	0.31	0.29	0.37	0.26	0.30	0.4	0.5
13	32.84	64.92	2295.06	0.83	0.48	1.40	0.37	0.35	0.25	0.20	0.42	0.4	0.5
14	32.96	65.32	2258.12	0.53	0.58	1.37	0.31	0.34	0.22	0.30	0.30	0.4	0.5
15	33.01	65.32	2259.92	0.53	0.58	1.37	0.31	0.32	0.20	0.34	0.20	0.4	0.5
16	32.83	63.31	2406.74	0.53	0.58	1.37	0.31	0.40	0.24	0.55	0.42	0.4	0.5
17	32.95	65.32	2265.59	0.83	0.58	2.20	0.63	0.22	0.24	0.31	0.37	0.4	0.5
18	33.27	66.13	2180.64	0.83	0.58	2.60	0.75	0.37	0.22	0.24	0.28	0.4	0.5
19	32.77	64.52	2356.75	0.83	0.58	2.60	0.75	0.32	0.20	0.40	0.43	0.4	0.5
20	32.93	63.71	2290.67	0.65	0.58	2.60	0.75	0.31	0.20	0.45	0.51	0.4	0.5
			min	0.53	0.48	1.37	0.31	0.21	0.20	0.20	0.20	0.4	0.5
Recommended value range		ie range	max	0.83	0.58	2.60	0.75	0.70	0.37	0.70	0.59	0.4	0.5

Table 10: Recommended design plan and parameter value range of A2

Table 11 shows the best solution and recommended solutions for the 7 climate sub-zones. For the best solutions in all climate sub-zones, U_wall varies from 0.65 to 0.83, U_roof varies from 0.37 to 0.58, U_win varies from 1.37 to 2.2, SHGC varies from 0.31 to 0.63, and WWR varies from 0.20 to 0.45. The infiltration rate in all climate sub-zones is 0.5 h⁻¹.

It should be emphasized that safety should be taken into account when installing and applying the venetian blinds. For the reference building in this study, which is a small and medium-sized office building, well-installed venetian blinds are recommended (Figure 14a and Figure 14b). However, for the high-rise office buildings or super high-rise office buildings, built-in venetian blinds windows or other energy-efficient products can be applied instead (Figure 14c)."



a) Curved blinds in roller shade [48]; b) Horizontal louvers [49]; c) a window with built-in venetian blinds (picture: the author)

Figure 14 Examples of venetian blinds

For the A1 climate sub-zone, compared to the base solution, the best solution, which ranked first among the options, consumed 19.5% less energy, reduced occupants' discomfort time by 6.1%, but increased costs by $361.47 \text{ CNY}/\text{m}^2$. For the A2 climate sub-zone, compared to the base solution, the best solution, which ranked first among the options, consumed 16.1% less energy, reduced occupants' discomfort time by 11.2%, but increased costs by 326.95 CNY/m². For the A3 climate sub-zone, compared to the base solution, the best solution, which ranked first among the options, consumed 15.4% less energy, reduced occupants' discomfort time by 17.7%, but increased costs by 361.76 CNY/ m². For the B1 climate sub-zone, compared to the base solution, the best solution, which ranked first among the options, consumed 21.1% less energy, reduced occupants' discomfort time by 21.6%, but increased costs by 423.36 CNY/ m². For the B2 climate sub-zone, compared to the base solution, the best solution, which ranked first among the options, consumed 18.8% less energy, reduced occupants' discomfort time by 10.3%, but increased costs by 350.53 CNY/m². For the C1 climate sub-zone, compared to the base solution, the best solution, which ranked first among the options, consumed 20.7% less energy, reduced occupants' discomfort time by 10.4%, but increased costs by 444.62 CNY/m². For the C2 climate sub-zone, compared to the base solution, the best solution, which ranked first among the options, consumed 19.0% less energy, reduced occupants' discomfort time by 20.8%, and increased costs by 430.06 CNY/m². Illustrated as an average for each city, compared to the base solution, the best solutions in each city consume 18.7% less energy, reduce occupant discomfort time by 14.0%, but increase costs by 385.54 CNY/m².

Climate Zone	Descrip	tion	EUI _{H&C}	PUH	LCC	U_wall	U_roof	U_win	SHGC	WWR_E	WWR_W	WWR_S	WWR_N	SC_S	ACH_Infil
	<u>Best solu</u>	tion	<u>31.24</u>	<u>75.00</u>	<u>2166.69</u>	<u>0.83</u>	<u>0.58</u>	<u>1.37</u>	<u>0.31</u>	<u>0.39</u>	<u>0.27</u>	<u>0.37</u>	<u>0.24</u>	<u>Venetian blinds</u>	<u>0.5</u>
A1	Recommended	Min	30.81	74.19	2147.75	0.65	0.48	1.37	0.31	0.20	0.20	0.30	0.20	Venetian blinds	0.5
	value range	Max	31.96	77.02	2384.12	0.83	0.58	2.60	0.75	0.47	0.37	0.56	0.51	Venetian blinds	0.5
	<u>Best solu</u>	tion	<u>32.93</u>	<u>63.71</u>	2290.67	<u>0.65</u>	<u>0.58</u>	<u>1.37</u>	<u>0.31</u>	<u>0.41</u>	<u>0.20</u>	0.26	<u>0.48</u>	Venetian blinds	<u>0.5</u>
A2	Recommended	Min	32.77	62.10	2180.64	0.53	0.48	1.37	0.31	0.21	0.20	0.20	0.20	Venetian blinds	0.5
	value range	Max	33.38	66.13	2411.33	0.83	0.58	2.60	0.75	0.70	0.37	0.70	0.59	Venetian blinds	0.5
	<u>Best solu</u>	<u>tion</u>	<u>26.67</u>	<u>54.44</u>	<u>2095.62</u>	<u>0.83</u>	<u>0.58</u>	<u>1.37</u>	<u>0.31</u>	<u>0.23</u>	<u>0.32</u>	<u>0.25</u>	<u>0.20</u>	<u>Venetian blinds</u>	<u>0.5</u>
A3	Recommended	Min	26.21	53.23	2091.54	0.53	0.37	1.37	0.31	0.20	0.22	0.20	0.20	No shading	0.5
	value range	Max	26.88	55.24	2412.14	0.83	0.58	1.40	0.37	0.54	0.50	0.50	0.61	Venetian blinds	0.5
	Best solution		<u>28.17</u>	<u>60.48</u>	<u>2221.61</u>	<u>0.65</u>	<u>0.58</u>	<u>1.37</u>	<u>0.31</u>	<u>0.36</u>	<u>0.20</u>	<u>0.30</u>	<u>0.32</u>	<u>Venetian blinds</u>	<u>0.5</u>
B1	Recommended value range	Min	27.67	59.27	2117.89	0.53	0.42	1.37	0.31	0.21	0.20	0.20	0.20	No shading	0.5
		Max	28.84	62.50	2514.84	0.83	0.58	1.80	0.57	0.43	0.26	0.54	0.60	Venetian blinds	0.5
	<u>Best solu</u>	<u>tion</u>	<u>25.12</u>	<u>62.90</u>	<u>2300.58</u>	<u>0.53</u>	<u>0.58</u>	<u>1.37</u>	<u>0.31</u>	<u>0.45</u>	<u>0.20</u>	<u>0.44</u>	<u>0.39</u>	<u>Venetian blinds</u>	<u>0.5</u>
B2	Recommended	Min	24.82	62.10	2075.51	0.45	0.48	1.37	0.31	0.20	0.20	0.20	0.20	No shading	0.5
	value range	Max	25.41	66.53	2465.89	0.83	0.58	1.40	0.37	0.58	0.33	0.64	0.45	Venetian blinds	0.5
	<u>Best solu</u>	<u>tion</u>	<u>20.65</u>	<u>66.13</u>	<u>2074.37</u>	<u>0.65</u>	<u>0.58</u>	<u>2.20</u>	<u>0.63</u>	<u>0.39</u>	<u>0.30</u>	<u>0.45</u>	<u>0.32</u>	<u>Venetian blinds</u>	<u>0.5</u>
C1	Recommended	Min	20.13	64.52	1983.15	0.45	0.42	1.37	0.31	0.25	0.20	0.31	0.21	Venetian blinds	0.5
	value range	Max	21.10	68.95	2545.29	0.83	0.58	2.60	0.75	0.52	0.55	0.61	0.56	Venetian blinds	0.5
	<u>Best solu</u>	tion	20.39	<u>52.42</u>	2103.57	<u>0.65</u>	<u>0.58</u>	<u>1.40</u>	<u>0.37</u>	<u>0.20</u>	<u>0.20</u>	<u>0.39</u>	<u>0.23</u>	<u>Venetian blinds</u>	<u>0.5</u>
C2	Recommended	Min	20.14	50.40	1988.20	0.53	0.48	1.37	0.31	0.20	0.20	0.23	0.20	No shading	0.5
	value range	Max	21.11	54.84	2404.58	0.83	0.58	2.60	0.75	0.58	0.38	0.65	0.70	Venetian blinds	0.5

Table 11: Energy-saving design solutions for office buildings in the HSCW zone

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3 4 Case study

4 4.1 Description of the case-study building

5 An office building in Shanghai in the A2 climate sub-zone was selected as the case-study building (Figure 15). The research team was involved in the design, construction, and operation 6 management of the case building. The cost-effective passive design solution for the case office 7 8 building in the A2 climate sub-zone proposed in Table 11 was applied during the design phase. In 9 order to evaluate the effectiveness of the design solutions proposed in this paper, the energy 10 consumption for HVAC and indoor thermal parameters is monitored during the operational phase 11 of the case-study building. Meanwhile, subjective evaluation information on occupants' thermal 12 comfort is collected.

The project is located in Changning District, Shanghai. The main function rooms are offices and conference rooms. It is a three-story office building with a floor area of 2866.2 m². The height of the building is 14.9m. The window-wall ratio of the building is 0.21 to the east, 0.29 to the west, 0.29 to the south and 0.27 to the north. An external view of the building is shown in Figure 15.

The U-values of the exterior wall and roof are $0.51W/(m^2 \cdot K)$ and $0.40W/(m^2 \cdot K)$, respectively. Triple glazing (5 low-E +9A+5+9Ar+5) is used in this building. The U-value of an exterior window is $1.5W/m^2 \cdot K$, the solar heat gain coefficient (SHGC) is 0.38, and the airtightness level of the exterior window is grade 8, which means the infiltration rate is about $0.5 h^{-1}$. The COP and EER for heating and cooling of the VRV system are 3.10 and 3.2, respectively. The main parameters meet the value range requirements of solutions proposed in this paper for the A2 climate sub-zone.



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Figure 15: External view of the case-study building : a) Architectural Rendering; b) Photo

To collect the thermal comfort evaluation information of the occupants, a subjective

questionnaire survey on indoor comfort in winter and summer was conducted. The subjective survey mainly included occupants' overall satisfaction with the indoor thermal environment, indoor thermal sensation, indoor air humidity sensation, indoor air freshness, and expectations of the indoor thermal environment. The occupants are the staff in the office building.

31 The survey was conducted from March 4th to 8th, 2019. A total of 78 valid questionnaires were 32 received. During the research period, the average outdoor temperature was 11.8°C, the average 33 indoor temperature was 22.6°C, and the average indoor carbon dioxide concentration was 683PPM. 34 The age, gender, and floor distribution characteristics of the respondents are shown in Table 12. 35 Among them, men accounted for 52.6% and women 47.4%. People who worked on the first floor 36 accounted for 26.9% of the respondents, on the second floor for 46.2%, and on the third floor for 37 26.9%. The proportion of respondents aged between 20 and 40 is the highest, accounting for 87.2% 38 whilst the proportion of respondents aged between 40 and 50 is lowest at 11.5%.

39 The summer survey was conducted from August 5th to 9th, 2019. A total of 101 valid 40 questionnaires were received. During the survey period, the average outdoor temperature was 41 33.2 °C, the average indoor temperature was 25.1 °C, and the average indoor carbon dioxide 42 concentration was 621 PPM. The age, gender, and floor distribution characteristics of the 43 respondents are shown in Table 12. Among them, men accounted for 46.5% and women 53.5%. The 44 first floor accounted for 26.7% of respondents, the second floor accounted for 56.4% and the third 45 floor accounted for 16.8%. The proportion of respondents aged between 20 and 40 is the highest, 46 accounting for 93.1% whilst the proportion of respondents aged between 40 and 50 is lowest at 47 6.9%.

48

Table 12: The age, gender, and floor distribution characteristics of the respondents in winter and summer

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Item	Description	Proportion	
		Winter	Summer
Gender	Male	52.6%	46.5%
	Female	47.4%	53.5%
Age	20~30Y	44.9%	50.5%
	30~40Y	42.3%	42.6%
	40~50Y	11.5%	6.9%
Floor	1 st	26.9%	26.7%
	2 nd	46.2%	56.4%
	3 rd	26.9%	16.8%

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50 **4.2 The incremental cost analysis**

51 The initial investment cost is one of the most important factors influencing the developer's 52 decisions and is one of the most important indicators to determine whether the technology solution 53 can be promoted on a large scale. To analyze the cost of the cost-effective building solution, the 54 initial investment cost and incremental cost of the energy-saving technology measures were 55 calculated based on the actual investment of the case-study project. Compared with the base building, the incremental cost of the cost-effective case-study building is 570 CNY/m², mainly due to the 56 57 provision of high-performance exterior windows and shading. The incremental cost of exterior windows and shading was 471.0 CNY/m², accounting for 82.6% of the total incremental cost. The 58 59 incremental costs of the case-study project were reduced by 38.4% compared to the average 60 incremental costs of typical Nearly Zero Energy Buildings in China [50], which is more acceptable 61 to property developers.

62 **4.3 Monitored data analysis**

Analyzing the monthly energy consumption of air-conditioning systems $(EUI_{H\&C})$ in 2018 and 2019, the annual $EUI_{H\&C}$ in 2018 was 35.6kWh/ m², and in 2019 it was 33.6kWh/ m². As shown in Figure 16, the monthly $EUI_{H\&C}$ trend over the past two years is consistent. The cooling energy consumption is mainly concentrated in July and August, and the heating energy consumption is mainly concentrated in January and February. The $EUI_{H\&C}$ in 2018 was higher than that in 2019. The main reason was that the air-conditioning operation strategy in 2019 was optimized based on the previous year's operating experience, which reduced air-conditioning energy consumption.

As stated in Section 2.1.5, the thermal performance of the envelope and other passive design measures are in accordance with the best solution of the A2 climate sub-zone proposed in this paper. The simulated $EUI_{H\&C}$ of the best solution for the A2 sub climate zone is 32.9 kWh/m² (Table 11), which is 2% different from the measured $EUI_{H\&C}$ for the case-study building in 2019, indicating that the occupants' behavior is well-set and the simulation model is well-calibrated.

33



77 Analyzing the monthly indoor air temperature during working hours (8:00~18:00) in 2018 and 78 2019, it can be seen from Figure 17 that the fluctuation range of indoor temperature in 2019 is 79 smaller and more stable than that in 2018. The indoor air temperature in winter is maintained at 80 22.5°C to 23.5°C, the indoor air temperature during the working period of the transitional season is 81 maintained at 23.5°C to 25°C, and the indoor air temperature in summer is mostly maintained at 82 25.5°C to 26°C. According to GB 50185-2015, the heating setpoint in winter is 20°C and the 83 cooling setpoint in summer is 26° °C. Throughout the year, the indoor temperature is within the 84 comfort range for more than 91.7% of the time and the comfort level indoors was higher in winter, 85 exceeding the heating setpoint by 2.5° C $\sim 3.5^{\circ}$ C.



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75 76

Figure 17: Monthly indoor air temperature during working hours

88 4.4 Subjective survey data analysis

89 Figure 18 shows the results of occupant satisfaction with the indoor thermal environment in

90 winter. From the figure, we can see that, in winter, the overall satisfaction is 91.7% (a); 83.3% of 34

91 occupants wish to maintain the current temperature (b); 50.1% reported feeling uncomfortably dry



92 (c), and 33.3% reported needing more fresh air (d).

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Figure 19 shows the results of occupant satisfaction with the indoor thermal environment in summer. From the figure, we can see that the overall satisfaction in summer is 93.3% (a); 6.7% expect the temperature to be lower, 26.6% expect a higher temperature and the majority of occupants wish to maintain the current situation (b); 20.0% reported feeling dry (c) and only 6.7% reported needing more fresh air (d).



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The comfort demand of different occupants for the same environment varies greatly, but a wellcreated environment should be one that can satisfy more than 90% of the occupants' thermal comfort demands. From the survey results of personal satisfaction with the indoor environment, although most people are satisfied with the indoor temperature, there remains considerable potential to improve the indoor comfort level and reduce energy consumption.

In terms of indoor temperature control, monitoring data shows that the indoor air temperature is maintained at 22.5 $^{\circ}$ C to 23.5 $^{\circ}$ C in winter, which is 2.5 $^{\circ}$ C to 3.5 $^{\circ}$ C higher than the comfortable indoor temperature limit according to GB50189-2015. The survey results also reflect the phenomenon of overheating in winter and overcooling in summer, with 16.7% of occupants in winter thinking that the indoor thermal environment is too hot and 26.6% of occupants in summer thinking that the indoor thermal environment is too cold. This inevitably leads to some unnecessary energy consumption and adjusting the heating and cooling setpoints are recommended. In terms of humidity control, some humidification measures are needed in both summer and winter. In terms of fresh air control, the indoor air quality can be improved by increasing the appropriate volume of fresh air in summer.

121 **5 Discussion**

122 In general, architects are the end users of optimal building design methods, not the inventors 123 of new technologies or methods [51]. In a building's early design stage, architects often do not have 124 sufficient time to perform complex optimization calculations. This study provides a suggestion for 125 standards by proposing recommended ranges for passive design factors in different sub-climate 126 zones through optimization and decision-making processes. The newly-built office buildings in this 127 area can simply refer to the values directly when determining the design parameters, instead of going 128 through such a complex optimization design process. As demonstrated in the case building, the 129 developed optimal solutions provided to the designers performed well in terms of achieving building 130 energy efficiency, indoor thermal comfort, and cost effectiveness.

131 It is worthy of note that the designers selected the high thermal comfort level required by the 132 client. The optimization analysis is only based on the discussion of the level I comfort zone. In 133 addition, as the purpose of this study focuses on the optimization of passive design solutions in the 134 early design stage of buildings, the different HVAC system types and energy use modes are not 135 considered. However, the performance of HVAC systems and occupants' behavior significantly 136 impact on the building's operational building energy consumption [52, 53]. Figure 20 illustrates the 137 interactions between $EUI_{H\&C}$, building energy efficiency improvement and occupant comfort 138 demand. As seen in Figure 20, after conducting the decision-making processes proposed in this 139 paper, building performance is improved from grade 3 to grade 2, and the $EUI_{H\&C}$ is reduced from 140 E3 to E2. If the active improvement measures are taken in the subsequent study, i.e., the performance 141 of HVAC systems is improved, the $EUI_{H\&C}$ will be reduced to E1. All the above analyses are based 142 on comfort level I. If occupants adjust their acceptable comfort range, such as by means of clothing

143 adjustment, the $EUI_{H\&C}$ of different building performance grades will be much lower, which will

144 be E3', E2' and E1' respectively.



145 146

Figure 20: Interactions of energy consumption, building design and occupant comfort demand

In summary, for a real cost-effective building, decision-making for passive design solutions is essential in the early design stage, while in the operation phase, the occupants' comfort needs should be investigated and appropriate HVAC operation strategies implemented. Through climateresponsive passive design and occupant-responsive operation strategies, an optimal balance between building energy consumption and indoor occupant thermal comfort can finally be achieved.

152 6 Conclusions

153 This paper proposes a novel three-stage decision-making process for passive design solutions 154 for office buildings in the HSCW zone to achieve energy efficiency, thermal comfort, and cost-155 effectiveness. This is defined as the reference building identification stage, the sensitivity analysis 156 stage, and the decision-making stage. The advantage of this process is its capacity to support 157 decision-makers in trading-off the goals of energy, comfort, and cost among hundreds of design solutions and rank the alternative options to find the best one. Consequently, the strategy of 'low in 158 159 energy consumption; high in thermal comfort-balanced economy' can be identified for new office 160 building design in the HSCW zone in China.

A case study of an office building in Shanghai demonstrates the feasibility of the decisionmaking process. The post-occupant evaluation survey shows the overall satisfaction with the design solution. The proposed method can be implemented in any other region and country. The main 164 conclusions are as follows:

165 1) It is necessary to identify the reference building for the targeted study area which most 166 reflects the locality and ensures the simulation results are accurate and representative.

2) Sensitivity analysis can help to identify the key factors affecting energy consumption 167 specifically relating to the local climate. The Morris global sensitivity method is applied to calculate 168 169 the sensitivity indexes of each design variable considering the effect of the interaction between 170 variables on the model output results. The program code of the complicated sensitivity analysis 171 process is developed on the Python platform. In this study, for the total heating and cooling energy 172 demand of an office building in the HSCW climate zone, the top 10 design factors are identified as 173 infiltration rate, U-value of the roof, SHGC of the window, U-value of the wall, south window-to-174 wall ratio, west window-to-wall ratio, east window-to-wall ratio, U-value of the window, north 175 window-to-wall ratio, and south-facing shading.

3) The results of multi-objective optimization and multi-criteria decision-making show that the optimal design solution can significantly reduce the annual energy consumption for heating and cooling and reduce the percentage of thermal discomfort hours with a small increase in economic costs. Illustrated as an average for each city, compared to the base solution, the best solutions in each city consume 18.7% less energy, reduce occupant discomfort time by 14.0%, but increase costs by 385.54 CNY/m².

182 4) Via a two-year monitoring of the indoor thermal environment and energy consumption of 183 an office building in the A2 climate sub-zone, one of seven climate sub-zones in the HSCW zone 184 with high cooling demand and medium heating demand, it has been demonstrated that the technical 185 solution proposed in this paper can provide a comfortable indoor thermal environment for office 186 buildings while keeping the annual heating and cooling energy consumption within a low range. In 187 terms of energy, the measured $EUI_{H\&C}$ for this office building was 33.6 kWh/m² in 2019, which 188 is only 2% different from the $EUI_{H\&C}$ predicted by the simulation model proposed in this research, 189 indicating that the simulation model is well-calibrated. In terms of comfort, both the monitoring 190 data and the questionnaire study showed that the indoor environment of this case-study building 191 was within the comfort zone throughout the year. In terms of cost, the incremental cost of the case-192 study building was 570 CNY/m², which was reduced by 38.4% compared to Nearly Zero Energy

Buildings in China and is more acceptable to a property developer. The study demonstrates that the decision-making model established in this paper is appropriate, and the proposed design solution for office buildings in the HSCW zone achieves the desired objectives in terms of energy, comfort, and cost, and provides a feasible cost-effective solution.

197 5) The actual indoor air temperatures in real operation could be higher than the design 198 temperature thanks to the improvement in building performance. Sensing and intelligent control 199 technologies are recommended in the operation stage to avoid overheating of buildings which could 200 cause unnecessary energy waste.

The proposed model in this paper has greatly reduced the number of simulation scenarios through a three-step approach of NSGA-II optimization and decision-making process. Nevertheless, to ensure a high level of accuracy the dynamic simulation of energy consumption is inevitable, which is the most time-consuming part of the model. However, the database generated based on this proposed method can be used for developing a fast energy prediction model using machine learning techniques in future studies.

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