

# *Nonlinear bias correction for satellite data assimilation using Taylor series polynomials*

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1 **NONLINEAR BIAS CORRECTION FOR SATELLITE DATA**

2 **ASSIMILATION USING TAYLOR SERIES POLYNOMIALS**

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## ABSTRACT

15 Output from a high-resolution ensemble data assimilation system is used  
16 to assess the ability of an innovative nonlinear bias correction (BC) method  
17 that uses a Taylor series polynomial expansion of the observation-minus-  
18 background departures to remove linear and nonlinear conditional biases from  
19 all-sky satellite infrared brightness temperatures. Univariate and multivariate  
20 experiments were performed in which the satellite zenith angle and variables  
21 sensitive to clouds and water vapor were used as the BC predictors. The re-  
22 sults showed that even though the bias of the entire observation departure  
23 distribution is equal to zero regardless of the order of the Taylor series expan-  
24 sion, there are often large conditional biases that vary as a nonlinear function  
25 of the BC predictor. The linear 1st order term had the largest impact on the  
26 entire distribution as measured by reductions in variance; however, large con-  
27 ditional biases often remained in the distribution when plotted as a function  
28 of the predictor. These conditional biases were typically reduced to near zero  
29 when the nonlinear 2nd and 3rd order terms were used. The univariate results  
30 showed that variables sensitive to the cloud top height are effective BC predic-  
31 tors especially when higher order Taylor series terms are used. Comparison  
32 of the statistics for clear-sky and cloudy-sky observations revealed that non-  
33 linear departures are more important for cloudy-sky observations as signified  
34 by the much larger impact of the 2nd and 3rd order terms on the conditional  
35 biases. Together, these results indicate that the nonlinear BC method is able  
36 to effectively remove the bias from all-sky infrared observation departures.

## 37 **1. Introduction**

38 The ability to generate accurate cloud and water vapor (WV) analyses suitable for numerical  
39 weather prediction (NWP) models is perhaps the most challenging aspect of modern data as-  
40 simulation (DA) systems because they typically assume Gaussian error statistics and that linear  
41 relationships exist between the observations and model state variables. Cloud processes, however,  
42 are inherently nonlinear with complex interactions occurring between different cloud hydrometeor  
43 species and the local thermodynamic environment at spatial and temporal scales that are typically  
44 much smaller than those represented by NWP models. Likewise, WV content can change rapidly  
45 in space and time and can influence the evolution of the cloud field in nonlinear ways. These and  
46 other factors can make it very challenging to effectively assimilate information from cloud and  
47 WV sensitive observations.

48 Remotely sensed observations obtained using geostationary and polar-orbiting satellites provide  
49 the only reliable source of high-resolution cloud and WV information covering large geographic  
50 domains. Sophisticated visible, infrared, and microwave sensors onboard various satellite plat-  
51 forms provide information about the spatial distribution and characteristics of the cloud and WV  
52 fields. For regional-scale NWP, observations from geostationary satellites are especially useful  
53 because their continuous viewing of the same area with high temporal and spatial resolution allow  
54 them to more easily constrain the evolution of rapidly changing weather features (Vukicevic et al.  
55 2006; Errico et al. 2007). Satellite observations, however, often exhibit biases when compared to  
56 their model equivalents computed using the NWP model background; therefore, bias correction  
57 (BC) methods are typically required to assimilate these observations (Eyre 2016).

58 Observation-minus-background (OMB) biases can occur for a variety of reasons and can differ  
59 for clear and cloudy observations. For example, biases can arise from calibration errors in a satel-

60 lite sensor or to instrument "drift" as a sensor ages. Biases can also be introduced by deficiencies  
61 in the forward radiative transfer models used to compute the model equivalent brightness temper-  
62 atures. For clear-sky observations, biases may result from errors in the specification of surface  
63 emissivity, simplifications in the radiative transfer model equations, inadequate vertical resolu-  
64 tion or a low model top in the NWP model, or the misspecification or absence of atmospheric  
65 constituents (such as aerosols) observed by some satellite bands. In the context of clear-sky DA,  
66 biases can also be introduced by incomplete cloud screening procedures that allow some cloud-  
67 affected observations to pass quality control and thereby incorrectly enter the DA system. Indeed,  
68 most existing quality control methods were originally designed to remove all cloud-affected obser-  
69 vations; however, these constraints are being relaxed as operational modeling centers move toward  
70 all-sky DA (e.g., Okamoto et al. 2014; Zhu et al. 2016). Exclusion of cloud-affected brightness  
71 temperatures has the undesirable consequence of removing observations that could have been used  
72 to improve the model initialization in cloudy areas of the model domain.

73 Additional uncertainties regarding the specification of cloud properties arise when assimilating  
74 cloud-affected infrared brightness temperatures. Though forward radiative transfer modeling for  
75 cloudy scenes has become more accurate in recent years, deficiencies remain, especially for ice  
76 clouds. Simulation of absorption and scattering properties for liquid clouds is relatively straight-  
77 forward because the droplets are assumed to be spherical. However, there are larger uncertainties  
78 with ice cloud bulk optical properties because there is some dependence in the infrared on the  
79 shape of the ice particles (Yang et al. 2013). For example, an ice particle may take the form of a  
80 hexagonal plate, solid or hollow column, bullet rosette, or an aggregate of some form, and impact  
81 the bulk microphysical and optical properties that result from integration of the individual particle  
82 properties over the assumed size and habit distributions (Baum et al. 2014). In addition, the ice  
83 water path is related to both the cloud optical thickness and the cloud particle effective diameter.

84 When computing simulated brightness temperatures, these diameters should be computed using  
85 the particle size distribution and cloud property assumptions made for each cloud species by a  
86 given microphysics scheme (e.g. Otkin et al. 2009; Cintineo et al. 2014; Thompson et al. 2016).

87 Biases in the OMB departures can also be caused by systematic errors in the NWP model fore-  
88 casts that result from deficiencies in the parameterization schemes or other characteristics of the  
89 NWP model. It is well known that model forecasts containing large biases influence the behav-  
90 ior of BC methods and can degrade the performance of DA systems (Dee 2005; Dee and Uppala  
91 2009; Eyre 2016). Biases can be especially large for model variables for which few observations  
92 are available to constrain their evolution, such as root zone soil temperature and moisture (Mahfouf  
93 2010), or variables such as clouds and water vapor that are strongly influenced by parameteriza-  
94 tion schemes accounting for sub-grid scale processes. For example, uncertainties in microphysical  
95 parameters controlling cloud generation and decay processes can lead to systematic errors in the  
96 spatial extent, optical thickness, and height of the clouds, which in turn impacts the simulated  
97 satellite brightness temperatures (Otkin and Greenwald 2008; Cintineo et al. 2014; Eikenberg et  
98 al. 2015). Ideally, a BC method would not remove the bias in the OMB departures associated  
99 with deficiencies in the NWP model because the observations should be used to correct such sys-  
100 tematic errors. In the absence of a perfect reference analysis, however, it can be very difficult to  
101 determine whether a bias originates in the observations or forward radiative transfer model, both  
102 of which should be corrected, or in the model background (Dee 2005). Because of this uncertainty  
103 in bias attribution, all BC methods functionally act to correct the bias in the "observations" regard-  
104 less of the true sources of the bias (Dee and Uppala 2009). Though this outcome is not desirable  
105 because it will limit the ability of the observations to reduce systematic errors in the analysis, it  
106 does satisfy the requirement by most DA methods that the observations are unbiased. In addition,  
107 the bias corrected observations can still be used to reduce random errors in the analysis. Eyre

108 (2016) noted that the impact of model bias on the analysis accuracy depends on the rate at which  
109 the NWP model state relaxes back toward its own climatology after the assimilation update. If  
110 an NWP model quickly returns to its preferred state, then the analysis errors will continue to be  
111 large even if the model bias can be removed prior to computing the BC coefficients. This points  
112 toward the need to fix the bias at its source within the NWP model. The impact of model bias on  
113 a BC method can be reduced when high quality "anchor" observations with little or no bias are  
114 available; however, it is not apparent that such observations exist for water vapor and clouds.

115 BC methods can be broadly categorized into two types (Eyre 2016). The first type uses depart-  
116 tures between the observations and their model equivalents accumulated over long time periods  
117 outside of the DA system to estimate and remove the bias from the observations prior to their  
118 assimilation. These so-called "static" BC methods typically use the satellite scan angle along with  
119 several atmospheric variables, such as the geopotential thickness over some layer, as the BC pre-  
120 dictors. The BC coefficients for each satellite sensor and band are then computed using linear  
121 least squares regressions between the predictors and the observations. In practice, however, these  
122 "static" BC coefficients are regularly updated to account for changes in the model background due  
123 to changes in the NWP model or DA system, the addition of new observations, and upgrades to  
124 the forward radiative transfer model. Frequent retuning of a static BC method can be beneficial  
125 because it makes it more adaptable to changes in the models and observations. More detailed de-  
126 scriptions of static BC methods can be found in Eyre (1992), Harris and Kelly (2001), and Hilton  
127 et al. (2009).

128 With the second type of BC method, known as variational BC (VarBC), the BC coefficients are  
129 updated simultaneously with the control vector during each DA cycle using the same set of obser-  
130 vations and an augmented control vector (Derber et al. 1991; Parrish and Derber 1992; Derber and  
131 Wu 1998; Dee 2005; Auligne et al. 2007; Dee and Uppala 2009; Zhu et al. 2014). Like static BC



132 methods, VarBC typically uses the satellite scan angle and several variables describing the atmo-  
133 spheric state as the predictors, with the total BC treated as a linear combination of all predictors.  
134 The BC coefficient for each predictor is computed during the minimization of the variational cost  
135 function. With an incremental DA approach with multiple outer loops, the BC coefficient incre-  
136 ments evolve during each iteration of the inner loop and are updated at the end of each outer loop,  
137 which allows the coefficients to adjust with time and capture changes in observation quality. The  
138 state space augmentation approach used by VarBC also requires an estimate of the background  
139 covariances of the augmented state vector. For simplicity, most schemes assume that the error for  
140 a given BC parameter is uncorrelated with errors in other parameters for other satellite sensors and  
141 bands and with errors in the model background (Derber and Wu 1998; Dee 2005).

142 Most BC methods have been developed for use in variational or hybrid DA systems; however,  
143 several studies have also explored BC in ensemble DA systems. Fertig et al. (2009) developed a  
144 BC method for ensemble DA that is similar to VarBC in that it uses state augmentation to estimate  
145 the biases during the assimilation step. They showed that their method was able to reduce both the  
146 observation bias and the analysis error in perfect model experiments. Similar methods have also  
147 been used successfully in real data experiments assimilating microwave brightness temperatures  
148 (Szunyogh et al. 2008; Aravequia et al. 2011; Miyoshi et al. 2011). In high-resolution observ-  
149 ing system simulation experiments assimilating infrared brightness temperatures, Cintineo et al.  
150 (2016) found that the analysis and forecast accuracy was improved when a simple fixed-value BC  
151 was applied to the clear-sky observations similar to that used by Stengel et al. (2009, 2013) in  
152 a variational DA system. Cintineo et al. (2016), however, did not bias-correct the cloudy obser-  
153 vations prior to their assimilation because their bias was too complex to properly handle using a  
154 simple fixed-value BC applied uniformly to all cloudy observations. Zhu et al. (2016) handled bi-  
155 ases in all-sky microwave observations by computing the BC coefficients using only cases where

156 both the model background and the observations were either clear or cloudy. By doing this, they  
157 were able to reduce errors associated with mismatched cloud fields, while still preserving cloud-  
158 dependent information in the matched observations. Together, these results provide evidence that  
159 more sophisticated BC methods that can account for changes in cloud properties are necessary to  
160 effectively remove biases in the OMB departures.

161 In this study, we present a new BC method that can be used to diagnose and remove biases in  
162 all-sky infrared brightness temperatures using a Taylor series polynomial expansion of the OMB  
163 departures. This approach can diagnose both linear and nonlinear bias components through use  
164 of higher order Taylor series terms and a set of BC predictors. For example, with a 3rd order  
165 approximation, the 0th and 1st order terms represent the constant and linear bias components,  
166 whereas the 2nd (quadratic) and 3rd (cubic) order terms represent nonlinear bias components. We  
167 use this nonlinear BC (NBC) method to remove the bias from Scanning Enhanced Visible and  
168 Infrared Imager (SEVIRI) infrared brightness temperatures that were passively monitored during  
169 high-resolution ensemble DA experiments. The paper is organized as follows. The DA framework  
170 is described in Section 2, with a mathematical description of the NBC method presented in Section  
171 3. Statistics obtained using the NBC method are shown in Section 4, with conclusions and a  
172 discussion presented in Section 5.

## 173 **2. Experimental Design**

### 174 *a. SEVIRI Satellite Datasets*

175 The SEVIRI sensor onboard the Meteosat Second Generation satellite provides accurate top-  
176 of-atmosphere radiance measurements across 12 visible and infrared spectral bands with a nadir  
177 resolution of 3 km for all infrared bands (Schmetz et al. 2002). The utility of the NBC method was

178 evaluated using brightness temperatures from the  $6.2 \mu\text{m}$  and  $7.3 \mu\text{m}$  bands sensitive to WV over  
179 broad layers of the upper and middle troposphere, respectively, when skies are clear, while also  
180 being sensitive to clouds when they are present. Under clear conditions, the weighting functions  
181 that depict how much radiation from a given atmospheric height reaches the top of the atmosphere  
182 peak near 350 hPa (500 hPa) for the  $6.2 \mu\text{m}$  ( $7.3 \mu\text{m}$ ) bands, and then decrease to zero in the  
183 lower troposphere. When clouds are present, however, the weighting functions are truncated near  
184 the cloud top, which means that a larger portion of the top-of-atmosphere radiation originates at  
185 higher (e.g. colder) altitudes than would occur under clear-sky conditions. Their dual sensitivity  
186 to clouds and WV means that observations from these bands provide valuable information about  
187 the atmospheric state that is typically not available with conventional observations. Another mo-  
188 tivation for using these bands is the expectation that their OMB departure statistics will be more  
189 Gaussian than would occur with infrared "window" bands because there will be a smoother tran-  
190 sition between the brightness temperatures in adjacent clear and cloudy areas.

191 Cloud top height retrievals made using SEVIRI observations were also obtained using software  
192 provided by the EUMETSAT Nowcasting Satellite Applications Facility and will be used as one  
193 of the BC predictors. The cloud top height for each satellite pixel was estimated by computing  
194 simulated clear-sky  $10.8 \mu\text{m}$  brightness temperatures using the RTTOV radiative transfer model  
195 (Saunders et al. 1999) and temperature and humidity profiles from the global GME model (Majew-  
196 ski et al. 2002), and then inserting a cloud at successively higher levels until a best fit is obtained  
197 between the observed and simulated brightness temperatures (Derrien and Le Gleau 2005; Le  
198 Gleau 2016). To reduce the data volume and minimize the impact of spatially correlated errors  
199 in the observation departures, the cloud top height retrievals and SEVIRI brightness temperatures  
200 were horizontally thinned by a factor of 5 in the zonal and meridional directions. This reduces  
201 their horizontal resolution to  $\sim 20\text{-}25$  km across the model domain, and is  $\sim 8$  times coarser than

202 the NWP model resolution. The cloud top height retrievals have a vertical resolution of 200 m;  
203 however, their uncertainty is larger, especially for semi-transparent clouds (Le Gleau 2016).

#### 204 *b. KENDA Data Assimilation System*

205 Ensemble DA experiments in which conventional observations were actively assimilated and  
206 SEVIRI brightness temperatures were passively monitored were performed using the Kilometer-  
207 scale Ensemble Data Assimilation (KENDA) system (Schraff et al. 2016) developed by the  
208 Deutscher Wetterdienst (DWD). The KENDA system is based on the local ensemble transform  
209 Kalman filter method described by Hunt et al. (2007) and uses the Consortium for Small-scale  
210 Modeling (COSMO) model (Baldauf et al. 2011) as the NWP model. During this study, ra-  
211 diosonde, surface, wind profiler, and aircraft observations, were actively assimilated using a 1-h  
212 assimilation window, whereas SEVIRI 6.2  $\mu\text{m}$  and 7.3  $\mu\text{m}$  brightness temperatures were passively  
213 monitored. With KENDA, 4-D assimilation capabilities are obtained through inclusion of the ob-  
214 servation operators within the COSMO model so that the model equivalents can be computed at  
215 the exact observation times during the forward integration of the ensemble. Temporally and spa-  
216 tially varying covariance inflation values are obtained at each grid point through a combination  
217 of multiplicative covariance inflation based on Anderson and Anderson (1999) and the relaxation  
218 to prior perturbations approach described by Zhang et al. (2004). Covariance localization is per-  
219 formed by updating the analysis at each grid point using only those observations located within  
220 a specified distance of the grid point. The vertical localization scale is fixed, but increases with  
221 height, whereas the horizontal scale is determined adaptively. For more detailed information about  
222 the KENDA system, the reader is referred to Schraff et al. (2016).

223 This study uses output from ensemble DA experiments that were performed on the COSMO-DE  
224 domain covering all of Germany and parts of surrounding countries with 2.8 km horizontal grid

225 spacing. Lateral boundary conditions were obtained at hourly intervals from the 7-km resolution  
226 COSMO-EU domain run at the DWD, which in turn is driven by boundary conditions provided  
227 by the Icosahedral non-hydrostatic (ICON) model (Zangl et al. 2015). The COSMO-DE domain  
228 covers approximately 1200 x 1200 km and contains 50 vertical levels that are terrain-following in  
229 the lower troposphere and become horizontally flat in the upper troposphere and stratosphere. The  
230 model top is located at 22 km (i.e. about 40 hPa). The DA experiments employed 40 ensemble  
231 members along with a deterministic run that is initialized by applying the Kalman gain matrix from  
232 the assimilation update to the deterministic model background. The ensemble and deterministic  
233 runs were initialized at 00 UTC on 16 May 2014 and then updated at hourly intervals during a  
234 5-day period ending at 00 UTC on 21 May 2014.

235 Atmospheric prognostic variables in the COSMO model include the horizontal and meridional  
236 wind components, temperature, pressure, and the mixing ratios for water vapor, cloud water, rain-  
237 water, pristine ice, snow, and graupel. Cloud microphysical processes, such as autoconversion,  
238 accretion, and self-collection, are represented using a simplified version of the Seifert and Be-  
239 heng (2001) double-moment microphysics scheme that was reduced to a single-moment scheme  
240 for computational efficiency. Cloud formation and decay processes are parameterized based on  
241 the work of Lin et al. (1983). Heating rates due to radiative effects are updated at 15-min in-  
242 tervals using the  $\delta$ -2-stream method developed by Ritter and Geleyn (1992). Deep convection  
243 is explicitly resolved whereas shallow convection is parameterized using a simplified version of  
244 the Tiedtke (1989) mass-flux scheme. A 2.5 order turbulent kinetic energy scheme developed by  
245 Raschendorfer (2001) is used to predict turbulence.

246 After an initial 12-h spin-up period, simulated SEVIRI brightness temperatures were generated  
247 for each ensemble member and the deterministic run at hourly intervals during a 4.5-day period  
248 from 13 UTC 16 May 2014 to 00 UTC 21 May 2014 using first-guess model output from 1-h

249 COSMO-DE forecasts. The model profiles were interpolated to the thinned SEVIRI observation  
250 locations, and then simulated  $6.2 \mu\text{m}$  and  $7.3 \mu\text{m}$  brightness temperatures were computed using  
251 version 10.2 of the RTTOV radiative transfer model (Saunders et al. 1999). RTTOV includes an  
252 enhanced cloud-scattering module that enables the use of cloud profiles located on the NWP model  
253 vertical grid (Matricardi 2005; Hocking et al. 2011). When computing cloudy brightness temper-  
254 atures, RTTOV requires vertical profiles of liquid water content, ice water content, and fractional  
255 cloud cover. These quantities were computed using the COSMO model output and empirical rela-  
256 tionships developed by Kostka et al. (2014). The default maximum-random cloud overlap scheme  
257 in RTTOV based on Raisanen (1998) was used during this study. RTTOV also includes several  
258 options to diagnose the ice particle effective diameters from the forecast ice water content based  
259 on relationships developed by Wyser (1998), Ou and Liou (1995), and McFarquhar et al. (2003)  
260 along with two ice crystal shape options (aggregates and randomly-oriented hexagonal crystals)  
261 that together are used to compute the ice radiative properties. For this study, we assume hexagonal  
262 ice crystals and compute the particle diameters using the McFarquhar et al. (2003) method. These  
263 settings were chosen because they provided the smallest overall bias during the 108-h study pe-  
264 riod based on six sensitivity experiments using the various ice crystal diameter and shape options.  
265 The mean brightness temperature for ice clouds between the best and worst options differed by  
266 approximately 1 K for the  $6.2 \mu\text{m}$  band and 2.5 K for the  $7.3 \mu\text{m}$  band during the entire study  
267 period (not shown), which illustrates the large uncertainty associated with the ice cloud property  
268 lookup tables in RTTOV.

### 269 **3. Nonlinear Bias Correction (NBC) Method**

270 Traditional BC methods remove biases between a given set of observed and model-equivalent  
271 satellite brightness temperatures through use of a set of BC predictors that describe the atmospheric

272 state or characteristics of the satellite data. Both static and VarBC methods typically assume that  
273 a linear relationship exists between the departure bias and a given set of predictors or that a global  
274 constant can be added to the observations. This linear BC approach has been shown to work well  
275 for clear-sky observations possessing Gaussian error characteristics for which a set of constant and  
276 linear BC coefficients are sufficient to remove the bias; however, their use will be sub-optimal if  
277 the observation bias varies as a nonlinear function of some predictor. For satellite observations,  
278 nonlinear error dependencies are more likely to occur when cloudy observations are assimilated  
279 given the prevalence of nonlinear processes in clouds that could lead to complex errors in the fore-  
280 cast cloud field and the possibility that nonlinear error sources could be introduced by the forward  
281 radiative transfer model used to compute the model-equivalent brightness temperatures. For exam-  
282 ple, with infrared brightness temperatures, it is possible that increased uncertainty simulating ice  
283 radiative properties in forward radiative transfer models could lead to biases that are a nonlinear  
284 function of some cloud property, such as cloud top height. Thus, given the increased interest in  
285 all-sky DA, it is desirable to develop BC methods that can remove both linear and nonlinear bias  
286 components from the innovations.

287 One method that can be used to account for nonlinear error dependencies in a set of observations  
288 is a Taylor series polynomial expansion that includes higher order terms that can capture nonlinear  
289 features of the error distribution if they exist. For a given set of observed and model-equivalent  
290 brightness temperatures corresponding to a specific satellite sensor and band, the observation de-  
291 parture vector is defined as:

$$\mathbf{dy} = \mathbf{y} - H(\mathbf{x}), \quad (1)$$

292 where  $\mathbf{y}$  is the observation vector,  $\mathbf{x}$  is the NWP model state vector, and  $H(\mathbf{x})$  is the observation  
293 operator that is used to compute the model equivalent brightness temperatures. If we assume that  
294 the bias in the observation departures can be described by a real function  $f(z)$  of a single variable

295 (e.g., predictor) that is infinitely differentiable around a real number  $c$ , Eqn. 1 can be decomposed  
 296 into an  $N$  order Taylor series expansion:

$$\mathbf{dy} = \left( f(c) + \frac{f'(c)(z^{(i)} - c)}{1!} + \frac{f''(c)(z^{(i)} - c)^2}{2!} + \frac{f'''(c)(z^{(i)} - c)^3}{3!} + \dots + \frac{f^{(n)}(c)(z^{(i)} - c)^n}{n!} \right)_{i=1, \dots, m} \quad (2)$$

297 where  $\mathbf{dy}$  is the  $m \times 1$  observation departure vector and  $m$  is the number of observations,  $f^{(n)}(c)$  is  
 298 the  $n$ th derivative of  $f$  evaluated at the point  $c$ , and  $z^{(i)}$  is the predictor value for the  $i$ th observation.  
 299 The  $i = 1, \dots, m$  notation outside the parentheses indicates that the Taylor series approximation is  
 300 computed separately for each element of the  $\mathbf{dy}$  vector using the equation within the parentheses.  
 301 The variable used as the predictor is chosen based on its ability to capture some aspect of the  
 302 observation departure bias, whereas the value  $z^{(i)}$  of that variable for a given observation can be  
 303 obtained from a variety of sources, such as the model background or a satellite retrieval. The  
 304 constant  $c$  can be set to any value because  $c + \delta c$  simply moves  $c$  to another constant value;  
 305 therefore, for convenience, we define  $c$  to be the mean of the predictor values:

$$c = \frac{\sum_{i=1}^m z^{(i)}}{m} \quad (3)$$

306 It is readily apparent from Eqn. 2 that the higher order terms represent nonlinear components be-  
 307 cause the exponents are  $\geq 2$ , with the  $(z - c)^2$  and  $(z - c)^3$  polynomials representing the quadratic  
 308 and cubic terms, respectively.

309 The single variable case shown in Eqn. 2 can subsequently be generalized to be a function of  
 310 more than one predictor:



$$\begin{aligned}
\mathbf{dy} = & \left( f(a_1, \dots, a_d) + \sum_{j=1}^d \frac{\partial f(a_1, \dots, a_d)}{\partial x_j} (x_j^{(i)} - a_j) \right. \\
& + \frac{1}{2!} \sum_{j=1}^d \sum_{k=1}^d \frac{\partial^2 f(a_1, \dots, a_d)}{\partial x_j \partial x_k} (x_j^{(i)} - a_j)(x_k^{(i)} - a_k) \\
& \left. + \frac{1}{3!} \sum_{j=1}^d \sum_{k=1}^d \sum_{l=1}^d \frac{\partial^3 f(a_1, \dots, a_d)}{\partial x_j \partial x_k \partial x_l} (x_j^{(i)} - a_j)(x_k^{(i)} - a_k)(x_l^{(i)} - a_l) + \dots \right)_{i=1, \dots, m}
\end{aligned} \quad (4)$$

311 which can be written more compactly as:

$$\mathbf{dy} = \left( \sum_{n_1=0}^d \dots \sum_{n_d=0}^d \left( \frac{\partial^{(n_1+\dots+n_d)} f}{\partial x_1^{n_1} \dots \partial x_d^{n_d}} \right) (a_1, \dots, a_d) \frac{(x_1^{(i)} - a_1)^{n_1} \dots (x_d^{(i)} - a_d)^{n_d}}{n_1! \dots n_d!} \right)_{i=1, \dots, m}, \quad (5)$$

312 where  $d$  is the number of predictors,  $f^{(n_d)}(a_d)$  denotes the  $n$ th partial derivative of  $f$  evaluated at  
313 the point  $a_d$ , and  $x_d^{(i)}$  is the  $i$ th value for a given predictor  $x_d$ .

314 For illustrative purposes, if we assume a single variable, third order Taylor series expansion for  
315 a single satellite sensor and band, and define the BC coefficients such that  $b_n = \frac{f^{(n)}(a)}{n!}$ , Eqn. 2 can  
316 be written as:

$$\mathbf{dy} = \left( b_0 + b_1(z^{(i)} - c) + b_2(z^{(i)} - c)^2 + b_3(z^{(i)} - c)^3 \right)_{i=1, \dots, m} \quad (6)$$

317 or alternatively in matrix notation as:

$$\mathbf{dy} = \mathbf{A}\mathbf{b} \quad (7)$$

318 where  $\mathbf{dy}$  is the  $m \times 1$  observation departure vector,  $\mathbf{A}$  is an  $m \times n$  matrix containing the  $n$  Taylor  
319 series terms  $(z^{(i)} - c)^l$  for each  $i$ th observation, where  $l = 0, \dots, n-1$ , and  $\mathbf{b}$  is an  $n \times 1$  vector con-  
320 taining the BC coefficients. This is an overdetermined system of  $m$  linear equations in  $n$  unknown  
321 coefficients because  $m > n$ . The first column of  $\mathbf{A}$  contains ones, with the remaining columns con-  
322 taining the linear and higher order Taylor series terms. Because this kind of system typically does  
323 not have an analytic solution, we instead want to find the coefficients  $\mathbf{b}$  that best fit the equations  
324 by solving the quadratic minimization problem  $\hat{\mathbf{b}} = \min_b S(\mathbf{b})$ , where the objective function  $S$  is

325 given by:

$$S(b) = \sum_{i=1}^m |dy_i - \sum_{j=1}^n A_{ij}b_j|^2 = \|\mathbf{dy} - \mathbf{Ab}\|^2 \quad (8)$$

326 and  $\|\cdot\|$  is the Euclidean norm. Because most real-world phenomena act as a low pass filter in the  
 327 forward direction where  $\mathbf{A}$  maps  $\mathbf{b}$  to  $\mathbf{dy}$ , the inverse mapping will operate as a high-pass filter that  
 328 amplifies noise and can therefore lead to a poorly conditioned problem. Preference, however, can  
 329 be given to smaller norms by adding a Tikhonov regularization term,  $\|\Gamma\mathbf{b}\|^2$ , to Eqn. 8, which is a  
 330 standard approach when solving inverse problems (Nakamura and Potthast, 2015). For simplicity,  
 331 we choose a matrix that is a multiple of the identity matrix ( $\Gamma = \alpha I$ ), such that:

$$\hat{S}(b) = \|\mathbf{dy} - \mathbf{Ab}\|^2 + \alpha \|\mathbf{Ib}\|^2 \quad (9)$$

332 Sensitivity tests showed that  $\alpha$  could be set to a very small value ( $10^{-9}$ ) when one variable was  
 333 used in the regression; however, a slightly larger value ( $10^{-6}$ ) was found to work better for the  
 334 multivariate regressions. These values were used for the univariate and multivariate experiments  
 335 presented in Section 4. The least squares solution can then be found by differentiating  $\hat{S}$  with  
 336 respect to  $b$ , and equating to 0, such that:

$$\frac{\partial \hat{S}}{\partial b} = \mathbf{A}^T \mathbf{dy} - (\alpha I + \mathbf{A}^T \mathbf{A}) \mathbf{b} = 0, \quad (10)$$

337 or alternatively, after rearranging and multiplying both sides of Eqn. 10 by  $(\alpha I + \mathbf{A}^T \mathbf{A})^{-1}$ , we can  
 338 solve for the  $b$  vector containing the BC coefficients using:

$$\mathbf{b} = (\alpha I + \mathbf{A}^T \mathbf{A})^{-1} \mathbf{A}^T \mathbf{dy} \quad (11)$$

339 where  $(\alpha I + \mathbf{A}^T \mathbf{A})$  is a symmetric, square matrix with dimensions  $n \times n$ . The small dimensions of  
 340 this matrix make it easy to compute its inverse, thereby making it feasible to include higher order  
 341 Taylor series terms, additional predictors, and a large OMB departure dataset when computing the  
 342 BC coefficients. After solving for  $\mathbf{b}$ , which is done separately for each satellite band and sensor,

343 the BC coefficients can then be applied to  $\mathbf{dy}$  to remove the linear and nonlinear conditional bias  
344 components from the observations.

## 345 **4. Results**

346 In this section, the ability of the NBC method to remove biases from all-sky satellite infrared  
347 brightness temperatures is assessed using OMB departure statistics accumulated at hourly intervals  
348 during a 4.5 day period in which conventional observations were actively assimilated and SEVIRI  
349 observations were passively monitored. Figure 1 shows the evolution of the observed SEVIRI 6.2  
350  $\mu\text{m}$  brightness temperatures during this time period. At the start of the period on 16 May (Fig.  
351 1a), an area of cold upper level clouds associated with a band of precipitation was located across  
352 the eastern half of the domain. This weather feature slowly weakened over Germany during the  
353 next two days (Fig. 1b, c), with the brightness temperatures becoming warmer as the convective  
354 clouds were replaced by cirrus and mid-level clouds. Generally clear skies characterized by warm  
355 brightness temperatures were also present across parts of the domain during this time period, with  
356 clear skies prevailing across most of the region on 19 May (Fig. 1d). A large area of convection  
357 with very cold upper-level clouds then moved into the western half of the domain on 20 May (Fig.  
358 1e). Overall, it is evident that the study period contains a wide range of atmospheric conditions  
359 and cloud types that supports a realistic assessment of the NBC method during the warm season.

### 360 *a. Univariate Bias Correction Results*

361 To explore the ability of individual predictors to remove the bias from all-sky infrared obser-  
362 vations, univariate NBC experiments were performed using the satellite zenith angle and various  
363 predictors sensitive to clouds and WV, such as the brightness temperature, cloud top height, and  
364 integrated water content over some vertical layer. This section presents results from a subset of

365 these experiments that remove the bias from all-sky SEVIRI 6.2  $\mu\text{m}$  observations. The impact of  
366 each predictor is assessed using OMB departure distributions normalized by the standard deviation  
367 in a given sample and with 2-D probability distributions of the departures plotted as a function of  
368 a given predictor. The results are evaluated separately for the original departure distribution and  
369 for distributions for which the bias has been removed using either a 0th (constant), 1st (linear),  
370 2nd (quadratic), or 3rd (cubic) order Taylor series polynomial expansion.

### 371 1) OBSERVED BRIGHTNESS TEMPERATURE PREDICTOR

372 As shown by the probability distributions in Fig. 2, the observed 6.2  $\mu\text{m}$  brightness temperatures  
373 are an excellent predictor of their own bias, especially when higher order Taylor series terms are  
374 used. The horizontal magenta line in each panel depicts the mean bias of the entire distribution,  
375 whereas the shorter horizontal black lines depict the conditional bias in each column and will  
376 be used to assess how the bias varies as a function of the predictor value. This terminology is  
377 being used to differentiate biases conditioned on the predictor value from the bias of the overall  
378 distribution. For example, though each distribution except for the original distribution will have  
379 zero overall bias, this obscures the fact that the conditional bias could potentially vary as a function  
380 of the predictor value. Inspection of Fig. 2a reveals a nonlinear pattern in the conditional biases,  
381 with a tendency for the simulated brightness temperatures to be too warm (cold) when the observed  
382 brightness temperatures are colder (warmer) than 235 K. Though the mean bias of the distribution  
383 is relatively small (-0.83 K), the nonlinear pattern in the conditional biases means that constant  
384 and linear BC terms alone will be unable to remove all of the bias. For example, even though the  
385 constant BC term removes the mean bias from the distribution (Fig. 2b), its shape remains the  
386 same and therefore large conditional biases remain throughout the distribution. Likewise, the 1st  
387 order BC term removes the linear departure component by raising (lowering) the cold (warm) end

388 of the distribution, which reduces the conditional biases for the coldest brightness temperatures,  
389 but turns a positive bias into a negative bias for the warmest brightness temperatures (Fig. 2c).  
390 Removal of the constant and linear bias components exposes an asymmetric arch shape in the  
391 conditional biases that is largely removed when the 2nd order quadratic term is used (Fig. 2d),  
392 except for nonzero biases that remain at the cold and warm ends of the distribution. Finally, when  
393 the 3rd order cubic term is used, the general shape of the distribution is unchanged; however, it  
394 is evident that subtle improvements were made to it given that most of the conditional biases are  
395 now close to zero. Together, these results show that even though each BC distribution has zero  
396 mean bias, that the conditional biases in the distribution are much smaller when the higher order,  
397 nonlinear BC terms are applied to the observations.

398 Normalized OMB departure histograms computed using the original observations and the con-  
399 stant, 1st, 2nd, and 3rd order BC observations are shown in Fig. 3a-e. Each histogram is nor-  
400 malized based on its variance, with the curved red line on each panel representing a Gaussian  
401 distribution with zero mean and a variance equal to that of the sample. Overall, the variance and  
402 root mean square error (RMSE) are greatly reduced when the 1st order BC coefficients are ap-  
403 plied to the observations (Fig. 3c), which is primarily due to the smaller departures for the colder  
404 brightness temperatures (e.g. Fig. 2c). The variance was further reduced when the 2nd order BC  
405 was used, with only minimal changes occurring when this was expanded to a 3rd order BC (Figs.  
406 3d, e). The fact that the higher order terms only had a small impact on these statistics while simul-  
407 taneously having a large positive impact on the conditional biases in Fig. 2 illustrates that more  
408 detailed analysis methods such as 2-D probability distributions can provide additional insight into  
409 the characteristics of the OMB departure distributions. Comparison of the histograms also shows  
410 that the negative skewness in the original distribution (Fig. 3a) changes to positive skewness after  
411 the BC terms are applied. This behavior primarily results from a conditional positive skewness for

412 brightness temperatures  $< 230$  K that is evident in Fig. 2a by the tendency for the conditional bias  
413 in each column to be located above the bin with the maximum probability. Because the same BC  
414 is applied to a given brightness temperature regardless of its OMB departure, the positive skew-  
415 ness in the conditional distributions is preserved as they are shifted upward, thereby leading to a  
416 positive skewness in the full BC distributions.

## 417 2) CLOUD TOP HEIGHT PREDICTOR

418 Because infrared observations are very sensitive to the vertical distribution of clouds, an experi-  
419 ment was performed using the NWC SAF cloud top height retrievals as the BC predictor to better  
420 isolate the impact of clouds. To provide complete domain coverage, the clear-sky observations  
421 were assigned a height equal to the model terrain elevation. Overall, the conditional biases in the  
422 original distribution (Fig. 4a) are close to zero for cloud top heights  $< 7$  km; however, the biases  
423 increase for clouds above this level and peak near  $-6$  K for cloud top heights  $> 10$  km. This is a  
424 complex error pattern that a constant BC scheme is unable to fix (Fig. 4b). Indeed, the upward shift  
425 of the distribution to remove the mean bias actually worsens the conditional biases for cloud top  
426 heights  $< 7$  km, while leading to only minor improvements for the upper-level clouds. The linear  
427 correction (Fig. 4c) slightly improves the conditional biases for lower and upper-level clouds, but  
428 worsens the bias for mid-level clouds, which together slightly reduces the variance in the overall  
429 distribution (Fig. 3f). Use of the 2nd order quadratic term substantially improves the distribution  
430 by removing the arch in the conditional bias pattern by decreasing the magnitude of the positive  
431 (negative) OMB departures for cloud tops located in the middle (upper) troposphere (Fig. 4d).  
432 These changes resulted in a much smaller variance in the histogram (Fig. 3g). As was the case in  
433 the previous section, the 3rd order BC led to slightly smaller conditional biases across most of the  
434 distribution (Fig. 4e), but had minimal impact on the statistics of the overall distribution (Fig. 3h).

435 Though the cloud top height predictor was unable to reduce the variance of the full distribution  
436 as much as the brightness temperature predictor did, the NBC method was still able to greatly  
437 improve the distribution by decreasing the conditional biases. Its use also led to a more symmetric  
438 OMB departure distribution (Fig. 3h). These results show that cloud top height information can  
439 be used to remove the bias from all-sky infrared observations if higher order Taylor series terms  
440 are used.

### 441 3) VERTICALLY-INTEGRATED WATER CONTENT PREDICTOR

442 In this section, the impact of using a BC predictor that depicts the total water content over a  
443 vertical layer is assessed. Numerous experiments were performed using different vertical layers;  
444 however, for brevity, results are only shown for the predictor that encapsulates the total water  
445 content between 100 and 700 hPa because that is the portion of the atmosphere where  $6.2 \mu\text{m}$   
446 brightness temperatures are most sensitive. Unlike the previous predictors, this predictor is com-  
447 puted using model output. The total water content is calculated for each ensemble member by  
448 converting the WV and all cloud hydrometeor mixing ratios in each model layer into mm and  
449 then integrating over the 100-700 hPa layer. Inspection of Fig. 5a shows that this predictor has  
450 a less complex OMB departure pattern than occurred when the cloud top height and brightness  
451 temperatures were used as the predictors. There are however slightly larger biases on both ends of  
452 the distribution, with a small upward slope in the maximum probabilities as the total water content  
453 increases. This linear error trend is removed by the linear bias correction term (Fig. 5c), which  
454 reduces the conditional biases when the total water content is  $< 7$  mm, but increases it elsewhere.  
455 The subtle arch in the conditional biases is subsequently removed after applying the 2nd order  
456 quadratic term (Fig. 5d), with only minor changes occurring after the 3rd order term is used (Fig.  
457 5e). Comparison of the histograms (Figs. 3i-k) shows that the total water predictor had only a

458 small impact on the variance of the full distribution; however, the scatterplots showed that it still  
459 improved the conditional bias across most of the distribution. Even so, this predictor still had  
460 a much smaller impact than the previous predictors that were directly sensitive to the cloud top  
461 height, which indicates that the location of the cloud top rather than the vertically integrated cloud  
462 and WV content is a more effective BC predictor for all-sky infrared brightness temperatures.

#### 463 4) SATELLITE ZENITH ANGLE PREDICTOR

464 Given that the satellite zenith angle is widely used in operational BC methods, an additional  
465 experiment was performed using it as the BC predictor. After adjusting for the mean bias in the  
466 original distribution, the conditional biases are close to zero across the entire distribution, with  
467 only a slight downward trend in the bias for zenith angles  $> 48^\circ$  (Fig. 6b). Application of the  
468 1st to 3rd order BC terms (Figs. 6c-e) eliminated most of these conditional biases; however, the  
469 impact of this predictor on the statistics of the entire distribution was negligible according to the  
470 histograms (Figs. 3l-n). These results indicate that the bias in the observations is only very weakly  
471 related to the satellite zenith angle; however, the small improvements made to the conditional  
472 biases by the 2nd to 3rd order terms also show that there is a small nonlinear bias component that  
473 can be removed when using this predictor.

#### 474 *b. Clear and Cloudy Sky Error Evaluation*

475 Next, the relative impact of the linear and nonlinear BC terms on the clear and cloudy-sky obser-  
476 vations is examined more closely using a subset of the  $6.2 \mu\text{m}$  brightness temperatures for which  
477 both the model background and a given observation were identified as being clear or cloudy. Each  
478 observation was classified as clear or cloudy based on the NWC SAF cloud mask dataset whereas  
479 each model grid point was deemed to be clear (cloudy) if the sum of all cloud hydrometeor mixing



480 ratios over the entire vertical profile was less (greater) than  $10^{-6}$  kg kg<sup>-1</sup>. The 2-D probability  
481 distributions for the clear-sky matched observations are shown in Fig. 7, with the corresponding  
482 histograms shown in Fig. 8. The observed 6.2  $\mu$ m brightness temperatures were used as the BC  
483 predictor. Inspection of Fig. 7a reveals that the original distribution contains both a systematic  
484 bias and a large linear trend where mostly negative OMB departures for the colder brightness tem-  
485 peratures transition into mostly positive departures for the warmer brightness temperatures. The  
486 linear trend indicates that the WV field in the model background is more uniform than observed  
487 such that the model tends to be too wet (dry) in regions where the observations indicate less (more)  
488 WV. Overall, most of the bias is removed from the clear-sky observation departures using only the  
489 constant and 1st order terms, with little or no impact due to the higher order terms (Figs. 7b-e).  
490 This behavior is consistent with existing BC schemes that use constant and linear corrections to  
491 remove the bias from clear-sky observation departures.

492 For the cloud-matched observations shown in Figs. 9 and 10, the NWC SAF cloud top height  
493 retrievals were used as the predictor. The OMB departure pattern and conditional biases for these  
494 observations are very similar to that shown in Fig. 4 when both clear and cloudy-sky observations  
495 were included in the regression. This includes the generally positive departures for mid-level  
496 clouds and the transition to large negative departures for the upper-level clouds (Fig. 9a). Large  
497 departures remained in the distribution for all cloud top heights after the constant and linear BC  
498 terms were applied to the observations (Fig. 9c). It is only when the 2nd and 3rd order terms are  
499 used that the conditional biases become close to zero throughout the entire distribution (Figs. 9d,  
500 e). The histograms in Fig. 10 also reveal that the quadratic and cubic terms had a much larger  
501 impact on the overall statistics than occurred for the clear-sky matched observations. These results  
502 provide further evidence that the nonlinear conditional biases evident in the all-sky scatterplots in  
503 Section 4.1 primarily result from biases associated with the cloudy observations. It also shows

504 that the NBC method is an effective method to remove both linear and nonlinear biases from all-  
505 sky infrared brightness temperature departures if a suitable cloud-sensitive variable is used as the  
506 predictor.

### 507 *c. Multivariate Bias Correction Results*

508 In addition to the univariate NBC experiments discussed in previous sections, multivariate ex-  
509 periments were performed to assess the impact of using more than one predictor to remove the ob-  
510 servation bias. For a 3rd order polynomial expansion using two variables, it is necessary to solve  
511 for seven coefficients in Eqn. 11, whereas 22 coefficients are computed when three predictors are  
512 used. Because a direct approach is used to simultaneously estimate all of the BC coefficients, it  
513 is not possible to determine the individual contribution of each predictor on the OMB departures;  
514 however, the total contribution of all of the predictors within a given Taylor series order (e.g., 1st,  
515 2nd, and 3rd) can still be inferred through comparison of the results obtained using different order  
516 expansions. Though using more than one variable greatly increases the size of the  $A$  matrix, it is  
517 still computationally efficient to solve for the inverse of  $A^T A$  given its small dimensions.

518 Numerous experiments using different predictor combinations and a 2nd or 3rd order poly-  
519 mial expansion were performed; however, for brevity, this section only includes results from the  
520 combination that had the largest impact on the OMB departure distributions. This particular con-  
521 figuration employed a 3rd order expansion with the satellite zenith angle, 100-700 hPa total water  
522 content, and observed brightness temperatures for a given satellite band used as the BC predic-  
523 tors for that band. A separate multi-variate experiment (not shown) that employed the cloud top  
524 height rather than the brightness temperature as the third predictor revealed that it had a smaller  
525 impact, similar to what occurred with the univariate experiments shown earlier. There may be  
526 some overlap between the brightness temperature and satellite zenith angle predictors; however,

527 this should be minimal because the zenith angle predictor primarily accounts for potential biases  
528 in the radiative transfer model associated with the path length through the atmosphere, whereas the  
529 brightness temperature predictor is being used as a proxy for the cloud top height given its strong  
530 sensitivity to the cloud top. Unlike the previous sections that focused exclusively on the 6.2  $\mu\text{m}$   
531 band, this section presents results from experiments that removed the bias from both of the SE-  
532 VIRI WV-sensitive bands (e.g., 6.2  $\mu\text{m}$  and 7.3  $\mu\text{m}$ ). All observations, both clear and cloudy-sky,  
533 were used during these experiments.

#### 534 1) SEVIRI 6.2 $\mu\text{M}$ EXAMPLE

535 Figure 11 shows the OMB departure distributions for the 6.2  $\mu\text{m}$  multivariate NBC experiment,  
536 with the corresponding normalized histograms shown in Figs. 3o-q. Comparison to Fig. 2 shows  
537 that the departure distributions for the multivariate case are similar to those from the univariate  
538 case employing only the observed brightness temperature as the BC predictor. This is not sur-  
539 prising given that the experiments employing the satellite zenith angle and total water content  
540 predictors both had a much smaller impact on the distributions (Figs. 5, 6). Overall, the shape  
541 of the distribution is improved after the linear term is used; however, there are still large condi-  
542 tional biases at both ends of the distribution (Fig. 11c). The arch pattern in the conditional bias  
543 was subsequently removed after the quadratic term was applied (Fig. 11d), with slightly smaller  
544 (larger) biases occurring at the warm (cold) end of the distribution after using the 3rd order cubic  
545 term (Fig. 11e). Though the distributions are similar to those shown in Fig. 2, it is evident that the  
546 width of the conditional distribution is less for all predictor values. This is encouraging because  
547 it shows that even though the impact of the satellite zenith angle and total water content predic-  
548 tors was relatively small when used individually, they still provided new information that further  
549 reduced the OMB departures when used in combination with the observed brightness temperature

550 predictor. Inspection of the histograms (Figs. 3o-q) shows that the variance was greatly reduced  
551 compared to the univariate experiments; however, each of the distributions had a large positive  
552 skewness similar to that seen in Figs. 3c-e when the brightness temperature was used as the BC  
553 predictor. It is important to note however that quality control measures could potentially be used to  
554 reduce the skewness in the distribution after the BC terms are applied. This topic will be explored  
555 in a future study.

## 556 2) SEVIRI 7.3 $\mu\text{M}$ EXAMPLE

557 In this section, we assess the ability of the multivariate NBC method to improve the observation  
558 error characteristics of the 7.3  $\mu\text{m}$  band. As discussed in Section 2.1, observations from this band  
559 are sensitive to WV and clouds in the middle and upper troposphere, with a weighting function that  
560 peaks near 500 hPa in clear sky scenes. Overall, each of the OMB departure distributions (Fig.  
561 12) have shapes that are similar to the corresponding 6.2  $\mu\text{m}$  distributions (Fig. 11); however,  
562 their error range is larger because the weighting function for this band peaks at a lower level  
563 in the troposphere, thereby leading to potentially larger departures due to mismatched clouds in  
564 the observations and model background. Though the linear BC term substantially improves the  
565 distribution by making the departures less negative for colder brightness temperatures, non-zero  
566 conditional biases remain across most of the distribution, with negative (positive) biases occurring  
567 for brightness temperatures colder (warmer) than 230 K (Fig. 12c). As occurred in the previous  
568 experiments, the conditional biases are almost eliminated after the 2nd order BC term is used,  
569 with minimal changes occurring due to the 3rd order term (Figs. 12d, e). The negative skewness  
570 present in the original histogram (Fig. 13a) switches to a large positive skewness after the linear  
571 BC term is used (Fig. 13c). Inspection of the OMB departure distributions shows that the positive  
572 skewness developed in response to the large upward shift in the conditional distributions for the

573 colder brightness temperatures (Fig. 12a) that exposed the conditional positive skewness in the  
574 original distribution for warmer brightness temperatures that was being masked in the overall  
575 histogram by the large negative OMB departures. Another notable feature of the histograms is  
576 that their peaks are higher and narrower than the 6.2  $\mu\text{m}$  histograms (Figs. 3o-q). This strongly  
577 non-Gaussian behavior was already present in the original histogram and is likely due to the large  
578 percentage of clear-sky observations containing small departures combined with fatter tails due  
579 to cloud displacement errors. Even so, these results show that the NBC method improved the  
580 distribution such that the variance was much lower and the conditional biases were reduced to  
581 near zero across most of the distribution. Also, as was the case with the 6.2  $\mu\text{m}$  band, the linear  
582 BC term had the largest impact on the overall statistics; however, the variance was also reduced  
583 when using the higher order nonlinear BC terms.

## 584 **5. Discussion and Conclusions**

585 In this study, output from a high-resolution, regional-scale ensemble DA system was used to  
586 explore the ability of an innovative method to remove the bias associated with all-sky satellite  
587 infrared brightness temperatures using a Taylor series polynomial expansion of the OMB departures.  
588 This so-called NBC method uses OMB statistics accumulated over some period of time to  
589 remove linear and nonlinear conditional biases in a distribution through use of higher order Taylor  
590 series terms and a set of BC predictors. Nonlinear conditional biases can be identified using 2nd  
591 (quadratic) and 3rd (cubic) order terms (and even higher order terms if desired), whereas the constant  
592 and linear bias components can be diagnosed using the 0th and 1st order terms, respectively.

593 The ability of the NBC method to effectively remove the bias associated with all-sky SEVIRI  
594 infrared brightness temperatures was assessed using output from high-resolution ensemble DA  
595 experiments performed using the KENDA system. OMB departure statistics for the 6.2 and 7.3

596  $\mu\text{m}$  bands sensitive to clouds and WV in the upper and middle troposphere, respectively, were  
597 accumulated at hourly intervals during a 108-h period from 16-21 May 2014 using output from  
598 the COSMO-DE domain that covers Germany and surrounding areas with 2.8-km horizontal grid  
599 spacing. Conventional observations were actively assimilated, whereas the SEVIRI observations  
600 were passively monitored and therefore did not affect the analyses during the hourly assimila-  
601 tion cycles. Model-equivalent brightness temperatures were computed for each observation and  
602 ensemble member using the RTTOV radiative transfer model. The study period contained both  
603 clear-sky areas and a wide range of cloud types that together promoted a realistic assessment of  
604 the NBC method during the warm season.

605 Univariate and multi-variate NBC experiments were performed using the satellite zenith angle  
606 and other predictors sensitive to clouds and WV, with their impact on the conditional bias and other  
607 aspects of the OMB departure distributions assessed using normalized histograms and probability  
608 distributions plotted as a function of the predictor. Overall, the results revealed that there are often  
609 strongly nonlinear conditional bias patterns in the OMB probability distributions that cannot be  
610 removed using only constant and linear BC terms. Though the overall bias of each distribution is  
611 equal to zero regardless of the order of the Taylor series expansion, there are often large conditional  
612 biases that vary as a function of the BC predictor. Because each SEVIRI band had a relatively  
613 small systematic bias, the constant BC term only had a small impact on the distributions. The  
614 linear 1st order term generally had the largest impact on the statistics of the entire distribution  
615 as measured by reductions in the variance; however, conditional biases often remained across  
616 much of the distribution. These conditional biases were typically reduced to near zero across  
617 the entire distribution only after the nonlinear 2nd and 3rd order terms were applied to the OMB  
618 departures. Indeed, the conditional bias patterns often exhibited an arch shape for which the  
619 2nd order quadratic term is ideally suited to remove. The tendency for the nonlinear terms to

620 have a small impact on the variance of the entire distribution while simultaneously having a large  
621 positive impact on the conditional biases also illustrates that detailed analysis methods such as 2-D  
622 probability distributions provide valuable insight into the behavior of the BC method that is not  
623 possible using traditional 1-D error histograms.

624 Inspection of the univariate NBC results showed that the variance of the BC distributions was  
625 smallest when the brightness temperature observations were used as the BC predictor. The vari-  
626 ance was also substantially reduced when the NWC SAF cloud top height retrievals were used as  
627 the predictor. Both of these predictors were able to diagnose and remove nonlinear biases asso-  
628 ciated with the cloudy observations. For example, large positive conditional biases for mid-level  
629 clouds transitioned into large negative conditional biases for upper-level clouds. Though not ex-  
630 amined during this study, the different signs of the conditional biases for these clouds could be  
631 related to the ability of the COSMO model and RTTOV to properly simulate ice and mixed-phase  
632 cloud properties. The experiments using the satellite zenith angle or vertically-integrated water  
633 content showed that these BC predictors had a much smaller impact on the variance of the over-  
634 all distribution. This behavior indicates that variables sensitive to the cloud top height are more  
635 effective BC predictors for all-sky infrared brightness temperatures, especially when higher order  
636 Taylor series terms are included. Even so, the multivariate experiments showed that though the  
637 zenith angle and total water content predictors only had a relatively small impact on the departure  
638 histograms when used individually, they still provided new information that greatly reduced the  
639 variance of the distribution when used in combination with the observed brightness temperature  
640 predictor.

641 Additional univariate NBC experiments were performed to examine the influence of linear and  
642 nonlinear components on the OMB departure distributions for clear- and cloudy-sky observations  
643 using a subset of the 6.2  $\mu\text{m}$  brightness temperatures for which both a given observation and the

644 corresponding model grid point were identified as being clear or cloudy. Overall, comparisons of  
645 the statistics for the clear-sky and cloudy-sky matched observations revealed that nonlinear error  
646 sources are much more important for cloudy sky observations as signified by the much larger  
647 impact of the 2nd and 3rd order Taylor series terms on the variance and the conditional biases  
648 in the distributions. For the clear-sky observations, the conditional biases could be effectively  
649 removed using only the 0th and 1st order terms, which is consistent with existing operational BC  
650 methods that typically remove the bias from clear-sky satellite observations using a set of constant  
651 and linear BC coefficients. These results show that the nonlinear conditional bias patterns evident  
652 in the all-sky OMB departure distributions primarily resulted from nonlinear biases in the cloudy-  
653 sky infrared brightness temperatures. They also show that the NBC method can effectively remove  
654 both linear and nonlinear conditional biases from all-sky infrared brightness temperatures provided  
655 that a suitable cloud-sensitive variable is used as one of the predictors.

656 Future work includes running cycled DA experiments using the KENDA system to assess the  
657 impact of the NBC method on the forecast accuracy when assimilating clear- and cloudy-sky in-  
658 frared brightness temperatures. Additional experiments will be necessary to explore the ability of  
659 the method to remove biases from the OMB departures when the simulated brightness tempera-  
660 tures and cloud top heights are used as the BC predictors rather than their observed counterparts.  
661 Preliminary results indicate that predictors derived from the NWP model cloud field rather than the  
662 observations have a smaller impact on the overall statistics as measured by reductions in variance;  
663 however, they were still able to effectively remove the conditional biases across most of the dis-  
664 tribution when higher order Taylor series terms were used. These results also indicate that it may  
665 be necessary to use up to a 4th order polynomial to remove the bias if the NWP-derived quantities  
666 are used rather than their observed counterparts. A more detailed assessment of this sensitivity  
667 is currently underway. Additional experiments will also be necessary to explore the ability of the



668 NBC method to remove biases from infrared bands that are sensitive to the land surface or other  
669 atmospheric constituents such as ozone, as well as for all-sky microwave and visible radiances.

670 Though the NBC method used in this paper was implemented as a static, off-line method, it  
671 could also be incorporated into online methods such as VarBC through inclusion of additional  
672 nonlinear predictors. For example, the VarBC system at the Met Office uses Legendre polynomial  
673 predictors to remove residual scan biases and Fourier predictors to correct complex orbital biases  
674 in some satellite sensors (Cameron and Bell, 2016). Higher order predictors, such as the quadratic  
675 form of the temperature lapse rate and 4th order polynomial of the satellite angle bias, are also  
676 widely used in operational VarBC systems. Zhu et al. (2015) recently showed that inclusion of  
677 a quadratic aircraft ascent/descent term reduced the bias when assimilating aircraft temperature  
678 observations. Results from the current study could be used to help inform the development of  
679 operational DA systems as they continue to expand into all-sky satellite DA. Finally, many of the  
680 all-sky OMB departure distributions exhibited narrow peaks and fat tails that could potentially be  
681 better represented using a Huber norm (Huber 1972) representation, which has been shown to lead  
682 to improved quality control and more observations being assimilated (Tavolato and Isaken 2015).  
683 Further research is necessary to determine if using a Huber norm in combination with the NBC  
684 method can improve existing quality control methods by identifying erroneous observations after  
685 the nonlinear conditional biases have been removed from the distribution. This approach could  
686 potentially preserve more cloud-affected observations where nonlinear biases are more prevalent,  
687 thereby leading to additional observations being assimilated in sensitive areas of the domain.

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## 842 **7. Figure Captions**

843 Fig. 1. Observed SEVIRI 6.2  $\mu\text{m}$  brightness temperatures (K) valid at 18 UTC on (a) 16 May,  
844 (b) 17 May, (c) 18 May, (d) 19 May, and (e) 20 May 2014.

845 Fig. 2. Probability distributions of 6.2  $\mu\text{m}$  observation-minus-background departures plotted  
846 as a function of the observed 6.2  $\mu\text{m}$  brightness temperatures (K) for the (a) original data, and  
847 the (b) constant, (c) 1st order, (d) 2nd order, and (e) 3rd order bias corrected observations when  
848 the observed 6.2  $\mu\text{m}$  brightness temperature is used as the predictor. The horizontal black line  
849 segments represent the conditional bias in each column. Data were accumulated at hourly intervals  
850 during a 108-h period from 13 UTC on 16 May 2014 to 00 UTC on 20 May 2014.

851 Fig. 3. Probability density function of normalized 6.2  $\mu\text{m}$  observation-minus-background de-  
852 partures for the (a) original and (b) constant bias correction distributions. The corresponding 1st,  
853 2nd, and 3rd order bias correction error distributions when the (c-e) observed 6.2  $\mu\text{m}$  brightness



854 temperatures, (f-h), NWC SAF cloud top heights, (i-k) model-simulated total integrated water  
855 content (IWC) in the 100-700 hPa layer, (l-n) satellite zenith angle, or (o-q) observed  $6.2 \mu\text{m}$   
856 brightness temperatures, satellite zenith angle, and IWC are used as the predictors are also shown.  
857 Data were accumulated at hourly intervals during a 108-h period from 13 UTC on 16 May 2014  
858 to 00 UTC on 20 May 2014.

859 Fig. 4. Same as Fig. 2 except for showing probability distributions plotted as a function of the  
860 NWC SAF cloud top height retrieval (km) when this quantity is also used as the BC predictor.

861 Fig. 5. Same as Fig. 2 except for showing probability distributions plotted as a function of the  
862 vertically-integrated total water content (mm) over the 100-700 hPa layer when this quantity is  
863 also used as the BC predictor.

864 Fig. 6. Same as Fig. 2 except for showing probability distributions plotted as a function of the  
865 satellite zenith angle ( $\theta$ ) when this quantity is also used as the BC predictor.

866 Fig. 7. Same as Fig. 2 except for showing probability distributions for clear-sky matched  
867 observations plotted as a function of the observed brightness temperature (K) when this quantity  
868 is also used as the BC predictor.

869 Fig. 8. Probability density function of normalized clear-sky matched  $6.2 \mu\text{m}$  observation-  
870 minus-background departures for the (a) original data, and the (b) constant, (c) 1st order, (d)  
871 2nd order, and (e) 3rd order bias corrected observations when the observed  $6.2 \mu\text{m}$  brightness  
872 temperature is used as the predictor. Data were accumulated at hourly intervals during a 108-h  
873 period from 13 UTC on 16 May 2014 to 00 UTC on 20 May 2014.

874 Fig. 9. Same as Fig. 2 except for showing probability distributions for cloudy-sky matched  
875 observations plotted as a function of the NWC SAF cloud top height retrieval (km) when this  
876 quantity is also used as the BC predictor.

877 Fig. 10. Probability density function of normalized cloudy-sky matched 6.2  $\mu\text{m}$  observation-  
878 minus-background departures for the (a) original data, and the (b) constant, (c) 1st order, (d) 2nd  
879 order, and (e) 3rd order bias corrected observations when the NWC SAF cloud top height retrieval  
880 is used as the predictor. Data were accumulated at hourly intervals during a 108-h period from 13  
881 UTC on 16 May 2014 to 00 UTC on 20 May 2014.

882 Fig. 11. Same as Fig. 2 except for showing probability distributions plotted as a function of  
883 the observed 6.2  $\mu\text{m}$  brightness temperatures when the observed 6.2  $\mu\text{m}$  brightness temperature,  
884 satellite zenith angle, and vertically-integrated total water content from 100-700 hPa are used as  
885 the BC predictors.

886 Fig. 12. Probability distributions of 7.3  $\mu\text{m}$  observation-minus-background departures plotted  
887 as a function of the observed 7.3  $\mu\text{m}$  brightness temperatures (K) for the (a) original data, and the  
888 (b) constant, (c) 1st order, (d) 2nd order, and (e) 3rd order bias corrected observations when the  
889 observed 7.3  $\mu\text{m}$  brightness temperature, satellite zenith angle, and model-integrated total water  
890 content from 100-700 hPa are used as the predictors. Data were accumulated at hourly intervals  
891 during a 108-h period from 13 UTC on 16 May 2014 to 00 UTC on 20 May 2014.

892 Fig. 13. Probability density function of normalized 7.3  $\mu\text{m}$  observation-minus-background  
893 departures for the (a) original data, and the (b) constant, (c) 1st order, (d) 2nd order, and (e) 3rd  
894 order bias corrected observations when the observed 7.3  $\mu\text{m}$  brightness temperatures are used as  
895 the predictor. Data were accumulated at hourly intervals during a 108-h period from 13 UTC on  
896 16 May 2014 to 00 UTC on 20 May 2014.



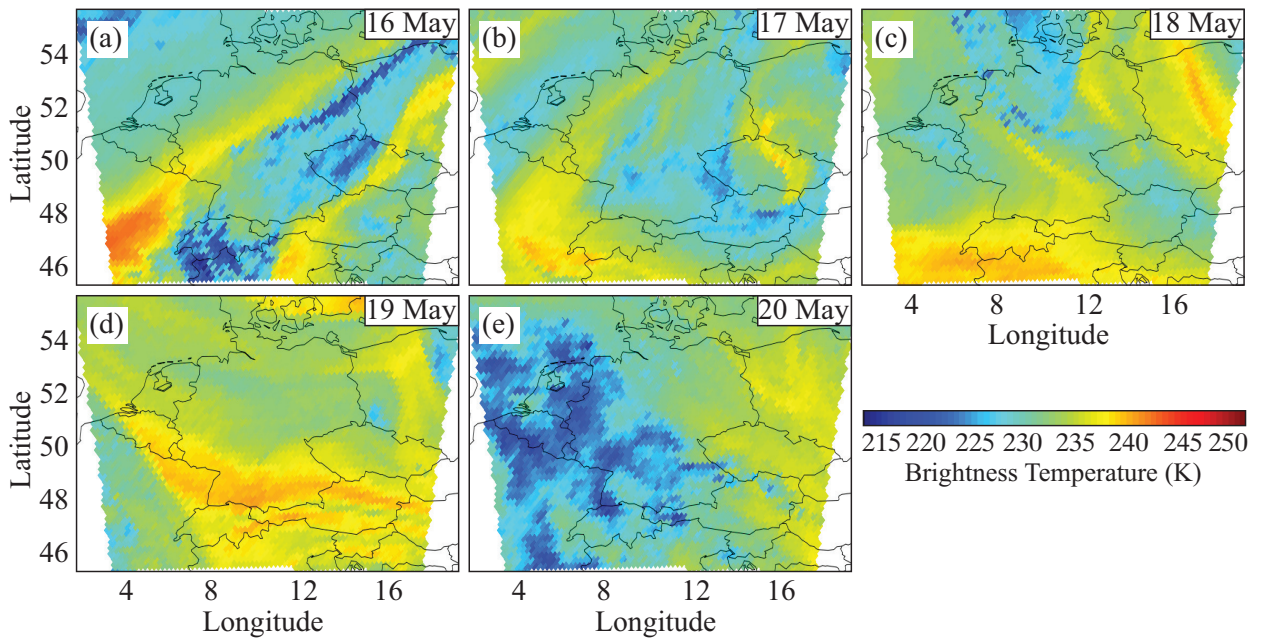


Fig. 1. Observed SEVIRI 6.2  $\mu\text{m}$  brightness temperatures (K) valid at 18 UTC on (a) 16 May, (b) 17 May, (c) 18 May, (d) 19 May, and (e) 20 May 2014.

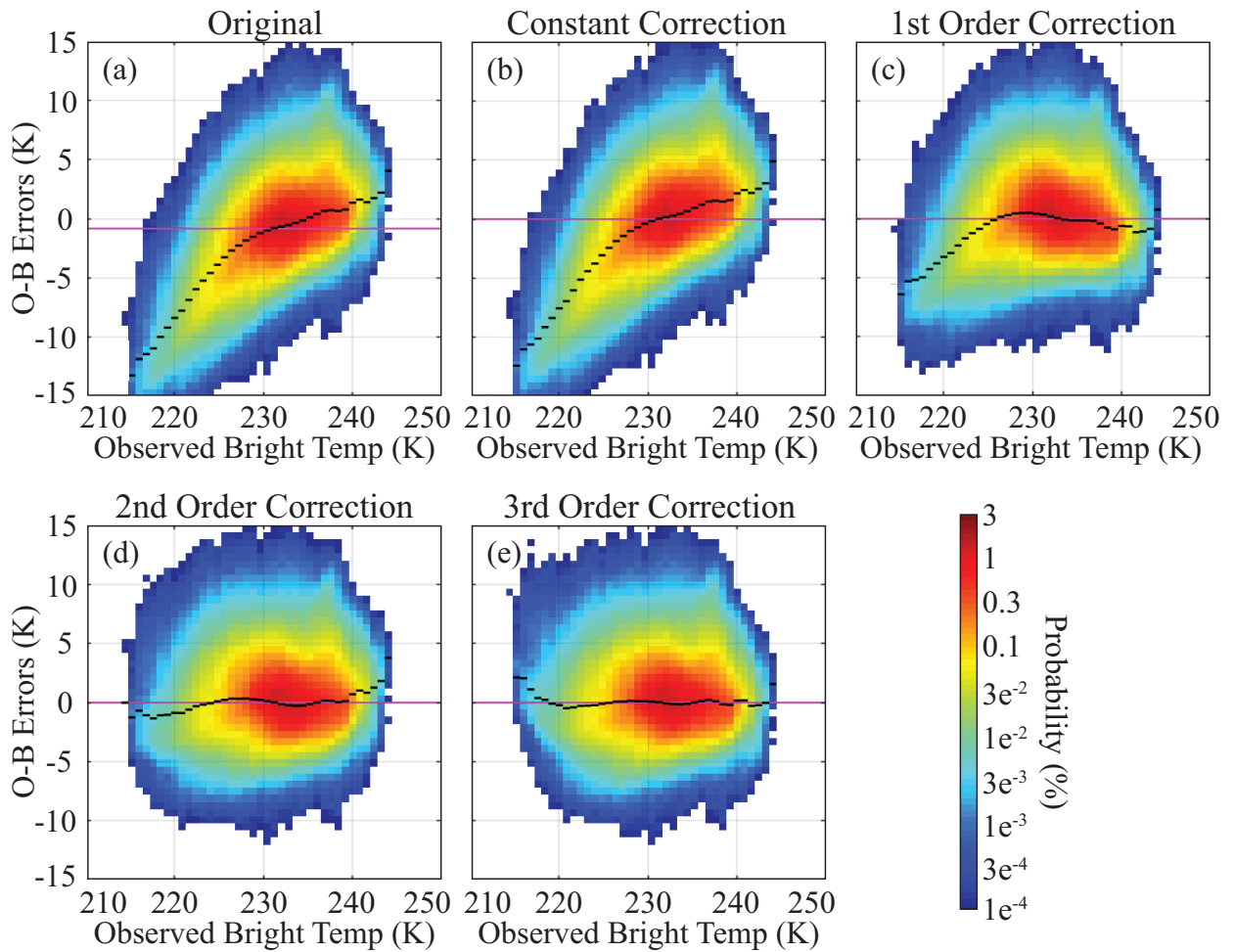


Fig. 2. Probability distributions of 6.2  $\mu\text{m}$  observation-minus-background departures plotted as a function of the observed 6.2  $\mu\text{m}$  brightness temperatures (K) for the (a) original data, and the (b) constant, (c) 1st order, (d) 2nd order, and (e) 3rd order bias corrected observations when the observed 6.2  $\mu\text{m}$  brightness temperature is used as the predictor. The horizontal black line segments represent the conditional bias in each column. Data were accumulated at hourly intervals during a 108-h period from 13 UTC on 16 May 2014 to 00 UTC on 20 May 2014.

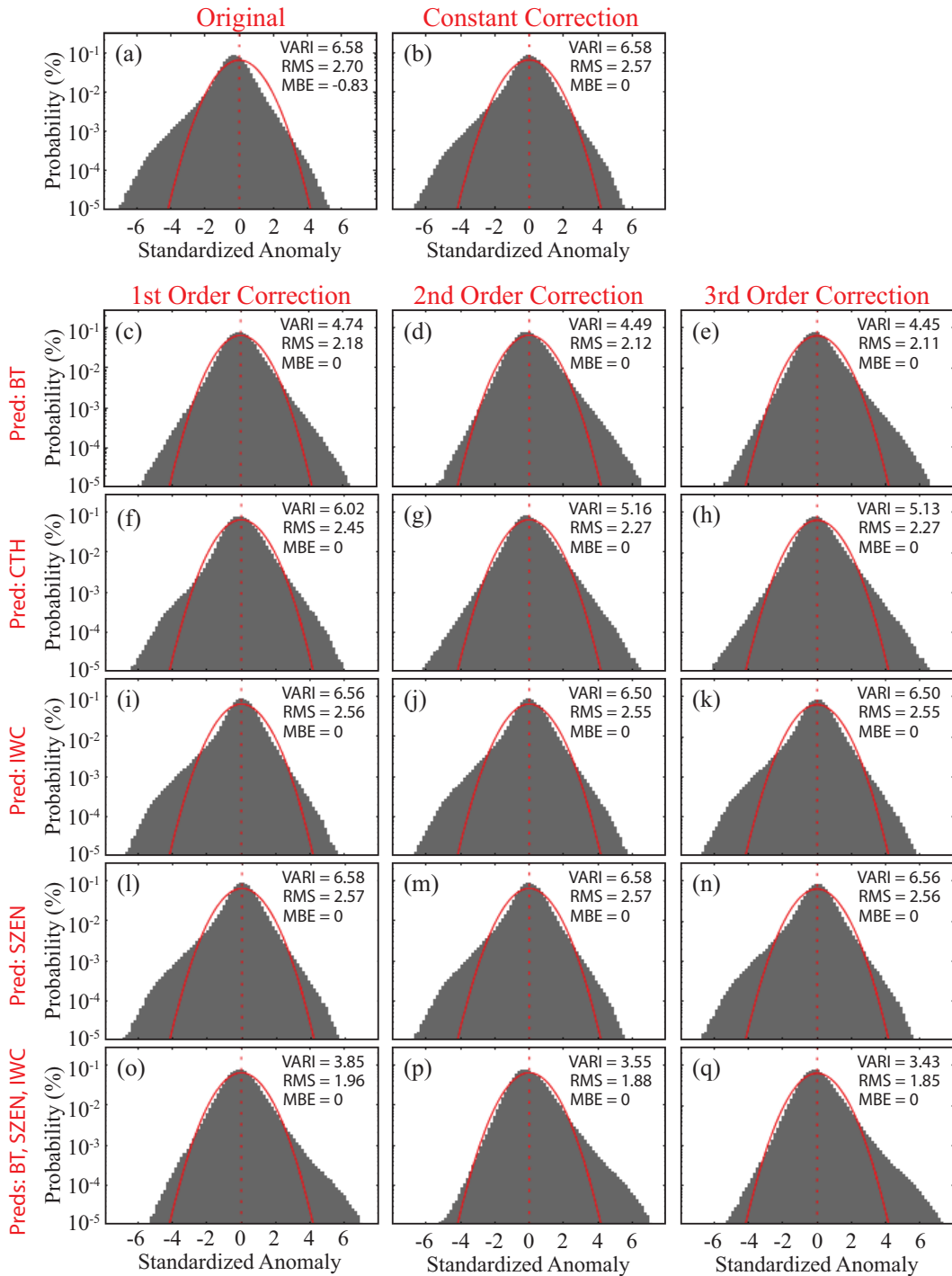


Fig. 3. Probability density function of normalized  $6.2 \mu\text{m}$  observation-minus-background departures for the (a) original and (b) constant bias correction distributions. The corresponding 1st, 2nd, and 3rd order bias correction error distributions when the (c-e) observed  $6.2 \mu\text{m}$  brightness temperatures, (f-h) NWC SAF cloud top heights, (i-k) model-simulated total integrated water content (IWC) in the 100-700 hPa layer, (l-n) satellite zenith angle, or (o-q) observed  $6.2 \mu\text{m}$  brightness temperatures, satellite zenith angle, and IWC are used as the predictors are also shown. Data were accumulated at hourly intervals during a 108-h period from 13 UTC on 16 May 2014 to 00 UTC on 20 May 2014.

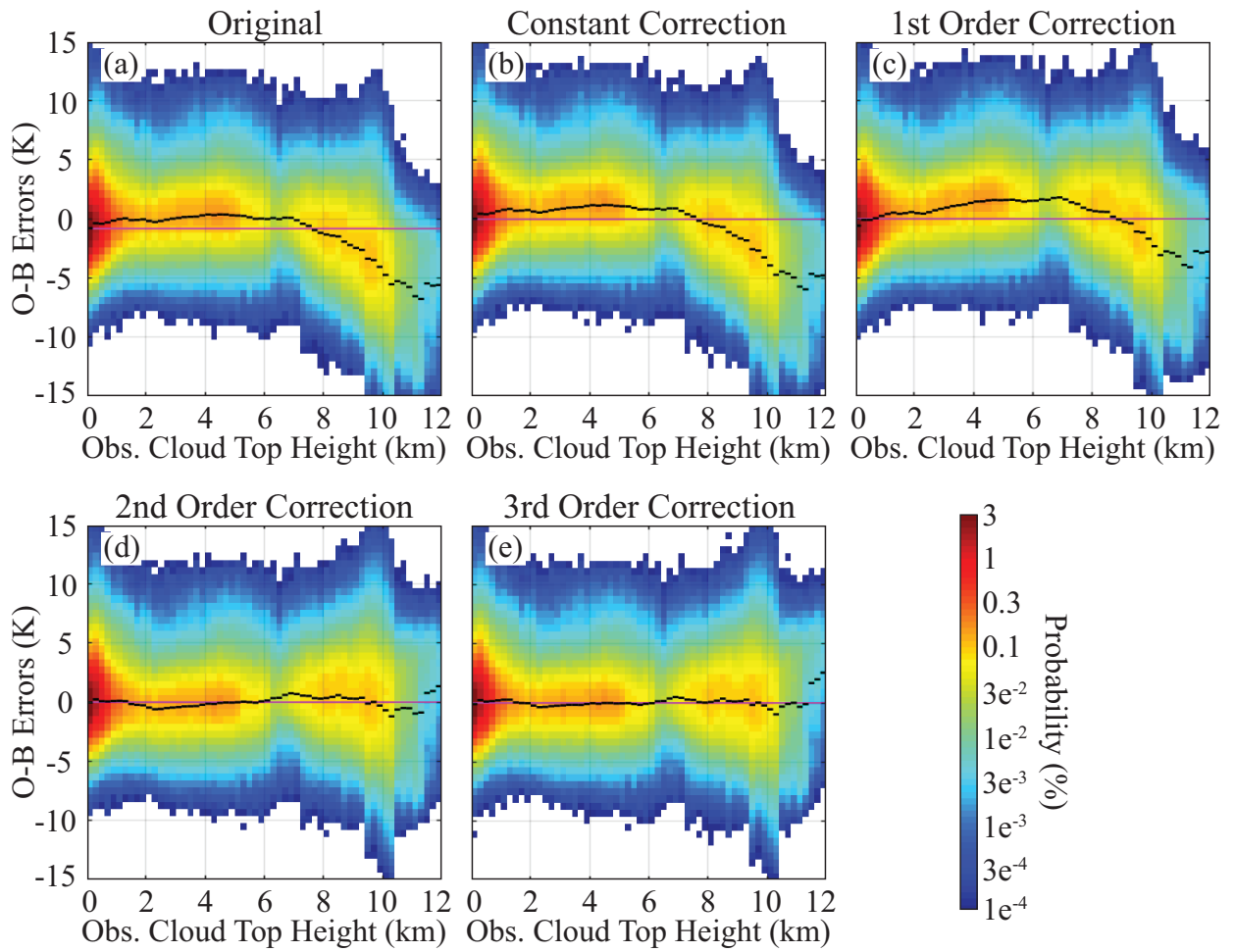


Fig. 4. Same as Fig. 2 except for showing probability distributions plotted as a function of the NWC SAF cloud top height retrieval (km) when this quantity is also used as the BC predictor.

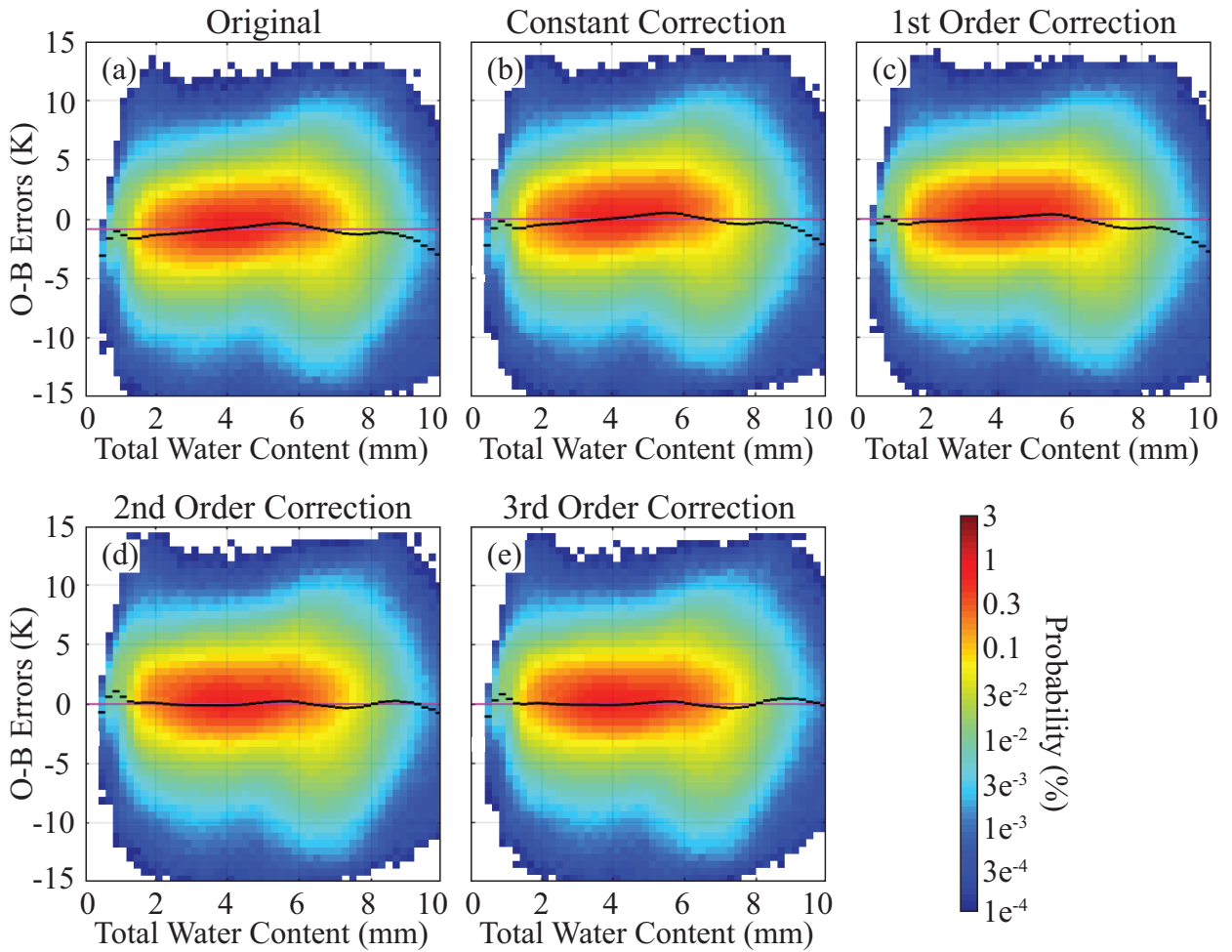


Fig. 5. Same as Fig. 2 except for showing probability distributions plotted as a function of the vertically-integrated total water content (mm) over the 100-700 hPa layer when this quantity is also used as the BC predictor.



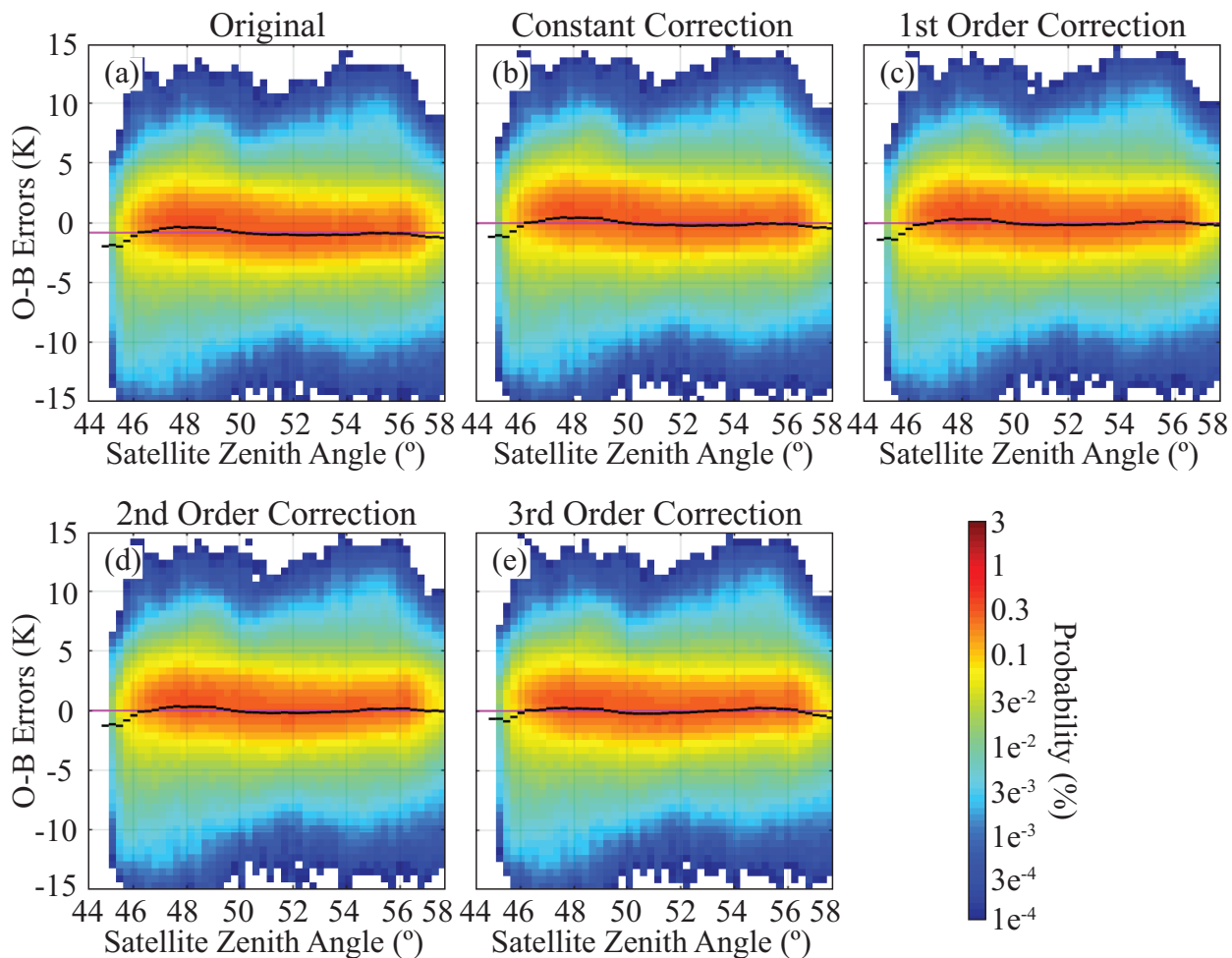


Fig. 6. Same as Fig. 2 except for showing probability distributions plotted as a function of the satellite zenith angle ( $^{\circ}$ ) when this quantity is also used as the BC predictor.

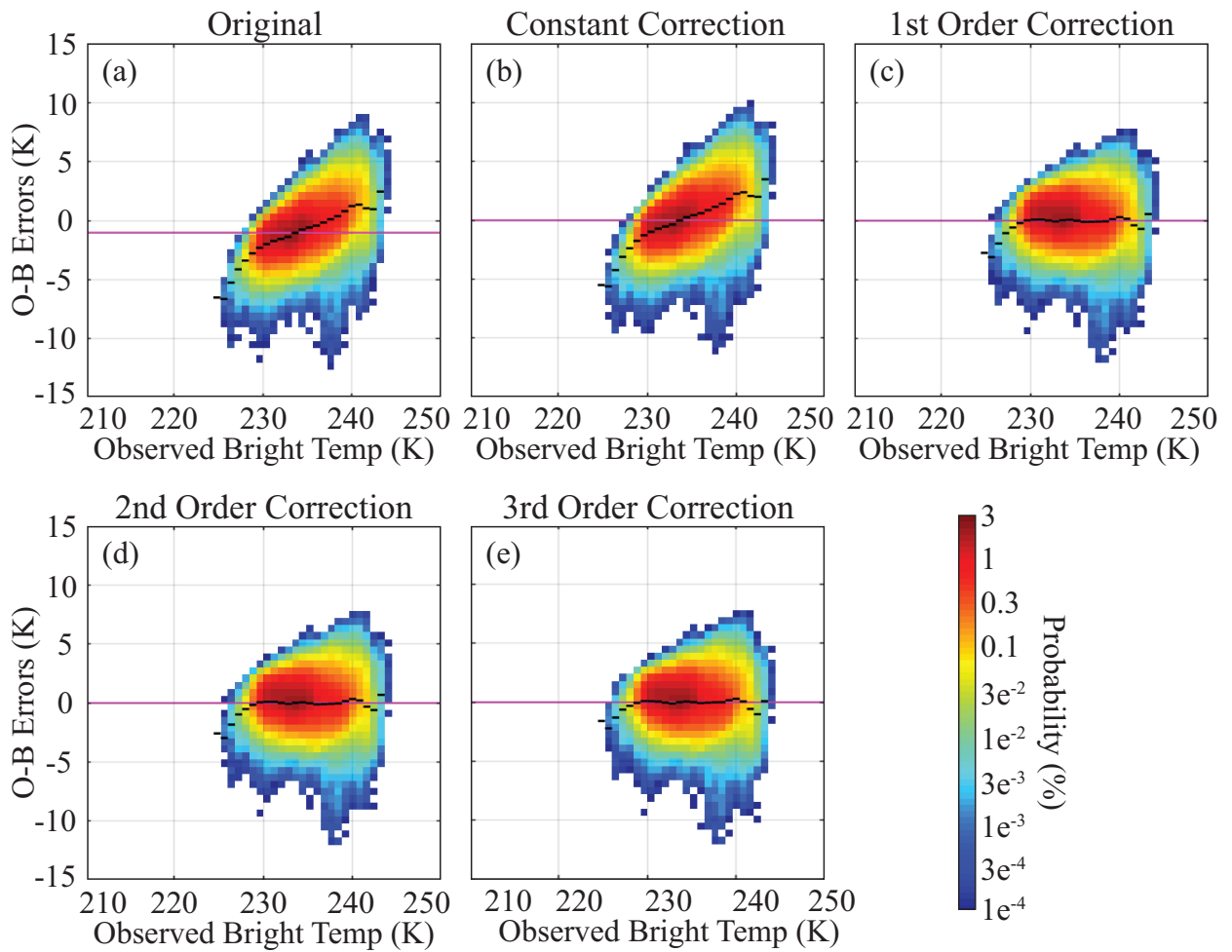


Fig. 7. Same as Fig. 2 except for showing probability distributions for clear-sky matched observations plotted as a function of the observed brightness temperature (K) when this quantity is also used as the BC predictor.

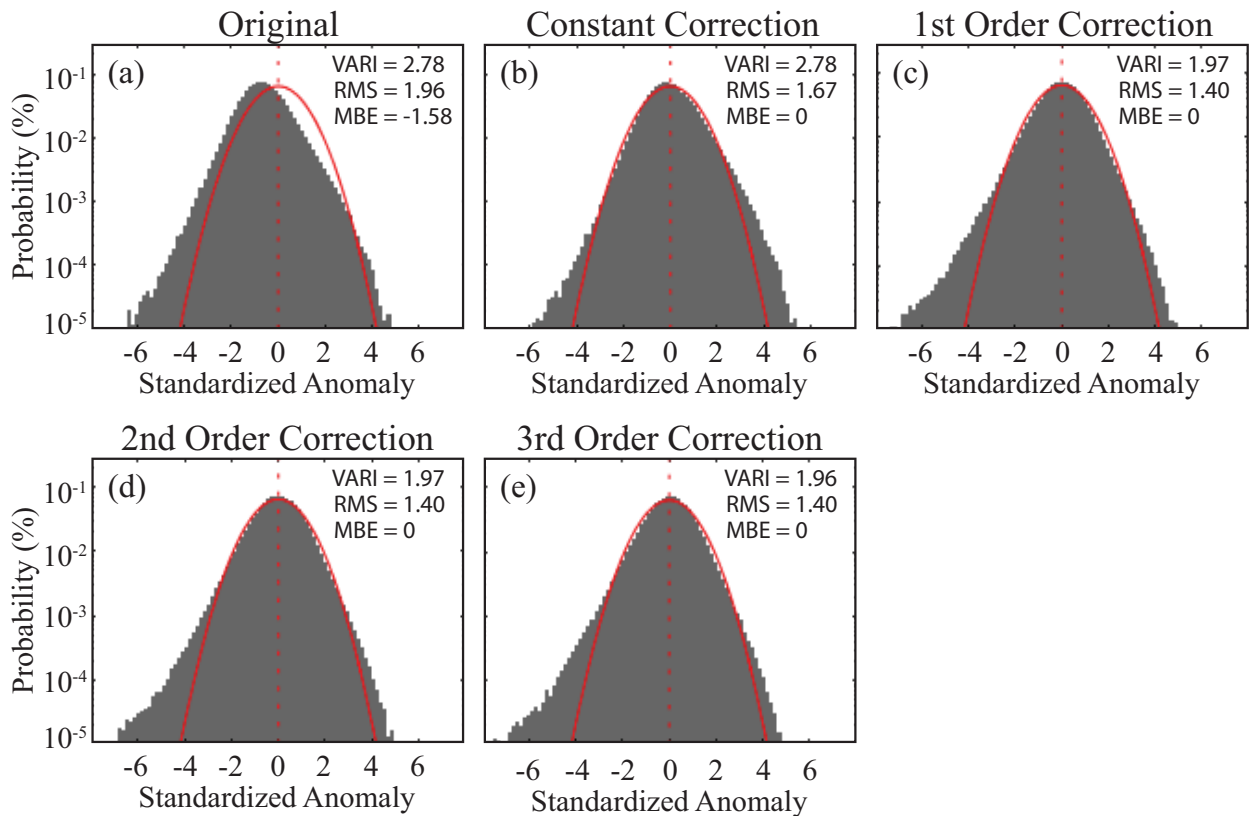


Fig. 8. Probability density function of normalized clear-sky matched  $6.2 \mu\text{m}$  observation-minus-background departures for the (a) original data, and the (b) constant, (c) 1st order, (d) 2nd order, and (e) 3rd order bias corrected observations when the observed  $6.2 \mu\text{m}$  brightness temperature is used as the predictor. Data were accumulated at hourly intervals during a 108-h period from 13 UTC on 16 May 2014 to 00 UTC on 20 May 2014.

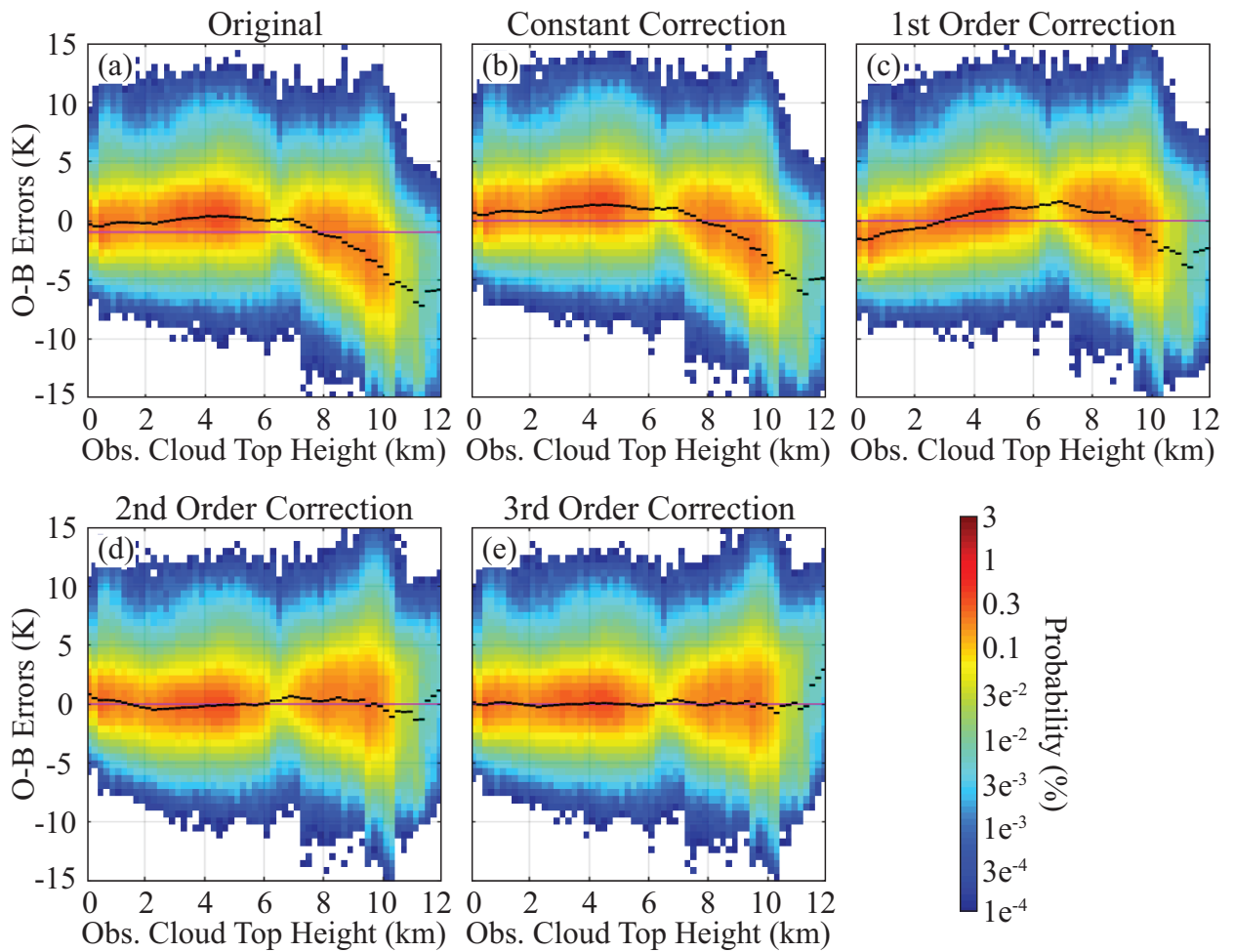


Fig. 9. Same as Fig. 2 except for showing probability distributions for cloudy-sky matched observations plotted as a function of the NWC SAF cloud top height retrieval (km) when this quantity is also used as the BC predictor.

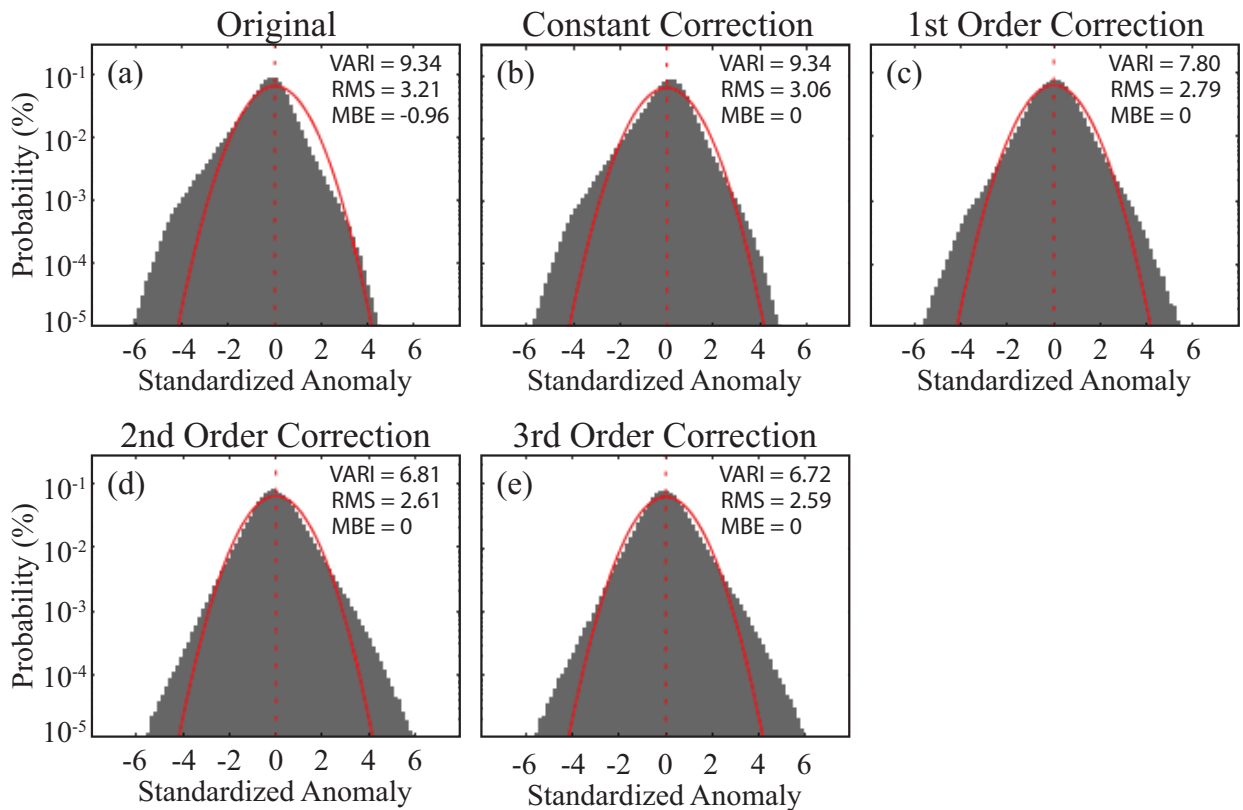


Fig. 10. Probability density function of normalized cloudy-sky matched  $6.2 \mu\text{m}$  observation-minus-background departures for the (a) original data, and the (b) constant, (c) 1st order, (d) 2nd order, and (e) 3rd order bias corrected observations when the NWC SAF cloud top height retrieval is used as the predictor. Data were accumulated at hourly intervals during a 108-h period from 13 UTC on 16 May 2014 to 00 UTC on 20 May 2014.

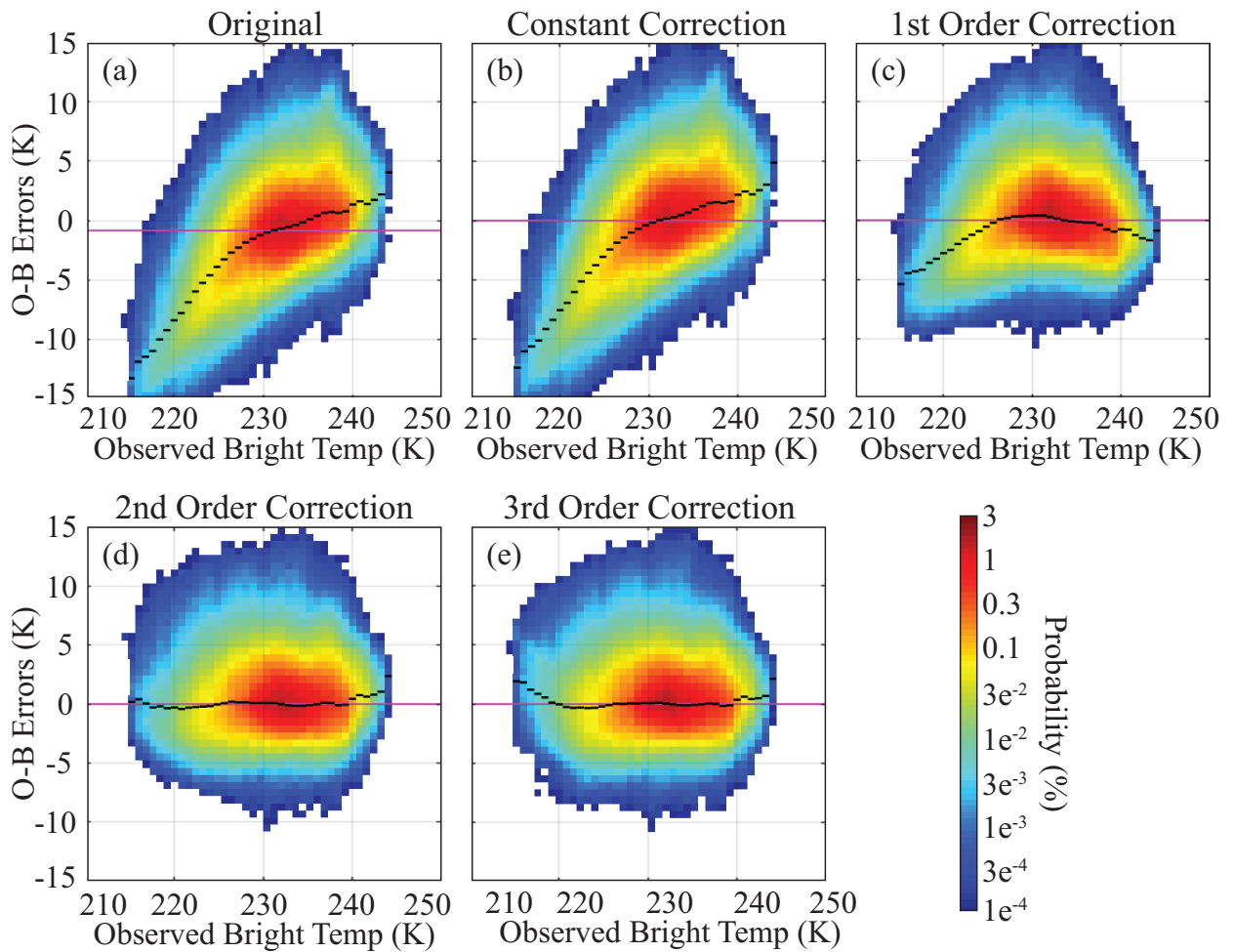


Fig. 11. Same as Fig. 2 except for showing probability distributions plotted as a function of the observed  $6.2 \mu\text{m}$  brightness temperatures when the observed  $6.2 \mu\text{m}$  brightness temperature, satellite zenith angle, and vertically-integrated total water content from 100-700 hPa are used as the BC predictors.

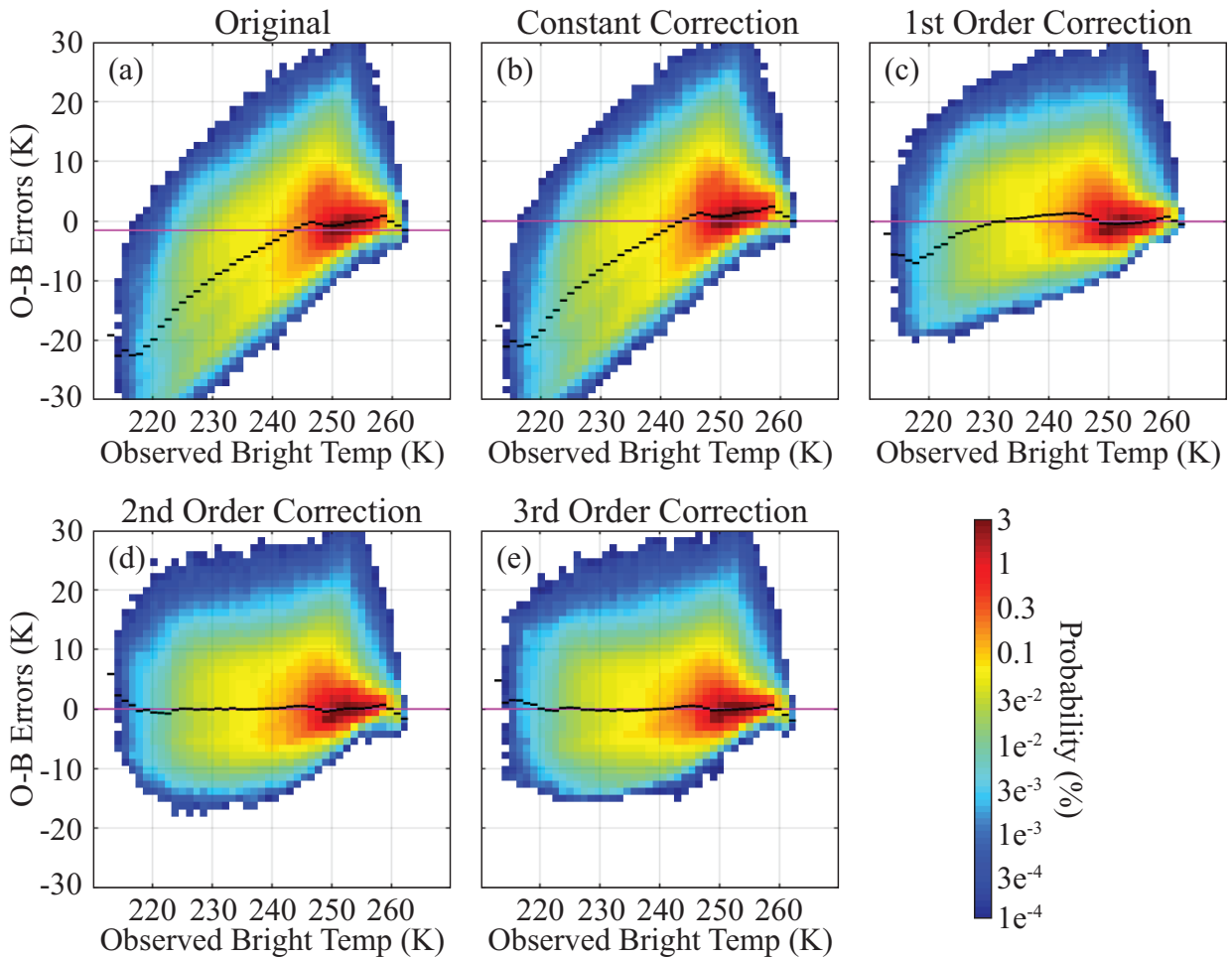


Fig. 12. Probability distributions of 7.3  $\mu\text{m}$  observation-minus-background departures plotted as a function of the observed 7.3  $\mu\text{m}$  brightness temperatures (K) for the (a) original data, and the (b) constant, (c) 1st order, (d) 2nd order, and (e) 3rd order bias corrected observations when the observed 7.3  $\mu\text{m}$  brightness temperature, satellite zenith angle, and model-integrated total water content from 100-700 hPa are used as the predictors. Data were accumulated at hourly intervals during a 108-h period from 13 UTC on 16 May 2014 to 00 UTC on 20 May 2014.

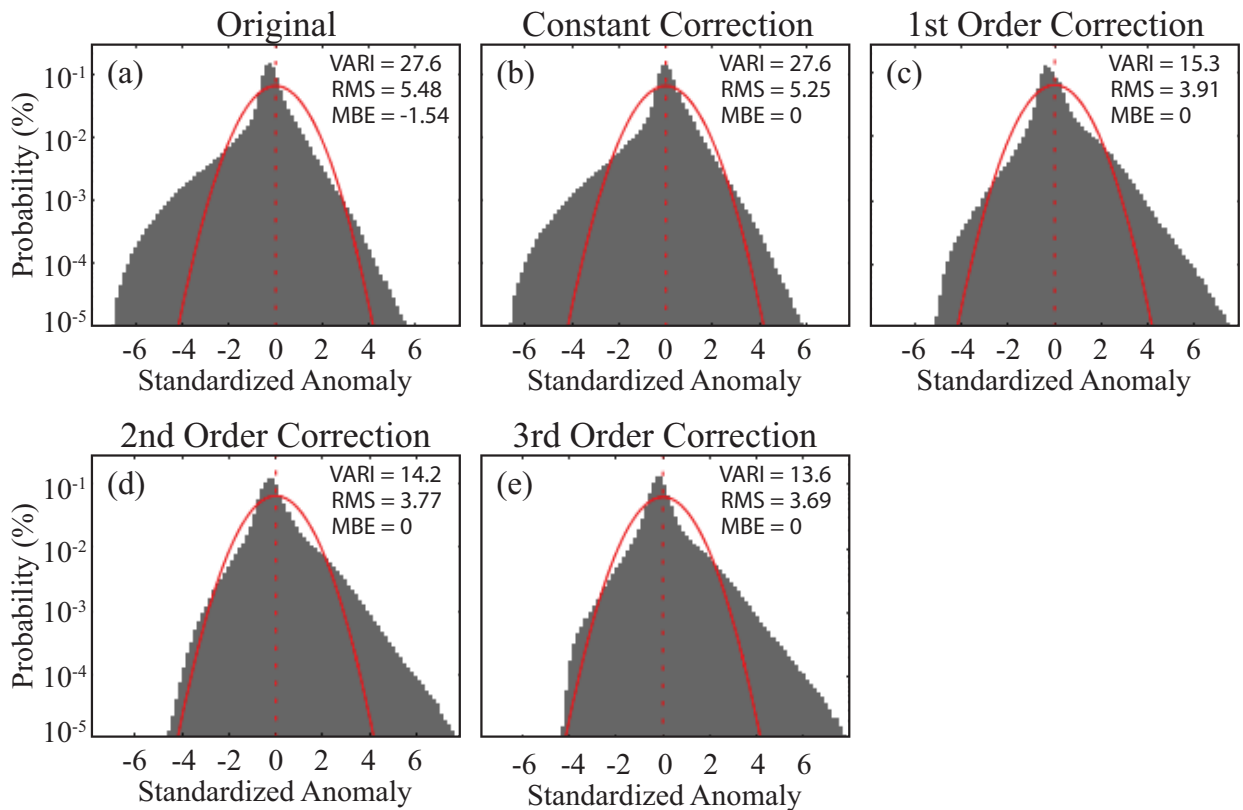


Fig. 13. Probability density function of normalized  $7.3 \mu\text{m}$  observation-minus-background departures for the (a) original data, and the (b) constant, (c) 1st order, (d) 2nd order, and (e) 3rd order bias corrected observations when the observed  $7.3 \mu\text{m}$  brightness temperatures are used as the predictor. Data were accumulated at hourly intervals during a 108-h period from 13 UTC on 16 May 2014 to 00 UTC on 20 May 2014.