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# **Farm level risk factors for fluoroquinolone resistance in *E. coli* and thermophilic *Campylobacter* spp. on poultry farms**

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Running head: Fluoroquinolone resistance in poultry

## 1 **Summary**

2 Data on husbandry practices, performance, disease and drug use were collected using  
3 detailed questionnaires during a cross-sectional survey of 89 poultry meat farms in  
4 England and Wales to provide information on possible risk factors for the occurrence  
5 of fluoroquinolone (FQ) resistant bacteria on poultry meat farms. Faeces samples  
6 taken as part of the surveys were used to classify farms as 'affected' or 'not affected'  
7 by FQ-resistant *E. coli* or *Campylobacter* spp., and statistical analyses were  
8 performed to identify factors associated with the farms' FQ resistance status.

9 The use of FQ on the farms was by far the most important factor influencing the  
10 occurrence of FQ-resistant bacteria. Resistant *E. coli* and/or *Campylobacter* spp. were  
11 found on 86% of the farms with a reported history of FQ use. However, resistant  
12 bacteria were also found on a substantial proportion of farms with no history of FQ  
13 use, suggesting that resistant organisms may spread between farms. Further analysis  
14 suggested that there are differences in the importance of various factors between the  
15 two organisms. For *Campylobacter* spp., on-farm hygiene, cleaning and disinfection  
16 between batches of birds and wildlife control were of most significance. For *E. coli*,  
17 biosecurity factors protecting the premises from outside contamination were of  
18 particular importance, although the statistical modelling indicated that other factors  
19 are likely to be involved. Detailed studies on a small number of poultry sites showed  
20 that FQ-resistant *E. coli* can survive routine cleaning and disinfection, so this must be  
21 of a high standard to reduce the persistence of resistant organisms on the farm.

22 It appears difficult to avoid the occurrence of resistant bacteria when FQ are used on a  
23 farm, but the present findings provide evidence to support recommendations to reduce  
24 the substantial risk of the incidental acquisition of such resistance by farms where FQ  
25 are not used.

26

## 27 **Introduction**

28 Antimicrobial resistance amongst farm strains of enteric zoonotic bacteria, such as  
29 *E. coli* and thermotolerant *Campylobacter* spp., is of concern, particularly in view of  
30 the risk it presents for human disease, persistent enteric colonisation and  
31 (theoretically) transmission of resistance to other enteric bacteria  
32 (ECDC/EFSA/EMA, 2015). *E. coli* is a ubiquitous enteric commensal in both human  
33 and veterinary species, with a small subset of strains that present veterinary, human  
34 and cross-species disease hazards due to particular colonisation factors and/or toxins  
35 (Hartl and Dykhuizen, 1984). *Campylobacter* spp. are the most commonly identified  
36 human gastrointestinal pathogens reported in the European Union, confirmed in over  
37 220000 cases in 2011 (EFSA/ECDC, 2013).

38

39 In recent community-wide data from the European Union resistance to the  
40 fluoroquinolone (FQ) antibiotic ciprofloxacin was found to be high (44% to 78% of  
41 isolates overall, depending on source and subspecies) among *Campylobacter jejuni*  
42 and *Campylobacter coli* isolates from human (mostly clinical) and broiler  
43 (monitoring) sources (EFSA/ECDC, 2014). A survey of 145 *Campylobacter* spp.  
44 isolates from human, milk, poultry and cattle sources in Italy similarly found 63%  
45 exhibiting ciprofloxacin resistance but comparatively little resistance to other tested  
46 antimicrobials, with the exception of tetracycline (Di Giannatale et al., 2014). A  
47 survey in Chile revealed a similarly high frequency of ciprofloxacin resistance among  
48 poultry and human *Campylobacter* spp. isolates (around 60%), whilst only 18% of  
49 isolates from cattle were resistant (Gonzalez-Hein et al., 2013). For *Campylobacter*,  
50 all these data are in the context of subtyping studies indicating that 50% to 80% of

51 human cases may be linked, directly or indirectly, to the chicken reservoir, and of FQ  
52 being one of the principal drugs of choice for treating human campylobacteriosis  
53 (Agunos et al., 2013; EFSA, 2010).

54

55 Aggregated European Community data for *E. coli* isolates from broilers showed,  
56 similarly to *Campylobacter* spp., that over 50% of isolates were resistant to  
57 ciprofloxacin (EFSA/ECDC, 2014). A sampling study provided evidence for the  
58 dissemination of individual and multiple antimicrobial resistances in *E. coli* from  
59 turkeys and broilers to their human handlers (van den Bogaard et al., 2001).

60 Furthermore, FQ-resistant isolates from human bacteraemias and faeces were found to  
61 be more closely related to chicken isolates than to FQ-susceptible human isolates in  
62 another study (Johnson et al., 2006).

63

64 Data from Australia, where FQ are restricted in the medical field and not used in food  
65 animals, has shown that FQ resistance among human *Campylobacter* spp. isolates has  
66 been slow to emerge, compared with other territories. Similarly, there is a low  
67 frequency of FQ resistance among Australian human disease-causing *E. coli* isolates  
68 (Cheng et al., 2012).

69

70 Attempts at restricting antimicrobial resistance on farms have included various  
71 guidelines for the prudent use of veterinary antimicrobials (AAAP-AVMA, 2015;  
72 OIE, 2014; RUMA, 2005). However, these have been based in large part upon expert  
73 opinion, as published analyses of risk factors for the development of such resistances  
74 are lacking.

75

76 The present report details a risk factor analysis performed following a survey for the  
77 prevalence of FQ resistance among *E. coli* and *Campylobacter* spp. on poultry units in  
78 the UK. Questionnaire data was used in conjunction with the prevalence results to  
79 analyse FQ resistance with respect to a range of environmental and management  
80 factors. The overall prevalence results for poultry and pigs and the analysis for risk  
81 factors on pig farms have been reported elsewhere (Taylor et al., 2009, 2008)

82

## 83 **Materials and Methods**

### 84 **Data collection**

85 Two programmes of sampling were undertaken. For the first, 89 poultry meat farms  
86 were included in a cross-sectional survey of FQ-resistant (FQr) *E. coli* and  
87 *Campylobacter* spp., the details of which are described elsewhere (Taylor et al.,  
88 2008). Briefly, 68 broiler and 21 turkey farms were each sampled once between June  
89 2001 and June 2003, with 64 separate fresh floor droppings being collected from  
90 random locations in up to four houses and combined into eight pools of eight samples  
91 each. The sample size and sampling strategy were designed to give a 95% probability  
92 of detecting resistant isolates if at least 5% of animals in the sampled houses were  
93 shedding resistant bacteria and laboratory detection was 90% sensitive.

94

95 Sampling on poultry company premises was performed either by company-appointed  
96 poultry veterinarians or by poultry company staff under the supervision of the  
97 company veterinarian. Independent poultry producers (20 farms) carried out the  
98 sampling themselves. To provide information on possible factors associated with  
99 farms' FQ resistance status, data about husbandry practices, performance, disease and

100 drug use, including use of non-FQ antibiotics, were collected using detailed  
101 questionnaires filled in by the farm manager with the veterinarian doing the sampling,  
102 or by independent producers themselves. Data on antibiotic use was acquired, in the  
103 large majority of cases and by all large units, by reference to detailed treatment  
104 records in the farm diaries. These records are audited regularly for the purposes of  
105 quality assurance and food chain protection.

106

107 The second (follow-up) programme investigated the potential for dissemination and  
108 persistence of FQr organisms by carrying out farm-level sampling at representative  
109 stages of breeding and production networks in two integrated companies. Faeces  
110 sampling and data collection were carried out by the farm manager, according to the  
111 protocols used for the first study, in five breeding flocks on repopulation, nine  
112 breeding flocks in mid to late lay and 28 broiler flocks in mid to late rear. On a  
113 selected proportion of sites where FQr organisms were found, intensive sampling was  
114 performed by staff from the research team to investigate the distribution of resistant  
115 *E. coli* on premises and to study their survival after cleaning and disinfection (C&D).  
116 Samples taken on VLA sampling visits included faeces, water, dust and surface swabs  
117 from building structures and equipment, as well as swabs from deep cracks in walls  
118 and floors.

119

## 120 **Bacteriology**

121 Bacteriological analysis of faeces pools was performed using liquid media (buffered  
122 peptone water [BPW] and Exeter's Enrichment Broth for *E. coli* and *Campylobacter*  
123 spp., respectively) and selective solid media with added 1.0 mg/l ciprofloxacin  
124 (Chromagar ECC for *E. coli*; sheep blood agar plus Skirrow's antibiotic supplement



125 and cefoperazone [BASAC] for *Campylobacter* spp.) as previously described (Taylor  
126 et al., 2008). Farms were thus classified as ‘affected’ or ‘not affected’ with respect to  
127 FQr *E. coli* or *Campylobacter* spp., using a selective concentration of ciprofloxacin  
128 that is similar both to contemporaneous tentative breakpoints (Luber et al., 2003;  
129 USDA, 2005), and the current European clinical breakpoint (EUCAST, 2014).  
130 Putative *E. coli* colonies were confirmed using standard biochemical tests,  
131 campylobacters were identified to species level by standard microbiological  
132 procedures, and minimum inhibitory concentration (MIC) values of ciprofloxacin  
133 were determined as described elsewhere (Taylor et al., 2008). Non-faeces samples  
134 from intensive sampling visits **in the second sampling programme** were incubated in  
135 approximately 10-fold volumes of BPW (225 ml for surface swabs) and incubated as  
136 for faeces samples, before plating onto Chromagar ECC. Serotyping, toxin testing and  
137 antibiograms (not including FQ) by the disc diffusion method were carried out using  
138 standard protocols.

139

#### 140 **Statistical analyses**

141 Statistical analyses were conducted using data from the first sampling programme  
142 only. Associations between FQ use and farm types, and between FQ use and the  
143 presence of FQr target organisms, were investigated using Chi-squared and Fisher’s  
144 exact tests. Calculations of relative risks associated with reported FQ use, with 95%  
145 confidence intervals, were carried out using EpiInfo version 6 (Centers for Disease  
146 Control and Prevention U.S.A. & World Health Organisation, Geneva, Switzerland).

147

148 Correlation and cluster analyses and logistic regression modelling were carried out  
149 using SAS version 8 (SAS, 1999). The approach taken was exactly the same as that  
150 used in analysing data from pig farms (Taylor et al., 2009). Briefly, the questionnaire  
151 data were first placed in blocks according to subject matter (e.g. farm characteristics,  
152 farm hygiene, biosecurity, drug usage including other antibiotics) and then the  
153 variables within each block were screened using Ward's minimum variance cluster  
154 analysis to identify groups of related variables (Everitt, 1980; Ward, 1963). From each  
155 group thus identified, a representative variable was selected (using epidemiological  
156 significance plus data variability and completeness as criteria) as a candidate  
157 explanatory variable in logistic regression modelling *within* each block of variables,  
158 with the presence on a farm of FQr *E. coli* or FQr *Campylobacter* spp. as outcome  
159 variables.

160 By this method a number of candidate explanatory variables were identified from  
161 each block. These variables were re-analysed by Ward's minimum variance cluster  
162 analysis regardless of their block of origin. Some variables closely correlated with  
163 other, more epidemiologically pertinent, ones were removed from the analysis at this  
164 stage. The retained candidate variables from all blocks were then tried together in  
165 logistic regression modelling, with results given as a list of risk factors for occurrence  
166 of FQ resistance in each bacterial species, quantified in terms of adjusted odds ratios.  
167 An  $r^2$  value, that estimates the proportion of variation in the data explained by the  
168 model, was calculated for each model, according to the method of Nagelkerke (1991)  
169 as recommended by Collett (2003).

## 170 **Results**

### 171 **Bacteriological findings**

172 *First sampling programme.* Findings have been reported in detail by Taylor *et al.*  
173 (2008). FQr *E. coli* were isolated from 53 of the 89 farms. FQr *Campylobacter* spp.  
174 were isolated from 20 of the 89 farms. Of tested isolates obtained from the 1.0 mg/ml  
175 ciprofloxacin screening plates used, 79% of *E. coli* and 70% of *Campylobacter* spp.  
176 isolates had MIC values for ciprofloxacin of 16 mg/l or greater.

177

178 *Second sampling programme.* Of the five breeding flocks tested on repopulation in  
179 this follow-up investigation, none reported use of FQ during the previous six months  
180 or yielded FQr *E. coli* or *Campylobacter* spp.. Among the nine breeding flocks tested  
181 in mid- to late lay, FQr *E. coli* was isolated from two, but FQr *Campylobacter* spp.  
182 was not isolated. One of these nine flocks reported FQ use (in one of two houses) in  
183 the previous six months. Of the 28 broiler farms tested in mid-late rear, 25 yielded  
184 FQr *E. coli*. No FQr *Campylobacter* spp. was isolated. FQ had been administered  
185 during the previous six months on only one of these farms, in non-sampled parts of  
186 the farm, and all samples from this farm yielded FQr *E. coli*.

187

188 Further intensive sampling visits, for FQr *E. coli*, were carried out at one of the mid-  
189 lay breeding flocks, a linked company hatchery and after C&D on two of the  
190 commercial broiler sites. From the breeding flock, FQr *E. coli* was isolated from 16 of  
191 100 environmental samples. It was most frequently found in fresh faeces and litter  
192 (rather than nest boxes), but also found in guttering and on the concrete apron outside  
193 the house. At the hatchery, FQr *E. coli* was found in six of the 100 samples taken  
194 from meconium and egg/chick waste, as well as on cleaned and disinfected surfaces.

195 On both post-C&D broiler farms, FQr *E. coli* was found in cracks and crevices,  
196 pooled wash water, ante-rooms which had been less well disinfected and fresh  
197 droppings from wild birds collected from the house exterior.  
198  
199 **Seventy two** *E. coli* strains from the second sampling programme were examined for  
200 MIC and serotype. Isolates were from breeder units in mid-lay, broiler units and the  
201 hatchery. Ciprofloxacin MIC values were  $\geq 8$  mg/l, with a modal value of 16 mg/l.  
202 **Eight** serovars were identified, and **12** isolates proved untypable. There was no  
203 overlap between identified serovars isolated from breeder versus broiler flocks. Thus,  
204 there was no evident relationship between breeder and broiler isolates. One of the  
205 three serovars isolated from the hatchery was associated with the breeder flocks, and  
206 another with the broiler flocks.  
207  
208 From one company, *E. coli* O101:K+ (verocytotoxin-negative, MIC 32 mg/l) was  
209 isolated in five broiler flocks in mid-late rear on one farm.. The same serovar was also  
210 found on two other farms from the same company, in two sequential flocks on each  
211 farm. FQr *E. coli* O9:K+ was isolated from two of the breeding flocks (MIC 8 mg/l)  
212 and from a waste skip at the hatchery (MIC 16 mg/l). However, this serovar was not  
213 amongst the isolates tested from broiler units within the company.  
214  
215 The **72 serotyped** *E. coli* isolates were also tested for resistance to antibiotics. Several  
216 patterns were found, with resistance to ampicillin (86% isolates),  
217 sulphamethoxazole/trimethoprim (65% isolates), tetracycline (67% isolates) and  
218 streptomycin (43% isolates) being the most frequently encountered, in addition to  
219 quinolone/FQ resistance.

220

221 **Use of antibiotics and risk of fluoroquinolone resistance on the**  
222 **surveyed farms**

223 The questionnaire response options in relation to use of FQ on farms were: ‘within 12  
224 months’, ‘between one and two years ago’, ‘over two years ago’ and ‘never’. The  
225 responses are summarised in Table 1. Use of FQ was reported on 22 of 88 (25%)  
226 poultry farms in the survey, with one no-response. FQ use was significantly (Chi<sup>2</sup>  
227  $p < 0.0001$ ) more common on turkey farms (14/21) than on broiler farms (8/67).

228 Among the broiler farms, FQ use was significantly (Chi<sup>2</sup>  $p < 0.0001$ ) more common  
229 by independent producers (7/18) than by large poultry company farms (1/49).

230 Amongst turkey farms the most recent use had been within a year on nine of the 14  
231 farms that reported use. On broiler farms, only two of the eight reporting use of FQ  
232 had done so within the last year (Table 1). On all except one farm, FQ were  
233 administered through water medication. In turkeys, the most common problem treated  
234 with FQ was reported as being ‘*E. coli* septicaemia’. Amongst broilers, the most  
235 common problems reportedly associated with FQ use were ‘yolk sac infections’ or  
236 ‘stunted chicks’. Use, in the previous 12 months, of non-FQ antibiotics other than  
237 amoxicillin (41% of farms), lincospectin (22% of farms) and tetracycline (10% of  
238 farms) was uncommon. Just under one fifth of farms reported routine use of in-feed  
239 antibiotic.

240

241 FQr *E. coli* or FQr *Campylobacter* spp. were detected on 19 (86%) of the 22 farms  
242 that had used FQ and 40 (61%) of the 66 farms that reported never using FQ. The  
243 prevalence of farms positive for FQr *E. coli* or FQr *Campylobacter* spp. was not  
244 significantly different between farms with most recent use of FQ over one year ago,

245 compared with those using FQ within the last year. Therefore, farms where any FQ  
246 use was reported were grouped together for comparison with those farms reporting  
247 that they had never used FQ in further analyses. Table 2 shows the relative risks (with  
248 95% confidence intervals) for the occurrence of FQ resistance on poultry farms,  
249 associated with the use of FQ.

250

251 Overall within-farm prevalence values for FQr *Campylobacter* spp. and *E. coli* were  
252 around 5% and 20% of faecal pools, respectively. On some premises, resistant  
253 *Campylobacter* were shed by birds in only one or two houses, but there were others  
254 where shedding birds were present across the farm. Birds shedding FQr *E. coli* tended  
255 to be distributed throughout the houses on affected farms.

256

## 257 **Modelling of risk factors for the occurrence of FQ-resistance**

258 Correlation and clustering analysis revealed that farm type (turkey or broiler;  
259 independent grower or large company) was strongly correlated with several of the  
260 variables. Specifically:

- 261 • Turkey farms were strongly *positively* correlated with the use of FQ, cleaning  
262 and disinfecting header tanks, seeing more than five rats at depopulation, the use  
263 of plastic drinkers for chicks, and the use of growth promoters and tetracyclines.
- 264 • Turkey farms were strongly *negatively* correlated with single-handed operation,  
265 enclosure by a perimeter fence, the provision of wheel dips, wild bird access to  
266 poultry houses, the presence of dogs or cats, cleaning and disinfecting ante  
267 rooms, feed hoppers and areas outside houses, and the use of nipple drinkers and  
268 digestive enzymes.

269 • Independent farms were strongly *positively* correlated with the use of FQ, the  
270 presence of dogs or cats, slaughtering birds at an older age and cleaning and  
271 disinfecting ante rooms.

272 • Independent farms were strongly *negatively* correlated with the provision of  
273 masks and wheel dips, seeing more than five rats at depopulation, cleaning and  
274 disinfecting header tanks, and the use of digestive enzymes and growth  
275 promoters.

276

277 In addition, the correlation analysis indicated the following:

278 • Single-handed farms tended not to have wheel dips.

279 • Farms enclosed by a perimeter fence tended to provide wheel dips and have  
280 dogs or cats.

281 • Farms enclosed by a perimeter fence tended not to have big houses, tended not  
282 to be turkey farms and, therefore, tended not to use growth promoters and  
283 tetracycline.

284 • Larger farms tended to provide masks to staff.

285 • Dusting of all detailed areas was positively correlated with wet cleaning of all  
286 detailed areas and removal of all wash water from the site.

287 • C&D of ante rooms was strongly positively correlated with C&D of feed  
288 hoppers.

289 • In this particular sample of poultry farms, the variable ‘provision of a mask’ was  
290 also positively correlated with provision of hat and gloves and provision of hand  
291 sanitiser and provision of a toilet.

292

293 The turkey farm type was very strongly associated with the use of FQ. The turkey  
294 farm variable itself was not significant in the final models. This implies that the  
295 reason for the increased proportion of turkey farms with FQr *E. coli* or  
296 *Campylobacter* spp., compared with broiler farms, as reported previously (Taylor et  
297 al., 2008), is fully explained by other variables in the model, chiefly the use of FQ on  
298 the farms.

299

300 The results of the final regression modelling are presented in tables 3 and 4 showing  
301 the variables included as risk factors, estimates of coefficients with p-values, the  
302 estimated adjusted odds ratios with 90% and 95% confidence intervals and the  $r^2$   
303 value.

304

305 Having fitted main effects, several interactions were identified as statistically  
306 significant but inclusion of these in the regression models always resulted in estimates  
307 for some odds ratios approaching infinity or zero. This was considered to be the result  
308 of small sample sizes, such that inclusion of too many effects, notably the  
309 interactions, produced models that were ‘over-fitted’, as described by Collett (2003).  
310 To avoid the possibility of over-fitting and implausible interpretations, models were  
311 finalised without interactions.

312

313 Table 3 provides a summary of the factors included in the final fitted logistic  
314 regression model for the risk of occurrence of FQr *E. coli*. Significant factors  
315 increasing risk are: use of FQ in past, single-handed operation of the site, and the  
316 existence of a public footpath on the periphery of the site. The sole significant factor  
317 decreasing risk is enclosure of the site by a perimeter fence. The  $r^2$  value of the fitted



318 model is fairly low, which indicates that other, unidentified, explanatory risk factors  
319 are likely to be involved.

320

321 Table 4 provides a summary of the factors included in the final fitted logistic  
322 regression model for the risk of occurrence of FQr *Campylobacter* spp. Significant  
323 risk factors increasing risk are: the use of FQ in the past and wild birds having access  
324 to poultry houses. Significant factors decreasing risk are: more than the median (for  
325 all broiler or turkey farms in the sample, as appropriate) number of birds on site, the  
326 site operated by an independent grower, masks provided for staff, detailed areas  
327 dusted before wet cleaning, and feed hoppers cleaned and disinfected.

328

329 The  $r^2$  value is over 50%, indicating that the model provides a good explanation of  
330 factors affecting the occurrence of FQ-resistant *Campylobacter* spp.. However, the  
331 model is fitted with quite a large number of variables (seven) in relation to the dataset  
332 size ( $n = 84$ ) and is in danger of being ‘over-fitted’. The result of this is the relatively  
333 wide confidence intervals for the adjusted odds ratios. Nevertheless, the fitted  
334 variables are statistically significant. The conclusion is that the factors in the model  
335 affect risk significantly, and perhaps greatly, but the data are not sufficient to allow  
336 the risk effect to be quantified very precisely.

337

### 338 **Discussion**

339 The bacteriological findings of the initial survey (Taylor et al., 2008) and the follow-  
340 up studies reported here have identified the frequent occurrence of *E. coli* and  
341 *Campylobacter* spp. with FQ resistance on a substantial proportion of turkey and

342 broiler commercial production facilities. FQr *E. coli* were also isolated on breeding  
343 flock premises. Moreover, the FQr *E. coli* and *Campylobacter* spp. typically exhibited  
344 clinically-significant elevations in MIC values (Becnel Boyd et al., 2009; EUCAST,  
345 2014) and the FQr *E. coli* often showed resistance to other classes of antimicrobial  
346 agents. The present findings for *E. coli* are similar, in terms of frequency of isolation  
347 on FQ resistance-selective media, MIC values observed, and common co-resistances  
348 with other classes of antimicrobial drugs, with the findings of Gosling *et al.* (2012).  
349 That study used UK-wide samples from turkey units taken for a European Union  
350 baseline survey.

351

352 It was initially hypothesised that FQr organisms would be found on a small  
353 percentage of farms, principally those where FQ were used. However, in the first  
354 (structured) survey FQr organisms (mostly *E. coli*) were detected on a heavy majority  
355 (86%) of farms that had used FQ in the past, and also on over half (61%) of the farms  
356 that reported never using FQ. This finding is similar to that of a concurrent survey in  
357 pig production (Taylor et al., 2009). A history of FQ use was associated with an  
358 approximately doubled risk that FQr *E. coli* or *Campylobacter* spp. would be found on  
359 a farm, and with the highest odds ratios among all the factors considered in the  
360 logistic regression models for FQ resistance on farm.

361

362 The substantial prevalence of FQ resistance-affected farms that had never used FQ  
363 suggests that FQr organisms may commonly be imported onto farms, either with  
364 replacement birds in the case of *E.coli*, or from environmental sources in the case of  
365 *Campylobacter* spp.. The persistence of such strains correlates with experimental data  
366 suggesting little or no fitness cost associated with a moderate degree of FQ resistance

367 in *E. coli* (Schrag et al., 1997) and *Campylobacter* spp. (Q. Zhang et al., 2003). This is  
368 consistent with the experience in countries where FQ are either prohibited or not  
369 specifically licensed in poultry farming (USA, Canada and Denmark), where FQ  
370 resistance among *Campylobacter* spp. isolates from poultry sources has not  
371 consistently declined following cessation of FQ use in the sector (Agunos et al., 2013;  
372 DANMAP, 2014).

373

374 There are, inevitably, some reasons to be careful in interpreting the present analysis.  
375 The influence of co-resistance involving FQ resistance plus other antibiotics needs  
376 some consideration, despite no significant associations being found between FQ  
377 resistance on premises and recent use of a specific antibiotic class.

378

379 In *Campylobacter* spp., resistance to FQ typically is mediated by mutation of a  
380 chromosomally-encoded topoisomerase, which is a mechanism specific to quinolone  
381 antibiotics (Gyles, 2008; Qijing Zhang et al., 2003). This is augmented in some cases  
382 by overexpression of the chromosomally-encoded multi-drug efflux pump CmeB  
383 (Fàbrega et al., 2008). Therefore, clinical resistance to FQ is unlikely to occur  
384 consequent upon use of a different antibiotic class or by introduction on mobile  
385 genetic elements. However, as shown in the present study and elsewhere (Pérez-Boto  
386 et al., 2013), FQ resistance in *Campylobacter* spp. from poultry farms is often  
387 accompanied by other antibiotic resistances in the same isolates. *If FQ resistance is,*  
388 *for whatever reason, more common amongst antibiotic-resistant strains than among*  
389 *susceptible strains, then* co-selection by other antibiotics may maintain pre-existing  
390 FQr strains for a prolonged period, especially if *, as appears to be the case,* the fitness  
391 cost of FQ resistance *among *Campylobacter* spp. is low (Luo et al., 2005).* It is

392 therefore important to note that, whereas FQ resistance clearly has the potential to  
393 persist in the absence of FQ use by co-selection, it seems unlikely to be present in the  
394 first instance without either being introduced from elsewhere, or following selection  
395 by FQ use.

396

397 For *E. coli*, the picture is perhaps more complicated. High-level FQ resistance is  
398 firmly associated with topoisomerase mutation(s) (Fàbrega et al., 2008; Gyles, 2008;  
399 Vanni et al., 2014), although intermediate resistance or enhancement of clinical  
400 resistance is possible by chromosomal efflux pump upregulation and/or plasmid-borne  
401 genes encoding target site protection (*qnr*), efflux (*qepA*) or FQ modification by an  
402 aminoglycoside acetyltransferase (*aac(6')-Ib-cr*) (Fàbrega et al., 2008; Veldman et  
403 al., 2011; Yue et al., 2008). Therefore, intermediate FQ susceptibility may be  
404 introduced or maintained by horizontal transfer and/or co-selection by the use of other  
405 antibiotic classes. However, no non-FQ antibiotics are likely to select the spontaneous  
406 topoisomerase mutations fundamental to clinical resistance levels.

407

408 Although the prevalence of FQ resistance among contemporaneous diagnostic avian  
409 samples of *E. coli* in the UK was low (around 2% to 6% depending on region and  
410 source), resistances to commonly-used antimicrobials were more prevalent, in the  
411 range 23% to 65% of isolates for ampicillin, amoxicillin, spectinomycin and  
412 trimethoprim/ sulphonamide (Anon., 2007), consistent with the resistance findings in  
413 the present study. This suggests that many FQ-resistant *E. coli* would also have had  
414 resistance to other therapeutic antibiotics. Like *Campylobacter* spp., this might  
415 facilitate co-selection of FQ resistance by other antibiotics but would not be expected  
416 to generate *de novo* the clinical degree of resistance seen in the present study.

417 The second sampling programme and typing studies **reinforce the finding of the initial**  
418 **survey** that the presence of FQr *E. coli* on a farm may not necessarily be related to  
419 recent recorded use of FQ on the premises. The FQr *E. coli* isolated belonged to  
420 numerous serogroups and had a range of different antibiograms, indicating that they  
421 did not belong to a single clone. Furthermore, the FQr *E. coli* on the two farms tested  
422 after C&D were able to persist in the environment and were a potential source of  
423 infection for a new flock. A pertinent allied observation **from the initial survey** is that,  
424 on farms where FQ had been used, there was no significant effect seen of the time  
425 elapsed since last use upon the risk of FQ resistance. It is interesting to note in this  
426 context that Ingram *et al.* (2013) isolated FQr *E. coli* harbouring multidrug-resistance  
427 plasmids from chicken carcasses in Australia (a territory where FQ are not licensed  
428 for poultry), thereby showing that topoisomerase-mutants may be present commonly  
429 in products from apparently FQ-free systems.

430

431 The second sampling study also provided observational evidence that, for *E. coli* at  
432 least, FQr strains potentially can transfer between broiler premises within integrated  
433 operations, presumably via personnel and fomites. There was no evidence of vertical  
434 transmission of FQr *E. coli* from breeder to broiler flocks, which may reflect the  
435 biosecurity barrier that can be achieved between these levels of production by  
436 hygienic hatchery management.

437

438 The differences in risk factors identified for the two bacterial genera examined may  
439 reflect differences in the usual modes of transfer of these organisms between  
440 locations. Interested readers are directed to Taylor *et al.* (2009) for discussion of the  
441 merits and limitations of the statistical modelling approach of the present study. In

442 addition to FQ use and single-handed operation, the two variables identified as  
443 significant risk factors for the occurrence of FQr *E. coli* were the existence of a  
444 perimeter fence (protective) and of a public footpath (increasing risk). Thus, in  
445 common with pig units, biosecurity appears to be of high importance for FQr *E. coli*.  
446 For poultry the physical integrity of the farm limits seems to be of primary  
447 significance, whereas for pigs the proximity of other pig units and visitor biosecurity  
448 was found to be important (Taylor et al., 2009).

449

450 These differences in the most significant biosecurity barriers for pigs versus poultry  
451 farms may to some extent reflect differences in the frequency of visitors and of feed  
452 and stock transporters, differences in the housing systems, in the typical farm sizes,  
453 and in the typical local environments. Whilst risk factor analysis may identify areas of  
454 particular vulnerability or strength for particular enterprise types, examination of any  
455 particular unit would sensibly include a comprehensive overview of biosecurity  
456 issues, especially as the relatively low  $r^2$  value for the *E. coli* model indicates other  
457 significant unidentified risk factors that may not be common to all or most units.

458

459 For *Campylobacter* spp., the risk factor model for the occurrence of FQ resistance  
460 indicates the importance of farm hygiene, perhaps reflecting the greater importance of  
461 shorter-range transmission between animals for this more environmentally labile  
462 pathogen when compared with *E. coli*. One protective factor of particular interest was  
463 provision of a mask. This factor was positively correlated with, and effectively a  
464 proxy variable for, other factors including the provision of hand sanitisers, a toilet,  
465 hats and gloves. The inclusion of this factor in the model can be taken as indication of  
466 the protective effect of better hygiene facilities in general.

467

468 The significantly protective variables regarding dusting (of several difficult or  
469 inaccessible parts of poultry houses before wet cleaning) and C&D of feed hoppers  
470 are interpreted as indicators of generally superior farm cleaning. *Campylobacter* are  
471 frequently recovered from puddles and other wet locations on farms, but typically not  
472 from dry materials. The findings indicate the importance of attention to detail when  
473 cleaning between crops, presumably by preventing carry-over of infection,  
474 particularly of *Campylobacter* spp., between batches of stock.

475

476 The introduction of *Campylobacter* spp. (including, potentially, FQr strains) to a  
477 poultry flock or premises is considered to be a more important issue than carry-over,  
478 and may occur following the repeated entrance of staff with contaminated clothing,  
479 hands or equipment (Newell et al., 2011). The risks of acquisition of *Campylobacter*  
480 spp. by flocks before slaughter are related to several factors including: season, on-  
481 farm hygiene, other animal species on the farm, more than one poultry house per  
482 stockperson, thinning of slaughter-age flocks by catching crews and features of the  
483 farm environmental surroundings, as reviewed by Vidal *et al.*, (2014). However,  
484 Refregier-Petton *et al.* (2001) reported a risk factor analysis for the presence of  
485 *Campylobacter* spp. in broilers at slaughter using a similar methodology to the present  
486 one and found, amongst other things, that no specific stockperson hygiene practices  
487 were significant. Discrepancies noted in that report between claimed and observed  
488 hygiene practices may explain this finding, and its apparent lack of concordance with  
489 the present evidence.

490

491 The transmission of FQr *Campylobacter* spp., and probably of *Campylobacter* spp.  
492 more generally, may also be associated with wildlife vectors. Remarkable suppression  
493 of seasonal peaks in flock *Campylobacter* spp. colonisation has been demonstrated, in  
494 the context of good general hygiene, following the use of mesh screens to exclude  
495 wildlife down to the level of flying insects from broiler houses (Bahrndorff et al.,  
496 2013). The factor, ‘saw more than five rats at last depopulation’ was associated with  
497 an increased risk, but was not significant in the final model. Access to the poultry  
498 houses by wild birds was a significant factor for increasing risk in the final model,  
499 with a large odds ratio. It has been documented that wild birds carry *Campylobacter*  
500 spp., including FQr strains (Broman et al., 2002; Waldenstrom et al., 2005), although  
501 wild bird strains generally differ from poultry and human strains (Broman et al.,  
502 2004). Access by wild birds may be indicative of poorer biosecurity with respect to  
503 wildlife more generally.

504

505 In conclusion, the present investigations have illustrated the strong association  
506 between any use of FQ on poultry farms and the presence of *E. coli* and/or  
507 *Campylobacter* spp. with clinically-relevant levels of resistance to FQ on the same  
508 premises. Furthermore, the introduction or maintenance of FQr organisms on farms  
509 appears significantly influenced by farm hygiene (*Campylobacter* spp.) and boundary  
510 biosecurity (*E. coli*), with evidence also being found of cross-transfer of FQr *E. coli*  
511 between premises linked in the production system. As has been discussed elsewhere  
512 (Taylor et al., 2008), both *E. coli* and *Campylobacter* spp. are zoonotic organisms for  
513 which FQ are therapeutic agents in humans. It appears, on the present evidence, to be  
514 difficult for farms that use FQ to avoid the development of FQ-resistant *E. coli* and  
515 *Campylobacter* spp. on farm. However, for those farms that do not use FQ, an



516 emphasis on excellence in biosecurity and on-farm hygiene is likely to prove  
517 protective. The benefits of such a strategy are likely to extend to control or exclusion  
518 of some other infectious agents also. This is in line with guidelines produced by the  
519 UK ‘Responsible Use of Medicines in Agriculture Alliance’ (RUMA;  
520 <http://www.ruma.org.uk>), which stress that the use of antimicrobials should be seen as  
521 complementing good management, vaccination and site hygiene.

522

523

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529

#### 530 **Conflicts of interest**

531 None

532

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688

**Table 1: Detection of fluoroquinolone (FQ)-resistant bacteria on poultry farms, compared with reported use of FQ**

Last use of FQ antibiotics	Broiler farms	Turkey farms	All farms	Number with FQ resistance	
				<i>E. coli</i>	<i>Campylobacter</i>
In last year	2	9	11	10 (91%)	4 (36%)
Over 1 year ago	6 <sup>a</sup>	5 <sup>b</sup>	11	9 (82%)	4 (36%)
Never used	59	7	66	33 (50%)	11 (17%)

a: 2 of 6 reported most recent use over 2 years ago

b: 1 of 5 reported most recent use over 2 years ago

**Table 2: Relative risks (with 95% confidence intervals) for the occurrence of fluoroquinolone (FQ) resistance on poultry farms, associated with the reported use of FQ**

	Proportion of farms with FQ resistance	
	<i>E. coli</i>	<i>Campylobacter</i>
FQ used (n = 22)	0.86	0.36
FQ never used (n = 66)	0.50	0.17
Relative Risk (95% C.I.)	1.73 (1.29 – 2.32)	2.18 (1.01 – 4.72)

**Table 3: Estimated adjusted odds ratios, with confidence intervals (C.I.s), of variables included as risk factors in the final logistic regression model for the occurrence of fluoroquinolone (FQ)-resistant *E. coli* on poultry farms**

Risk Factor	co-efficient	p-value*	Lower Limit C.I.s		Odds ratio point estimate	Upper Limit C.I.s	
			95%	90%		90%	95%
<i>Constant</i>	- 0.204	0.6294					
Use of FQ in the past	2.049	0.0016	1.85	2.31	7.76	26.04	32.48
Site operated single-handedly	0.948	0.073	0.89	1.06	2.58	6.30	7.46
Site enclosed by a perimeter fence	- 1.302	0.014	0.09	0.11	0.27	0.67	0.79
Site has public footpath on the perimeter	1.407	0.019	1.17	1.43	4.09	11.67	14.20

n = 83; maximum re-scaled  $r^2 = 29.9\%$

\*p-value is based on likelihood ratio test.



**Table 4: Estimated adjusted odds ratios of variables, with confidence intervals (C.I.s), of variables included as risk factors in the final logistic regression model for the occurrence of fluoroquinolone (FQ)-resistant *Campylobacter* spp. on poultry farms**

Risk Factor	co-efficient	p-value*	Lower limit C.I.s		Odds ratio point estimate	Upper limit C.I.s	
			95%	90%		90%	95%
<i>constant</i>	1.476	0.2387					
Use of FQ at any time in past	2.685	0.0052	1.64	2.32	14.65	92.59	130.59
No. of birds on site higher than median	- 2.182	0.0097	0.02	0.024	0.11	0.54	0.73
Site owned by an independent grower	- 3.156	0.0031	0.00	0.005	0.04	0.36	0.54
Masks provided for staff	- 1.412	0.081	0.05	0.062	0.24	0.96	1.24
All detailed areas are dusted	- 2.147	0.0089	0.02	0.026	0.12	0.52	0.69
Feed hoppers cleaned and disinfected	- 1.684	0.061	0.03	0.041	0.19	0.85	1.13
Wild birds have access to poultry houses	2.332	0.017	1.40	1.91	10.30	55.46	76.05

n = 84; maximum re-scaled  $r^2 = 56.3\%$

\*p-value is based on likelihood ratio test.