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Can milk proteins be a useful tool in the management of cardiometabolic health? An updated review of human intervention trials

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Running head: Milk proteins and cardiometabolic health

Abbreviation: ABPM: ambulatory blood pressure monitor; BP: blood pressure; CVD: cardiovascular diseases; DBP: diastolic blood pressure; FMD: flow mediated dilatation; LTP: lactotripeptides; RCT: randomised controlled trial; SBP: systolic blood pressure;

2 Abstract:

The prevalence of cardiometabolic diseases is a significant public health burden worldwide. 3 Emerging evidence supports the inverse association between greater dairy consumption and 4 reduced risk of cardiometabolic diseases. Dairy proteins may have in important role in the 5 favourable impact of dairy on human health such as blood pressure (BP) control, blood lipid 6 and glucose control. The purpose of this review is to update and critically evaluate the 7 evidence on the impacts of casein and whey protein in relation to metabolic function. 8 Evidence from acute clinical studies assessing postprandial responses to milk protein 9 ingestion suggests benefits on vascular function independent of BP, as well as improvement 10 in glycaemic homeostasis. Chronic interventions have been less conclusive, with some 11 showing benefits and others indicating a lack of improvement in vascular function. During 12 13 chronic consumption BP appears to be lowered and both dyslipidaemia and hyperglacaemia seems to be controlled. Limited number of trials investigated the effects of dairy proteins on 14 15 oxidative stress and inflammation. The beneficial changes in cardiometabolic homeostasis are likely mediated through improvements in insulin resistance, however to gain more detailed 16 17 understanding on the underlying mechanism of milk proteins warrants further research. The incorporation of meals enriched with dairy protein in the habitual diet may result in the 18 beneficial effects on cardiometabolic health. Nevertheless, future well-designed, controlled 19 studies are needed to investigate the relative effects of both casein and whey protein on BP, 20 vascular function, glucose homeostasis and inflammation. 21

23 Introduction

Milk and dairy products are widely consumed around the world on a daily basis. They are not 24 only an important source of nutrients in the human diet, but also represent important value in 25 the food chain providing opportunities for farmers, food processors, and retailers to contribute 26 to increased food security and poverty alleviation poverty⁽¹⁾. Therefore any change in milk 27 and dairy consumption will have multiple impacts on human and animal health, environment, 28 food security, and economics. Indeed, according to an OECD-FAO report, milk production is 29 projected to increase by 180 million tonnes in the next decade, predominantly in developing 30 countries⁽²⁾. Moreover, the inclusion of animal-derived products adds diversity to plant-based 31 diets, providing an important source of many essential nutrients, the dietary requirements of 32 33 which would be more difficult to meet by plant-based diets. However the potential health impacts of animal-derived foods, and more specifically milk and dairy consumption, have 34 been questioned owing to their high saturated fat content, (for review, see:⁽³⁾). Yet, emerging 35 epidemiological evidence supports the beneficial effects of milk and dairy consumption on 36 health, particularly cardiometabolic health⁽⁴⁻⁶⁾. 37

38 Milk is a complex food, a unique package of many nutrients such as calcium, magnesium, iodine, phosphorus, vitamin B₁₂, pantothenic acid, riboflavin, high quality protein, peptides, 39 40 and oligosaccharides. In the human body these bioactive components may interact with each other and exert synergistic effects, making it difficult to assign the specific health effect of a 41 42 single component. Bovine milk, which is widely consumed around the world, contains approximately 32-34 g/L protein of which 80% (w/w) is casein and 20% (w/w) is whey 43 protein. Both milk proteins consist of smaller protein fractions such as casein - alpha-s1, 44 alpha-s2, beta and kappa-casein, and whey - beta-lactoglobulin, alpha-lactalbumin, 45 46 lactoferrin, immunoglobulins, serum albumin, glycomacropeptide, enzymes and growth 47 factors. Milk proteins are considered to be high quality proteins. Whey protein is rich in branched-chain amino acids (BCAA) such as leucine, isoleucine and valine, whilst casein 48 contains more histidine, methionine, phenylalanine, proline, serine, tyrosine and valine. It is 49 well established that casein and whey have differential effects on gastric emptying and 50 kinetics of digestion and absorption⁽⁷⁾. Intact micellar casein clots in the stomach due to the 51 low pH, and is, therefore, digested more slowly, which results in a prolonged and more 52 sustained AA release. In contrast, intact whey (which is acid soluble) or hydrolysed whey and 53 casein are absorbed more rapidly, with a slower AA release and half-life ⁽⁷⁾. It is, however, of 54 note that micellar casein is different from Ca or Na caseinate (micellar casein is acidified and 55

neutralised with alkali e.g. NaOH or $Ca(OH)_2$ in order to form caseinate), as the latter are soluble and thus may show similarities to whey in terms of digestion rates^(8, 9). As a result of their different inherent AA compositions leading to distinct absorption and kinetic behaviour, they may also have differential effects on human health.

The aim of this review is to update and critically evaluate the existing evidence on the effects
of casein and whey on metabolic function, including blood pressure, vascular function,
glucose and lipid metabolism, and inflammation.

63

64 Comprehensive literature search

A comprehensive literature search was conducted using the electronic databases MEDLINE, 65 the Cochrane Library, EMBASE and Web of Science using the following terms: intervention, 66 randomised controlled trials (RCT), clinical trials, high blood pressure, hypertension, anti-67 hypert*, vascular function, endothelial function, vascular stiffness, milk protein, milk 68 peptide*, casein, hydrolysate, humans, lipids, insulin, glucose, inflammation. Furthermore, 69 hand-searching was performed on the reference lists of both studies and review articles. In 70 addition, Google and Google Scholar were used to confirm that the search was complete. The 71 search period covered studies published until September 2015. 72

73

74 **Blood pressure**

Cardiovascular diseases (CVD) remain the leading cause of death in most countries 75 76 worldwide. In the UK there has been a significant decrease in death rates since 1961, and due to a combination of better healthcare and preventative strategies, in 2012 CVD became the 77 second main cause of death (CVD caused 28% of all death and cancer 29%)⁽¹⁰⁾. 78 Approximately seven million people live with CVD in the UK which costs £19 billion each 79 year (including premature death, lost productivity, hospital treatment, prescriptions) resulting 80 in a significant economic burden⁽¹⁰⁾. Premature death from CVD can be prevented by 81 improving modifiable risk factors. For example, it has been estimated that in the general 82 population increasing physical activity, smoking cessation and dietary changes can lead to 83 50%, 20-30% and 15-40% mortality risk reduction, respectively⁽¹¹⁾. 84

High BP (hypertension) is the key modifiable risk factor of CVD and of stroke in particular. Nearly 30% of adults in the UK have high BP, however only half of them are aware of it and even less receive treatment⁽¹⁰⁾. High BP is present when systolic blood pressure (SBP) is \geq 140 mmHg and/or diastolic blood pressure (DBP) is \geq 90 mmHg⁽¹²⁾. It is important to treat hypertension and maintain BP in the normal range as elevated BP can cause irreversible damage to different organs such as kidneys, heart and eyes⁽¹²⁾.

91

92 Long-term studies on blood pressure

We have recently reviewed the evidence from RCTs on the antihypertensive effects of milk 93 proteins and peptides⁽¹³⁾. For that review we systematically searched and reviewed the 94 literature until December 2012. There was an imbalance in the literature as more RCTs were 95 conducted using mainly one type of casein-derived peptides, called lactotripeptides (LTP). 96 We, therefore conducted an updated meta-analysis on the impact of LTP on BP⁽¹⁴⁾, which 97 included all available and relevant RCTs and detailed subgroup and regression analyses which 98 were somewhat limited in previous meta-analyses in this area⁽¹⁵⁻¹⁸⁾. We found a small, but 99 significant reduction in both SBP (-2.95 mmHg (95% CI: -4.17, -1.73; p < 0.001)) 100 and 101 DBP (-1.51 mmHg (95% CI: -2.21, -0.80; p < 0.001)) after four weeks of LTP supplementation in pre- and hypertensive populations. Since there was a statistically 102 significant heterogeneity of treatment effects across studies, sub-group analyses were 103 performed. These analyses suggested differences in countries where RCTs were conducted: 104 Japanese studies reported significantly greater BP-lowering effect of LTP (-5.54 mmHg for 105 SBP; and -3.01 mmHg for DBP), compared with European studies (-1.36 mmHg for SBP; and 106 107 -0.83 mmHg for DBP; p=0.002 for SBP and <0.001 for DBP). This was confirmed in a recent meta-analysis which focused on Asian RCT only. However it only assessed SBP and the 108 authors reported a very similar reduction of -5.63 mmHg in SBP as we found⁽¹⁹⁾. There may 109 be several explanations for this observation. Firstly Japanese diets contains less milk and 110 dairy products than European diets, therefore consumption of milk proteins may have a 111 greater overall impact when compared to population that consume these proteins more 112 regularly and in higher quantities⁽²⁰⁾. Furthermore there are reported ethnic differences in the 113 response to drug administration, BP-lowering in particular⁽²¹⁾ which could impact on the 114 response to these bioactive proteins and finally differences in response may have resulted 115 from different spatial conformations (cis/trans) of LTP used in the studies, due to production 116

processes⁽²²⁾. Intriguingly, we also found a "small-study effect", and when all bias was considered it shifted the treatment effect towards a less significant SBP and non-significant DBP reduction in response to LTP supplementation. We concluded that with potential bias considered, LTP consumption may still be effective in lowering blood pressure in mildly hypertensive or hypertensive groups⁽¹⁴⁾.

During our systematic literature search⁽¹³⁾ we found that there were very few studies 122 investigating the BP-lowering effects of other casein-derived peptides in humans⁽²³⁻²⁷⁾. 123 Furthermore these studies were limited, used different types of peptides and were often 124 uncontrolled with poor methodological and study design. Due to these inconsistencies in 125 study design, it was impossible to compare these data and no firm conclusion could be drawn 126 on the antihypertensive effects of casein-derived peptides. Similarly, we found a limited 127 128 number of RCTs conducted using intact whey or whey-derived peptides assessing their antihypertensive effects in humans⁽²⁸⁻³³⁾. These trials seems to be of higher quality than 129 studies on casein-derived peptides, however the findings of these studies were also 130 inconsistent⁽¹³⁾. 131

Since our review, published in 2013, three new studies which assessed the effects of milk 132 proteins on BP as primary outcome were published. Petyaev et al.⁽³⁴⁾ examined the impacts of 133 whey protein embedded in a protective lycopene matrix, a new proprietary formulation, so 134 called whey protein lycosome, in a pilot study. Authors hypothesised that this formulation 135 136 would protect whey protein from gastrointestinal degradation which would increase the bioavailability of the protein, and thus reduce the need for a high dose. They administered 70 137 138 mg of whey protein along with 7 mg of lycopene in the form of a capsule (WPL) and compared this to whey protein (70 mg) and lycopene (7 mg) separately (taken once a day for a 139 140 month). A significant decrease in BP (-7 mmHg in SBP and -4 mmHg in DBP, p<0.05) in the 141 WPL group was reported compared to baseline only and no effect relative to the whey and lycopene given separately. Due to the nature of this pilot study, there was no information on 142 blinding, the sample size was small (10/treatment group) and due to the limited statistical 143 analysis further investigation is needed to evaluate the potential antihypertensive effect of 144 WPL. Another RCT was conducted in overweight and obese adolescents (aged 12-15 years), 145 who were asked to consume 1 litre/day of either water, skimmed milk, whey or casein (milk-146 based treatment drink contained 35 g/L protein) for 12 weeks⁽³⁵⁾. A decrease in brachial and 147 central aortic DBP compared to baseline and control group (consuming water) was observed, 148 whereas whey protein appeared to increase brachial and central aortic SBP, and central DBP. 149

The authors acknowledged several limitations of the study, including difficulties in 150 recruitment, changes in the research protocol after study commencement and not controlling 151 for the extra energy intake that 1 litre/day treatment drinks provided, which led to an increase 152 in weight in those in the treatment groups compared to a loss in the control group which 153 consumed water. Therefore due to these limitations it was difficult to draw firm conclusions 154 from these data. A study of Figueroa et al. examined the effects of both whey and casein on 155 BP and vascular function combined with exercise training in obese, hypertensive women⁽³⁶⁾. 156 In their 4-week trial, participants were assigned to consume 30 g casein, whey or 34 g of 157 maltodextrin (control) and perform resistance and endurance exercises 3 days/week under a 158 qualified instructor's supervision. They reported significant reduction in both brachial and 159 160 aortic SBP in both whey and casein groups compared to control, although this was not observed for DBP. The exercise training did not have additional effects on BP or arterial 161 162 function, owing the beneficial effect on the cardiovascular system to the milk proteins (Table 1.). 163

In summary, emerging evidence suggest that milk protein consumption for at least four weeks may result in small blood pressure lowering, however further well controlled studies involving 24-hour ambulatory blood pressure monitor should be performed for confirmation.

167

168 Long-term studies on blood pressure

According to a typical Western eating pattern, people spend up to 18h/day in a postprandial state consuming three or more meals daily. Furthermore elevated postprandial lipeamia, glycaemia and inflammation have been linked with increased risk for chronic disease development including diabetes and CVD⁽³⁷⁻³⁹⁾. Therefore dietary strategies that attenuate the postprandial metabolic disturbance are urgently required.

To date only two studies have evaluated the acute effects of milk proteins on BP. Pal and Ellis compared 45 g whey protein isolate, 45 g Na-caseinate with 45 g glucose in conjunction with a breakfast in normotensive overweight and obese women⁽³²⁾ but found no effect of treatment. A more recent study compared the postprandial effects of several dietary proteins (milk protein, pea protein and egg-white) and carbohydrate-rich meals on BP-related responses⁽⁴⁰⁾. Although the authors failed to specify the specific type of milk protein isolate used, its BPlowering effect was not significantly different to pea protein, although both milk and pea protein were significantly lower than egg-white ($p \le 0.01$) (Table 1.). The lack of evidence on the acute BP effects of milk proteins warrants further research .

183

184 Vascular function

Vascular dysfunction is often used as an umbrella term for abnormalities of the vascular 185 system, such as endothelial dysfunction and arterial stiffness⁽⁴¹⁾. The endothelium, the inner 186 layer of cells of the vasculature, plays a key regulatory role in the vascular system. Any 187 disturbance in endothelial function, such as increased permeability, reduced vasodilation and 188 activation of thrombotic and inflammatory pathways, can lead to atherosclerotic 189 development⁽⁴²⁾. Due to the central role of the endothelium in the development of 190 atherosclerosis, several non-invasive methods have been developed to assess endothelial 191 dysfunction. Nitric oxide plays a primary role in the control of vascular function and which is 192 produced by the endothelium. Flow-mediated dilation (FMD) is considered to be the 'gold 193 standard' method of assessing endothelial function and may surpass the predictive value of 194 traditional risk factors such as smoking, elevated cholesterol level in predicting cardiovascular 195 events in patients with established cardiovascular disease⁽⁴³⁾. However it is of note that this 196 197 technique requires extensive training and is operator dependent, which may limit its value.

Arterial stiffness is a measure of arterial elasticity which is the ability to expand and contract along with cardiac pulsation and relaxation. CVD risk factors such as ageing, hypertension, smoking and diet have been shown to have a detrimental effect on arterial distensibility, inducing an imbalance between the synthesis and degradation of elastin and type 1 and 3 collagen⁽⁴⁴⁾. Pulse wave velocity (PWV) is considered to be the 'gold-standard' to measure arterial stiffness and has a substantial predictive value for CVD events⁽⁴⁵⁾.

204

205 Long-term studies on vascular function

Our previous review also evaluated the health effects of milk proteins and/or their peptides on vascular function⁽¹³⁾. In brief, we identified nine chronic RCTs^(33, 46-53), of which eight used lactotripeptides⁽⁴⁶⁻⁵⁴⁾ and one trial used intact casein and whey⁽³³⁾. These studies were diverse in several aspects of methodologies such as design, length and dose of treatment, subject characteristics and measures of vascular function, and most importantly type of milk proteins used. Due to this heterogeneity, it is not possible to draw firm conclusions on the relativeeffects of milk proteins on the vascular function.

We have identified three further RCTs: Petyaev et al. examined the impacts of WPL not only 213 on BP, but vascular reactivity, using FMD⁽³⁴⁾. They reported statistically significant 214 improvements in FMD in the WPL group only (+2.6 %, p<0.05) compared to baseline. 215 Arnberg et al. also evaluated the effects of intact whey, casein and semi-skimmed milk on 216 arterial stiffness using PWV, however failed to show any changes in vascular function⁽³⁵⁾. 217 However Figueroa and colleagues reported favourable changes in augmentation index (AI: a 218 measure of arterial stiffness) and brachial-PWV in both whey and casein groups combined 219 220 with exercise, compared to the control group. It is of note that the randomisation may not have been adequate as the baseline values for both BP and arterial stiffness were different 221 222 than the whey and casein groups which may have confounded the study (Table 2.).

223

224 Short-term studies on vascular function

Only four RCTs were conducted to evaluate the effects of milk proteins on vascular function 225 in a postprandial setting^(32, 54-56). Pal and Ellis failed to show any acute effects of whey and 226 casein ingestion with a meal in normotensive obese postmenopausal women on arterial 227 stiffness measured by pulse wave analysis⁽³²⁾. Likewise, Turpeinen *et al.* also did not observe 228 any statistically significant change in arterial stiffness measured by PWV after acute ingestion 229 of 25 mg lactotripeptides with 2 g plant sterol ester mixed in a milk drink in mildly 230 hypertensive subjects⁽⁵⁴⁾. However Ballard and colleagues reported significant improvements 231 in arterial reactivity assessed by FMD (+4.3 %) at 120 min after ingestion compared with 232 placebo corresponding time point, (p<0.05) in mildly hypertensive, overweight individuals 233 after whey hydrolysate (5 g NOP-47) ingestion with water⁽⁵⁵⁾. Mariotti et al. failed to report 234 any significant effects of casein, whey or α -lactalbumin enriched whey protein on digital 235 volume pulse (a measure of arterial stiffness)⁽⁵⁷⁾ (Table 2.). 236

Intriguingly, BP-lowering effects of milk proteins were not associated with changes in vascular function in the reviewed $RCTs^{(13)}$ which is confirmed by emerging evidence on the relationship between BP and arterial stiffness. This suggests that the interaction between BP and arterial stiffness may be bi-directional^(58, 59) via complex interactions between different pathways such as inflammatory^(60, 61), hormonal (e.g. leptin, insulin)⁽⁶¹⁻⁶³⁾ and disturbance in endothelial-derived mediators⁽⁵⁸⁾. Therefore it is important to determine the effect on other
mediators of risk that may indirectly affect BP.

244

245 Glycaemic control

Insulin has a range of biological actions within the human $body^{(64)}$, not only has it a key 246 regulatory role in metabolic energy disposal and storage in tissues, but it is responsible for 247 cell growth and development⁽⁶⁵⁾, ion transport⁽⁶⁶⁾, and sympathetic nervous system activity⁽⁶⁷⁾. 248 In addition, insulin has haemodynamic activities such as increasing blood flow and cardiac 249 output, probably via increased NO production⁽⁶⁴⁾. Giugliano et al. demonstrated insulin 250 release after an intravenous infusion of L-arginine resulted in improvements in FMD⁽⁶⁸⁾. 251 However Gates et al. showed an insulin-independent vasodilation after L-arginine 252 administration⁽⁶⁹⁾. Similarly, Ballard and colleagues reported an insulin-independent FMD 253 improvement in response to the acute ingestion of a whey-derived peptide, NOP-47⁽⁵⁵⁾. 254

It is well established that food proteins and more specifically AAs acutely stimulate insulin secretion⁽⁷⁰⁾ with several AAs possessing direct insulinotropic effects^(71, 72). Both whey and casein appear to increase insulin secretion, however to different extents⁽⁷³⁾. This may be due to their effect on gastric emptying, absorption and kinetics, since the insulin responses seemed to correlate with the increase in plasma AA concentration after protein ingestion⁽⁷⁴⁾. Likewise, hydrolysates appear to increase insulin production more than intact proteins⁽⁷⁵⁾.

261 It is not yet known how milk proteins exert their beneficial effects on glucose homeostasis, however, BCAAs, in particular, leucine, isoleucine, valine, lysine and threonine are shown to 262 263 act as insulin secretagogues (inducing insulin secretion from pancreatic β -cells), with leucine reportedly having the greatest insulinotopic effect acutely⁽⁷⁶⁾. This may be via the regulation 264 of both ATP production (by metabolic oxidation and allosteric activation of glutamate 265 dehydrogenase) and KATP activity⁽⁷⁷⁾. Similarly, BCAA and particularly leucine, has been 266 reported to activate the mammalian rapamycin (mTOR) pathway resulting in a higher incretin 267 hormone (insulin, glucagon-like peptide 1 (GLP-1) and gastric inhibitory peptide (GIP)) 268 synthesis^(77, 78). GIP is also known as glucose-dependent insulinotropic peptide, synthesised 269 by K cells found in the mucosa of the duodenum and jejunum in response to food ingestion, 270 which may subsequently further induce insulin production⁽⁷⁹⁾. While the effect of GIP appears 271 to be more pronounced at normoglycaemic levels, GLP-1 is more active during 272

hyperglycaemia⁽⁷⁹⁾. Jakubowitz and Froy showed that whey protein drink increased GIP 273 response (+80%) in healthy adults, yet a mixture of BBCA mimicking the supply of AA in 274 whey protein, failed to exert the same effect⁽⁸⁰⁾. Therefore they suggested that certain 275 bioactive peptides and/or AAs deriving from whey protein during digestion may be 276 responsible for this action⁽⁸⁰⁾. GLP-1 is a potent antihyperglycemic hormone secreted by 277 278 intestinal L cells⁽⁷⁹⁾. Interestingly, it has been shown to possess cardioprotective effects, which may be further complemented by natriuretic and antioxidative stress on the kidneys leading to 279 beneficial impacts on BP and vasculature⁽⁸¹⁾. This warrants further consideration in future 280 research when the effects of milk proteins are assessed on the cardiovascular system. 281 Additionally, GLP-1 was more pronounced in healthy subjects after whey consumption 282 compared to casein or soya, however after 2 hours of ingestion the concertation of the 283 hormone decreased, while it continued to increase after casein^(80, 82, 83). This may be explained 284 by the different plasma kinetics of milk proteins. Two enzyme inhibitory peptides deriving 285 from milk proteins have been associated with the beneficial effects on the glucose 286 287 homeostasis: dipeptidyl peptidase-IV (DPP-IV) enzyme inhibitors and alpha-glucosidase (AG) enzyme inhibitors. Although DPP-IV plays several roles in different physiological 288 processes, it has a distinct effect on glucose homeostasis by degrading incretin hormones: 289 GLP-1 and GIP⁽⁸⁴⁾. Whereas there is a definite lack of human studies examining the effects of 290 291 DPP-IV inhibitory peptides deriving from milk proteins; some in silico (computer-aided), in *vitro* and limited animal studies suggesting a potential role in controlling glucose metabolism. 292 Lacroix and Li-Chan proposed that casein appears to be a better source of DPP-IV inhibitory 293 peptides than whey protein⁽⁸⁵⁾. However, *in vitro* and *in vivo* studies suggest that whey protein 294 may be equal or a better source of these inhibitory peptides (for review see^{:(86)}). The AG 295 enzyme is found in the brush border of the enterocytes in the small intestine and is responsible 296 for the synthesis and breakdown of carbohydrate by cleaving glycosidic bonds in complex 297 298 carbohydrates to produce monosaccharides. A potential therapy in type 2 diabetic patients could be to reduce the absorption of glucose by carbohydrate hydrolysing enzymes such as 299 AG, which may also enhance and promote GLP-1 secretion⁽⁸⁷⁾. A very limited number of *in* 300 vitro studies demonstrated that AG inhibitory peptides may be derived from whey protein^{(88,} 301 ⁸⁹⁾. This clearly warrants further research. 302

Milk proteins have been extensively investigated for their insulinotropic and glucose-lowering 306 effects in healthy subjects^(73, 75, 82, 83, 90-99) and to a limited extent in individuals with 307 suboptimal glucose control⁽¹⁰⁰⁻¹⁰⁶⁾. The dose varied significantly between studies from as little 308 as 10 g^(92, 105, 106) to 51 g⁽⁹¹⁾. Milk proteins were administered on their own or with a meal or 309 even served as pre-meals. Current evidence on the effects of whey protein on glucose control 310 appears to be more promising than casein, furthermore it has been proposed that whey protein 311 may be as effective at inducing insulin secretion as medication (sulfonylureas) prescribed for 312 management of hyperglycaemia in type 2 diabetic patients^(80, 107) (Table 3.). Thus providing a 313 rationale for individuals with impaired glucose control or for patients with T2DM (type 2 314 diabetes mellitus) to consume whey protein prior to or with meals to control postprandial 315 glucose metabolism. Future studies should examine the minimum dose at which whey protein 316 exerts beneficial effects. Similarly due to the different timeframe by which milk proteins have 317 an effect, longer postprandial trials (e.g. 24h) may provide important information on how 318 casein could improve hyperglycaemia in individuals characterised by insulin resistance but 319 320 with functional β -cells.

321

322 Long-term studies on glycaemic control

To best of our knowledge, only three studies have investigated the chronic supplementation of 323 milk proteins, rather than milk or dairy products, on glycaemic control. Pal et al. examined 324 the effects of whey and casein (2 x 27g/day for 12 weeks) in overweight and obese 325 subjects⁽⁹⁶⁾. Most subjects had borderline impaired glucose tolerance at baseline, but at the 326 327 end of the intervention a reduced fasting insulin concentration was observed in the whey protein group compared with the control group (glucose), although no change in fasting 328 329 glucose was reported. In another study, a whey fermentation product (malleable protein matrix, MPM) decreased fasting plasma glucose concentration after three months 330 331 supplementation compared to the control group, which was more pronounced in individuals with impaired fasting glucose at baseline⁽¹⁰⁸⁾. An acute-in-chronic study also reported a 332 decrease in postprandial glucose response in whey group, which remained unchanged after the 333 four-week supplementation $period^{(102)}$ (Table 3.). 334

305

336 Lipid metabolism

337 Short-term studies on lipids

338 Postprandial triacylglycerolaemia has been associated with markers of early atherosclerosis such as endothelial dysfunction and carotid media thickness^(109, 110) and is strongly influenced 339 by the composition of a meal: including the quality and quantity of $fat^{(111, 112)}$ and 340 carbohydrate^(113, 114). In theory due to the insulinogenic effects of milk proteins, their 341 consumption would be predicted to attenuate postprandial lipaemia, as insulin has an 342 inhibitory effect on hormone-sensitive lipase and hepatic release of free fatty acid (FFA) and 343 stimulatory effect on lipoprotein lipase which hydrolyses triacylglycerol for metabolism or 344 storage. However evidence from postprandial RCT is limited. Postprandial investigations 345 reported decrease in triacylglycerols (TAG) after both whey and casein ingestion in 346 combination with a fat-rich meal in obese⁽⁹⁸⁾ and individuals with T2DM^(103, 115), but showed 347 no effect on TAG after acute consumption of whey protein^(99, 104). Free fatty acid also 348 decreased after whey and casein ingestion in obese⁽⁹⁹⁾ and T2DM patients⁽¹⁰⁴⁾. It is of note that 349 parameters of lipid metabolism such as low- and high-density lipoproteins and total 350 cholesterol remain stable acutely^(116, 117). 351

Recently an acute study reported that casein with a high fat, high energy meal, compared to whey protein and α -lactalbumin enriched whey protein, significantly reduced postprandial TAG and had a marked effect of chylomicron kinetics⁽⁵⁷⁾. This could be due to the different physicochemical makeup of casein and whey protein, as casein forms a gel in the stomach influencing the rate of absorption and gastric emptying (Table 4.).

357

358 Long-term studies on lipids

To date, five chronic RCT, which examined the lipid lowering effects of milk proteins, have 359 been identified. Three month supplementation of whey (2 x 25 g/day) and casein (2 x 25 360 g/day) during an ad libitum weight regain diet after substantial diet-induced weight loss in 361 healthy obese subjects resulted in no change in plasma lipids⁽¹¹⁸⁾. However whey protein 362 isolate (2 x 27 g/day) significantly reduced fasting TAG, total cholesterol and LDL-363 cholesterol after three months in overweight, obese individuals⁽⁹⁶⁾. Another three month 364 supplementation study with MPM (15 g/day protein in two daily servings of 150g yoghurt) 365 reduced fasting TAG, which was more pronounced in subjects with elevated baseline 366

TAG⁽¹⁰⁸⁾. In a six week study casein (35 g/day) also reduced total cholesterol in 367 hypercholesterolemic subjects⁽¹¹⁹⁾. Petyaev et al. reported a decrease in LDL-cholesterol, 368 TAG and TC in their pilot study⁽³⁴⁾ (Table 4.). The limited evidence suggests that milk 369 proteins have a beneficial impact on fasted lipids, although further studies are required. 370 However it is not clear as to possible mechanisms of action although insulin may play a role. 371 In vitro studies suggest that milk proteins and BCAA inhibit expression of genes involved in 372 intestinal fatty acid and cholesterol absorption and synthesis⁽¹²⁰⁾. Whey has been shown to 373 induce urinary excretion of tricarboxylic acid cycle (TCA) compounds such as citric acid and 374 succinic acid in rats, which are substrates for lipogenesis, suggesting an increased catabolic 375 state (e.g. lipolysis) and reduced lipid accretion compared to casein⁽¹²¹⁾. This could be a 376 possible mechanism of lipid reduction. Similarly, in another metabolic study conducted in 377 humans, cheese (casein) appeared to induce lowering of urinary citrate⁽¹²²⁾, which suggests 378 that cheese consumption affects the TCA cycle. Additionally, microbiota-related metabolite, 379 hippuric acid was significantly higher in the cheese group, than in the milk, implying a 380 stimulation of gut bacteria activity. The enhanced bacterial activity also resulted in higher 381 short-chain fatty acids (SCFA)⁽¹²²⁾, which have been proposed as key regulatory metabolites 382 in lipid metabolism $^{(123)}$. This effect may be due to the cheese matrix rather than the casein per 383 se. An in vivo study proposed another potential mechanism of action through decreased lipid 384 infiltration into the liver in rats with non-alcoholic fatty liver⁽¹²⁴⁾. Another possible putative 385 mechanism is increased fat oxidation. Lorenzen et al. demonstrated an increased lipid 386 oxidation after acute casein consumption compared to whey⁽¹²⁵⁾. They speculated that it may 387 be due to lower insulin secretion after casein consumption relative to whey since insulin 388 downregulates lipid oxidation. However insulin was not measured in the study and this 389 mechanism could not be confirmed. The same research group examined the effects of dairy 390 Ca on lipid metabolism in conjunction with a low and high fat diet during 10 days⁽¹²⁶⁾. They 391 392 found that dairy Ca attenuates the increase in total and LDL-cholesterol, without affecting the rise in HDL-cholesterol. This observed phenomenon may be due to the formation of insoluble 393 Ca-fatty acid soaps and/or the production of hydrophobe aggregation with bile and with other 394 fatty acids⁽¹²⁶⁻¹²⁸⁾. 395

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397 Inflammation and oxidative stress

Inflammation and oxidative stress are chronic conditions which contribute to many diseases such as obesity⁽¹²⁹⁾, T2DM⁽¹³⁰⁾ and CVD⁽¹³¹⁾. Different dietary components have an impact on low-grade inflammation⁽¹³²⁾, however there is a lack of RCTs evaluating the acute and chronic consumption of milk proteins on inflammation or oxidative stress with inconsistent outcomes.

402 Long-term studies on inflammation and oxidative stress

A recent meta-analysis evaluated the effects of chronic consumption of whey protein and hydrolysate on C-reactive protein (CRP), a systemic inflammatory marker⁽¹³³⁾. Nine RCTs were included which showed a small, non-significant reduction in CRP 0.42mg/L (95% CI -0.96, 0.13). Sub-group analyses suggested that >20 g/day may be more effective, and elevated baseline CRP level (≥ 3 mg/L) could be more responsive to whey or whey peptides consumption⁽¹³³⁾. Similarly, Arnberg *et al.* reported no change in CRP in adolescence after whey, casein or skim milk consumption for 12 weeks⁽³⁵⁾.

410 Interleukin (IL)-6, IL-8 and tumour necrosis factor (TNF)-α are also recognised inflammatory markers, which induce CRP. Pal and Ellis failed to observe significant changes in these 411 inflammatory markers (2 x 27g whey or casein or glucose for 12 weeks) in overweight 412 individuals⁽³³⁾. However Sugawara *et al.* reported decreased level of IL-6, IL-8 and TNF- α in 413 414 patients with chronic obstructive pulmonary disease after whey intervention compared with control group⁽¹³⁴⁾. Likewise, IL-6 and TNF- α were decreased after lactoferrin consumption for six 415 months in postmenopausal women⁽¹³⁵⁾. Similarly Hirota *et al.* reported decreased levels of TNF- α 416 in mildly hypertensive subjects fed with the casein-derived lactotripeptides⁽⁴⁶⁾ (Table 5.). 417

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419 Long-term studies on inflammation and oxidative stress

Pal and Ellis, also reported no change in IL-6, IL-8 and TNF- α in a postprandial study 420 investigating whey and casein⁽³²⁾. Likewise, a whey-derived peptide, NOP-47, also failed to 421 change the level of serum cytokines (TNF-α, IK-6, IL-8, monocyte chemoattractant protein-1, 422 vascular endothelial growth factor, soluble E-selectin, soluble vascular cell adhesion 423 molecule-1) and chemokines⁽⁵⁶⁾. However consumption of a cake containing whey protein 424 after exhaustive cycling in nine subjects reported reduced levels of CRP and IL-6 by 46% and 425 50%, respectively⁽¹³⁶⁾. Holmer-Jensen *et al.* assessed the postprandial effects of whey protein, 426 casein, gluten and cod on low-grade inflammatory markers (monocyte chemotactic protein-1 427 (MCP-1), CC chemokine ligand-5 (CCL5/RANTES)) in conjunction with a high fat meal⁽¹³⁷⁾. 428

They reported that all meals increased CCL//RANTES, however the smallest increase was observed after the whey protein meal. MCP-1 was initially suppressed after all meals, and the meal containing whey protein induced the smallest overall postprandial suppression⁽¹³⁷⁾ (Table 5.).

The mechanism of action of milk proteins on oxidative stress and inflammation are unclear but Ca may supresses the pro-inflammatory and reactive oxygen species production *in vitro*⁽¹³⁸⁾. Interestingly, the milk protein-derived inhibitors of the angiotensin-I-converting enzyme may also be involved in the anti-inflammatory process⁽¹³⁹⁾.

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438 Conclusion and implication for future studies

Taken together, there is a growing number of RCTs which suggest that casein and whey protein may have a role in cardiometabolic health. Studies focussed on reducing chronic disease risk factors such as hypertension and dysregulated lipid/glucose metabolism by nonpharmacological, dietary strategies will have significant implications not only for social and economic welfare, but for the healthcare system.

444 Due to the different physicochemical makeup of casein and whey protein, they may exert differential effects in vivo in humans. Notably, manufacturing may play a significant role in 445 446 the physiological effects of milk proteins, however future studies should investigate which processing method results in more bioactive effects. There is inconclusive evidence on the 447 448 relative impacts of milk proteins on diurnal BP and vascular function, yet there appears to be 449 strong evidence on the insulinotropic impacts of dairy proteins, owing to the specific AA 450 composition such as BCAA. They also appear to play a beneficial role in lipid homeostasis (Table 1.). Nevertheless the mechanism underlying the action of dairy proteins on the 451 cardiometabolic health warrants further research. 452

The incorporation of a meal enriched with protein in the habitual diet may result in the improvement of cardiometabolic health as well as the prevention of developing cardiometabolic diseases. Additionally, in contrast with pharmacological antihypertensive treatments, food-derived proteins have not been shown to cause any side-effects or hypotension, making them safe to consume by individuals with a variety of other disease conditions. After careful consideration of the available evidence and knowledge gaps, we have conducted two double-blind, controlled, cross-over studies aiming to compare the

chronic (n=38) and postprandial (n=27) impacts of whey protein isolate (2 x 28 g) and Ca-460 caseinate (2 x 28 g) with control (2 x 26 g, maltodextrin) on vascular function, BP, markers of 461 insulin resistance, lipid metabolism and inflammatory status in men and women with mild 462 hypertension (\geq 120/80 mmHg). These studies aim to provide valuable information on the 463 relative effects of milk proteins on blood pressure and on detailed aspects of vascular function 464 compared with maltodextrin. These trials will further our knowledge of whether milk proteins 465 have significant influences as health-promoting food components and whether the public as 466 well as the food industry could benefit from it. The results from these studies are likely to be 467 468 available in mid-2016.

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481 Authorship

482 AAF conceived and wrote the manuscript. All authors critically reviewed and approved the483 final version of the manuscript

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Reference	Subjects (n)	Study design and duration	Treatment (g)	Comparison	Treatment effect
LONG-TERM					
Petyaev et al. ⁽³⁴⁾	Prehypertensive (40)	Pilot, 4 weeks	Whey protein isolate (70 mg) embedded into lycopene micelles (7 mg)	Whey protein isolate, lycopene and placebo	↓BP
Arnberg et al. ⁽³⁵⁾	Overweight adolescents (193)	12 weeks	Casein (35g/L), whey protein (35g/L) and skimmed milk (1 litre)	Water, pretest control group	↓bBP and cDBP in casein group, ↑cDBP, bSBP and cSBP in whey group
Figueroa et al. ⁽³⁶⁾	Obese women (33)	4 weeks	Casein, whey protein	Carbohydrate	↓bSBP and aSBP in casein and whey groups
SHORT-TERM					
Teunissen-Beekman <i>et al.</i> ⁽⁴⁰⁾	Overweight or obese (48)	240 mins	Milk protein, pea protein, egg-white protein	Maltodextrin	↓BP milk and pea protein groups compared to egg-white protein group

Table 1. Impacts of milk proteins on blood pressure.

↑, Increase; ↓, Decrease; BP, blood pressure; bBP, brachial blood pressure; cBP, central blood pressure; DBP; diastolic blood pressure; SBP, systolic blood pressure

Reference	Subjects (n)	Study design and duration	Treatment (g)	Comparison	Treatment effect
LONG-TERM					
Petyaev et al. ⁽³⁴⁾	Prehypertensive (40)	Pilot, 4 weeks	Whey protein isolate (70 mg) embedded into lycopene micelles (7 mg)	Whey protein isolate, lycopene and placebo	↑FMD
Arnberg et al. ⁽³⁵⁾	Overweight adolescents (193)	12 weeks	Casein (35g/L), whey protein (35g/L) and skimmed milk (1 litre)	Water, pretest control group	\leftrightarrow
SHORT-TERM					
Mariott ⁽⁵⁷⁾	Overweight men (10)	360 mins	Casein	Whey protein isolate, α- lactalbumin-enriched whey protein	\leftrightarrow

 Table 2. Impacts of milk proteins on vascular function

FMD, flow-mediated dilation, \uparrow , Increase; \leftrightarrow , no effect

Study design Reference Subjects (n) Treatment (g) Comparison **Treatment effect** and duration SHORT-TERM White-wheat bread, milk, cod, \uparrow Insulin response, \uparrow GIP, Nilsson *et al.*⁽⁷³⁾ Healthy (12) 120 mins WP (18.2 g) cheese, gluten-low, gluten- \leftrightarrow GLP-1 high Calbet *et al.*⁽⁷⁵⁾ Healthy (6) 120 mins HC (36 g) Intact casein ↑GIP Hall et al.⁽⁸²⁾ Healthy (9) 180 mins WP (48 g) Casein ↑GLP-1 Veldhorst et al.⁽⁸³⁾ Healthy (25) 180 mins WP (10 and 25%) Casein, soy ↑GLP-1 Petersen *et al.*⁽⁹⁰⁾ Healthy (10) 120 mins WP (20 g) Glucose ↓Glucose response Healthy men ↓Glucose response, Pal and Ellis⁽⁹¹⁾ 240 mins WP (50.8 g) Turkey, egg, tuna (22)[↑]Insulin response ↓Glucose response, Akhavan et al.⁽⁹²⁾ Healthy (10) 230 mins WP as pre-meal (10-20 g) Glucose, water ↑GLP-1, ↑GIP Akhavan *et al.*⁽⁹³⁾ Healthy (16/21) 170 mins WP as pre-meal (10-40 g) Water ↓Glucose response Acheson *et al.*⁽⁹⁴⁾ Healthy (23) 330 mins WPI (50 % of diet) Casein, soy, glucose [↑]Insulin response Morifuii et al.⁽⁹⁵⁾ Healthy (10) 120 mins WPH (86,9%) WP, soy, soy hydrolysate [↑]Insulin response Nilsson *et al.*⁽⁹⁷⁾ Healthy (12) 120 mins WP (18 g) Glucose, amino acids ↔GLP-1 Holmer-Jensen et al.⁽⁹⁸⁾ Obese (11) 480 mins WPI + fat-rich meal (45 g)Casein and gluten ↓GIP Holmer-Jensen et al.⁽⁹⁹⁾ Obese (12) 480 mins WPI + fat-rich meal (45 g)WP specific fractions \leftrightarrow GLP-1 ↓Glucose response. Frid et al.⁽¹⁰⁰⁾ T2D (14) 240 mins WP (27.6 g) Ham (96 g) + lactose (5.3 g)[↑]Insulin response Ma *et al.*⁽¹⁰¹⁾ WP as pre-meal (55 g) ↑Insulin and incretin response T2D (8) 300 mins WP in main meal Ma *et al.*⁽¹⁰²⁾ WPI (25 g) 'diet' drink T2D (7) 240 mins ↓Glucose response Mortensen et al.⁽¹⁰³⁾ T2D (12) 480 mins WPI + fat-rich meal (45 g)Casein, gluten, cod ↔GLP-1, ↓GIP Mortensen et al.⁽¹⁰⁴⁾ T2D (12) 480 mins WPI + fat-rich meal (45 g)WP specific fractions \leftrightarrow GLP-1 Jonker et al.⁽¹⁰⁵⁾ T2D (13) 250 mins CH (12 g) CH (0 g) [↑]Insulin response

Table 3. Impacts of milk proteins on glycaemic control.

Geerts et al. ⁽¹⁰⁶⁾	T2D (36)	240 mins	CH (12 g)	Intact casein	↓Glucose response
LONG-TERM					
Pal et al. ⁽⁹⁶⁾	Overweight and obese (70)	12 weeks	WPI (2x27 g/d)	Glucose	↑Fasting insulin + HOMA-IR
Ma <i>et al</i> . ⁽¹⁰²⁾	T2D (7)	4 weeks	WPI (25 g)	'diet' drink	↓Glucose response
Gouni-Berthold et al. ⁽¹⁰⁸⁾	MS (180)	12 weeks	Whey MPM (15.3g)	Placebo	↓Glucose response

 \uparrow , Increase; \downarrow , Decrease; \leftrightarrow , no effect; CH, casein hydrolysate; D, day; GIP, glucose-dependent insulinotropic polypeptide; GLP-1, glucagon-like peptide 1; HC; hydrolysed casein; HOMA-IR, homeostasis model assessment of insulin resistance; MS; metabolic syndrome; T2D, type-2 diebetes; Whey MPM, whey malleable protein matrix; WP, whey protein; WPH, whey protein hydrolysate; WPI, whey protein isolate.

Table 4. Impacts of milk proteins on lipid metabolism.

Reference	Subjects (n)	Study design and duration	Treatment (g)	Comparison	Treatment effect
SHORT-TERM					
Brader <i>et al.</i> ⁽¹¹⁵⁾	T2D (11)	480 mins	Casein combined with carbohydrates and a fat-rich meal (45 g)	Control meal, control meal+carbohydrate, control meal+casein	↓TAG concentration in chylomicron-rich fraction
Holmer-Jensen <i>et al.</i> ⁽⁹⁸⁾	Obese (11)	480 mins	WPI + fat-rich meal (45 g)	Cod and gluten	↓TAG response, ↓TAG concentration in chylomicron- rich fraction, ↓FFA
Holmer-Jensen et al. ⁽⁹⁹⁾	Obese (12)	480 mins	WPI + fat-rich meal (45 g)	WP specific fractions	↔TAG response
Mortensen <i>et al.</i> ⁽¹⁰³⁾	T2D (12)	480 mins	WPI + fat-rich meal (45 g)	Casein, gluten, cod	↓TAG response, ↓FFA
Mortensen <i>et al.</i> ⁽¹⁰⁴⁾	T2D (12)	480 mins	WPI + fat-rich meal (45 g)	WP specific fractions	↔TAG response
LONG-TERM					
Pal <i>et al.</i> ⁽⁹⁶⁾	Overweight and obese (70)	12 weeks	WPI (2x27 g/d)	Glucose	↓Fasting TAG, ↓TC, ↓LDL-c
Weisse <i>et al.</i> ⁽¹¹⁹⁾	Hyper- cholesterolemic (43)	6 weeks	Casein (35 g/d)	Baseline	↓TC
Claessens et al. ⁽¹¹⁸⁾	Obese (48)	12 weeks	WP (2x25 g/d)	Casein	↔ fasting lipids
Petyaev et al. ⁽³⁴⁾	Prehypertensive (40)	Pilot, 4 weeks	Whey protein isolate (70 mg) embedded into lycopene micelles (7 mg)	Whey protein isolate, lycopene and placebo	↓TC, ↓TAG, ↓LDL-c, ↑HDL
Gouni-Berthold et al. ⁽¹⁰⁸⁾	MS (180)	12 weeks	Whey MPM (15.3g)	Placebo	↓TAG

↑, Increase; ↓, Decrease; ↔, no effect; CH, casein hydrolysate; D, day; FFA, free fatty acids; HC; hydrolysed casein; HDL-c, high-denisty lipoprotein cholesterol; LDL-c, low-density lipoprotein cholesterol; MS; metabolic syndrome; T2D, type-2 diebetes; TAG, triacylglycerol; TC, total cholesterol; Whey MPM, whey malleable protein matrix; WP, whey protein; WPH, whey protein hydrolysate; WPI, whey protein isolate.

Table 5. Impacts of milk proteins on inflammation and oxidative stress.

Reference	Subjects (n)	Study design and duration	Treatment (g)	Comparison	Treatment effect
LONG-TERM					
Sugawara <i>et al.</i> ⁽¹³⁴⁾	COPD (36)	12 weeks	WP (20 g)	0 g WP	\downarrow CRP, \downarrow IL-6, \downarrow IL-8, \downarrow TNF- α
Bharadwaj <i>et al.</i> ⁽¹³⁵⁾	Post-menopausal women (38)	24 weeks	Ribonuclease-enriched lactoferrin $(2 \times 125 \text{ mg/d})$	Placebo	↓IL-6, ↓TNF-α
Arnberg et al. ⁽³⁵⁾	Overweight adolescents (193)	12 weeks	Casein (35g/L), whey protein (35g/L)	Water, pretest control group	↑CRP
Pal and Ellis ⁽³³⁾	Overweight (70)	12 weeks	WPI (54 g), Casein (54 g)	Glucose	\leftrightarrow CRP, \leftrightarrow IL-6, \leftrightarrow TNF- α
Hirota <i>et al.</i> ⁽⁴⁶⁾	Mild hyper- tensives (25)	1 week	VPP (3.42 mg), IPP (3.87 mg)	Baseline	\leftrightarrow CRP, \downarrow TNF- α
SHORT-TERM					
Pal and Ellis ⁽³²⁾	Overweight postmenopausal women (20)	480 mins	WPI (45 g), Casein (45 g)	Glucose	\leftrightarrow CRP, \leftrightarrow IL-6, \leftrightarrow TNF- α
Ballard <i>et al.</i> ⁽⁵⁶⁾	Healthy (20)	120 mins	Whey-derived peptide (NOP-47, 5 g)	Placebo	$\leftrightarrow \text{CRP}, \leftrightarrow \text{IL-6}, \leftrightarrow \text{IL-8}, \leftrightarrow \text{TNF-} \alpha$
Kerasioti <i>et al.</i> ⁽¹³⁶⁾	Healthy men (9)	48 h	WP (0.26 g protein/kg BW/h)	Placebo	↓CRP, ↓IL-6, ↑IL-10
Holmer-Jensen et al. ⁽¹³⁷⁾	Obese (11)	240 mins	WP + high-fat meal	Casein, cod and gluten + high- fat meal	↓CCL5/RANTES, ↑MCP-1

 \uparrow , Increase; \downarrow , Decrease; \leftrightarrow , no effect; BW, body weight; CCL, CC chemokine ligand-5; CH, casein hydrolysate; COPD, chronic obstructive pulmonary disease; CRP, C-reactive protein; D, day; IL, interleukin; IPP, Isoleucine-Proline-Proline; MCP-1, monocyte chemotactic protein-1; TNF, tumor necrosis factor; VPP, Valine-Proline-Proine; Whey MPM, whey malleable protein matrix; WP, whey protein; WPH, whey protein hydrolysate; WPI, whey protein isolate.