

# *Seasonal effects of the Tibetan plateau on the cyclonic transient eddies: a system-centered view*

Article

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1           **Seasonal Effects of the Tibetan Plateau on Cyclonic Transient**

2                           **Eddies: A System- centered View**

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4                   QIAOLING REN<sup>a</sup>, KEVIN I. HODGES<sup>b</sup>, REINHARD SCHIEMANN<sup>b</sup>,  
5                   YONGJIU DAI<sup>a,c</sup>, XINGWEN JIANG<sup>d</sup>, AND SONG YANG<sup>a,c\*</sup>

6  
7           <sup>a</sup> *School of Atmospheric Sciences, Sun Yat-sen University; Southern Marine Science and Engineering*  
8                           *Guangdong Laboratory (Zhuhai), Guangdong, China*

9           <sup>b</sup> *National Centre for Atmospheric Science, University of Reading, Reading, United Kingdom*

10          <sup>c</sup> *Guangdong Province Key Laboratory for Climate Change and Natural Disaster Studies, Sun Yat-sen*  
11                           *University, Zhuhai, Guangdong 519082, China*

12          <sup>dc</sup> *Institute of Plateau Meteorology, China Meteorological Administration, Chengdu, Sichuan, China*

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20          \*Corresponding author & address: Prof. Song Yang, School of Atmospheric Sciences,  
21          Sun Yat-sen University, 2 Daxue Road, Zhuhai, Guangdong 519082, China. E-mail:  
22          yangsong3@mail.sysu.edu.cn

24 ABSTRACT

25 Using an objective feature tracking algorithm and ECMWF fifth-generation  
26 hourly reanalysis data (ERA5), the seasonal behaviors of cyclonic transient eddies  
27 (cyclones) at different levels around the Tibetan Plateau (TP) were examined to  
28 understand the effects of the TP on cyclones. Results show that the TP tends to change  
29 the moving directions of the remote cyclones when they are close to the TP, with only  
30 2 percent of the 250-hPa eastward-moving cyclones directly passing over the TP. The  
31 sudden reductions of their moving speeds and relative vorticity intensities around the  
32 TP suggest a suppression effect of the plateau. Over 70 percent of these cyclones  
33 perish over the TP regardless of the altitude. This percentage decreases to around 65  
34 percent during summertime, exhibiting a weaker summer suppression effect. On the  
35 other hand, the TP has a stimulation effect on local cyclones through its dynamic  
36 forcing in winter, thermodynamic forcing in summer, and both forcings in the  
37 transitional seasons. The numbers of locally-generated cyclones, especially at 500  
38 hPa, just above the TP, are significantly larger than those of the remote cyclones  
39 during all seasons. Although about half of the local cyclones dissipate over the TP, the  
40 cyclones moving off the plateau significantly outnumber the moving-in cyclones, with  
41 the differences ranging from 0 to 6 cyclones per month. Only the 250-hPa wintertime  
42 moving-off cyclones are fewer than the cyclones entering the TP, which may be  
43 caused by the weaker stimulation effect and stronger suppression effect of the TP on  
44 the wintertime upper-level cyclones.

45  
46 SIGNIFICANCE STATEMENT

47 Cyclonic transient eddies (cyclones), steered by westerly jet streams, can  
48 influence climate and induce extreme weather processes under certain conditions.  
49 Tibetan Plateau (TP), the highest and largest obstacle embedded in the westerly jet  
50 streams, suppresses the remote cyclones entering the TP region, destroying over 70  
51 percent of these cyclones. However, due to the excitation effect of the TP on local  
52 cyclones, the numbers of cyclones moving off the TP are still larger than or equal to

53 those of the moving-in cyclones, except at the upper levels in winter. This feature  
54 suggests that the TP cannot significantly decrease the total cyclone numbers in most  
55 cases, but it indeed weakens the mean intensity and moving speed of the cyclones.  
56

## 57 **1. Introduction**

58 Transient eddies (TEs), an important part of the atmospheric circulation, are  
59 cyclonic or anti-cyclonic disturbances that move with the background flow, including  
60 extratropical cyclones, westerly troughs, shear lines, and other types of weather systems.  
61 They can influence the global climate through the transport of energy and matter  
62 (Peixoto and Oort 1992; Lorenz and Hartmann 2003; Ren et al. 2022) and are also an  
63 important factor affecting local weather processes (Liu et al. 2018; Zhao et al. 2020).  
64 The occurrence and development of TEs are affected by various factors such as the  
65 westerly jet streams, diabatic heating, and topography (Chang et al. 2002; Kang and  
66 Son 2021). In particular, topography as a long-standing fixed external forcing can  
67 significantly affect TEs in a variety of ways, including topographic drag (Ólafsson and  
68 Bougeault 1997), mechanical obstruction (Son et al. 2009), altered temperature field  
69 (Davis 1997), and orographically forced stationary waves (Yu and Hartmann 1995; Park  
70 et al. 2013). Topographic effects substantially depend on the mountain size, shape, and  
71 height as well as the background flow (Qian and Jiao 1995; Yu and Hartmann 1995;  
72 Son et al. 2009). Thus, the interaction between topography and TEs has always been a  
73 key issue in global meteorological research.

74 As a zonally-extending terrain with the highest average elevation and largest area  
75 in the world, the Tibetan Plateau (TP) is linked to the Hengduan Cordillera to the east,  
76 the Iranian Plateau to the west, the Mongolian Plateau to the north, and the Indian Ocean  
77 with abundant water vapor to the south. Thus, the topography around the plateau is  
78 remarkably complex. Numerous studies have shown the vital roles played by the TP in  
79 global climate through thermal forcing in summer and mechanical forcing in winter  
80 (Molnar et al. 2010; Wu et al. 2007, 2012, 2015). In addition, the meridional location  
81 of the TP is the key latitude for the seasonal evolution of the westerly jet stream. From  
82 winter to summer, the jet stream moves from the south to the north of the TP, and it  
83 moves oppositely from summer to winter (Schiemann et al. 2009), indicating the  
84 varying background flow around the plateau. As the westerly jet stream is an important  
85 waveguide and a region that tends to generate TEs, it is necessary to investigate the

86 seasonal effects of the TP on TEs.

87       Based on numerical experiments with and without the TP in a dry global general  
88 circulation model (GCM), Chang (2009) noted that the existence of this large terrain  
89 could significantly suppress the activity of TEs in winter. Park et al. (2010) and Lee et  
90 al. (2013) obtained a similar result through changing the height of the TP respectively  
91 in an atmospheric GCM and in a coupled atmosphere-ocean GCM, and further found  
92 that the suppression effect became weaker in other seasons. They argued that the  
93 weakened eddy seeding-feeding process (Zurita-Gotor and Chang 2005; Penny et al.  
94 2010) induced by the suppression effect of the TP played a role in the midwinter  
95 suppression of the North Pacific storm track, which is a striking phenomenon that TE  
96 activities over the North Pacific are weaker in winter than in fall and spring even  
97 though the low-level baroclinicity peaks in winter (Park et al. 2010; Lee et al. 2013).  
98 Ren et al. (2021) used a nudging method to modify the suppression effect of the TP on  
99 TEs in the NCAR Community Earth System Model and discovered that the suppression  
100 effect could significantly influence East Asian rainfall in early summer through  
101 weakening the westerly jet stream.

102       Nevertheless, several issues in these insightful studies need further explanations.  
103 First, most of their conclusions were obtained based on numerical simulations, which  
104 are sensitive to the models applied (Chang and Lin 2011). Secondly, their results were  
105 mainly based on the Eulerian method of TE diagnosis, using the bandpass-filtered  
106 variance field. This method can easily provide a general measure of TEs, and can be  
107 used in atmospheric heat and momentum budget analyses. However, it cannot show the  
108 features of the frequency, intensity, moving speed, generation, and dissipation situations  
109 of each individual eddy, which can be obtained from system-centered methods (Hoskins  
110 and Hodges 2002; Penny et al. 2010). Thirdly, these studies have mainly focused on the  
111 TEs in the upper troposphere, neglecting the effects of the TP on the mid- and lower-  
112 level TEs while the TP is a large topography soaring into the middle troposphere. Thus,  
113 a study using observed data and system-centered methods to explore the effects of the  
114 TP on the TEs at different altitudes is still needed, which is the motivation of this study.

115       Existing studies from a system-centered perspective often have been focused on

116 the particular types of observed TEs around the TP. For example, based on one-year  
117 500-hPa geopotential height data over 50-60°N, Yeh (1952) noted that most wintertime  
118 low-pressure troughs cannot move across the plateau from the west to the east, which  
119 may be related to the semi-permanent high-pressure ridge induced by the deflection of  
120 the westerly jet stream impinging upon the TP. In summer, the high-pressure ridge to  
121 the north of the TP disappears with the northward movement of the jet stream, and more  
122 low-pressure troughs can move across the plateau. Jiao and Qian (1994) utilized the  
123 500-hPa daily historical synoptic maps around the TP for 5 winters to count the  
124 activities of eastward-moving troughs, whose meridional length is larger than 10  
125 degrees. They found that these troughs often slow down, weaken, and even disappear,  
126 when they approach the western side of the TP, also suggesting the suppression effect  
127 of the TP. Some studies have been focused on the behaviors of westerly disturbances  
128 that account for over 50% of the total annual precipitation in western Himalaya and  
129 Karakoram (Cannon et al. 2016; Hunt et al. 2018; Javed et al. 2022), and the Central  
130 Asian vortexes that can have severe impacts such as rainstorms, snowstorms, and low  
131 temperatures to the northwest of the TP (Zhang et al. 2012; Zhuang et al. 2017). Since  
132 these studies employ a range of different methods and terminologies, a coherent  
133 analysis for all kinds of TEs around the TP region is called for.

134 Moreover, the above studies have mainly concentrated on the eastward moving  
135 eddies influenced by the TP; however, there are many TEs generated around the TP due  
136 to the widely accepted lee cyclogenesis theory and the cyclonic shear induced by the  
137 topographic drag, such as the TP shear lines and the southwest vortexes (Wang 1954;  
138 Guan et al. 2018; Li and Zhang 2019). Other studies also argued that the diabatic heating  
139 forced by high topography in summer was necessary for the generation of TP vortexes  
140 (Zhang et al. 2021; Ma et al. 2022), which can induce heavy rainfall locally and  
141 downstream (Wang 1987; Li et al. 2014; Curio et al. 2019). Previous studies also prove  
142 that the north-south dipole precipitation trend over the TP is closely linked to the  
143 activities of TP vortexes (Li et al. 2021; Li and Zhang 2023). These studies hint the  
144 stimulation effect of the TP on local generation of TEs, which may be different from  
145 the effects of the TP on the remote eddies propagating from outside. Thus, several



146 questions arise naturally. 1) How do the effects of the TP on the remote and local TEs  
147 change with altitudes and seasons? 2) What are the differences between these effects?

148 To answer the above questions, an objective feature tracking algorithm is applied  
149 to a high-resolution reanalysis dataset to analyze and quantify the seasonal behaviors  
150 of the remote and locally-generated TEs around the TP at three commonly used pressure  
151 levels (850, 500, and 250 hPa) focusing on their generation, dissipation, moving path,  
152 and intensity variation. This analysis can reveal the detailed manifestations of the  
153 effects of the TP on TEs. Examining the seasonal cycle is also helpful for understanding  
154 how the effects of the TP on TEs are related to the winter-dominated dynamic and  
155 summer-dominated thermal effects of the TP and the north-south movement of the jet  
156 stream over the TP. According to Hoskins and Hodges (2002), cyclonic eddies are  
157 stronger than anti-cyclonic eddies, and can almost reflect the characteristics of TEs  
158 highlighted by the Eulerian method. Combined with the fact that many impactful TEs  
159 around the TP are cyclonic eddies, this paper is focused on the cyclonic eddies, which  
160 are referred to as cyclones below for the sake of convenience. The used data and feature  
161 tracking method are introduced in section 2. Seasonal effects of the TP on the remote  
162 and locally-generated cyclones are described and compared in section 3. Results are  
163 summarized and discussed in section 4.

164

## 165 **2. Data and methodology**

166 The data used in this study comes from the fifth-generation European Centre for  
167 Medium-range Weather Forecast (ECMWF) atmospheric reanalysis (ERA5), which  
168 provides a much higher temporal and spatial resolution than the previous reanalysis  
169 datasets. It is the latest global climate and weather reanalysis based on the Integrated  
170 Forecasting System Cy41r2 that exhibits large improvements in model physics, core  
171 dynamics, and data assimilation over earlier versions. Vast amounts of historical  
172 observations have been combined into the ERA5 via 4D-Var data assimilation with a  
173 12-h window and variational bias correction. Hourly atmospheric products with a  
174 horizontal resolution of 31 km and 137 levels spanning from land surface to 0.01 hPa

175 are available from the ERA5, which can capture much finer details of atmospheric  
176 phenomena (Hersbach et al. 2020).

177 To identify and track cyclones, the objective feature tracking algorithm developed  
178 by Hodges (1994, 1995, 1999) is used, which can be flexibly adapted to track different  
179 systems based on different fields and constraints, and has been used in previous studies  
180 of midlatitude cyclones (Hoskins and Hodges 2002, 2005), tropical cyclones (Hodges  
181 et al. 2017; Studholme et al. 2022), TP vortices (Curio et al. 2018, 2019). Any suitable  
182 field can be used with the algorithm but usually the sea level pressure, 500-hPa  
183 geopotential height, or relative vorticity is used. The relative vorticity is usually used  
184 as it is less influenced by the large-scale background flow. Moreover, it can focus on  
185 more smaller scales to allow systems to be identified much earlier in their life cycles  
186 (Hoskins and Hodges 2002). As there may be many small-scale cyclones induced by  
187 the TP, hourly ERA5 relative vorticity fields from 1979 to 2021 at 850, 500 and 250  
188 hPa have been chosen to identify the cyclones around the TP. The 500 hPa level is just  
189 above the surface of the TP, while the 850 and 250 hPa levels are typical representatives  
190 of the lower and upper atmosphere.

191 Since the relative vorticity at high resolution is a very noisy field, it is first  
192 spectrally filtered to T63 to remove small-scale noise. The large-scale background with  
193 total wavenumbers  $n \leq 5$  is also removed to focus on synoptic-scale cyclones. Then,  
194 the objective feature tracking algorithm identifies cyclone centers as the off-grid  
195 maxima above a threshold of  $1.0 \times 10^{-5} \text{ s}^{-1}$  for all three levels using the B-spline  
196 interpolation and a steepest ascent/descent optimization (Hodges 1995). Next, the  
197 tracking is performed by first initializing as set of tracks by linking the identified  
198 cyclone centers together in a time order using a nearest-neighbor approach. These tracks  
199 are then refined through the minimization of a cost function for track smoothness based  
200 on direction and speed, using adaptive constraints for displacement distance and track  
201 smoothness (Hodges 1994, 1999). In general, the higher the temporal resolution, at a  
202 fixed spatial resolution, the more reliable the cyclone tracking might be expected to be  
203 (Curio et al. 2018). Although this paper is focused on the cyclones around the TP, the  
204 cyclones throughout the whole northern hemisphere have been tracked to ensure the

205 integrity of the cyclones through their life history, especially those with long lifetimes  
206 and long travel distances. Besides, the cyclones that persist for at least one day and  
207 travel more than 500 km have been chosen to focus on the mobile cyclones.

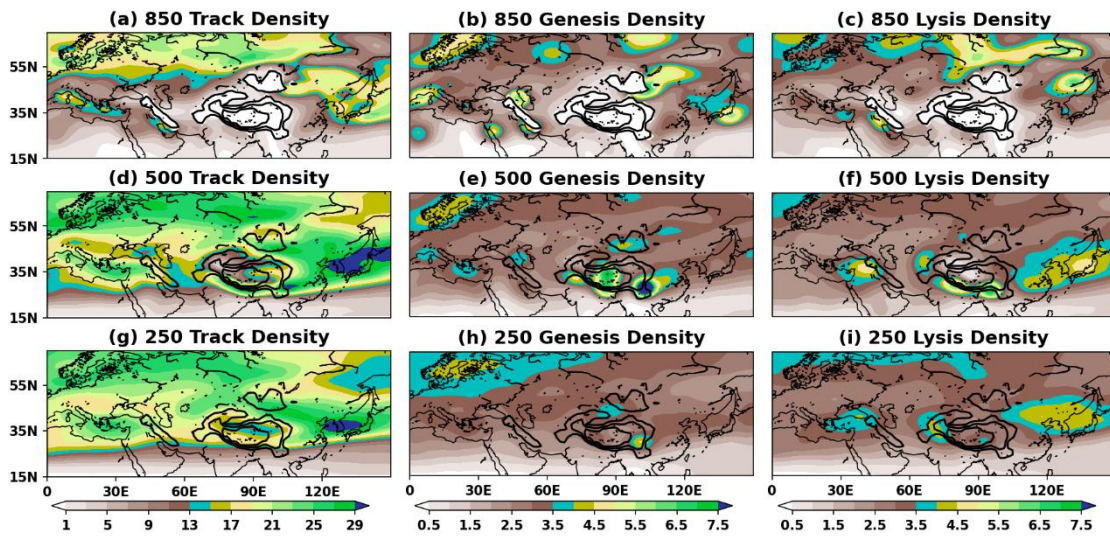
208 Then, cyclones interacting with the TP have been selected by choosing those  
209 whose shortest distance from the TP is less than the cyclone size. Among these selected  
210 cyclones, if the shortest distances between the cyclone genesis points and the TP are  
211 less than their cyclone sizes, these cyclones are further defined as local cyclones  
212 generated over the TP, while the others are the remote cyclones that are created at other  
213 places but can pass over the TP. Similarly, a cyclone with the shortest distance between  
214 its cyclolysis point and the TP less than the cyclone size is regarded as a cyclone dying  
215 over the TP, while the others are the cyclones that can move out of the TP. Here the TP  
216 is defined as the region bounded by 23~45°N/60~110°E, and where the altitude is  
217 higher than 1500 m, excluding the Mongolian Plateau. The cyclone size varies with the  
218 type, development stage, and geographic location of the cyclone (Hawcroft et al. 2012;  
219 Zappa et al. 2015; Curio et al. 2019). There are various methods to define the cyclone  
220 size, but none can accurately measure it (Rudeva and Gulev 2007; Dai and Nie 2022).  
221 Considering that the cyclones analyzed in this study involve both the small-scale TP  
222 vortices and the mesoscale extratropical cyclones, the cyclone size is roughly set at 6°  
223 to define the remote cyclones that move into the TP, locally-generated cyclones over  
224 the TP, and the cyclones that dissipate over the TP, Besides, the cyclone size of 3° has  
225 also been used to select the above cyclones and similar results have been obtained (not  
226 shown).

227 Similar to previous studies, the climatological spatial statistics are calculated from  
228 the cyclone tracks to produce track, genesis, and lysis densities using the spherical  
229 kernel approach (Hodges 1996). The genesis (lysis) density is calculated from the  
230 starting (ending) point of a cyclone lifetime excluding any cyclones that start (end) at  
231 the first (last) time step, while the track density refers to the number of cyclones per  
232 unit area passing through a region. The units of these densities are the number per month  
233 per unit area that is equivalent to a 5° radius spherical cap, an area of about 10<sup>6</sup> km<sup>2</sup>.  
234 Note, that regions of high density just mean the preferred regions for cyclones to occur,

235 and individual cyclones can also appear in other regions. Mean attributes of cyclones  
 236 over particular regions have also been calculated, including intensity, moving speed,  
 237 growth rates, and lifetime. Since attributes calculated from a small sample are less  
 238 reliable, results where the track densities are lower than one cyclone per month per unit  
 239 area are not shown in the following figures.

240

### 241 3. Results



242

243 **FIG. 1.** Annual average (left) track, (center) genesis, and (right) lysis densities  
 244 (shading; the number of cyclones per month per unit area) of all (upper) 850-hPa,  
 245 (middle) 500-hPa, and (bottom) 250-hPa cyclones. Thick black contours indicate the  
 246 elevation of 1500, 3000, and 4500 m.

247

248 Before focusing on the cyclones passing through the plateau, the annual average  
 249 distribution of all cyclones in an extended region are shown in Fig. 1 to help us  
 250 understand the roles played by the TP in the cyclones. It can be seen that the track  
 251 densities at all altitudes are large over northern Europe, western Russia, East Asia, and  
 252 the Mediterranean, consistent with previous studies (Hoskins and Hodges 2002). These  
 253 track densities fall away rapidly to the south due to the weak baroclinicity at low  
 254 latitudes. Close to the TP, the 850-hPa track densities suddenly decrease to a value less  
 255 than 3 cyclones per month per unit area (Fig. 1a). Variations in the 500-hPa track  
 256 densities are more dramatic when the tracks encounter the TP, decreasing from 15 to 3

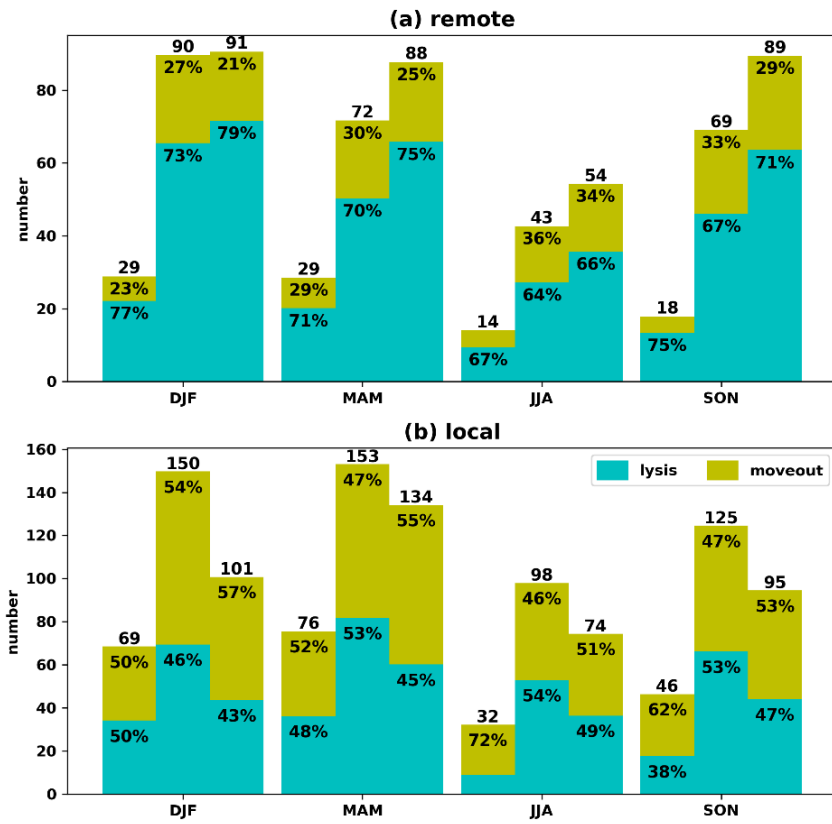
257 over a small distance. However, the lowest 500-hPa track density is mainly confined to  
258 the western TP while it starts to increase over the eastern TP (Fig. 1d). The 250-hPa  
259 track densities over the TP have the lowest value around 11 over a small area of the  
260 northern TP, but are larger than those at 500 hPa (Fig. 1g), hinting that there may be  
261 more cyclones passing through the TP at the upper levels.

262 Due to the complex topography near the surface, several high 850-hPa genesis  
263 density regions can be seen around the Scandinavian Peninsula, the Alps, the Ural  
264 Mountains, the Caspian Sea, the southwestern Iranian Plateau, the central Siberian  
265 Plateau, the Mongolian Plateau, and southern Japanese Island, all of which decrease  
266 with altitude (middle column in Fig. 1). However, there are two high 500-hPa genesis  
267 densities over the southeastern and central TP, much stronger than the others, signifying  
268 the stimulation effect of the TP on local cyclogenesis. High genesis density region over  
269 the southeastern TP can extend to the upper level while that over the central TP  
270 disappears at 250 hPa (Figs. 1e and h), implying that the local cyclones generated over  
271 the central TP may be mostly shallow systems. Besides, it is apparent that the western  
272 and southern borders of the TP are the main cyclolysis regions (except for East Asia  
273 and the Iranian Plateau), where 500- and 250-hPa cyclolysis occurs frequently (Figs. 1f  
274 and i), further suggesting the suppression effect of the TP on the remote cyclones  
275 entering the TP region. The fact that the 850-hPa lysis densities around the TP are lower  
276 than those over Russia may be related to the small track densities around the TP at this  
277 level (Fig. 1c).

278 In general, Fig. 1 shows that the TP exerts a suppression effect on remote cyclones  
279 and a stimulation effect on local cyclones. To evaluate and compare these two effects,  
280 Fig. 2 shows the numbers of the remote and local cyclones per season as well as the  
281 percentages of those cyclones dying over the TP. Clearly over 73% of the wintertime  
282 remote cyclones die out over the TP regardless of the altitude, and the percentage  
283 gradually drops to around 64% in summer, exhibiting a weak summertime suppression  
284 effect (Fig. 2a). The numbers of local cyclones are always larger than those of remote  
285 cyclones. While the numbers of remote cyclones increase with altitude for all seasons,  
286 consistent with the track densities shown in Fig. 1, the numbers of local cyclones are

287 always largest at 500 hPa, consistent with Fig. 1e, suggesting a strong stimulation effect  
 288 just above the surface of the TP. The numbers of local cyclones at all three levels are  
 289 maximized in spring and minimized in summer, while the numbers of remote cyclones  
 290 peak in winter, highlighting a particular seasonal variation of the stimulation effect (Fig.  
 291 2). To further understand the detailed manifestations of these two effects and their  
 292 seasonal variations, the seasonal behaviors of the remote and local cyclones are further  
 293 examined below.

294

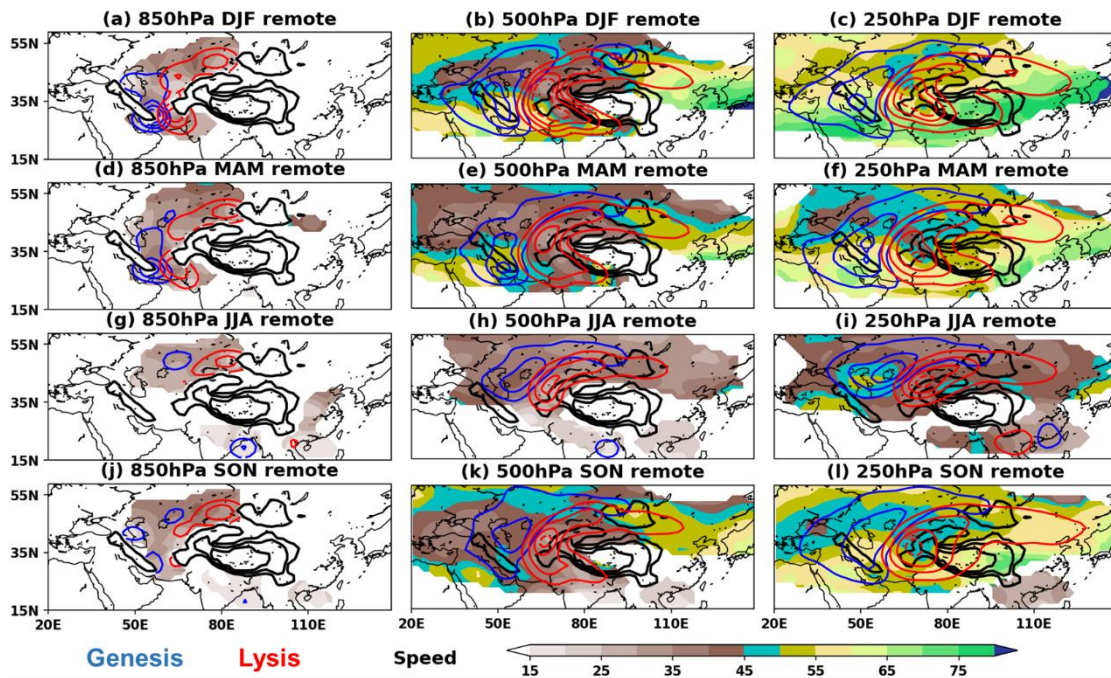


295

296 **FIG. 2.** Numbers of (a) remote cyclones moving eastward into the TP and (b) local  
 297 cyclones generated around the TP per season, averaged over 41 years, with the three  
 298 bars in each season representing the 850-, 500-, and 250-hPa cyclones, respectively.  
 299 Cyan parts represent the cyclones that dissipate over the TP while yellow parts signify  
 300 the cyclones that move out of the TP. Their percentages relative to the total numbers  
 301 of cyclones at each level for each season, which are denoted on the top of each bar,  
 302 are marked in each part if the percentages are large enough.

303

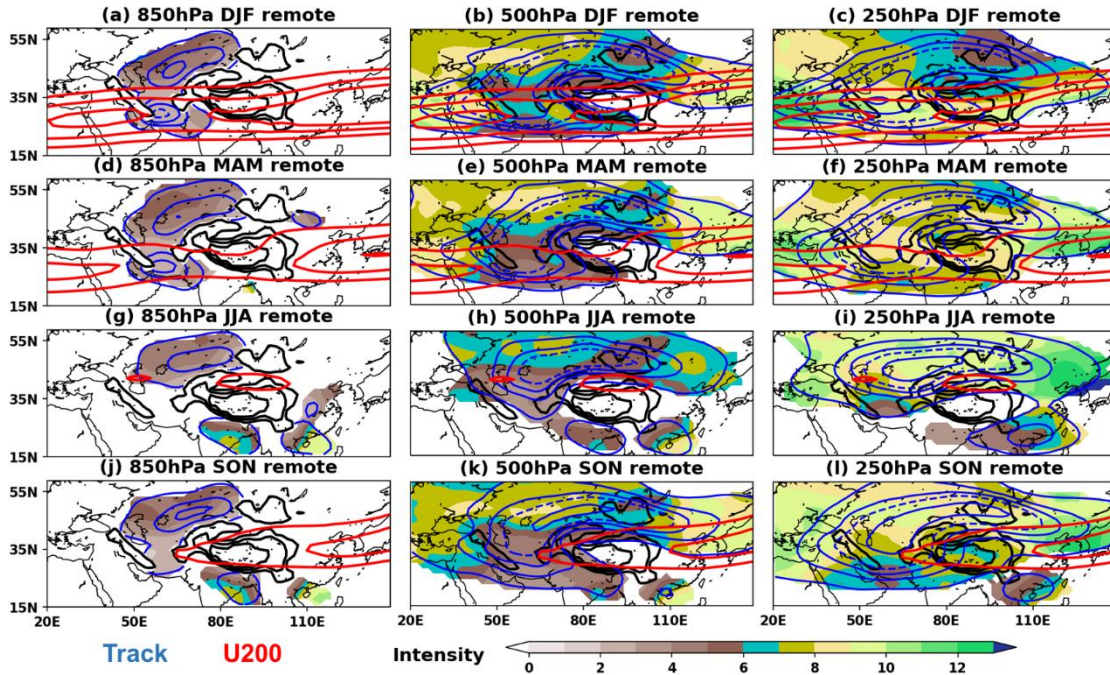
304 a. *TP effects on remote cyclones*



305

306 **FIG. 3.** Climatological genesis densities (blue contours), lysis densities (red contours)  
307 and mean phase speeds (shading;  $m s^{-1}$ ) of (left) 850-hPa, (center) 500-hPa, and  
308 (right) 250-hPa remote cyclones for each season: (first row) winter, (second row)  
309 spring, (third row) summer, and (fourth row) autumn. Contour values are 1, 2, 3, 4  
310 (dashed), 7, 10, and 13 cyclones per month per unit area for both blue and red  
311 contours. Mean phase speed is not shown for track densities below 1. Thick black  
312 contours indicate the elevation of 1500, 3000, and 4500 m.

313



314

315 **FIG. 4.** Climatological track densities (blue contours) and mean intensities (shading;  
 316  $10^{-5} \text{ s}^{-1}$ ) of (left) 850-hPa, (center) 500-hPa, and (right) 250-hPa remote cyclones  
 317 for each season: (first row) winter, (second row) spring, (third row) summer, and  
 318 (fourth row) autumn. Track density contours are 1, 3.5, 6, 8.5 (dashed), 13.5, and 18.5  
 319 cyclones per month per unit area. Mean intensity is not shown for track densities  
 320 below 1. The 200-hPa westerly jet stream is indicated using the 30, 40, and 50 m/s red  
 321 contours of 200-hPa zonal wind. Thick black contours indicate the elevation of 1500,  
 322 3000, and 4500 m.

323

324 Previous studies have shown that the westerly jet stream, together with strong  
 325 atmospheric baroclinicity, is closely related with cyclone intensities and can influence  
 326 the trajectories and moving speeds of cyclones (Chang et al. 2002; Holton 2004). Thus,  
 327 the seasonal characteristics of the remote cyclones and the 200-hPa westerly jet stream  
 328 are displayed in Figs. 3 and 4. As shown in Fig. 3a, the preferred genesis region for  
 329 wintertime 850-hPa remote cyclones is the leeside of the Iranian Plateau (see blue  
 330 contours), with a maximum genesis density to the southeast of the Iranian Plateau,  
 331 consistent with the lee cyclogenesis theory. These cyclones mainly die out to the west  
 332 of the TP, along the 1500-m isohypse, with high lysis densities over the southwestern



333 and northwestern corners of the TP and the upstream region of the Mongolian Plateau  
334 (Fig. 3a). This configuration suggests that the low-level cyclones tend to turn north or  
335 south when they encounter the TP, exhibiting as two bands of high track densities  
336 relatively located to the north and south of the TP (blue contours in Fig. 4a). This feature  
337 reflects the diversion effect of the TP on remote cyclones. Due to the blockage of the  
338 TP, the activities of low-level cyclones are mainly confined to the west of 90°E.

339 At the middle and higher levels, the spatial distributions of remote cyclones are  
340 similar to those of the low-level cyclones, but covering a larger area. Specially, the  
341 preferred genesis regions extend further westward to the Mediterranean and  
342 northeastward to the Mongolian Plateau. The preferred lysis regions spread to 117°E  
343 for the northern branch and 92°E for the southern branch, with the highest lysis densities  
344 to the west of the TP. This feature of distribution appears like a letter “C”, but with a  
345 longer upper branch (Figs. 3b and c). The northern and southern branches thus have  
346 higher track densities and longer moving distances than those of the low-level cyclones.  
347 Some northern cyclones can move into East Asia (Figs. 4b and c). It is worth noting  
348 that there are also no values over the central TP at the 500hPa, suggesting that no  
349 cyclone can move across the TP in this level while some 250-hPa cyclones can do this.  
350 However, more cyclones die over the TP at 250 hPa (79%) than at 500 hPa (73%),  
351 which is also observed in the other seasons (Fig. 2a). It suggests that the diversion effect  
352 of the TP decreases with altitude, leading to more 250-hPa cyclones directly moving  
353 into the TP and dying over the plateau. Only about 2 percent of the upper-level cyclones  
354 that can move eastward to East Asia across the TP.

355 Consistent with the seasonal variation of the background flow (Fleming et al. 1987),  
356 the distributions of remote cyclones in spring are similar to those in winter but with  
357 slightly weaker maximum densities (first two rows in Figs. 3 and 4). In summer, due to  
358 the northward shift of atmospheric baroclinicity, reflected in the westerly jet stream, the  
359 preferred activity regions for the remote cyclones entering the TP shrink to the north,  
360 and the southern branch disappears. Thus, the diversion effect of the TP becomes weak  
361 in summer. The weakened gradients of the track densities to the west of the TP in  
362 summer also suggest a weak suppression effect of the TP, which is also reflected in Fig.

363 2a. The decreased distances traveled by the remote cyclones may be related to their  
364 weak intensities and small moving speeds, which result from the weak baroclinicity and  
365 slow background flow in summer. Besides, some tropical cyclones are generated over  
366 the Bay of Bengal and the South China Sea, and they move eastward to interact with  
367 the TP under the control of the tropical easterly jet stream (third row in Figs. 3 and 4).  
368 The behaviors of the remote cyclones in autumn are comparable to the conditions in  
369 spring, but with fewer southern cyclones (fourth row in Figs. 3 and 4). Furthermore, it  
370 can be seen that the spatial and temporal distributions of remote cyclones are consistent  
371 in all three levels (Figs. 3 and 4), implying the high probability for deep cyclones to  
372 occur in the remote cyclones.

373 In addition to the decrease in cyclone densities over the TP, the effects of the TP on  
374 the remote cyclones are also reflected in their sudden decrease in moving speeds and  
375 relative vorticity intensities around the TP, shown as the shadings in Figs. 3 and 4. The  
376 decrease in moving speeds mainly occurs upstream of the TP and the Mongolian Plateau,  
377 overlapping the increase in their lysis densities at all three levels and during all seasons,  
378 further reflecting the blocking effect of the topography (Fig. 3). Since the moving  
379 speeds depend on the background flow, northern cyclones accelerate when they move  
380 into the westerly jet stream after bypassing the TP from the north (Figs. 3b, c, e, f, k,  
381 and l). This feature also explains that the summertime upper-level remote cyclones  
382 accelerate to the north of the TP (Fig. 3i) and that the southern branch, co-located with  
383 the westerly jet stream, has a larger moving speed than the northern branch in the middle  
384 and high levels during winter and spring (Figs. 3b, c, e, and f).

385 Decreases in the relative vorticity intensities appear to the west of the TP at the low  
386 and middle levels, co-located with the westerly jet stream where the atmospheric  
387 baroclinicity is strong (Fig. 4), signifying a strong suppression effect of the TP. This  
388 phenomenon is noticeable during all seasons except summer when the southern branch  
389 disappears and the traveled distances of remote cyclones are short due to the weak  
390 westerly jet stream (third row in Fig. 4). Besides, another weakening feature appears  
391 over the Mongolian Plateau at 500 hPa during all seasons (middle column in Fig. 4),  
392 possibly by the Mongolian Plateau or TP induced northern high-pressure ridge, as

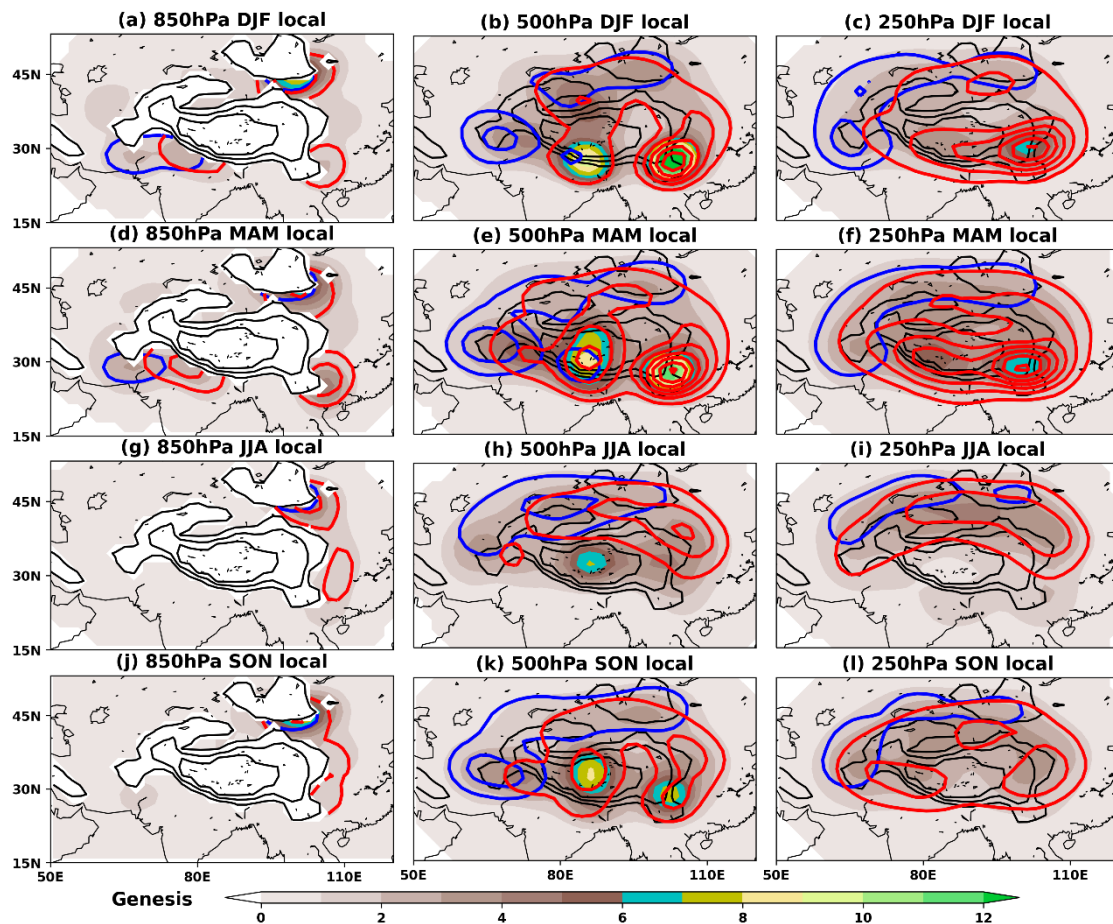
393 suggested by Yeh (1952). This weakening is also marked at 250h Pa during winter and  
394 spring (Figs. 4c and f), when the TP induced northern high-pressure ridge is strong due  
395 to the powerful westerly jet stream. Consistent with the variation of the moving speeds,  
396 there is an increase in the cyclone intensities over northern East Asia. In addition, the  
397 intensities of winter and spring southern cyclones undergo a re-intensification to the  
398 southwest of the TP in all levels especially at 500 hPa (Figs. 4b and e). This feature may  
399 be induced by latent heat feedback, since the southern cyclones in winter and spring  
400 can carry ample water vapor from the Mediterranean, the Red Sea, Persian, and the  
401 Arabian Seas, which is blocked by the TP to produce heavy precipitation to the  
402 southwest of the TP (Cannon et al. 2016).

403

#### 404 *b. TP effects on local cyclones*

405 The seasonal average genesis densities of the local cyclones generated over the TP  
406 are shown in Fig. 5. There are two areas of high genesis densities over the southeastern  
407 corner and the southernmost border of the TP during winter, which exists in all three  
408 levels but with a maximum at 500 hPa (first row in Fig. 5), consistent with Fig. 2b.  
409 According to previous studies, the former preferred genesis region may be related to  
410 the enhancement of potential vorticity caused by the stretched air column downstream  
411 of the plateau (Holton 2004; Wang and Tan 2014), while the latter may be produced by  
412 the friction and topographic drag induced cyclonic shear under the background of  
413 strong westerly winds (Wang 1954; Luo and Wei 1985). One may argue about the role  
414 of atmospheric baroclinicity in the cyclogenesis, but the spatial distribution of genesis  
415 densities around TP is inconsistent with the meridional gradient of potential  
416 temperature (not shown), hinting that the above two high genesis densities are both  
417 dynamically induced. These two mechanisms can also be applied to explain the above-  
418 mentioned re-intensification of the southern remote cyclones in the small open area  
419 behind the southwestern corner of the TP (Figs. 4b and e). Besides, another 850-hPa  
420 cyclogenesis preferred area is located downstream of the Mongolian Plateau (Fig. 5a),  
421 which can be viewed as the lee cyclogenesis of the Mongolian plateau.

422



423

424 **FIG. 5.** Climatological (left) 850-hPa, (center) 500-hPa, and (right) 250-hPa genesis

425 densities of locally-generated cyclones (shading) for each season: (first row) winter,

426 (second row) spring, (third row) summer, and (fourth row) autumn. Blue (red)

427 contours represent the genesis densities of secondary locally-generated cyclones that

428 may be induced by the pre-existing remote (local) cyclones at the closest altitudes,

429 which are (left) 500, (center) 250, and (right) 500 hPa. These specific vertical levels

430 are determined from Fig. 6. Contour values are 0.5, 1, 1.5, 2, and 2.5 cyclones per

431 month per unit area for both blue and red contours. Thick black contours indicate the

432 elevation of 1500, 3000, and 4500 m.

433

434 In spring, as the westerly jet stream weakens, the two high genesis densities seen

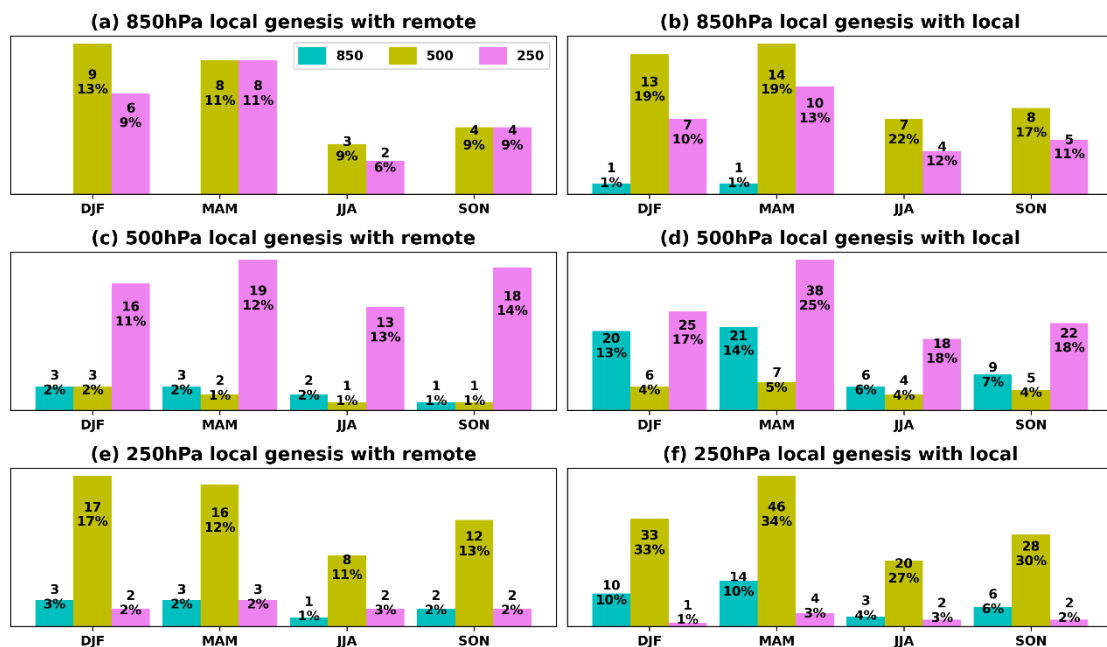
435 in DJF decrease somewhat at 500 hPa but slightly increase at 250 hPa (Figs. 5e and f).

436 The increase in 850-hPa southeast genesis densities may be caused by the gradual

437 increase in water vapor over this region during spring, which can supply latent heating

438 to enhance the low-level relative vorticity (Fig. 6d). Another high genesis densities

439 induced by the gradually increasing TP diabatic heating appear over the central TP (Fig.  
 440 5e), but only exist at 500 hPa, signifying the high probability of these local cyclones  
 441 with shallow structures, consistent with the result from other studies focusing on TP  
 442 vortices (Li et al. 2014; Curio et al. 2019). All of these phenomena lead to the springtime  
 443 maximized numbers of local cyclones at all three levels (Fig. 2b), indicating the  
 444 strongest stimulation effect in spring. During summer, although the TP diabatic heating  
 445 is maximized, the high genesis densities over the central TP are weaker than those in  
 446 spring, which may be related to the weaker and more northerly located westerly jet stream  
 447 (Fig. 5h) (Curio et al. 2019). The preferred genesis regions at 250 hPa move with the  
 448 westerly jet stream to the north of the TP (Fig. 5i). High genesis densities at all three  
 449 levels disappear over the southeastern TP (third row in Fig. 5), further suggesting that  
 450 their appearance is associated with the interaction between the westerly jet stream and  
 451 the southeastern TP. The cyclone formation in autumn is similar to that in spring but  
 452 with a weaker magnitude (fourth row in Fig. 5), consistent with the seasonal variations  
 453 of the westerly jet stream.  
 454



455  
 456 **FIG. 6.** Seasonal numbers of (upper) 850-hPa, (middle) 500-hPa, and (bottom) 250-  
 457 hPa local cyclogenesis accompanied with the pre-existing (left) remote and (right)  
 458 local cyclones at 850 hPa (cyan bars), 500 hPa (yellow bars), and 250 hPa (purple

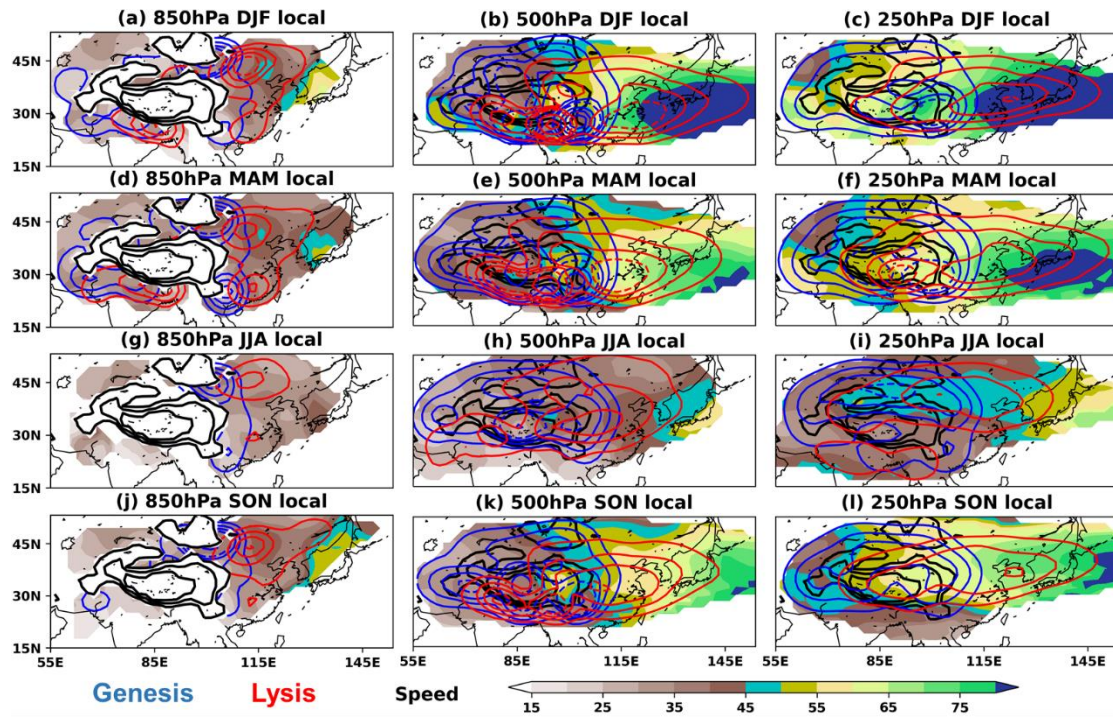
459 bars). The numbers and their percentages relative to the total locally-generated  
460 cyclones at each level for each season are marked on each bar.

461

462 As is well-known, pre-existing cyclones can also trigger cyclogenesis at the same  
463 or other altitudes. For example, TP vortices are favorable for the cyclogenesis over the  
464 southwestern China through anomalous cyclonic circulations, convergence, ascending  
465 motion, and moisture transport (Li et al. 2017, 2020b). A shallow cyclone can develop  
466 into a deep cyclone under certain conditions and thus induce cyclogenesis at other  
467 altitudes. Westerly trough moving from the upstream can split into small-scale westerly  
468 troughs to the north and south of TP (Qian and Jiao 1995). To estimate the contributions  
469 of pre-existing remote and local cyclones to the cyclogenesis over the TP, an  
470 accompanied local cyclone is defined if other cyclones pass within 6 degrees of its  
471 starting point at the moment of its formation. Contours shown in Fig. 5 refer to the 850,  
472 500, and 250-hPa genesis densities of these cyclones that may be induced by the pre-  
473 existing cyclones at 500, 250, and 500 hPa, respectively. For example, blue contours in  
474 Fig. 5a suggest that some 850-hPa local cyclogenesis to the southwestern TP may be  
475 induced by 500-hPa remote cyclones. Contributions of the pre-existing cyclones at  
476 other levels are estimated in Fig. 6, which are lower than those shown in Fig. 5,  
477 suggesting that local cyclogenesis is more likely to be induced by the pre-existing  
478 cyclones at the closest altitudes.

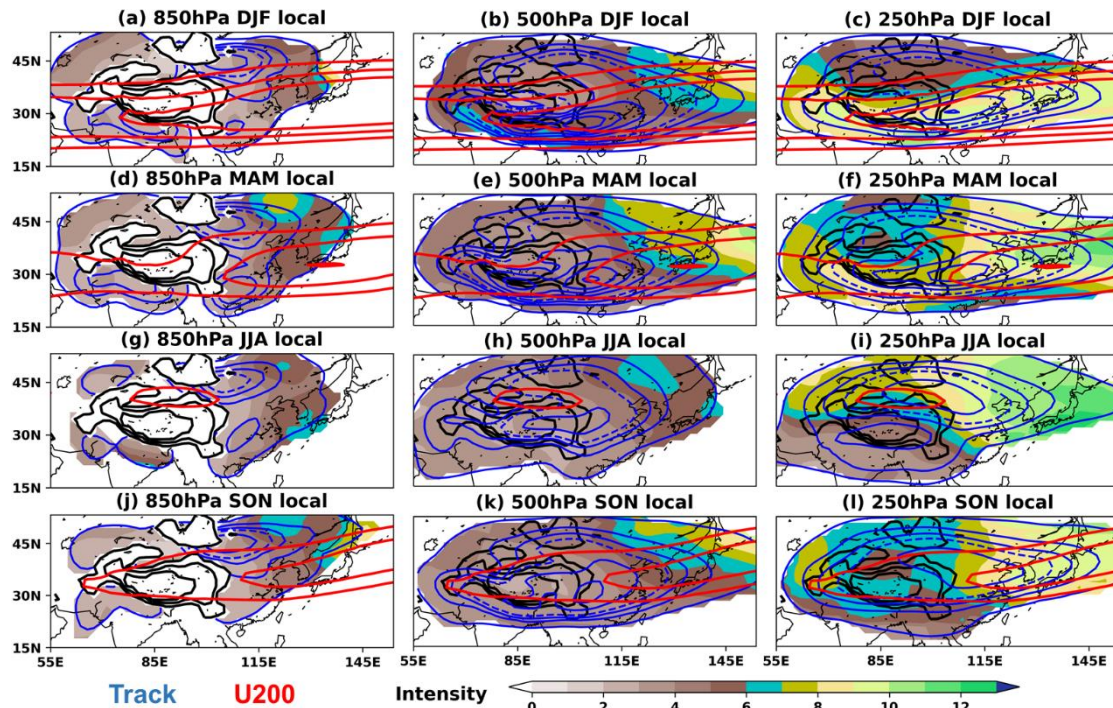
479 Left column in Fig. 6 shows that no matter at which levels and which seasons, only  
480 around 10% of local cyclogenesis may be contributed by remote cyclones, which are  
481 located over the western and northern parts of the TP (blue contours in Fig. 5). The  
482 contributions of pre-existing local cyclones to local cyclogenesis are around 20%, even  
483 up to 30% at 250 hPa (right column in Fig. 6), higher than those of remote cyclones,  
484 implying that some local cyclones may be indirectly caused by the TP. The preferred  
485 regions of these secondary local cyclogenesis are over the southeastern and central TP  
486 during all seasons except summer (red contours in Fig. 5). Local cyclogenesis  
487 accompanied by pre-existing local cyclones occurs more frequently in spring than in  
488 winter, which may be related to the slightly weaker westerly jet stream allowing

489 cyclones more time to develop into other altitudes. This may be used to justify the slight  
 490 increase in the 250-hPa genesis densities over the southeastern TP in spring (Fig. 5f).  
 491 If the accompanied local cyclone is defined by the distance of 3 degrees between the  
 492 pre-existing cyclones and the cyclogenesis over the TP, the contributions of pre-existing  
 493 cyclones to local cyclogenesis decrease, but their relative magnitude distribution and  
 494 seasonal variations (not shown) are similar to those shown in Figs. 5 and 6.  
 495



496  
 497  
 498

**FIG. 7.** As in Fig. 3, but for locally-generated cyclones around the TP.



499

500 **FIG. 8.** As in Fig. 4, but for locally-generated cyclones.

501

502 The basic characteristics of local cyclones have also been examined in Figs. 7 and  
 503 8. High lysis densities to the south of the TP nearly overlap with the high genesis  
 504 densities, showing the short lifetime and short moving distance of these cyclones  
 505 generated by the frictional cyclonic shear (Figs. 7a-f). These cyclones can still  
 506 contribute a large part to the 500-hPa high track densities to the south of the TP (Figs.  
 507 8b and e). Cyclones forming over the leeside of the TP and the Mongolian plateau are  
 508 more likely to move northeastward and southeastward, forming two bands of high track  
 509 density to the north and south of East Asia. These two bands converge near the Yellow  
 510 Sea at the middle and high levels during winter and spring, implying the long traveling  
 511 distances of these cyclones (Figs. 8b, c, e, and f). Consistent with the seasonal variations  
 512 of the genesis densities, the 500-hPa southern band of high track density moves to the  
 513 central TP during summer, different from that at other levels, again signifying that these  
 514 local cyclones possess predominantly shallow vertical structures, as is known for TP  
 515 vortices. Due to the slow background flow in summer, the distances traveled by the  
 516 local cyclones are significantly shorter than those in other seasons (third rows in Figs.  
 517 7 and 8).



518            Similar to the behaviors of remote cyclones, the mean moving speeds of local  
519 cyclones are also influenced by the background flow, which increase with altitude and  
520 maximize in winter (shading in Fig. 7). The mean intensities of the southern branch,  
521 co-located with the westerly jet stream, are stronger than those of the northern branch  
522 at the middle and high levels during winter and spring. However, the northern branch  
523 at all levels can undergo a significant intensification downstream during all seasons,  
524 similar to the northern remote cyclones. During summer and autumn, the northern  
525 branch is stronger than the southern branch due to the poleward shift of atmospheric  
526 baroclinicity (shading in Fig. 8). Clearly, the intensities of the local cyclones over the  
527 TP are weaker than those of the remote cyclones over the TP or upstream (Figs. 4 and  
528 8).

529

#### 530 **4. Summary and discussion**

531            While previous studies were mainly focused on the effects of the TP on the westerly  
532 trough or TP vortices, in this study the effects of the plateau on all kinds of remote and  
533 local cyclones during all four seasons are comprehensively presented and compared  
534 using an objective feature tracking algorithm. Similar to the diversion effect of the TP  
535 on the wintertime circulation (Wu et al. 2007), the TP also tends to change the moving  
536 directions of remote cyclones when they approach the TP, leading to the northern and  
537 southern bands of high track densities. Only some northern cyclones move into East  
538 Asia. This diversion effect decreases with altitude, and thus some 250-hPa cyclones can  
539 directly pass over the TP from the west to the east. The TP also exhibits a suppression  
540 effect on remote cyclones, reflected in the decrease in track densities over the plateau  
541 and the suddenly-weakened moving speeds and intensities of remote cyclones upstream  
542 of the TP. Over 70% of these remote cyclones dissipate over the TP regardless of the  
543 altitude, which slightly decreases to around 65% during summer, implying a weak  
544 summertime suppression effect. This weak suppression effect is also manifested by the  
545 small decreases in the moving speeds and intensities of summertime remote cyclones  
546 when they encounter the TP. The diversion effect also becomes weakened in summer

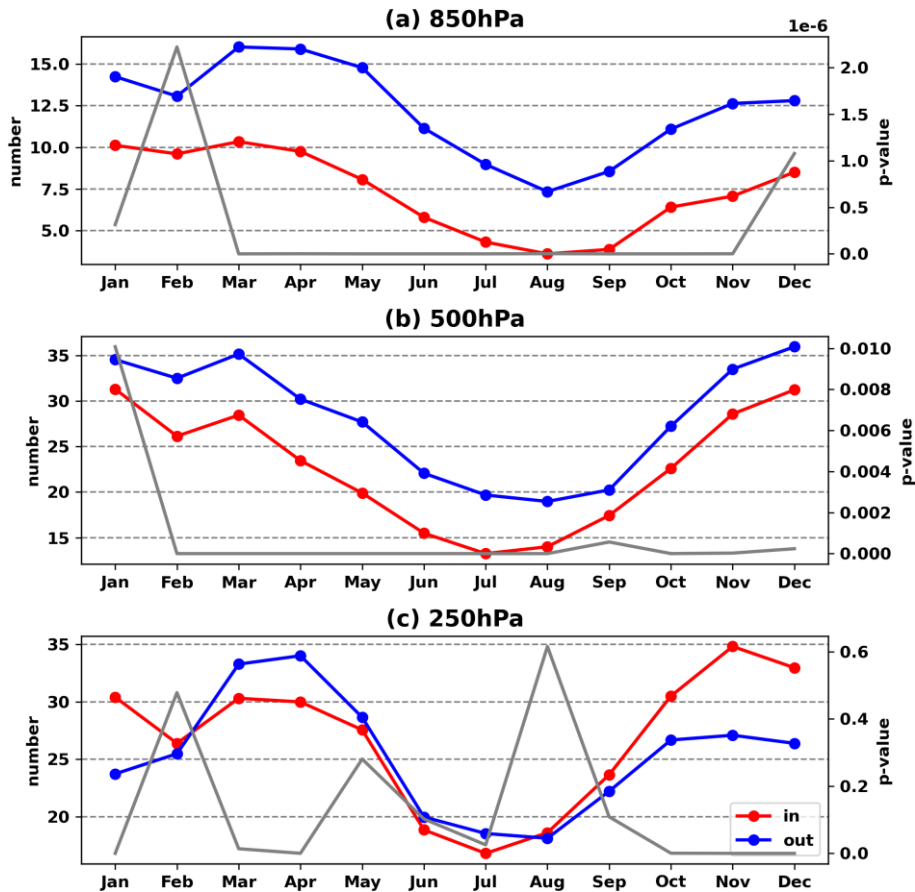
547 since the main trajectories of remote cyclones move with the westerly jet stream to the  
548 north of the TP.

549 On the other hand, the TP also plays a strong stimulation effect on local  
550 cyclogenesis mainly through its dynamic forcing in winter, thermodynamic forcing in  
551 summer, and both forcings in the transitional seasons. Dynamic forcing, associated with  
552 the westerly jet stream, tends to induce cyclones over the southeastern corner and the  
553 southernmost border of the TP, while thermodynamic forcing favors the formation of  
554 cyclones over the central TP. Due to the relatively strong westerly jet stream and the  
555 gradually-enhanced TP thermodynamic forcing in spring, the stimulation effect of the  
556 TP in spring is stronger than that in winter. This effect is minimized in summer when  
557 the dynamic forcing of the TP weakens. The genesis densities of local cyclones are  
558 maximized just above the TP during all seasons. Their track densities also vary with  
559 altitude, reflecting the fact that most of these local cyclones are shallow, consistent with  
560 the vertical configuration of TP vortices. Moreover, around 10% of local cyclogenesis  
561 may be induced by the remote cyclones at the closest altitudes, and about 20% by the  
562 vertically closest pre-existing local cyclones, both of which may not be directly induced  
563 by the TP.

564 There are many more local cyclones than remote cyclones during all seasons (Fig.  
565 2). Although about half of these local cyclones dissipate over the TP, the total number  
566 of cyclones that move off the TP, including both remote and local cyclones, are  
567 significantly more than the cyclones that enter the plateau, especially at the low and  
568 middle levels (Fig. 9). The differences range from 0 to 6 cyclones per month. According  
569 to the downstream development theory (Zurita-Gotor and Chang 2005), these moving-  
570 off cyclones can develop into strong cyclones that play an important role in the  
571 downstream weather and climate. This situation is reversed only at upper level in winter,  
572 with the differences of about 7 cyclones per month (Fig. 9c), which may be caused by  
573 the slightly weaker stimulation effect on the 250-hPa local cyclogenesis and the  
574 stronger suppression effect on the remote cyclones in winter. This phenomenon is  
575 similar to the result shown in the Fig. 6 of Penny et al. (2010), hinting a possible role  
576 of the TP in the midwinter suppression of the North Pacific storm track that mainly

577 occurs at the upper level (Hoskins and Hodges 2019). In a word, the TP does not  
 578 significantly decrease the total cyclone numbers in most cases. However, since local  
 579 cyclones are weaker than remote cyclones, the TP still exerts a suppression effect on  
 580 the intensities of total cyclones around the plateau, which becomes weaker in summer  
 581 (Fig. 10).

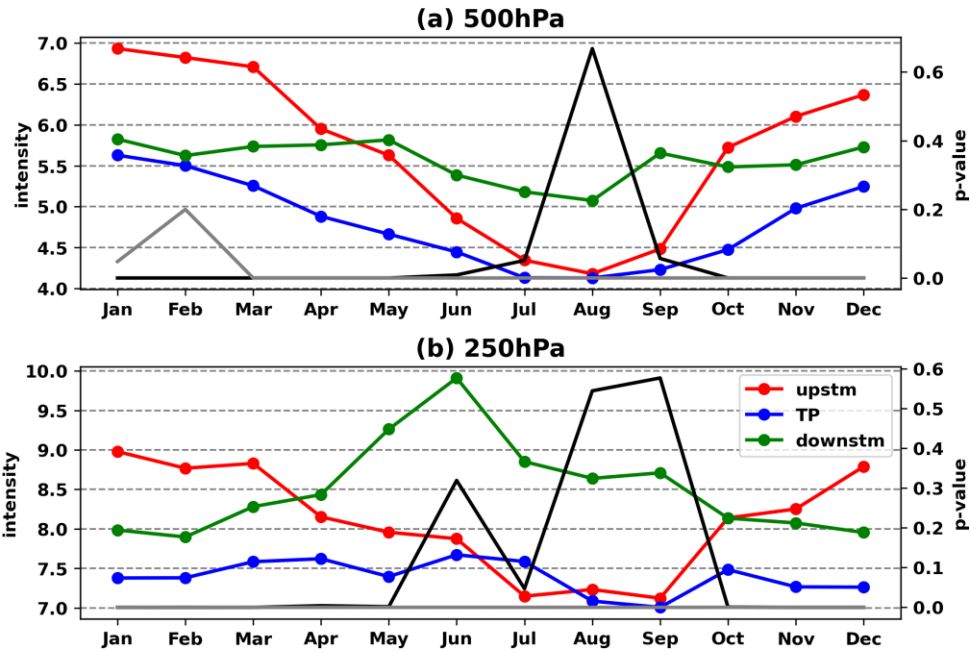
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583

584 **FIG. 9.** Averaged monthly numbers (left y-axis) of (a) 850-hPa, (b) 500-hPa, and (c)  
 585 250-hPa cyclones moving eastward into (red) and out of (blue) the TP region. Gray  
 586 lines show the probability values (right y-axis). When the probability is less than 0.01,  
 587 number difference between moving-in and -off cyclones significantly exceeds the  
 588 99% confidence level of Student's two-sided  $t$  test.

589



590

591 **FIG. 10.** Mean intensity (left y-axis, unit:  $10^{-5} s^{-1}$ ) of (a) 500-hPa, and (c) 250-hPa  
 592 cyclones appearing over the TP (20~55°N/65~105°E, blue line), upstream of the TP  
 593 (20~55°N/40~65°E, red line), and downstream of the TP (20~55°N/105~130°E, green  
 594 line). Gray (black) lines show the probability values (right y-axis) of getting a result  
 595 that the mean intensity of cyclones over the TP is similar to that over the downstream  
 596 (upstream).

597

598 Considering that cyclones are the main systems that induce extreme weather  
 599 processes such as rainstorms, windstorms, and cold-air outbreak, this study can provide  
 600 a coherent reference for studying the roles played by the TP in the surrounding extreme  
 601 weather processes and is conducive to the predictability of extreme weather around the  
 602 plateau. It is well-known that cyclones are three-dimensional systems, whose vertical  
 603 structure may display multiple configurations during their lifetimes. They may  
 604 vertically extend from 850 hPa to 500 hPa at the initial stage, from 850 hPa to 200 hPa  
 605 at the mature stage, and finally only exist at 200 hPa, or reversed (Schwierz and Davies  
 606 2003; Li et al. 2020a). Nevertheless, the above analysis is a simple study of the  
 607 behaviors of cyclones at different pressure levels, neglecting the variations of vertical  
 608 structure when these cyclones move into or off the TP, which needs more investigations  
 609 in the future.

610

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621

622 *Data Availability Statement.* The ERA5 data was retrieved from  
623 [https://cds.climate.copernicus.eu/cdsapp#!/dataset/reanalysis-era5-pressure-](https://cds.climate.copernicus.eu/cdsapp#!/dataset/reanalysis-era5-pressure-levels?tab=overview)  
624 [levels?tab=overview](https://cds.climate.copernicus.eu/cdsapp#!/dataset/reanalysis-era5-pressure-levels?tab=overview).

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