

# *Atmospheric rivers over the Bay of Bengal lead to northern Indian extreme rainfall*

Article

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## Atmospheric Rivers over the Bay of Bengal Lead to Northern Indian Extreme Rainfall

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1     **Atmospheric Rivers over the Bay of Bengal Lead to Northern Indian Extreme**  
2                                     **Rainfall**

3

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**20 Abstract**

21 Atmospheric rivers (ARs), filamentary patterns of strong water vapor fluxes, play a  
22 prominent role in global poleward moisture transport and have profound impacts on  
23 extreme rainfalls (ERs). Previous AR research has mainly focused on the mid-latitude  
24 regions, whereas the characteristics of ARs in low latitudes and their relationship with  
25 local ERs remain largely unknown. This study investigates the spatiotemporal  
26 characteristics of ARs over the Bay of Bengal and their relationship with ERs after  
27 landing on the northern Indian subcontinent using the ERA-Interim reanalysis data.  
28 During the study period from 1979 to 2011, a total of 149 ARs have been identified,  
29 which feature a bimodal temporal pattern with more events observed in May and  
30 October. The AR axes generally stretch northeastwards over the bay and land in  
31 Bangladesh and Burma. 24% of ARs occurring during tropical cyclones implies a  
32 possible connection between them, in addition to the similar intra-annual distribution. In  
33 summer, as the tropical cyclones are weak and the northward water vapor flux decreases  
34 due to topographic blocking of the Western Ghats, it is less likely to form intensified  
35 water vapor pathway, though the atmospheric humidity is high in the study region.  
36 Furthermore, a close correlation between ARs and ERs is manifested. A large proportion  
37 of ARs would lead to ERs, with a small fraction of ERs occur after ARs. In addition,  
38 although persistent ARs constitute the majority of identified events, rainfall intensity  
39 will not be enhanced by the increase in AR duration. This study enriches the knowledge  
40 of AR characteristics in low latitudes and provides new pathways to understand the

41 hydrological cycles in the Indian Peninsula and the Bay of Bengal.

42 **Keywords:**

43 Atmospheric river; Bay of Bengal; Tropical cyclone; Extreme rainfall; ERA-Interim

44 dataset

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**45 1. Introduction**

46 Atmospheric rivers (ARs), featured by long, narrow corridors of enhanced water vapor  
47 transport, are responsible for more than 90% of the horizontal water vapor transport  
48 from tropics or subtropics into higher latitudes, and facilitate the precipitation that is  
49 crucial to water supply (Zhu and Newell 1998; Ralph et al. 2011a; Bao et al. 2006).  
50 Some strong ARs that contain large amount of water vapor associated with fierce winds  
51 may lead to extreme rainfall (ER) and floods (e.g., Ralph et al. 2004; Lavers et al. 2011).  
52 Consequently, ARs are widely recognized for the crucial role in the global water cycle  
53 (e.g., Zhu and Newell 1998) and particularly those hydrometeorological extremes  
54 (Neiman et al. 2008b).

55

56 In the mid-latitudes, these intensified water vapor plumes are often manifested as  
57 moisture convergence in extratropical cyclone warm sectors and the pre-cold-frontal  
58 low-level jet region (Ralph et al. 2004, 2006; Bao et al. 2006; Stohl et al. 2008). Two  
59 moisture sources are considered responsible for AR formation: local moisture  
60 convergence along the trailing cold fronts and direct poleward transport of tropical  
61 moisture (Bao et al. 2006). In addition, Dacre et al. (2015) found that water vapor in the  
62 cyclones' warm sector is more responsible for the generation of high water vapor  
63 content, compared with long-distance transport of water vapor from subtropics, which  
64 explains the high frequency of wintertime ARs in mid-latitudes. Furthermore, Zhu and  
65 Newell (1998) speculated that tropical ARs may be related to large-scale convergence in

66 boundary regions such as the intertropical convergence zone (ITCZ), rather than  
67 baroclinic cyclones.

68

69 In the vicinity of ARs, cloud-top temperatures are colder, indicating that deeper clouds  
70 (mid- and high clouds) are associated with deep convective systems that promote heavy  
71 precipitation by strong upward motion up to the tropopause with a large amount of  
72 cloud liquid (Ralph et al. 2004, 2011b). Most of the water vapor in ARs is transported  
73 within the lowest 2.5 km of the atmosphere with moist-neutral stratification (Ralph et al.  
74 2005). The combination of lower-troposphere moist neutrality and strong horizontal  
75 winds creates an ideal avenue for strong orographic precipitation when ARs approach  
76 high terrains (Ralph et al. 2011a; Lavers et al. 2011, 2012; Viale and Nunez 2010). For  
77 example, ARs stretching from the eastern Pacific Ocean to western North America are  
78 regarded as a primary meteorological contributor in flood generation and water  
79 resources in California as ARs bring in 20-50% of the total precipitation in the long  
80 term (Dettinger et al. 2011). Additionally, landfalling AR is found to be a strong  
81 precursor to the ER in the western European seaboard: 8 of the 10 largest ER events  
82 were preceded by ARs (Lavers and Villarini 2013b). The concept of ARs provides a new  
83 and objective framework to examine and quantify atmospheric conditions related to ERs  
84 (Ralph et al. 2006).

85

86 Previous techniques for AR identification are primarily based on the intensity and



87 spatial features of atmospheric water vapor (Gimeno et al. 2014). The intensity is  
88 usually quantified by the vertically integrated water vapor (IWV) and vertically  
89 integrated horizontal water vapor transport (IVT). IWV can either be retrieved from the  
90 Special Sensor Microwave Imager (SSM/I) (Ralph et al. 2004), or calculated using  
91 atmospheric reanalysis data; while IVT can be determined by using atmospheric  
92 reanalysis data (Zhu and Newell 1998). Compared with IWV, IVT is more desirable for  
93 AR detection due to its closer relationship with orographic precipitation (Neiman et al.  
94 2002; Guan and Waliser 2015). In terms of geometric features, length, width and  
95 length/width ratio are usually used in AR identification (Guan and Waliser 2015).  
96 However, thresholds of these measures are not universal but determined region by  
97 region (Gimeno et al. 2014). For instance, Neiman et al. (2008a) suggested that narrow  
98 plumes of SSM/I with IWV values  $>20$  mm (i.e.,  $>2000$  km long and  $<1000$  km wide)  
99 can be identified as ARs along the west coast of North America, whereas ARs at the  
100 Midwestern USA are found to have higher IWV intensity (in the range of 30–55 mm)  
101 and longer extension (about 3000 km) (Moore et al. 2012).

102

103 Although ARs in mid-latitudes are well studied by previous research, characteristics of  
104 ARs in lower latitudes are remaining largely unknown. Meanwhile, the Bay of Bengal is  
105 under strong influence of Indian monsoon, South Asian monsoon and notable tropical  
106 cyclones and thus becomes vulnerable to devastating rainfall and floods that can be  
107 induced by ARs. Additionally, inland penetration of ARs in concomitant with the

108 Himalayas may facilitate the topographic ERs in this region. As such, we focus on ARs  
109 over the Bay of Bengal and examine their relationship with ERs over the Indian  
110 subcontinent (Figure 1).

111

112 The objectives of the study are (1) to investigate the spatiotemporal characteristics of  
113 ARs over the Bay of Bengal, and (2) to examine their relationship with ERs in the  
114 northern Indian subcontinent. In the remainder of this paper, we first introduce an  
115 algorithm for AR identification and apply it over the Bay of Bengal. Next, based on the  
116 results of identified ARs, we analyze their characteristics and relationship with ERs in  
117 the northern Indian subcontinent.

118

## 119 **2. Methodology**

### 120 **2.1 Data**

121 The ERA-Interim dataset (Dee et al. 2011) at a 1.5° resolution spanning from 1979 to  
122 2011 is used in this study. The ERA-Interim dataset features better representation of  
123 hydrological cycle (Berrisford et al. 2011) and has been widely used in identifying  
124 moisture origins (Zhao et al. 2015), delineating water vapor transport pathways (Dong  
125 et al. 2016), etc. Especially, it can represent key AR characteristics (Guan and Waliser  
126 2015). In the dataset, the specific humidity and the zonal and meridional wind fields are  
127 available at a 6 h resolution, while the precipitation and evaporation are provided at a 3  
128 h resolution. The specific humidity and horizontal wind fields are used in the AR

129 identification algorithm, while evaporation between 1000 hPa and 175 hPa is used to  
130 correct precipitation by taking condensation as a part of precipitation. Also, as the  
131 rainfall is available at a relative coarse spatial resolution (i.e., 1.5°), some  
132 subsynoptic-scale gradients, such as those associated with orographic processes over  
133 mountain barriers, may be smoothed out (Rutz et al. 2014). Nevertheless, the  
134 ERA-Interim precipitation has the same spatial coverage and consistency between the  
135 AR and ER results that will facilitate the exploration of their correlation in the later  
136 sections.

137

## 138 **2.2 ER Identification**

139 An ER is defined as the 90<sup>th</sup> percentile of the maximum daily rainfall over the study  
140 area during 1979-2011 (Champion et al. 2015). In addition, only rainy days with daily  
141 precipitation larger than 1 mm are included (Eiras-Barca et al. 2016). The 90<sup>th</sup> percentile  
142 threshold is calculated separately for each month (Figure 2).

143

## 144 **2.3 AR Identification**

145 We modify the method in Lavers and Villarini (2013a) for central United States in two  
146 aspects: (1) Two reference latitudes are used in this study: 24°N and 27°N that represent  
147 the coastal and interior areas respectively, in consideration of the variation of IVT  
148 strength along latitude; (2) Equivalent width and direction thresholds are used in the  
149 back trajectory algorithm in order to filter out invalid identification results.

150

151 The detection method is based on the IVT field derived from specific humidity and  
 152 wind fields between 1000 and 300 hPa given by

153

$$IVT = \sqrt{\left(\frac{1}{g} \int_{1000}^{300} qu dp\right)^2 + \left(\frac{1}{g} \int_{1000}^{300} qv dp\right)^2} \quad (1)$$

154 where  $q$  is the specific humidity in  $\text{kg kg}^{-1}$ ,  $u$  and  $v$  are the zonal wind and meridional  
 155 wind in  $\text{m s}^{-1}$ , respectively,  $g$  is the acceleration of gravity in  $\text{m s}^{-1}$  (Neiman et al. 2008a;  
 156 Lavers and Villarini 2013a, 2013b).

157

158 Monthly IVT strength thresholds are applied in the AR identification considering the  
 159 notable intra-annual variability of IVT intensity (Champion et al. 2015) and ER  
 160 occurrence. The IVT threshold is determined as follows. The maximum values of IVT at  
 161 reference latitudes (i.e.,  $24^\circ\text{N}$  and  $27^\circ\text{N}$ ) at 1200 UTC of each day are first regrouped by  
 162 month, then the 85<sup>th</sup> percentiles of each group are determined as the monthly thresholds.

163 We note that IVT results smaller than  $250 \text{ kg m}^{-1} \text{ s}^{-1}$  are excluded as they are too small  
 164 in winter (Rutz et al. 2014).

165

166 Besides the IVT strength threshold determination, the two reference latitudes are also  
 167 used in locating the ending point of AR axis (i.e., the central line of an AR). By  
 168 identifying ARs based on the three latitudes of the study area (i.e.,  $24^\circ\text{N}$ ,  $25.5^\circ\text{N}$  and  
 169  $27^\circ\text{N}$ ), we found the results based on  $24^\circ\text{N}$  and  $27^\circ\text{N}$  are sufficient to cover all AR

170 events. Thus, we employ 24°N and 27°N as reference latitudes (Full details of reference  
171 latitude selection refer to Appendix).

172

173 The next step of AR identification is to determine the AR axis, which in combination  
174 with geometry thresholds will be used to filter out the objects with less physical  
175 meanings: as ARs refer to the strong poleward water vapor transport, objects not  
176 satisfying such directionality need to be excluded. To start with, we define the ending  
177 point of AR axis as the location of the maximum IVT at reference latitudes. Then a back  
178 trajectory algorithm is used in the axis identification (Figure 3): we search for the  
179 highest IVT by comparing the adjacent grid cells to the south, southeast and southwest,  
180 which are selected because of the poleward transport feature, and such procedure is  
181 iterated until a total of 14 successive grid points are obtained to form an axis. If 14 grids  
182 are taken south of reference latitude, the identified axis would be more than 2000 km  
183 long (Ralph et al. 2004).

184

185 Direction and width thresholds are then applied to distinguish ARs from monsoon and  
186 tropical cyclones. Moisture convergence in a low-pressure center and intense surface  
187 wind of summer monsoon are likely to be mistakenly identified as ARs if only IVT  
188 strength and length are considered. The direction of an AR should satisfy the condition  
189 that the included angle between mean transport direction and the line connecting two  
190 endpoints of the axis is not greater than 45°. The mean transport direction is the mean

191 moisture transport direction of grids along the axis. Here we use the equivalent width  
192 (EW) to represent the width of an AR, which should be less than 1000 km (7 grids)  
193 (Ralph et al. 2004; Neiman et al. 2008a). The EW is calculated as:

$$EW = \frac{area}{length} \quad (2)$$

194 where length is the number of the contiguous grids (14 in this case), area is the total  
195 number of grids with IVT strength greater than the monthly threshold in the axis  
196 coverage region, which is a sub-domain of the rectangular region extending diagonally  
197 from (76.5°E, 0°N) to (99°E, 30°N): if the axis stretches zonally (meridionally), its  
198 endpoints will determine the north-south (west-east) boundary of the coverage region.

199

200 To briefly summarize, AR identification includes three steps: (1) obtaining the original  
201 AR occurrence time based on the IVT strength threshold; (2) conducting AR axis  
202 identification and (3) filtering out the mistakenly identified AR structures with width  
203 and direction thresholds. Figure 4 illustrates the brief workflow of AR identification  
204 algorithm.

205

### 206 **3. Results and discussion**

#### 207 **3.1 IVT intensity**

208 Apparent seasonality in the atmospheric water vapor indicated by IVT is observed in the  
209 study region (Figure 5). This pattern features high precipitation due to the strong  
210 influence of southwesterly monsoon with abundant water vapor (Zhao et al. 2015). In

211 general, high IVT ( $\geq 250 \text{ kg m}^{-1} \text{ s}^{-1}$ ) is observed in summer (JJA, Figure 5b) and autumn  
212 (SON, Figure 5c) but winter (DJF, Figure 5d) and spring (MAM, Figure 5a). Annual  
213 IVT exhibits a single-peak trend with the maximum in July as high as  $600 \text{ kg m}^{-1} \text{ s}^{-1}$ . In  
214 May (not shown) and autumn (SON, Figure 5c), the water vapor belt forms over the bay  
215 and extends meridionally to the northeast of the study region. While in JJAS (Figure 5e),  
216 the seasonal movement of the ITCZ, manifesting as monsoon, prompts the seasonal  
217 rainfall variations. High rainfalls occur over the monsoon zone around  $20^\circ \text{ N}$  stretching  
218 northwestward from the head of the Bay of Bengal. And most of the summertime  
219 monsoon rainfalls occur in association with the propagation of synoptic-scale  
220 convective systems from the Bay of Bengal along the monsoon zone (Gadgil 2003;  
221 Schneider et al. 2014). Also, as the East Asian monsoon becomes stronger in autumn,  
222 water vapor brought by the southwesterly and East Asian monsoon converges near the  
223 Longitudinal Range-Gorge Region in China (yellow box in Figure 5c). While the  
224 wintertime IVT is relatively too small to impose apparent influence on the precipitation.  
225  
226 Inland penetration of ARs implies their possible impacts on areas that are distant from  
227 the landfalling locations (Guan and Waliser 2015; Rutz et al. 2014). Considering the  
228 high possibility of AR penetration, it is necessary to examine the possible extents of  
229 ARs in the study region. As such, the dependence of IVT intensity on latitude is  
230 assessed using their monthly thresholds of each latitude (Figure 6). A slight increase in  
231 IVT is observed from  $24^\circ \text{ N}$  to  $25.5^\circ \text{ N}$ , which can be attributed to the high local

232 evaporation from densely covered rivers in this area. Although IVT then decreases from  
233 25.5°N to 27°N, the southern foot of the Himalayas, its relatively high value (larger than  
234 250 kg m<sup>-1</sup> s<sup>-1</sup>) implies ARs may penetrate into the interior area of the study region.

235

### 236 3.2 AR

237 The intra-annual distribution of AR demonstrates a clear dual-peak pattern (peaking in  
238 May and October) (Figure 6), which differs from single-peak pattern of ARs (peaking in  
239 winter) observed in mid-latitudes. It is also noteworthy that the intra-annual variation in  
240 AR does not follow that in IVT (single-peak pattern in summer), implying the  
241 summertime decrease in AR events is caused not by the reduced IVT intensity but  
242 possibly by the seasonal movement of water vapor belts: as the belt encounters the  
243 Western Ghats (cf. Section 3.1), the high deficit in water vapor hampers the formation  
244 of AR in summer.

245

246 To investigate the spatial pattern of ARs, AR axis is introduced as the indicator.  
247 Comparable spatial occurrence patterns of ARs are observed in spring (Figure 7a) and  
248 autumn (Figure 7c) with inland occurrence in Burma, Bangladesh and India. While less  
249 occurrence of ARs is observed in summer, the intensity of AR is much stronger than  
250 those in other seasons with deeper penetration (Figure 7b). The occurrence of AR is  
251 significantly less in winter (Figure 7d). Figure 8a-8d show examples of ARs identified  
252 in the four seasons.



253

254 Considering the similar bimodal temporal distribution of ARs and tropical cyclones over  
255 the Bay of Bengal (Li et al. 2012), we hypothesize that possible correlation may exist  
256 between them. Using the tropical cyclone best-track data from the Joint Typhoon  
257 Warning Center (JTWC), we compare the occurrence of ARs and tropical cyclones. The  
258 results indicate that 24% of ARs occurred during tropical cyclones with most in the end  
259 of the best track periods. We note that this percentage could be larger because ARs that  
260 occurred after the tropical cyclones were excluded. Compared with the findings in  
261 southeastern United States that only ~7% of ARs occurred during tropical and  
262 subtropical cyclones (Debbage et al. 2017), it is indicated that ARs in the Bay of Bengal  
263 are heavily influenced by tropical activity. Figure 8c shows the spatial pattern of a  
264 typical AR that occurred during the active tropical cyclone period, indicating the role of  
265 tropical cyclones in facilitating the longitudinal transport of water vapor that feeds ARs.

266

267 Based on the hypothesis, the low summertime occurrence of ARs may also be attributed  
268 to other factors (e.g., vertical wind shear and vorticity (Li et al. 2012)) that limit the  
269 formation of tropical cyclones, in addition to the weakened water vapor transport due to  
270 topographic blocking (cf. Section 3.1). Also, water vapor belts are sometimes led by the  
271 local convective systems to the East India during the summer monsoon (Figure 8e).

272

### 273 3.3 Relevance to Extreme Precipitation

274 The relevance of ARs to ERs is investigated by testing the following hypotheses: 1) ERs  
275 occur after ARs and 2) ARs lead to ERs. During the period from 1979 to 2011, a total of  
276 1190 ERs have been identified with the spatial distribution concentrating mainly along  
277 the south foot of the Himalayas (Figure 9), suggesting the importance of orographic  
278 effect in the formation of ERs. Furthermore, we term those ERs that occur after ARs no  
279 later than 24 hours and appear in the vicinity of the AR axis (i.e. within 1.5 degree from  
280 the AR axis) as AR-related ERs (AERs) hereinafter. The above hypotheses are then  
281 tested through two ratios: 1)  $r_{ER}$  (the occurrences of AERs over all ERs) and 2)  $r_{AR}$   
282 (the occurrences of AERs over all ARs).  $r_{ER}$  indicates the fraction of ERs that are  
283 associated with ARs, whereas  $r_{AR}$  suggests the fraction of ARs that lead to ERs.

284

285 Noticeable seasonality is found in  $r_{ER}$  (Figure 10a) with higher values of ~14%  
286 observed in October and November (the post-monsoon season) while the lowest values  
287 in summer and winter. Such seasonality could be explained by the variability of  
288 synoptic forcing and large-scale moisture availability (Eiras-Barca et al. 2016). During  
289 the transitional monsoon season, AERs are favored by the abundant moisture conveyed  
290 by ARs that satisfies the dynamic conditions for ERs. However, in the warm season,  
291 precipitation tends to be more associated with convective systems (Lavers and Villarini  
292 2013b; Champion et al. 2015; Eiras-Barca et al. 2016; Gadgil, 2003). Hence, the  
293 moisture availability is no longer a restriction due to local moist convection favored by  
294 higher temperatures. Meanwhile the tropical cyclones are less frequent, so ERs are

295 mostly attributable to local convective systems rather than ARs (Champion et al. 2015).

296

297 Apparent seasonality is found in  $r_{AR}$  as well (Figure 10b): wintertime values are much  
298 higher than those in other seasons. Compared with  $r_{ER}$ ,  $r_{AR}$  shows larger magnitude  
299 over the whole year, indicating stronger correlation of AER with AR than with ER. In  
300 particular, during the dry boreal winter, the high  $r_{AR}$  (i.e., >50%) highlights the crucial  
301 role of ARs in forming ERs by supplying abundant moisture. As for the spatial  
302 distribution of ERs, local topographic lift is found of great importance in facilitating  
303 AERs. Therefore, higher winter half-year  $r_{AR}$  also indicates the potential of ARs in  
304 inland penetration. On the other hand, the relatively low  $r_{AR}$  in spring could be  
305 attributed to ARs that are not strong enough to penetrate deeply inland.

306

307 Based on the results of  $r_{ER}$  and  $r_{AR}$ , we thus infer that only a very small fraction of  
308 ERs occur after ARs whereas a high portion of ARs can lead to ERs in the study region.  
309 Furthermore, as the positive relationship is found between the duration of AR and the  
310 rainfall/streamflow during AER (i.e. longer AR duration potentially induce higher  
311 rainfall/streamflow, see Lavers and Villarini 2013a in central United States, Ralph et al.  
312 2006 in northern California), we also examine such relationship in the study region.

313

314 ARs are categorized into three groups according to their durations: 1) AR-all: all AR  
315 events are included; 2) AR-12h: AR events longer than 12 hours are included; 3)

316 AR-18h: AR events longer than 18 hours are included. Results show that 70% of the  
317 149 AR events last more than 12 hours, and up to 50% ARs fall into group AR-18h. It is  
318 noteworthy that similar  $r_{AR}$  values of  $\sim 50\%$  are found across the three groups (Figure  
319 11), suggesting the AR duration has minimal influence on the intensity of ERs.

320

#### 321 **4. Concluding remarks**

322 In this study, we investigate the spatiotemporal characteristics of ARs over the Bay of  
323 Bengal and their correlation with ERs in study area. In total, 149 ARs are identified  
324 from 1979 to 2011 using a modified algorithm of AR identification in the IVT field,  
325 over 50% of which last more than 18 hours. The modification includes width and  
326 direction thresholds, in addition to length and IVT strength criteria, to filter out the  
327 monsoon and tropical cyclones, which could be mistakenly identified as ARs in the  
328 tropics. The choice of reference latitude is shown to be crucial in AR identification,  
329 given the remarkable variation of IVT threshold along latitude. Two reference latitudes  
330 are found to be sufficient to capture all AR events of the study region.

331

332 The intra-annual variation of AR occurrence shows a bimodal feature (i.e., peaking in  
333 May and October) that is consistent with the temporal distribution of tropical cyclones  
334 over the Bay of Bengal. These moisture corridors form over the bay, extend  
335 northeastwards, and penetrate inland into Burma, Bangladesh and India. The  
336 spatiotemporal characteristics of ARs demonstrate that the southwesterly moisture

337 transport brings abundant water vapor from the tropics to the northern continent and  
338 indicate that tropical cyclones may enhance this poleward moisture transport. Moreover,  
339 the high occurrence frequency of ARs in May is different with tropical cyclones but  
340 similar with supercyclones (Li et al. 2012), implying that the intensity of tropical  
341 cyclones might influence the occurrence of ARs. The low frequency of ARs in summer  
342 could be explained by the lack of cyclones and the weak northward water vapor  
343 transport due to topographic blocking.

344

345 Furthermore, a high correlation between ARs and ERs is verified. Although the fraction  
346 of ERs occurring after ARs is relatively small, a large proportion of ARs lead to ERs. In  
347 particular, the moisture conveyed by ARs plays an important role in forming ERs during  
348 the dry boreal winter. Besides, local convective systems responsible for most summer  
349 ERs explain the low occurrence of ERs induced by ARs in summer. On the other hand,  
350 the fraction of ARs leading to ERs indicates the potential of ARs in facilitating the  
351 landing of ERs considering the crucial role of mountains in the ER formation. In  
352 addition, the duration of ARs has minimal influence on the intensity of ERs.

353

354 This study for the first time investigates the spatiotemporal characteristics of ARs in low  
355 latitudes and their relationship with ERs. Although both are closely correlated with ERs,  
356 the dual-peak pattern in occurrence and strong inland penetration of ARs in low  
357 latitudes highlight their distinct features from ARs in mid-latitude. These may help

358 better understand the weather extremes and hydrological cycles in this region. One of  
359 the limitations that need to appreciate is the use of a single reanalysis dataset: analysis  
360 based on more datasets can help generalize the findings of this study. Additionally, the  
361 mechanism behind which tropical activities govern ARs needs further exploration.

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**368 Appendix: ARs identified based on two reference latitudes**

369 In this work, we apply two reference latitudes in AR identification and obtain two  
370 different groups of ARs. The two reference latitudes are capable to envelope the  
371 variation of grid IVT strength over the continent where ARs land (Figure A1).  
372 Furthermore, compared with the global AR dataset of Guan and Waliser (2015),  
373 although the total number of identified ARs in our work (the oceanic area of a  
374 rectangular region extending diagonally from (76.5°E, 0°N) to (99°E, 24°N)) during  
375 1979-2011 is relatively smaller, more than half of our results overlap with the global  
376 dataset. Both results show the high frequency of ARs in warm season and a reduction in  
377 July (Figure A2). The disparity in the amount of ARs identified can be attributed to  
378 three reasons: 1) exclusion of eastward and westward tracks in the back trajectory  
379 algorithm to focus on the water vapor directly from the Bay rather than from the  
380 Arabian Sea and the South China Sea across land; 2) a much higher fixed lower limit  
381 (i.e.  $250 \text{ kg m}^{-1} \text{ s}^{-1}$ ) (Rutz et al. 2014); 3) exclusion of invalid ARs using equivalent  
382 width and direction thresholds during monsoon season (JJAS).

383

384 In addition, the difference between the 24°N and 27°N AR groups might be due to three  
385 possible causes: 1) transport delay, 2) reduction in IVT threshold and 3) geometry  
386 thresholds related uncertainty. Geometry filtering acting on AR axis structures with  
387 ending points on different reference latitudes is likely to yield different results, even if at  
388 the same occurrence time. However, as this impact is difficult to quantify, we do not



389 include the assessment in this section.

390

391 To assess the differences caused by transport delay, we choose an average lag of 5 d  
392 through sensitivity analysis, considering the time scale of moisture recycling ranges  
393 from 2 d to 10 d over the study region and the fast feedback is observed in the summer  
394 (van der Ent and Savenije 2011). Only those ARs in 24°N and 27°N occurring within  
395 the average lag (i.e., 5 d) are considered to be successive events, by which 24% of the  
396 AR events that identified at 27°N can be categorized as successive ones.

397

398 Then, we analyze the impact of IVT threshold reduction on the AR identification. Given  
399 the lower IVT threshold at 27°N compared with 24°N, we thus apply the threshold at  
400 27°N to the dataset of 24°N to examine the impact of IVT threshold reduction. Based on  
401 the IVT threshold at 27°N, 185 ARs are identified at 24°N, of which 26 events overlap  
402 with those identified at 27°N.

403

404 The different groups of ARs identified based on the two latitudes have a marginal  
405 overlap of 6 events. Besides transport delay and IVT threshold reduction, there are still  
406 37% mismatched events in the AR group based on 27°N, which could be partly  
407 attributed to geometry related filtering.

408

409 Moreover, apparent differences are found between the identified AR groups based on

410 different reference latitudes (Figure A3): 24°N, 27°N and the complete list (i.e., the AR  
411 group consisting results from both 24°N and 27°N). Large fluctuations are observed in  
412 the inter-annual AR occurrence frequency. For instance, the annual AR occurrence  
413 ranges between zero and fourteen in the complete list, with the year 1988 witnessing the  
414 most AR events. Also, it is noteworthy that bursts of AR occurrence are observed in the  
415 24°N group during each decade, implying the existence of inherent decadal frequency  
416 of ARs in this region. On the contrary, the occurrence fluctuation of 27°N groups is  
417 relatively milder in the study period with slightly growing trend.

418

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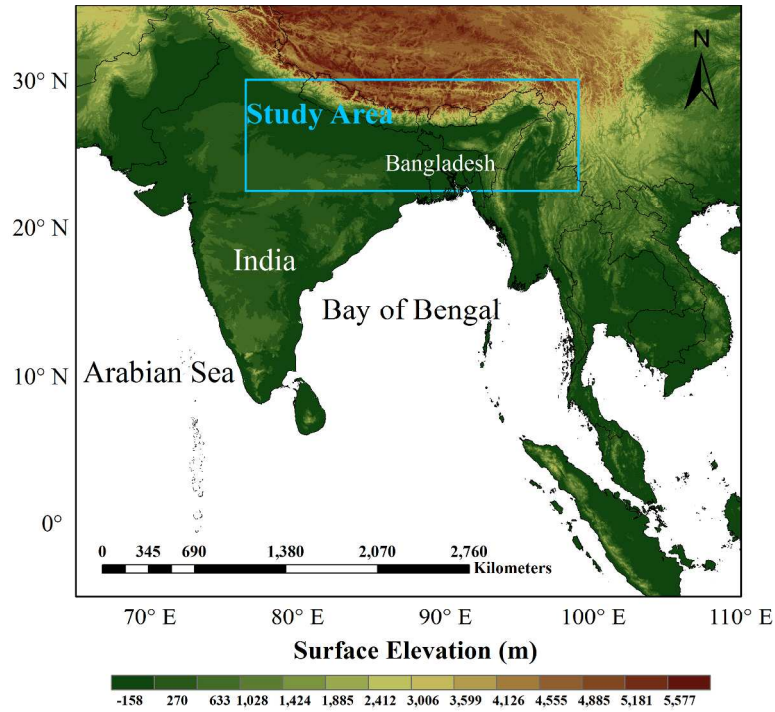


Figure 1 Observed (30 arc s) topography (shaded in m) for the Bay of Bengal (BOB) and part of Asia. The location of study area is shown in blue box (from (76.5°E, 22.5°N) to (99°E, 30°N)). The west-east boundary is based on the extent of BOB and the south-north boundary represents the northern continental area between coast and the Himalayas. The country boundaries are represented by black lines.

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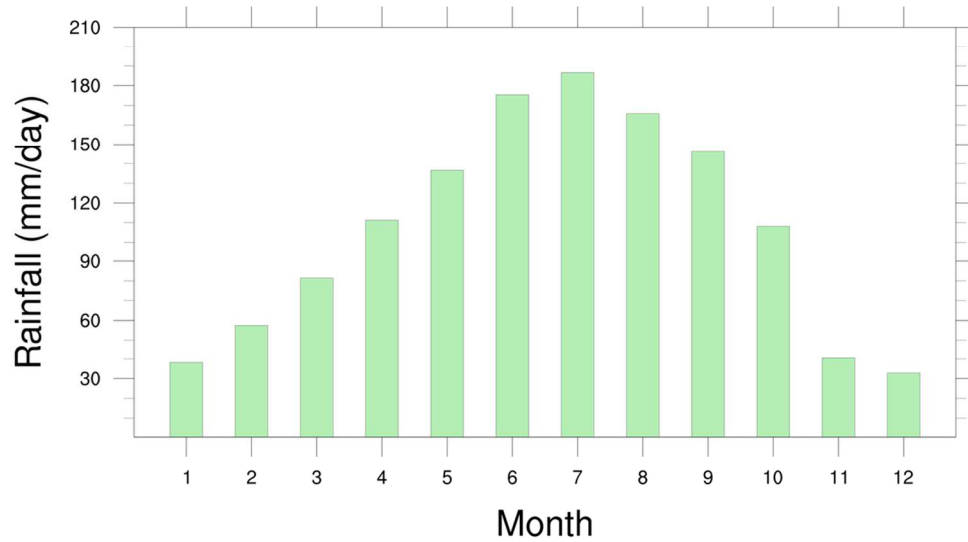


Figure 2 Monthly extreme rainfall thresholds defined as the 90<sup>th</sup> percentile of the maximum daily rainfall (larger than 1 mm) over the study region during 1979-2011.

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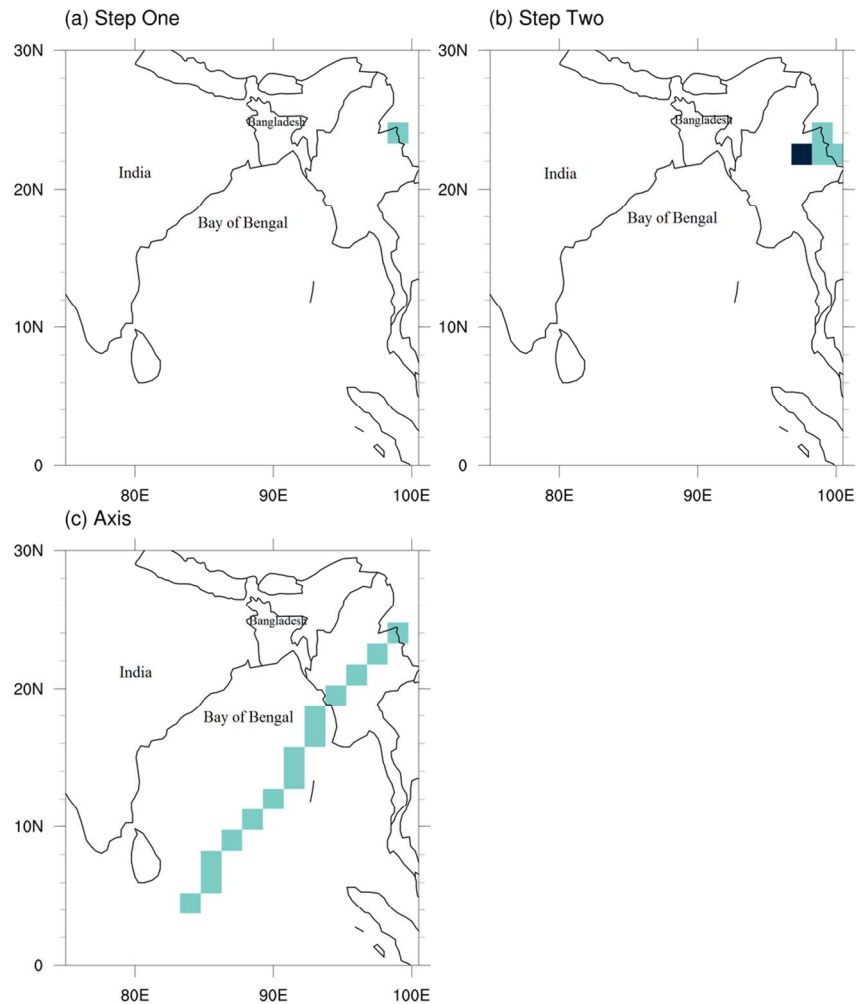


Figure 3 The AR axis structure identification algorithm. (a) The blue grid indicates the location of maximum IVT between 76.5°E and 99°E at 24°N (reference latitude), which serves as the ending point of the axis. (b) The next step is to search the adjacent grid cells (to the south/ southeast/ southwest) for highest IVT. The black grid represents the selected highest IVT location. (c) Repeat step two for 13 times and obtain the axis structure (the connected blue line).

105x105mm (300 x 300 DPI)

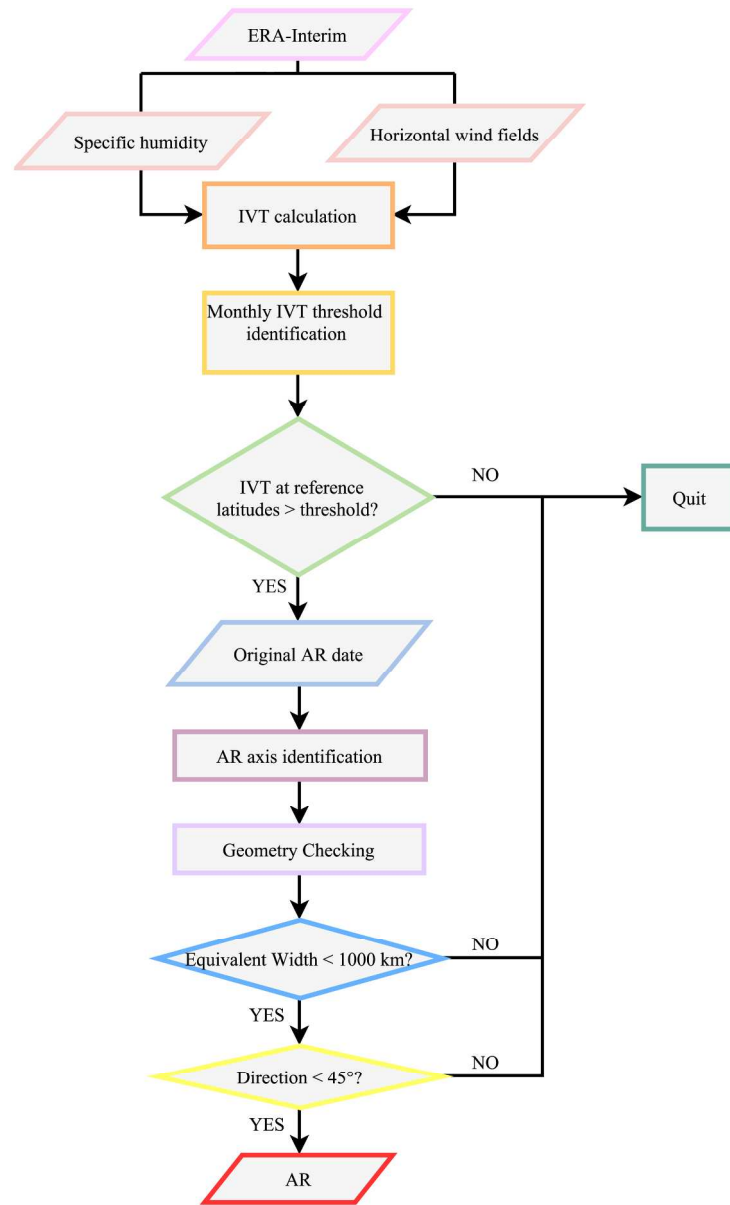


Figure 4 The brief framework for AR identification algorithm.

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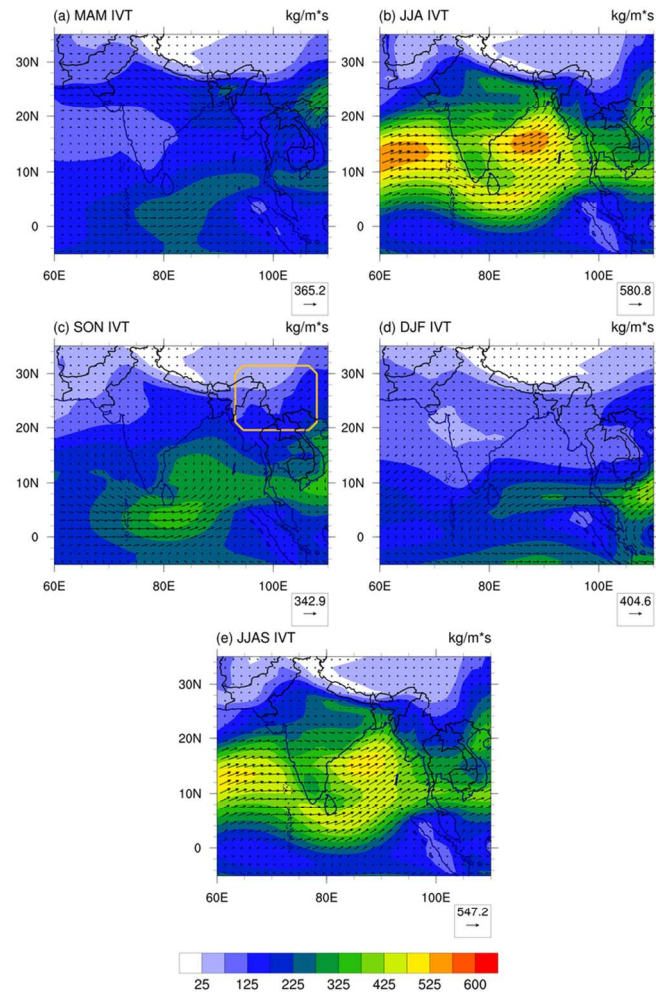


Figure 5 The seasonal mean IVT (in  $\text{kg/m}^{-1}\text{s}^{-1}$ ) in (a) spring, (b) summer, (c) autumn, (d) winter and (e) monsoon season. The yellow box (from  $21^{\circ}\text{N}$ ,  $95^{\circ}\text{E}$  to  $30^{\circ}\text{N}$ ,  $106^{\circ}\text{E}$ ) represents the spatial scope of Longitudinal Range-Gorge Region (Wu et al. 2012).

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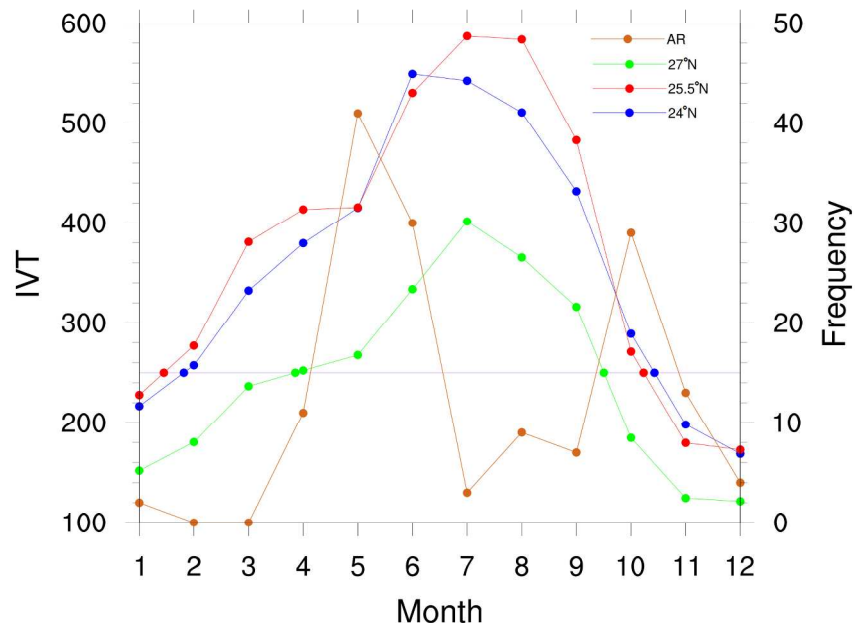


Figure 6 The monthly IVT strength thresholds at 24°N (blue), 25.5°N (red) and 27°N (green) in the study area (left axis). The IVT strength threshold is determined as follows. The maximum values of IVT at certain latitudes at 1200 UTC of each day are first regrouped by month, then the 85<sup>th</sup> percentiles of each group are determined as the monthly thresholds. The purple line of  $250 \text{ kg m}^{-1} \text{ s}^{-1}$  represents the lower limit of IVT strength (left axis). The chocolate line displays the intra-annual distribution of ARs identified over the Bay of Bengal during 1979-2011(right axis).

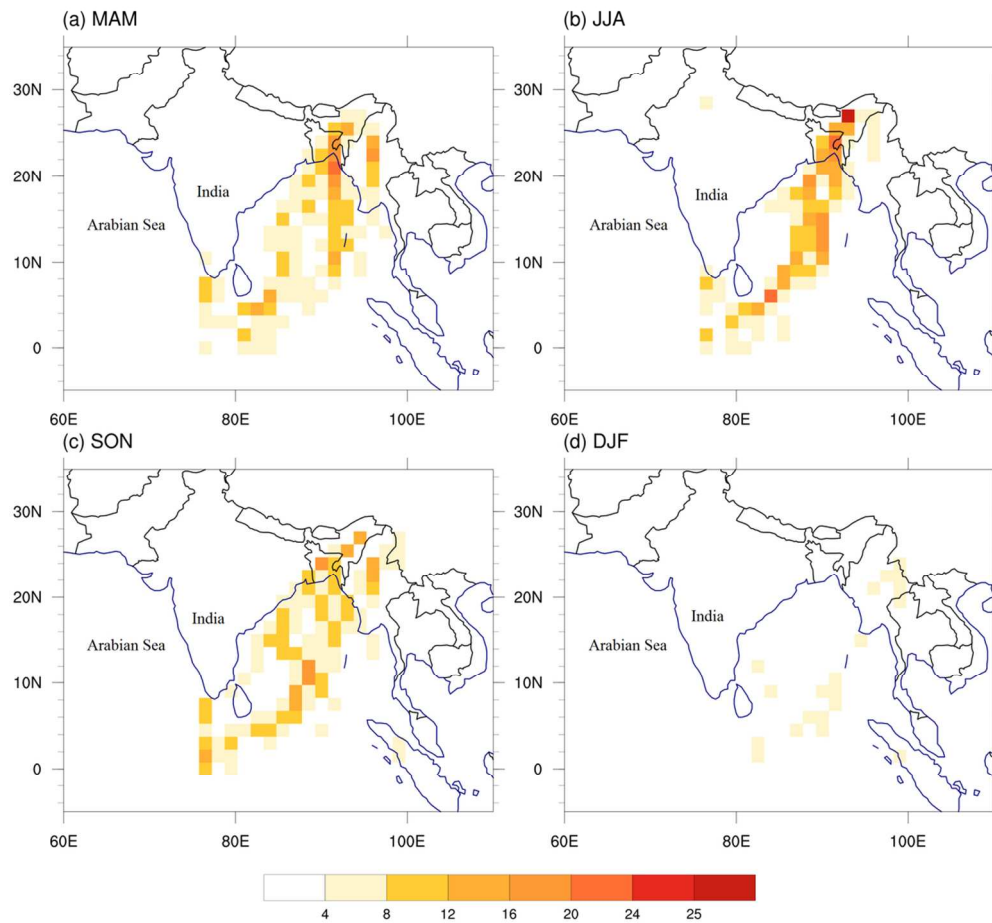


Figure 7 The spatial occurrence of ARs that is shown as the seasonal-accumulative occurrence frequency of AR axis in each grid.

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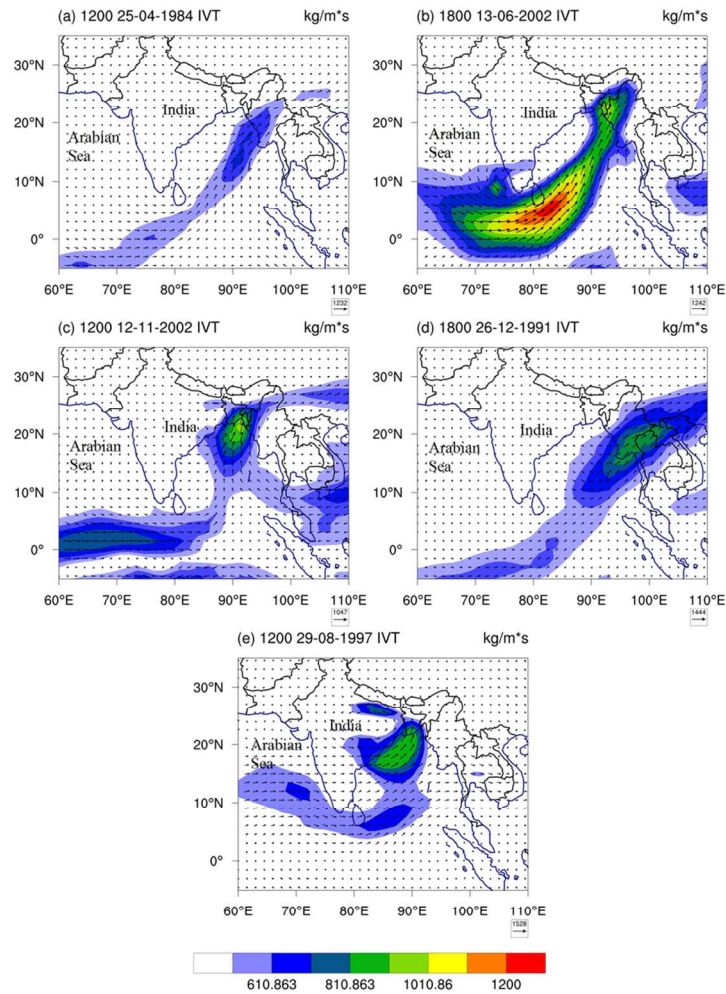


Figure 8 Examples of five ARs shown in IVT (in  $\text{kg m}^{-2}\text{s}^{-1}$ ) at (a) 1200UTC 25<sup>th</sup> April 1984, (b) 1800UTC 13<sup>th</sup> June 2002, (c) 1200UTC 12<sup>th</sup> November 2002, (d) 1800UTC 26<sup>th</sup> December 1991, and (e) 1200 UTC 29<sup>th</sup> August 1997. Note that the lower limit is the IVT threshold of the corresponding month.

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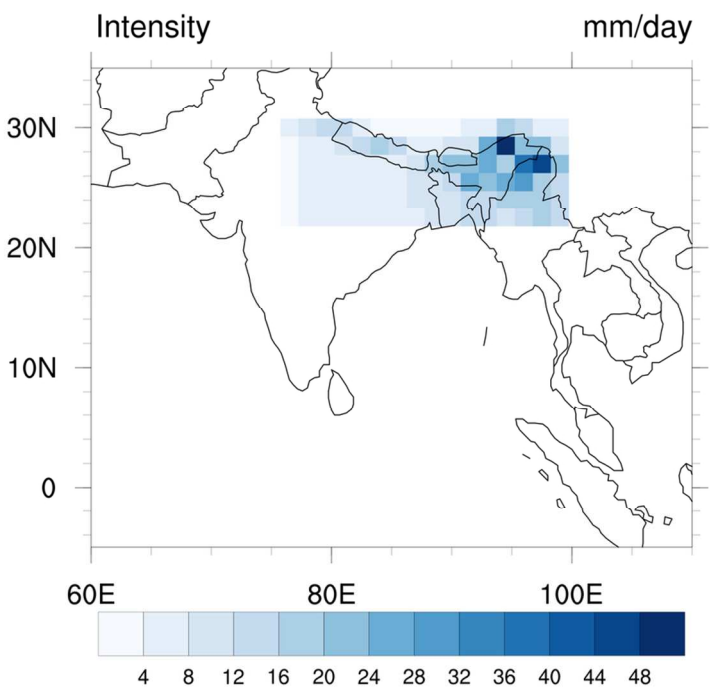


Figure 9 the spatial distribution of mean ER intensity over study area.

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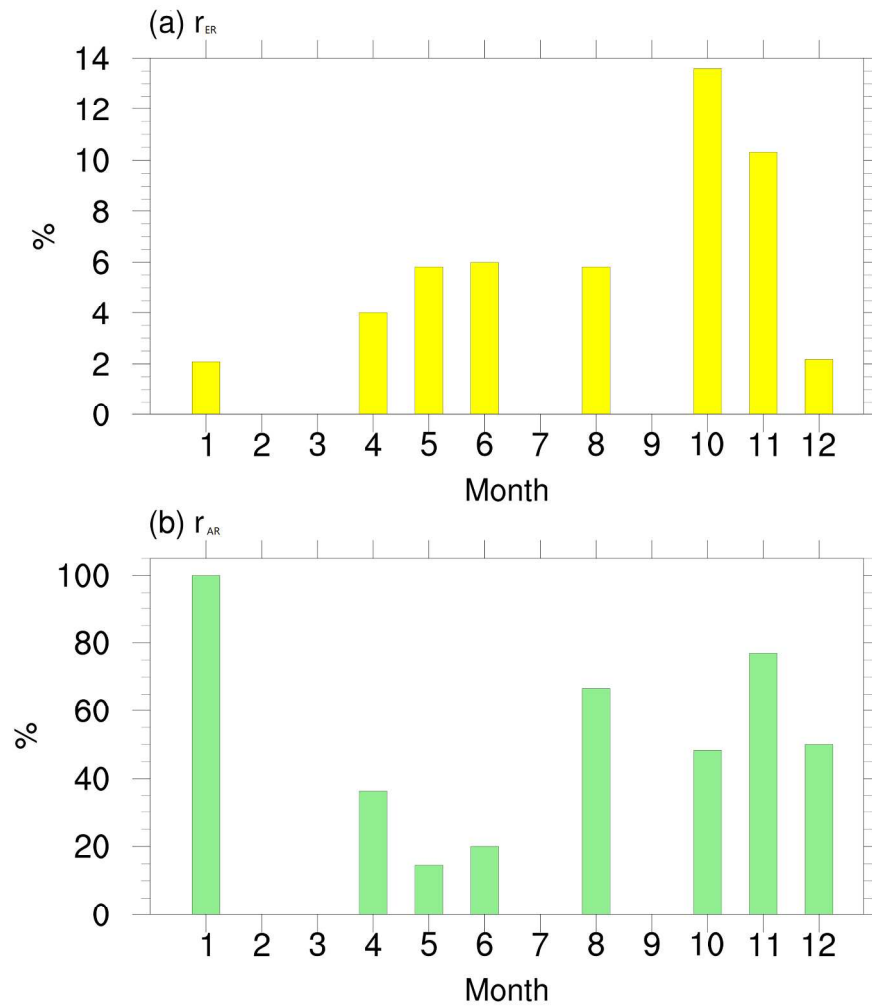


Figure 10 (a) and (b) are  $r_{ER}$  (the occurrences of AREs over all ERs) and  $r_{AR}$ (the occurrences of AERs over all ARs) respectively.



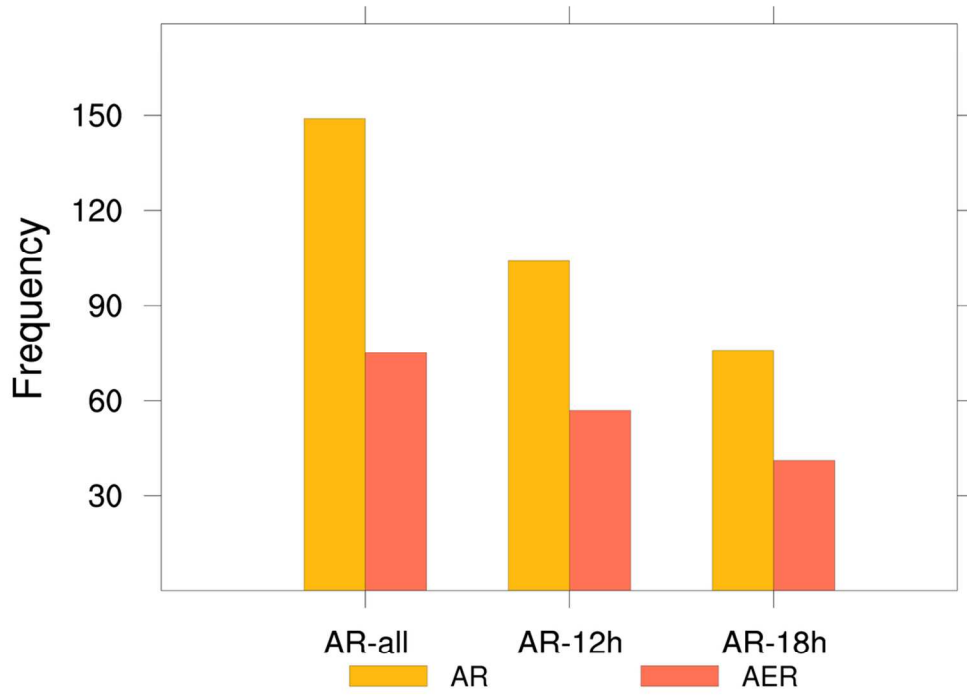


Figure 11 Frequency of AERs in three groups.

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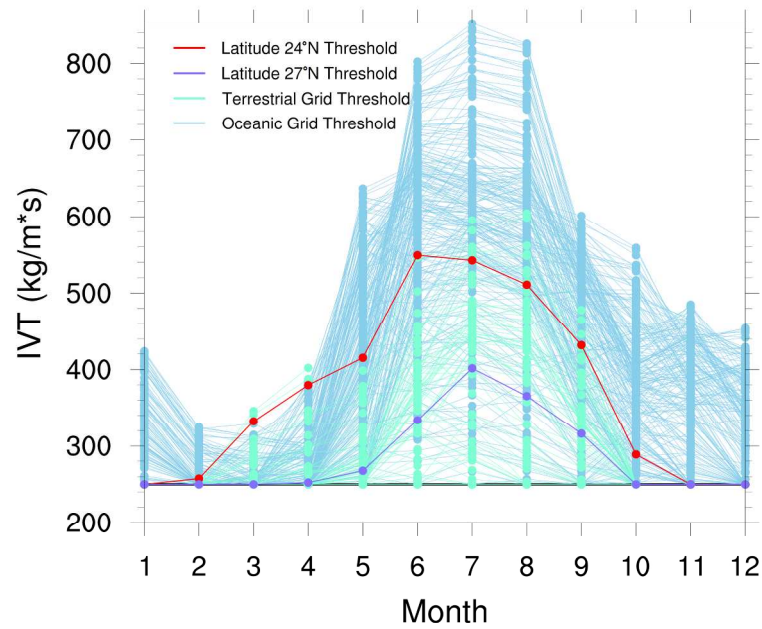


Figure A1 Monthly variations of IVT strength threshold of different areas: oceanic grids (blue, from (76.5°E, 0°N) to (99°E, 22.5°N)), terrestrial grids (green, from (76.5°E, 24°N) to (99°E, 30°N)), reference latitude 24°N (red), and reference latitude 27°N (purple). The black line indicates the lower limit of IVT threshold of  $250 \text{ kg m}^{-1} \text{ s}^{-1}$ .

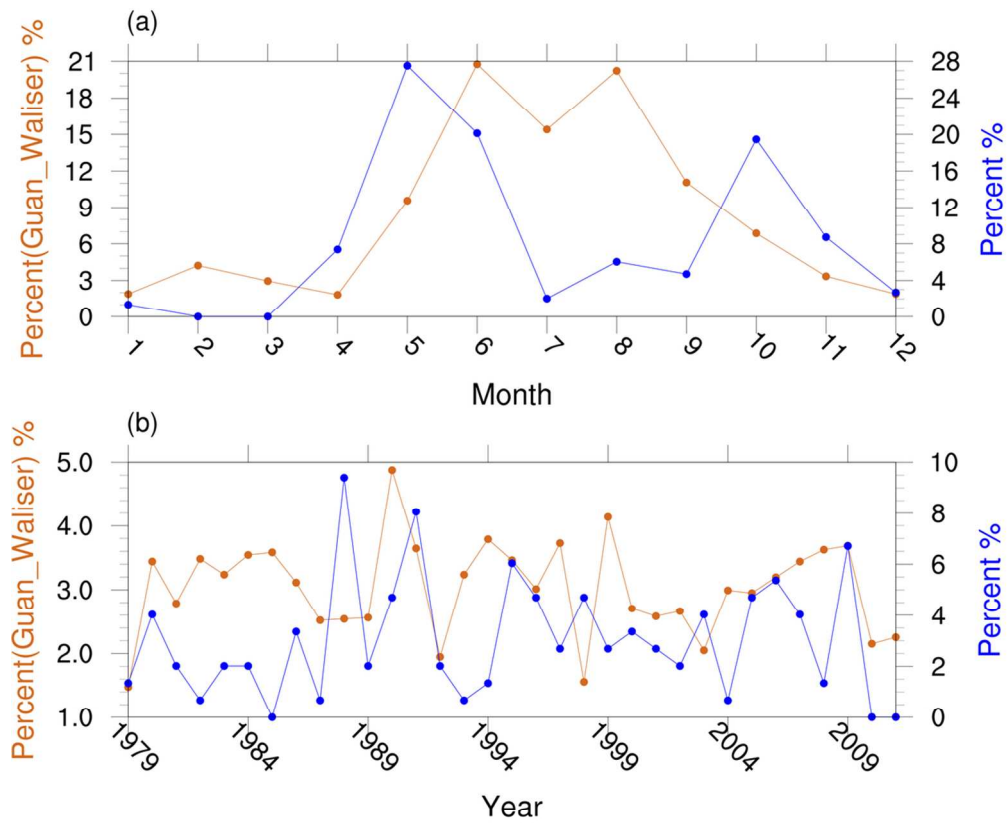


Figure A2 The (a) intra-annual and (b) inter-annual AR distribution of Guan and Waliser global AR dataset (brown) and our results (blue).

105x105mm (300 x 300 DPI)

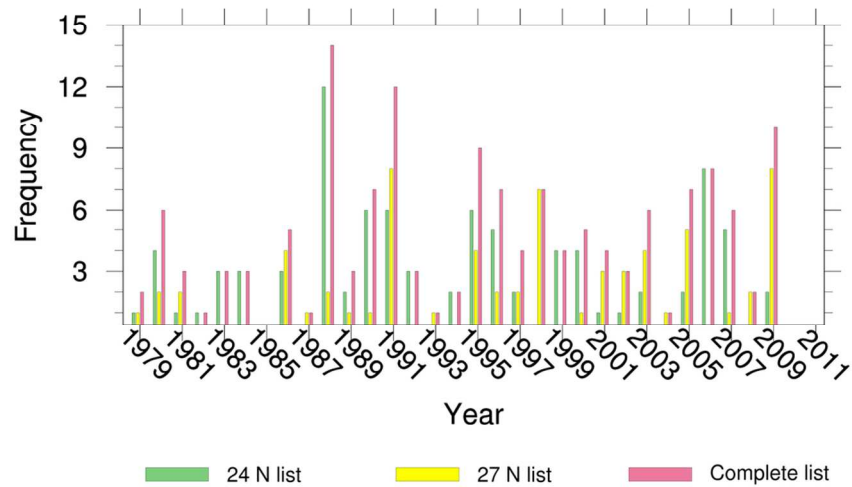


Figure A3 Time series of identified ARs over 1979-2011. The green bars and yellow bars show the 24°N and 27°N list respectively, and the pink bars represents the complete list.

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### Figure Captions:

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**Figure 4** The flowchart for AR identification algorithm.

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