

# Reducing non-attendance rates for assessment at an eating disorders service: a quality improvement initiative

Article

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#### 13 Introduction

Outpatient non-attendance (often referred to as Did Not Attend, or DNA) rates are a common problem within health services worldwide. The estimated cost to the UK National Health Service over a decade ago was £65 (\$100) per appointment, totalling £300 million (\$480 million) in England alone,<sup>1</sup> and this figure is likely to have increased since<sup>2</sup>. There are other costs, too. Non-attendance results in under-utilisation of resources, can increase waiting times, and has been associated with poorer outcome (e.g., see <sup>3</sup>).

20 Although non-attendance is relatively well documented in general mental health, and much has been written about drop-out (or 'failure to engage') in eating disorders (EDs) treatment, 21 22 very little information has been provided about non-attendance for initial assessments. This 23 is particularly notable as DNA rates in EDs are amongst the highest of mental health 24 specialities, alongside drug and alcohol services and community psychiatry, with rates particularly pronounced for initial appointments<sup>4</sup>. A study by Leavey et al.<sup>5</sup> reported that 26 25 26 of 100 individuals referred to a large ED service in the UK failed to attend their first 27 appointment. Similarly, in a study of a mental health and learning disability trust (approximate population of 1 million), Mitchell and Selmes<sup>4</sup> reported DNA rates of 19.5% for 28 29 the ED speciality (25/128 individuals offered initial appointments over a 1-year period) and, 30 analysing care pathways across two large ED services in London, Waller et al.<sup>6</sup> reported a non-attendance rate of 16.4% (n = 260/1583) for initial appointments. 31

The costs of non-attendance are well known but, equally, the solutions also need to be costeffective. Given the associated burdens of non-attendance to organisations, staff, and patients, a number of interventions have been suggested, and found to improve attendance modestly (for reviews, see <sup>7-9</sup>). Waller et al.<sup>6</sup> recommend direct patient contact (e.g., via telephone) when organising an initial appointment as well as the provision of written information, such as information sheets about the clinic. Some empirical support has been found for providing information<sup>10</sup> and reminders<sup>11</sup> in improving attendance, although other

39 studies have failed to find such effects<sup>12</sup>. There may be different reasons for non-attendance across specialities (e.g., see <sup>5</sup>), and thus different procedures for reducing non-attendance 40 may benefit different specialities. The use of 'partial booking' systems, which require 41 patients to 'opt in' to appointments, has been shown to improve attendance rates<sup>13-16</sup>, 42 although a recent systematic review<sup>8</sup> did not generally support this finding. Within ED 43 services, there is some evidence that 'opt-in' procedures can reduce waiting times for 44 treatment and that subsequent non-attendance is not associated with levels of 45 psychopathology or subjective well-being. Thus, such procedures are unlikely to decrease 46 access for individuals who may report more severe pathology<sup>17</sup> and may be helpful when 47 booking initial appointments. 48

The current study reports on the effectiveness of an opt-in protocol in reducing DNA rates for initial appointments, using a quasi-experimental design to look at the impact of a change in service-level protocols on attendance rates. It was hypothesised that the new system, which emphasised patient choice in booking an appointment, would improve attendance (e.g., see <sup>18</sup>).

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#### 55 Material and Methods

56 Setting

The service is a specialist eating disorders service in the UK covering a population of around 700,000 adults. Outpatients are usually referred by a patient's general medical practitioner (GP), although referrals are accepted through other routes, such as the local Improving Access to Psychological Therapies (IAPT) service (see <sup>19</sup>), or general psychiatric services.
Prior to January 2013, following receipt of a referral, patients were offered an appointment in writing to attend the unit for an assessment. Information about the service was included,

and patients were asked to confirm their appointment. However, clinicians would often keep

the appointment free even when no confirmation was given as many patients attended
without confirming. Patients who did not attend were sent a letter asking them to reply within
two weeks; if nothing was heard (in the absence of significant risk), they were discharged.

67 Partial booking

A partial booking system (e.g., <sup>14,16,20</sup>) was implemented in January 2013, whereby patients are sent a letter asking them to contact the service to arrange an initial appointment. By doing so (usually by telephone), patients can arrange a more convenient date, time, and sometimes place (although there are constraints on these variables). As per the previous system, if there is no contact a further letter is sent, leading to discharge if no contact is made.

#### 74 Statistical analyses

Data were analysed over a 20-month period before partial booking, and the ensuing 27 months. Non-attendance rates were used as the primary outcome, expressed as the percentage of DNAs of total appointments (i.e., Attendances + DNAs). The main effects of the intervention were assessed before and after, using the Mann-Whitney test (given unequal group sizes) and an  $\alpha$  level of .05. Effect size estimates were obtained using point biserial correlation (*r*). Analyses were conducted with SPSS v22 and MS Excel.

The proposal was approved by the local NHS Quality and Audit Team and it was concluded that further ethics committee review was not required.

#### 83 Results

Demographic data were available from April 2014 (n = 333); of this subset of the larger sample, 98.2% was female and mean age was 27.6 years (SD = 10.6). Due to the limited demographic data collected, it was not possible to conduct detailed analyses (e.g., whether certain demographic groups were disadvantaged by the change in procedure). 88 Of 456 outpatient appointments offered between May 1, 2011 and December 31, 2012, 93 (20.4%) were classified as DNA. The corresponding frequency was 15.1% (N = 804) for the 89 90 period of January 2013 to 1 April 2015 (see Figure 1). These figures were significantly different (U = 145.5, z = -2.679, p = 0.007), with a medium effect size (r = 0.39). Odds ratio 91 calculation showed that those in the historical group were 1.45 times more likely to DNA 92 (95% CIs = 1.07 - 1.95). G\*Power 3<sup>21</sup> was used to conduct post hoc power analysis, using 93 an observed effect size of 0.39. This indicated that observed power  $(1 - \beta)$  of 0.99 94 exceeded the level recommended by Cohen<sup>22</sup>. 95

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#### 97 Discussion

98 The study found a similar DNA rate to other specialist ED units in the UK, a country with a 99 publicly-funded health service. This rate was relatively high before the intervention, and non-attendance was reduced by using a partial booking system that offered patients greater 100 101 agency regarding their initial appointment. This suggests that high DNA rates can be 102 addressed in part by offering patients more choice around their appointments, in line with previous suggestions (e.g., <sup>14</sup>). Of note, the reduction of non-attendance in the current study 103 was almost identical to that reported by Houghton et al.<sup>15</sup>, using a similar methodology 104 105 including use of an opt-in letter in an NHS psychotherapy service.

Although partial booking appeared effective in reducing non-attendance, this system may still overlook some individuals and may also favour the more 'reachable' patient (e.g., <sup>23</sup>). Opt-in procedures have been found to be useful in managing treatment waiting lists in EDs, and do not discriminate sub-groups<sup>17</sup>; see also <sup>15</sup>. However, less is known about initial appointments, and the current study does not explore reasons for non-attendance; these may include resolution of problems, not agreeing that the referral was necessary<sup>4</sup>, or more 'negative' reasons, such as low motivation to change or negative views about treatment<sup>24</sup>. Anecdotally, we have found the partial booking system to be a positive change, reducing DNA rates and being more patient-centred (see also <sup>13</sup>). Furthermore, using crude figures of approximately £100 per appointment<sup>2</sup>, a reduction from 20.4% to 15.1% might save the service over £1600 (\$2,560) per year, although some costs were not factored in to this analysis. Of note, in contrast to the study of Carmen et al.<sup>13</sup>, the number of individuals attending appointments did not decrease as a result of the opt-in procedure.

119 Aside from the lack of a randomised control group, which may have introduced selection 120 bias, further shortcomings of this study were the limited demographic data collected and the lack of follow-up data. Previous studies have rarely reported demographic data, with some 121 122 exceptions (see <sup>14</sup>), and so there remain significant gaps in the literature regarding the precise impact of opt-in procedures. Despite similarity with previous work (e.g., <sup>15</sup>), the 123 generalisability of the findings here remains to be seen although the current study reports on 124 a sample of routinely collected data and may thus be seen to have high ecological validity. 125 126 Although the study spanned a period of nearly 4 years, there was no allowance for seasonal variation (e.g., see <sup>25</sup>) and no allowance was made for individual patients, some of whom 127 may have been referred more than once in the study period. The use of a large number of 128 129 individuals relative to previous studies with a clear intervention represents a strength of the 130 study, particularly as few variables were explicitly manipulated (i.e., the general processes were only amended by changing how initial appointments are booked). However, reduction 131 in non-attendance due to factors other than the intervention cannot be ruled out. 132

#### 133 Conclusions

The intervention described above provides one of the first demonstrations of improving
attendance at first assessment within a specialist eating disorders service, offering further
evidence for the importance of flexibility and patient choice in reducing DNA rates (e.g., <sup>15,26</sup>).
Attempts to improve patient care must consider all stages of the care pathway (see <sup>6</sup>), with
addressing non-attendance being just one part. Further studies might seek to look in more

detail regarding factors, such as demographic variables, that might be associated with nonattendance and this has been lacking from previous studies. Although some work in ED
samples suggests that opt-in procedures do not disadvantage specific individuals<sup>27</sup>, this
could be furthered by looking at individuals who do not respond and investigating the
reasons why.

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Figure 1. Rates of non-attendance (%) over the course of the study.