

The challenges of using satellite data sets to assess historical land use change and associated greenhouse gas emissions: a case study of three Indonesian provinces

Article

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1 *The challenges of using satellite datasets to assess historical land use change and*
2 *associated greenhouse gas emissions - a case study of three Indonesian provinces*

3 Advances in satellite remote sensing and the wealth of earth observation (EO)
4 data now available have improved efforts towards determining and quantifying
5 historical land use and land cover (LULC) change. Satellite imagery can
6 overcome the absence of accurate records of historical land use, however the
7 variability observed in the case study regions demonstrates a number of current
8 challenges.

9 Differences in spatial coverage, resolution and land cover classification can lead
10 to challenges in analysing historical LULC datasets to estimate LULC change
11 and associated greenhouse gas (GHG) emissions. This paper demonstrates the
12 calculation of LULC change from three existing, open source LULC datasets to
13 show how this can lead to significant variation in estimates of GHG emissions
14 related to differences in land classification methodologies, Earth Observation
15 (EO) input data and period of investigation. We focus on selected regions of
16 Indonesia, where quantifying land use change is important for GHG assessments
17 of agricultural commodities and for evidencing progress against corporate and
18 government deforestation commitments.

19 Given the significance of GHG emissions arising from LULC change and the
20 increasing need for emissions monitoring, this research highlights a need for
21 consensus building to develop consistency in historic and future LULC change
22 estimates. This paper concludes with a set of recommendations for improvements
23 to ensure consistent LULC mapping.

24 Keywords: land use/ land cover change, GHG emissions, remote sensing, palm
25 oil, sustainability,

26 **Introduction**

27 Advances in satellite remote sensing (RS) and the wealth of earth observation (EO) data
28 now available have improved efforts towards accurately mapping Land Use and Land
29 Cover (LULC) and quantifying change [1]. This reduces reliance on e.g. ground-level

30 monitoring and improves the resolution of assessments that are currently based on
31 country-level statistics. However, challenges remain, and factors such as the type of
32 data (e.g. optical or radar) and spatial and temporal resolution of satellite data may
33 significantly influence the classification of land use and land cover [1,2]. Several
34 organisations have produced and made openly available LULC datasets based upon the
35 interpretation of optical EO satellite data. These are derived from different satellites,
36 based on different sensors, with variations in return time and LULC classification
37 methodology. In this paper, we analyse uncertainty in greenhouse gas (GHG) emission
38 estimates by calculating LULC change with three historic LULC datasets, with a focus
39 on selected regions of Indonesia where the development of the Palm Oil (PO) industry
40 has been a significant driver of LULC change in recent decades [2].

41 *LULC mapping*

42 Mapping of LULC is one of the key applications of RS technologies and has been
43 carried out for at least 40 years [3]. However, there is little agreement on best practice
44 for LULC mapping. A recent overview of different LULC mapping methodologies is
45 provided by Joshi et al., (2016) [1]. The process of remote sensing image classification
46 is complex and involves many steps, including the determination of a land cover
47 classification system, collection of data sources and selection of a classification
48 algorithm [4]. One of the most important considerations in LULC mapping is the
49 *definition of LULC classes*. This can be done with a focus on Land Use (purpose for
50 which humans use land) or Land Cover (physical properties of a land surface) [1].
51 LULC class definitions can be either broad (e.g. Forest, Agriculture, Grassland etc.) or
52 specific (e.g. subdividing agricultural land into Oil Palm, Corn, Banana, etc.). Optimal
53 class definition depends on the specific needs of the user, but, in general, broad classes
54 are better suited for large-scale (continental) LULC mapping. Whilst higher specificity

55 in land classes is preferable for regional or national-scale land mapping studies [1], it
56 has been shown that using a large number of highly specific classes can lead to
57 misclassification, as differences between classes become small [5,6].

58 Another major consideration when developing a LULC classification scheme is the
59 selection of optimal RS input data. Low resolution (LR) optical sensors (e.g. MODIS,
60 MERIS) have been useful for vegetation mapping at global or continental scale, while
61 medium resolution (MR) satellites (e.g. Landsat TM) are most frequently used for
62 regional LULC mapping [4]. High resolution (HR) satellite data (e.g. DigitalGlobe,
63 SPOT) require greater resource in terms of processing capacity and can be costly when
64 large area coverage needs to be acquired. Therefore, HR data is more likely to be used
65 for validation of smaller areas [4]. Quality of RS imagery can be hampered by persistent
66 cloud cover in tropical regions [2]. Integrated use of Synthetic Aperture Radar (SAR)
67 satellite data, which has high resolution capability and is unaffected by cloud cover, has
68 shown to be improving LULC mapping significantly [1] and is becoming more
69 commonly used in tropical LULC mapping [7].

70 The *classification methodology used for LULC mapping* is a third major consideration.
71 There is a plethora of image classification algorithms and methodologies available [1].
72 Common methodologies or algorithms range from statistical methods (e.g. Maximum
73 Likelihood Classification (MLC), Principle Component Analysis (PCA)) [8,9], machine
74 learning algorithms (Support Vector Machine (SVM), Random Forest (RF)) [10–12],
75 knowledge-based/decision trees methods [6,13] to visual/manual interpretation of
76 satellite data [12]. Changes in LULC class definitions, RS data input and classification
77 methods over time can lead to issues of consistency and variability in estimates of
78 historical LULC change [2].

79 ***GHG emissions attributable to LULC change***

80 Carbon dioxide emissions from fossil fuel use are relatively well quantified, but GHG
81 emissions from LULC change remain highly uncertain and yet are one of the largest
82 anthropogenic sources of GHG emissions [14]. Land-use changes can cause emissions
83 due to carbon losses in both biomass and soils [15]. Rapid expansion of agriculture for
84 large scale commodity crops can lead to large changes in carbon stocks [16].
85 Understanding emissions from LULC change is key to quantifying life cycle emissions
86 of large scale agricultural commodities, such as PO. Growth in PO production in South-
87 East Asia, led primarily by Indonesia and Malaysia, has been a key component of meeting
88 growing global demand for bio-based oil in recent decades. Indonesia and Malaysia
89 currently meet more than 85% of global PO demand, 51% and 34% respectively [17]. In
90 these countries, plantations cover an estimated area of 140,000 km² on both mineral and
91 organic (peat) soils, which has led to large-scale LULC change in the region [2].
92 A historical record of 20-25 years is necessary for LUC emissions to be included in Life
93 Cycle Assessments (LCA). Openly-available satellite data with global coverage, and of
94 sufficient quality, does not widely exist prior to 2000 and, therefore, this period is rarely
95 covered by LULC datasets.

96 ***Significance of peat soils***

97 Soils in wetland ecosystems (e.g. peat swamp forests) contain large amounts of organic
98 material, and therefore have high below-ground carbon stocks with carbon densities that
99 may exceed those of the aboveground vegetation [18]. When organic soils are disturbed,
100 and particularly when drained, removing water from the soil pores; oxygen can enter the
101 soil surface and oxidize the soil organic material through biological and chemical
102 processes. Oxidation of soil organic matter leads to a carbon flux to the atmosphere,

103 mostly as CO₂ [19].

104 GHG emissions after drainage are not constant; they will vary as water tables and peat
105 characteristics change [20]. In typical PO plantation developments on peat soils in
106 Southeast Asia, the initial peatland drainage usually involves a rapid lowering of the
107 water table to depths of around or below 1 m to over 3 m. In the first few months or
108 years after drainage, the peat surface will change rapidly through a combination of peat
109 oxidation and soil compression. In this transition phase, carbon emissions are higher
110 than during the subsequent, more stable phase i.e. following palm planting, when water
111 levels will generally be maintained at depths of around 0.80 m. From that point
112 onwards, oxidation will proceed at a more or less stable rate until the peat surface is at
113 or close to the local drainage level; dependent upon the peat depth, this may take several
114 decades [20].

115 Any holistic assessment of the carbon emissions arising from LULC change must
116 include both changes in above- and below-ground carbon stocks. The relative
117 proportion of PO plantations on organic soils in Southeast Asia has increased over the
118 last 20 years; these now occupy some 31,000 km², or approximately 23% of the total
119 area under PO plantations [21]. It has been shown that this process has been responsible
120 for generating substantial carbon losses and associated GHG emissions from peat
121 decomposition [19].

122 *Aim of this paper*

123 The aim of this paper is to evaluate and compare existing LULC datasets, derived from
124 EO data, to assess historical LULC change and associated GHG emissions. To achieve
125 this, we focus on three Indonesian provinces where large-scale LULC change has been
126 observed in recent decades, much of which is attributable to the development of

127 plantations.

128 **Materials and methods**

129 *Study area*

130 We focus on three areas of interest (AOIs), namely the Indonesian provinces of
131 Northern Sumatra, Riau on the island of Sumatra, and Central Kalimantan on the island
132 of Borneo, Figure 1. These three AOIs, covering approximately one sixth of the total
133 area of Indonesia, lie within an area that is the focus of much attention surrounding land
134 use change emissions [22–24]. All AOIs include areas with peat soils, according to the
135 peat soil map distributed by the Centre for Remote Imaging, Sensing and Processing
136 (CRISP) in Singapore [19]. Additionally, in all three AOIs PO production occurs on
137 both mineral and peat soils, according to PO concession data obtained from Global
138 Forest Watch [25], (Table 1).

139 *LULC data sources*

140 Three open-source, satellite-derived LULC datasets were identified as thematically and
141 spatially relevant for the AOIs, as detailed in Table 2.

142 The Climate Change Initiative (CCI) LULC dataset was developed by the European
143 Space Agency (ESA) CCI Land Cover Initiative, currently available with updates for
144 the period 1992-2015. CCI is a global LULC dataset, with a class definition based on
145 the Land Cover Classification System (LCCS) developed by the United Nations (UN)
146 Food and Agriculture Organization (FAO) [26]. Class definitions are broad, with no
147 specific LULC classes for tree plantations. Quality assessment of the CCI dataset
148 (included in [26]) was based on referencing using higher resolution satellite data or
149 derived products (Landsat, Google Earth, SPOT-Vegetation (SPOT-VGT)) for specific

150 reference areas, which were chosen to cover all global climatic zones, with subsamples
151 chosen randomly from these areas. The overall accuracy between the CCI 2010 dataset
152 and a reference dataset for 2009 was 74.4%.

153 The CRISP LULC dataset was developed by the Centre for Remote Imaging, Sensing
154 and Processing in Singapore and covers Southeast Asia, with updates for 2000, 2010
155 and 2015. The mapping methodology is well documented [21,27]. The 2015 LULC data
156 update has been developed using a methodology which differs significantly from that
157 used for the 2000 and 2010 updates; CRISP have therefore advised users to avoid
158 comparisons of the 2015 data with older updates for LULC change analysis [21]. The
159 class definition is specific, with two classes for plantations (“Large scale palm
160 plantations” and “Plantation/regrowth”). Quality assessment of the CRISP dataset was
161 carried out by comparing the LULC maps with a total of 1000 random sample plots
162 from very-high resolution satellite data [21]. The total accuracy for the 2015 CRISP
163 dataset was 81.6%.

164 The MoF LULC dataset was developed at the Indonesian Ministry of Forestry, and
165 currently provides irregular updates between 1990 and 2015. In total ten updates are
166 available, of which eight are between 2000 and 2015. There is no accompanying
167 documentation detailing the image classification methodology used for the LULC
168 mapping. However, according to [23], it is primarily based on visual interpretation of
169 Landsat 30x30 m satellite data. There is no indication of whether any quality assurance
170 checks have been carried out. When considering forest cover in Indonesia, comparison
171 between MoF and Global Forest Watch forest cover data [28] indicated agreement in
172 90.2% of the area considered [29]. The MoF LULC classes are specific, identifying two
173 plantation types (general plantation and timber plantation), as well as undisturbed and
174 disturbed forests.

175 ***Data pre-processing***

176 Figure 2 presents an overview of the processing and analysis workflow. After collection
177 of the LULC, AOI boundary and peat soil extent data, the data is pre-processed using
178 the following steps:

- 179 • Conversion of LULC data to raster. The MoF data is delivered in vector format,
180 in order to make the dataset comparable in terms of resolution, it was converted
181 to raster with a 100 x 100 m spatial resolution.
- 182 • Subsetting of LULC and peat soil data per AOI.
- 183 • Split of LULC data between peat soil and non-peat soil areas.
- 184 • Reprojection of data to the same Universal Transvers Mercator (UTM) zone
185 projection, UTM 47N for North Sumatra and Riau AOI data, UTM 49N for
186 Central Kalimantan.
- 187 • Class aggregation of specific LULC classes into broad classes for the cross-
188 comparison of LULC data, detailed below.

189 ***Cross-comparison LULC data***

190 Pairwise comparison of the three LULC datasets was carried out using the Mapcurves
191 analysis [30]. Mapcurves analysis provides a method to compare two categorised maps
192 by cross-referencing, to quantify the similarity between the classifications. This analysis
193 provides insight by calculating the proportion of overlap between each LULC class
194 from one dataset (Map A) and the best overlapping LULC class from another dataset
195 (Map B). The best overlaps for all classes from Map A with classes from Map B are
196 calculated, and the overlap fractions are summed to derive the total agreement between
197 Map A and Map B. This total is named the Goodness of Fit (GoF); a GoF of 1.0 means
198 a perfect fit, a GoF of 0.0 no fit at all. This analysis can be run both ways, i.e. using map

199 A as the original and using Map B as the reference, or vice versa. The GoF is expressed
200 as a percentage and can therefore be compared across categories and maps.

201 It should be noted that the GoF does not give information about the total area of
202 agreement, as each LULC class has equal influence on the GoF, regardless of its area of
203 presence in the original map. Nor does this analysis provide insight into relative quality
204 of datasets, but gives an indication of the proportion of overlap.

205 To make the three LULC datasets as comparable as possible, LULC classes were
206 aggregated into nine broad classes, based on a general class aggregation utilised for the
207 CCI data [26]: Agriculture, Forest, Grassland, Shrubland, Sparse Vegetation, Wetland,
208 Settlement, Bare and Water.

209 The cross-comparison analysis was run for dates pertaining to two specific years in
210 which all three LULC datasets have an update, 2000 and 2015 (Figure 3a-f).

211 *LULC change analysis*

212 To calculate LULC change for each LULC dataset, changes between each initial update
213 (t0) to the next update (t1) were calculated from the pre-processed data. This was done
214 by comparing each pixel location from the t0 raster data with each corresponding pixel
215 from the t1 data. If a change in LULC class was observed, the pixel was reclassified as a
216 pixel with a unique value combining the t0 and t1 class code. If no change was
217 observed, the pixel was reclassified as no value, see Figure SM1. From this analysis,
218 LULC change maps and tables were produced. Table SM1 provides the time periods
219 used to assess LULC change. For CCI and MoF, these time periods coincide with the
220 updates of the MoF dataset, for CRISP only one period has been used, 2000 to 2010, as
221 the update of CRISP for 2015 cannot be compared for LULC change analysis [21]. The

222 LULC change is expressed in hectares per year, to correct for varying time intervals
223 between updates.

224 *Carbon emission modelling*

225 To convert LULC change into carbon emission estimates, values for Aboveground
226 Biomass (AGB) and Organic Soil Degradation (OSD) emissions factors were obtained
227 for all the LULC classes of the three datasets. This was done by conducting a review of
228 published literature related to LULC change in Southeast Asia (primarily based on
229 [15,20,31–33]). From this review, average values for AGB and OSD for each LULC
230 class were calculated (Table SM2 and Table SM3). AGB emission factors are expressed
231 in Mg C ha⁻¹, the OSD emissions are given in Mg C ha⁻¹ yr⁻¹, as these continue for an
232 indefinite period after a LULC change from natural to man-made state [19]. The LULC
233 change data from the selected areas and the AGB and OSD emission values were
234 combined to estimate GHG emissions. The model, Equation 1, is a simplified version
235 of the model in [34], not taking into account the GHG emissions related to peat fire due
236 to additional uncertainty.

$$E = E_a - S_a + E_{bo} \quad (1)$$

237 where E is the emission estimate, E_a is emission from AGB due to LUC, S_a
238 sequestration of CO₂ from the atmosphere into crop biomass between succeeding land
239 uses and E_{bo} is emission from OSD. A graphical example of the model is provided in
240 Figure SM2. For example, if 1 ha changes from Primary Forest (average AGB 233 Mg
241 C ha⁻¹) to Shrubland (average AGB 31 Mg C ha⁻¹) then, for AGB, a total of 233-31 =
242 202 Mg C will be emitted. If subsequently this 1 ha of Shrubland becomes Plantation
243 (average AGB 37 Mg C ha⁻¹) then the net carbon emissions will be 31-37 = -6 Mg C,

244 which indicates carbon sequestration.

245 The latest insights with respect to emissions from drained peatlands are reported by
246 IPCC [20,35]. The OSD emission factor values used in this paper relate to ongoing
247 oxidation of peat. We exclude additional emissions occurring during the first 5 years
248 after drainage for plantation establishment [20,36], relating to fires [37], and the
249 potential emissions from organic carbon flushed into aquatic ecosystems (e.g. as
250 dissolved organic carbon (DOC), and associated emissions of CO₂ and CH₄ [38]).
251 These emissions are highly uncertain and would, therefore, obscure the uncertainty in
252 GHG estimates from different LULC datasets. Thus, in our calculations, if Peatswamp
253 Forest on organic soil changes to PO Plantation, the OSD emission related to land
254 conversion is 11 Mg C ha⁻¹ yr⁻¹.

255 On the basis of [31], who reported that, for mineral soils, the net temporal trend in the
256 soil carbon stock (in the top 30 cm of soil) was not significantly different from zero in
257 both forest- and non-forest-derived plantations, we assume soil carbon stock neutrality
258 on mineral soils used for oil palm cultivation.

259 **Results and discussion**

260 *Cross-comparison LULC data (Mapcurves)*

261 The Mapcurve plots with the highest consistencies for each area and date are visualised
262 in Figure 3. The highest GoF values are observed for either a combination of CCI as
263 Original and CRISP as Reference map or the combination of MoF as Original and
264 CRISP as Reference map. The highest GoF observed is 0.575 for North Sumatra in
265 2015, by combining MoF and CRISP, which means there is 57.5% class agreement
266 between these maps. All other combinations lead to lower GoF values (see Tables SM4

267 and Table SM5). The two data types most dissimilar are the MoF and CCI datasets
268 (generally less than 40% class agreement).

269 The Mapcurve analysis shows large inconsistencies between LULC datasets, even after
270 aggregation of specific LULC classes, to make the datasets more comparable. Other
271 comparative studies of LULC datasets have also observed this [39–41], either by means
272 of the Mapcurves analysis, or by analysing spatial overlap of similar classes on a pixel-
273 by-pixel basis. Of these studies, the maximum observed Mapcurve value was 0.53 [41],
274 while in a pixel-by-pixel based analysis the highest agreement was found to be 62%
275 [40]. This shows that, even after aggregation of specific LULC classes into broader
276 classes, high levels of agreement between LULC maps of similar age cannot be
277 assumed.

278 Differences between LULC maps can be caused by a number of factors [42], including
279 data quality, spatial and temporal resolution, LULC classification approaches,
280 algorithms and aggregation. Data quality can be limited in tropical regions, due to
281 persistent cloud cover and therefore a limited number of useful satellite acquisitions. If
282 sufficient temporal resolution is available, there is better chance that high quality
283 imagery can be obtained in a certain period.

284 Spatial resolution dictates the smallest mapping unit. In general, if a pixel is sufficiently
285 small, more specific LULC can be distinguished. Lower spatial resolution pixels often
286 cover more than one specific LULC class, and therefore the LULC class definition must
287 be more generic, as for CCI. Spectral resolution influences how well LULC classes can
288 be technically distinguished. MODIS data, which underlies the CRISP dataset, operates
289 in 34 spectral bands [43], whereas Landsat-8 operates in 11 bands [44]. This means that
290 even though MODIS has a spatially lower resolution than Landsat, through its superior

291 spectral resolution, MODIS might be able to detect more subtle variations in LULC
292 than Landsat.

293 As noted above, a several classification algorithms were used to develop the LULC
294 datasets, which have an effect on differences in mapping results. In general, pixel-based
295 classifiers tend to lead to high heterogeneity in the resulting LULC map, as each pixel is
296 individually classified. Therefore, it is currently more common to include a clustering
297 step in the classification process, as this has been found to positively influence the map
298 accuracy [45,46]. The MoF dataset is based on visual interpretation of satellite data,
299 which depends on the interpretation skills of each person working on the LULC maps,
300 which can be subjective [47]. LULC class definition can impact how readily LULC
301 datasets can be compared. Aggregation of specific LULC classes into broad classes can
302 overcome this problem to a large extent, although it is not always clear to which broad
303 class a specific class might belong.

304 *LULC change*

305 For each LULC dataset, LULC change has been calculated for each period between
306 updates (shown in Table SM3). The LULC change observed in each area is presented
307 for North Sumatra (Figure 4), Riau (Figure 5) and Central Kalimantan (Figure 6), The
308 LULC change is averaged to give LULC change in hectares per year, to make the
309 differing periods between updates directly comparable.

310 According to the MoF dataset, for each AOI, one ‘peak change period’ with an extreme
311 LULC change is recorded. In North Sumatra this is 2006-2009, 2012-2013 in Riau, and
312 2013-2015 in Central Kalimantan. From the data (Table SM6), the North Sumatra peak
313 change period is 4.7 times larger than the average for 2000-2015, 2.5 times larger than
314 average for the Riau peak change period and 3.8 times larger than the average in Central

315 Kalimantan. It is questionable whether these changes, visible in the RS data, are related
316 to ‘actual observed’ LULC change in the AOI, which we define as change that can be
317 seen at ground level (corroborated by field observations), or have other causes. Table 3
318 shows the largest contributors to these peak change periods, according to the MoF
319 datasets. Analysis shows that for each occurrence the MoF class ‘Dry Rice Land Mixed
320 with Scrub’ was involved, either by transition from this class to ‘Dry Rice Land’ (in
321 North Sumatra) or transition into it from ‘Scrubland’ (both Riau and Central
322 Kalimantan). The class ‘Dry Rice Land Mixed with Scrub’ can be interpreted as a
323 transition class between Rice Land and Scrubland, or an ecotone. Defining class
324 boundaries for ecotones is often difficult when making observations in the field; it is
325 even more challenging when interpreting RS data [48]. Due to the magnitude of these
326 peak change periods, it is unlikely that they are related to actual changes in LULC, but
327 more likely related to different interpretation of RS data or methodological shift
328 between MoF updates. However, the influence of this mapping effect on the LULC
329 change observed in Central Kalimantan in 2013-2015 is relatively small, and the
330 majority of LULC change estimated for this period can be attributed to ‘actual
331 observed’ LULC change at ground level.

332 The CRISP maps consistently give higher estimates for land use change than either the
333 CCI or MoF maps. The annual LULC change estimated by CRISP is between 6.1 and
334 12.8 times larger than the LULC change from CCI for the AOIs. For CRISP to MoF, the
335 difference ratios for LULC change lie between 2.2 and 3.8.

336 Temporal correlations between MoF and CCI data are plotted in Figure 7. As CRISP
337 only provides one update (between 2000 and 2010) this dataset has not been included.

338 The MoF LULC change values have been corrected for the peak change periods
339 described above, to get a better comparison of actual observed LULC change between

340 CCI and MoF datasets. The strongest temporal correlation is shown in North Sumatra,
341 with an R^2 -value of 0.7978, while those for Riau and Central Kalimantan are much
342 lower.

343 The LULC change analysis shows little agreement between LULC datasets in the AOIs.
344 Whilst some inconsistency can be attributed to methodological factors, not all can be
345 explained directly.

346 *GHG Emissions*

347 Large variability in GHG emissions can be observed for estimates made using the
348 different LULC datasets, Table 4 and Table 5 (see also Figure SM3, Figure SM4 and
349 Figure SM5). GHG emissions estimates from CRISP data (2000-2010 only) are
350 considerably higher than those from both CCI and MoF data, while those from MoF for
351 the period 2011-2015 are generally much higher than the estimates from CCI (Table 5).
352 For Riau and Central Kalimantan, this is partly due to the MoF data inconsistency
353 related to the classification of 'Scrubland' and 'Dry Rice Land Mixed with Scrub'. The
354 peak change periods are also visible, with a peak in emissions in Riau in 2012-2013
355 (Figure SM4) and in Central Kalimantan in 2013-2015 (Figure SM5).

356 These results, which illustrate considerable variability in GHG emission estimates from
357 the different LULC datasets, are supported by other studies, e.g. Agus et al. (2010) [34],
358 estimated that carbon emissions from LULC change studies related to the PO industry
359 in Kalimantan differed by a factor of 4.7.

360 *GHG emission maps*

361 The GHG emission estimates per dataset, area and time period can also be displayed
362 geographically in maps of GHG emissions (Figure 8). The highest modelled GHG

363 emissions occur in areas with peat soils, primarily in Riau and Central Kalimantan.
364 However, there are also regions where net carbon sequestration occurs, likely related to
365 conversion of low biomass LULC (bare areas, shrub), to higher biomass LULC
366 (plantations). Bare and shrubland areas may be the result of previous deforestation,
367 which highlights the need for sufficient historic data to understand and account for
368 emissions from LULC change over a longer period, especially in peat soil areas. Several
369 methods exist to attribute these emissions to a product, depending on the data available
370 [49]. For LCA, the impact of land use change should include all direct land use change
371 occurring 20 years (or one full harvest, whichever is longer) prior to the assessment.
372 The total GHG emissions (or removals) arising from LULC change over this period
373 would be allocated equally to each year of the period [50].

374 *Carbon emission factors*

375 The values for emissions from AGB and OSD, derived from literature, are key to the
376 GHG emissions calculations in this study. For plantations, the AGB value used in this
377 study is 37 Mg C/ha, based on a time-averaged value for AGB [15]. However, AGB
378 values of 57.5 Mg C/ha have been reported for plantations at full maturity [51]. To
379 understand this sensitivity, results were calculated using this value (Table SM7). In all
380 but one instance, annual emissions are reduced by 1% - 33% when using the higher
381 carbon stock value for plantation classes. In one case emissions increase (MoF, 2011-
382 2015 for North Sumatra) because a large area of plantation was converted to a LULC
383 with lower carbon stock.

384 *Temporal interval*

385 The results are also sensitive to the interval period used between LULC map updates.
386 This has an impact on GHG emissions related to OSD. It has been shown that emissions

387 from OSD can continue for an indefinite time period after conversion from a natural to
388 man-made state [20]. This process is sketched in Figure SM2, where emissions related to
389 soil degradation from the first stage of LUC continue into the second stage of LUC. It
390 has been observed that when OSD emissions from a previous period are not included,
391 GHG emissions can vary significantly. This has been analysed with CCI data for Central
392 Kalimantan, where GHG estimates derived for 5-yearly intervals (2000-2005 and 2005-
393 2010) were found to be approximately 1 million Mg C/yr higher than emissions summed
394 at intervals of 1 year, over the same 10-year period. This shows the GHG emission model
395 should incorporate LULC changes on organic soils predating the period of interest
396 wherever possible.

397 *Limitations in estimating GHG emissions*

398 The GHG emission estimates were calculated based on published carbon stock and
399 emission factors for different LULC classes. Variability and uncertainty in carbon stocks
400 can be observed in the range of literature values (Table SM2 and Table SM3) arising from
401 influences including of soil type and climate, or where different studies include different
402 elements of carbon pools [15]. Furthermore, peat soils may vary in depth and volume and
403 therefore influence carbon stocks [52]. Since the purpose of this study was to establish
404 uncertainty in GHG estimates resulting from the use of different LULC products,
405 variability and uncertainty in carbon stock values for different LULC classes were not
406 considered further.

407 Further work could be done to integrate variabilities in carbon stock accounting with the
408 variabilities in estimated LULC changes estimated in this study. Key considerations
409 would include spatial heterogeneity (edge effects) in above and belowground carbon
410 stocks within land cover classes [50, 51]; variability in water table depth and carbon loss
411 rates for OSD [20] and; uncertainties in emissions from land clearance fires on peat soils

412 [19]. Emissions from degraded peat soils are known to continue a long period, often
413 longer than a typical LCA analysis period of 20-25 years [52]. Therefore, wherever
414 possible, it is advised to incorporate any known historic LULC changes on peat soils for
415 a period as long as possible.

416 The finding that LULC maps based on RS data interpretation differ is not new [40,41],
417 and some attempts have been made to improve comparability between LULC maps
418 [39]. A LULC dataset is always a trade-off between the input data quality and
419 accessibility, requirements of end-users and the technological and financial means
420 available for development. Each of these datasets represents a valuable source of
421 spatially explicit information for calculating GHG emissions related to LULC change.
422 The current variability between LULC maps suggests that estimates should be used to
423 provide a range rather than a single value for GHG emissions.

424 **Conclusions & recommendations**

425 The need to quantify GHG emissions associated with LULC change is important for life
426 cycle assessments (LCA) of agricultural commodities and for providing evidence of
427 GHG reductions associated with zero net deforestation commitments. Without RS data,
428 such calculations would require detailed historical land records, and therefore these
429 datasets are valuable to estimate regional trends in LULC change and associated GHG
430 emissions. However, this study has shown the potential variability in estimates that can
431 be obtained through use of three open source RS datasets. These variabilities arise from
432 differences in EO input data, land classification methodologies, data resolution and
433 period of investigation. It is therefore advisable to compare different LULC datasets in
434 parallel and use the variability between GHG emission estimates as a confidence
435 interval, rather than a single value. Users should be aware of the potential for variability

436 in LULC estimates.

437 GHG emission maps, such as Figure 8, are useful visualisation tools that are not
438 commonly available and can provide spatial insight into LULC change and related
439 carbon emissions or sequestration. Current web-based platforms may show forest loss
440 or LULC for a given period, but, to our knowledge, do not yet provide maps showing
441 associated GHG emissions. Given the inconsistencies highlighted in this paper, there is
442 a need for further work to ensure the maps provide robust estimates of LULC change
443 and associated emissions.

444 The method described in this paper can be used to provide spatially-improved estimates
445 of LULC change and GHG emissions, particularly where the change occurs between
446 LULC types with significantly different carbon stock values, such as between primary
447 forest and plantation. For this to be most effective, there is a need for consensus
448 building and harmonisation on how to develop a consistent and robust approach to
449 assessing historic LULC change, to provide evidence for zero net deforestation
450 commitments, and refine GHG assessments.

451 We propose the following recommendations to improve LULC mapping for GHG
452 emission estimates for agricultural commodities:

453 Firstly, the LULC class definition should focus on LULC classes closely associated
454 with the main drivers of LULC change in the AOI. This should include at least the
455 following classes: primary & secondary forest, several types of plantation (where
456 applicable), bare land and cropland. Global LULC datasets often use class definitions
457 that are too broad or lack specific class distinctions important for GHG modelling.
458 Additionally, class definitions of different LULC data sets should be more comparable.
459 The FAO LCCS definitions are developed to be globally relevant and flexible enough to

460 suit most environments [55]. The CCI classes are based on LCCS, it could be useful for
461 other organisations involved in land cover mapping to adopt this system as well.

462 Secondly and ideally, maps should be updated at least every 2-3 years, and annual
463 updates would be preferable, to capture rapid changes, such as deforestation (fire or
464 logging), bare land, and plantation development.

465 Thirdly, to enable LULC change analysis over time, mapping methodology should
466 remain unchanged (a period of 20-25 years is required for LCA). If, for example, better
467 mapping algorithms are developed, such that the methodology can be improved
468 significantly, it would be preferable to reprocess the historic data to the new
469 methodology to maintain consistency.

470 Fourthly, optimal spatial resolution is dependent on the requirements of the user;
471 research on a provincial level can be done at lower spatial resolution than at smaller
472 scale, for example at plantation level. For studies related to a specific agri-food industry
473 it is often sufficient to focus on datasets with a spatial coverage of the main producing
474 areas.

475 Finally, metadata including quality and methodological information should be published
476 with the datasets.

477 When the three LULC datasets are compared against these recommendations, it is clear
478 there is currently room for improvement. Signs of improvements are visible, as the
479 recent reprocessing of the European Space Agency's CCI Land Cover initiative has
480 shown. As RS capabilities are advancing quickly and the importance of LULC change
481 analysis is becoming better recognised, this is an excellent time to address these
482 recommendations to make LULC data an even more valuable resource for

483 environmental monitoring.

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649

650 **Tables**

651 Table 1 – Geographical extent and area of peat soil cover [19] and PO concessions [25]
 652 of the study areas

Province	Total area (ha)	Peat soil area (ha)	Peat (% of total area)	PO concession area (ha)	PO concession (% of total area)	PO on peat soil (ha)	PO on peat (% of total concession area)
North Sumatra	7,243,839	347,925	4.8	132,538	1.8	61,203	46.2
Riau	8,995,724	4,004,336	44.5	2,117,307	23.5	819,769	38.7
Central Kalimantan	15,354,930	3,005,097	19.6	3,199,420	20.8	464,079	14.5

653

654 Table 2 – Overview of LULC datasets used in this research

Organisation	Acronym	Spatial resolution (m)	Spatial extent	Updates	URL data repository
European Space Agency (ESA) Climate Change Initiative Land Cover	CCI	300 x 300	Global	Annual between 1992-2015	http://maps.elie.ucl.ac.be/CCI/viewer/
Centre for Remote Imaging, Sensing and Processing, Singapore	CRISP	250 x 250	Southeast Asia	2000, 2010, 2015	https://ormt-crisp.nus.edu.sg/ormt/Home/Disclaimer
Indonesia Ministry of Forestry	MoF	30x30 (100 x 100 used for this research)	Indonesia	1990, 1996, 2000, 2003, 2006, 2009, 2011, 2012, 2013, 2015	http://www.greenpeace.org/seasia/id/Global/seasia/Indonesia/Code/Forest-Map/en/index.html

656 Table 3 – Main contributors to LULC change for largest observed MoF LULC changes
 657 for all AOIs.

AOI	Period	Total LULC change/yr (ha)	Largest LULC change/yr (ha)	From LULC class (t0) --> to LULC class (t1)	% of total
North Sumatra	2006-2009	638,860	407,018	Dry Rice Land Mixed w/Scrub --> Dry Rice Land	63.7
Riau	2012-2013	1,118,233	726,066	Scrubland --> Dry Rice Land Mixed w/Scrub	64.9
Central Kalimantan	2013-2015	1,237,019	274,672	Scrubland --> Dry Rice Land Mixed w/Scrub	22.2

658

659

660 Table 4 – GHG emissions for three AOIs for 2000 - 2010/11, with % of emissions from
 661 mineral/peat

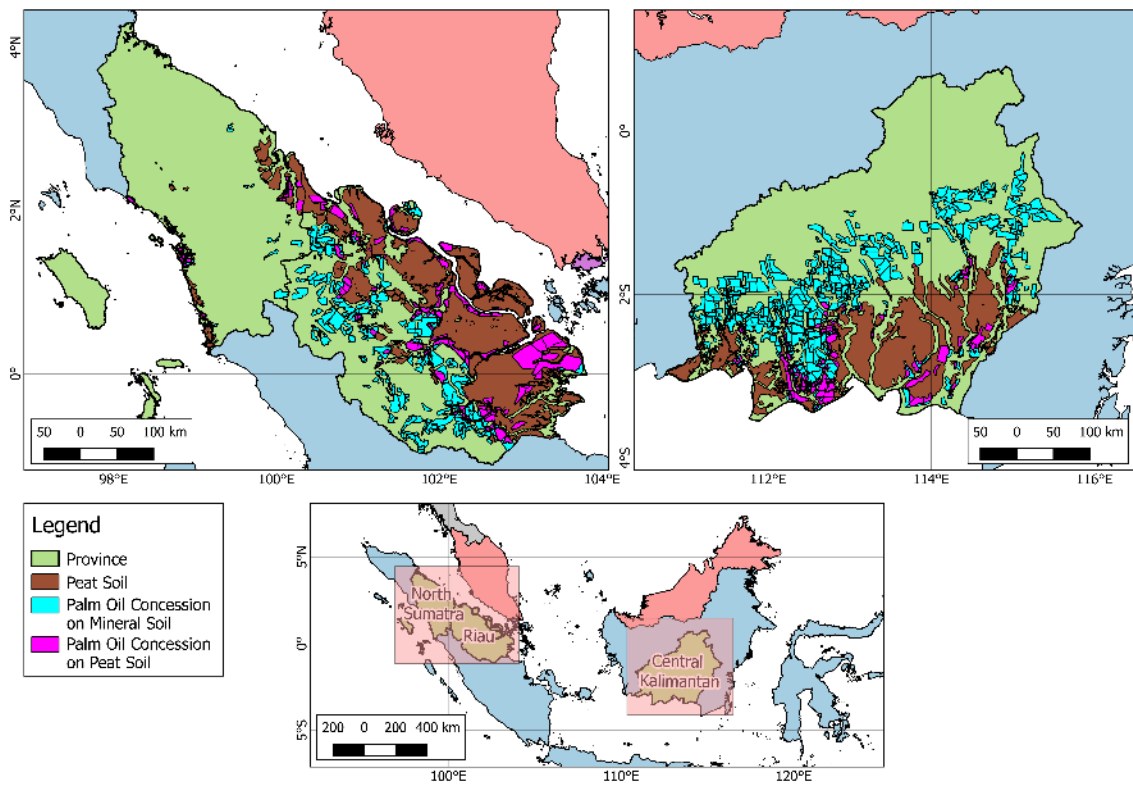
Emissions per year (Mg C yr⁻¹) and percent of total						
North Sumatra (4.8 % peat)						
	CCI (2000-2010)		CRISP (2000-2010)		MoF (2000-2011)	
Mineral	1,332,803	34.5	4,943,071	58.7	1,127,552	38.4
Peat	2,526,168	65.5	3,473,117	41.3	1,807,528	61.6
Total	3,858,971		8,416,188		2,935,080	
Riau (44.5 % peat)						
	CCI (2000-2010)		CRISP (2000-2010)		MoF (2000-2011)	
Mineral	9,533,167	33.2	11,784,303	28.7	4,812,749	17.2
Peat	19,174,983	66.8	29,246,758	71.3	23,105,593	82.8
Total	28,708,150		41,031,060		27,918,343	
Central Kalimantan (19.6 % peat)						
	CCI (2000-2010)		CRISP (2000-2010)		MoF (2000-2011)	
Mineral	6,699,626	62.3	14,791,021	55.9	8,275,277	61.7
Peat	4,055,275	37.7	11,693,997	44.2	5,142,602	38.3
Total	10,754,901		26,485,018		13,417,880	

663 Table 5 – GHG emissions for three AOIs for 2010/11 - 2015, with % of emissions from
 664 mineral/peat

Emissions per year (Mg C yr⁻¹) and percent of total				
North Sumatra (4.8 % peat)				
	CCI (2010-2015)		MoF (2011-2015)	
Mineral	491,500	47.8	3,450,122	80.3
Peat	536,465	52.2	845,314	19.7
Total	<i>1,027,966</i>		<i>4,295,435</i>	
Riau (44.5 % peat)				
	CCI (2010-2015)		MoF (2011-2015)	
Mineral	4,055,092	32.12	6,200,630	27.1
Peat	8,571,629	67.88	16,672,805	72.9
Total	<i>12,626,721</i>		<i>22,873,435</i>	
Central Kalimantan (19.6 % peat)				
	CCI (2010-2015)		MoF (2011-2015)	
Mineral	2,814,481	58.5	10,357,934	48.6
Peat	1,994,610	41.5	10,941,092	51.4
Total	<i>4,809,091</i>		<i>21,299,027</i>	

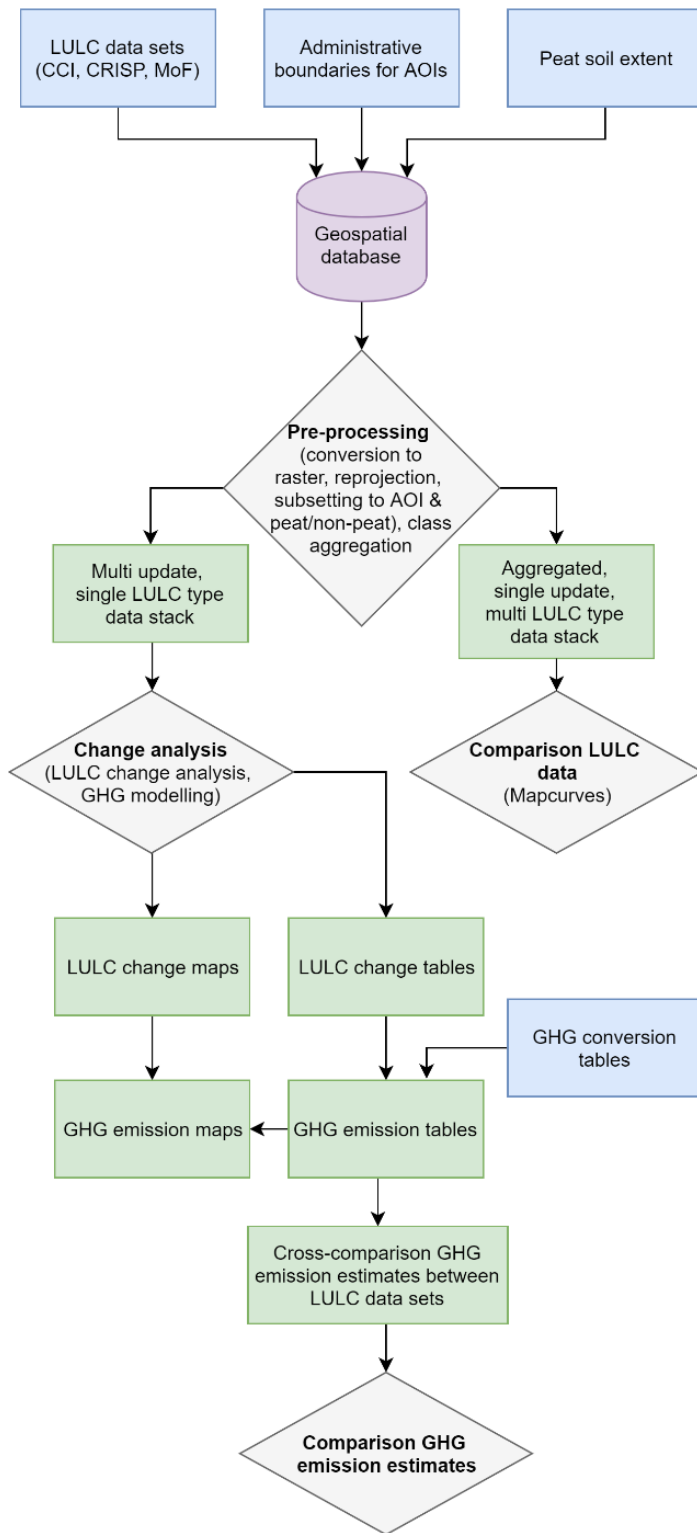
665

666 **Figures**



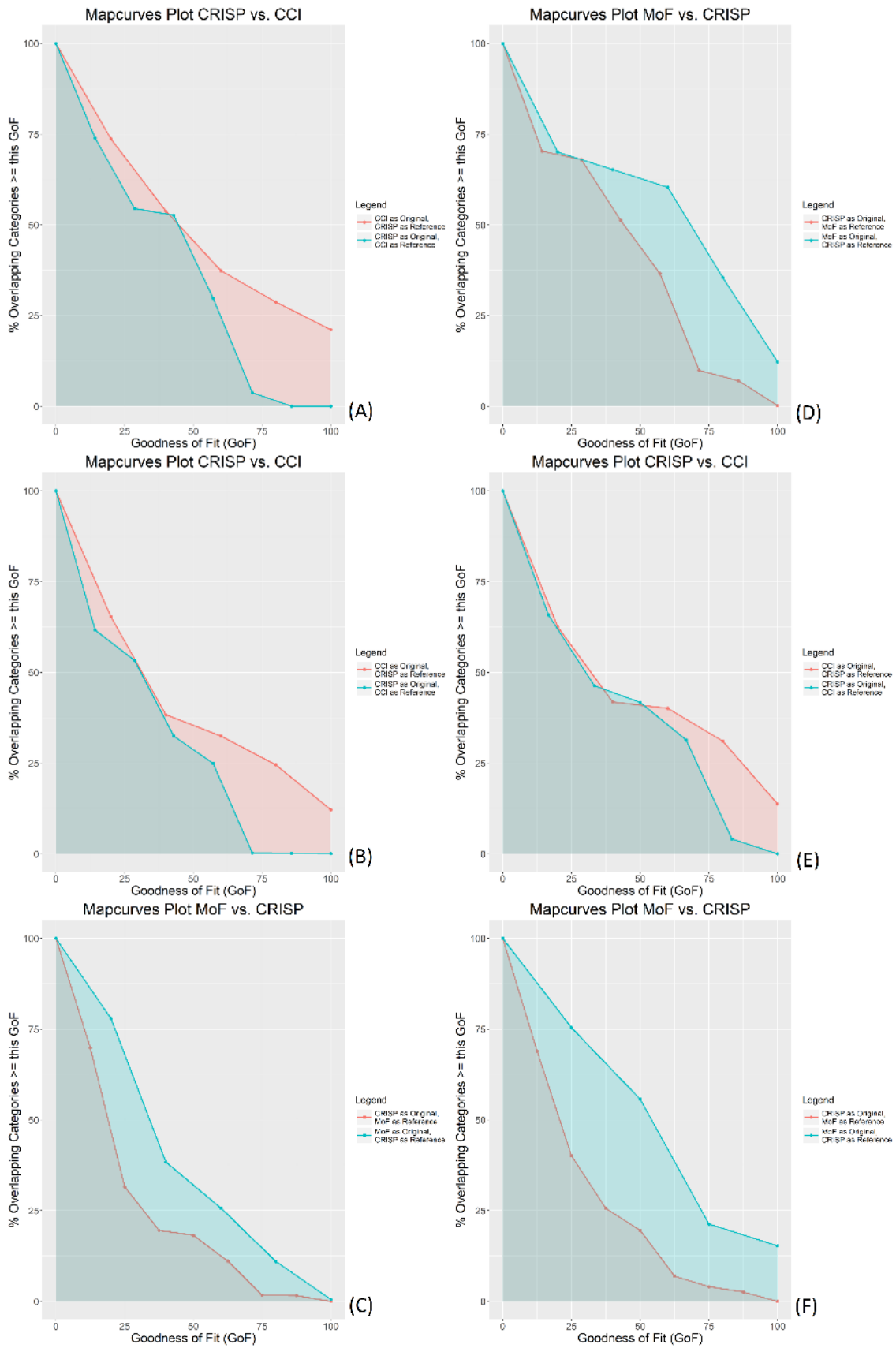
667

668 **Figure 1**



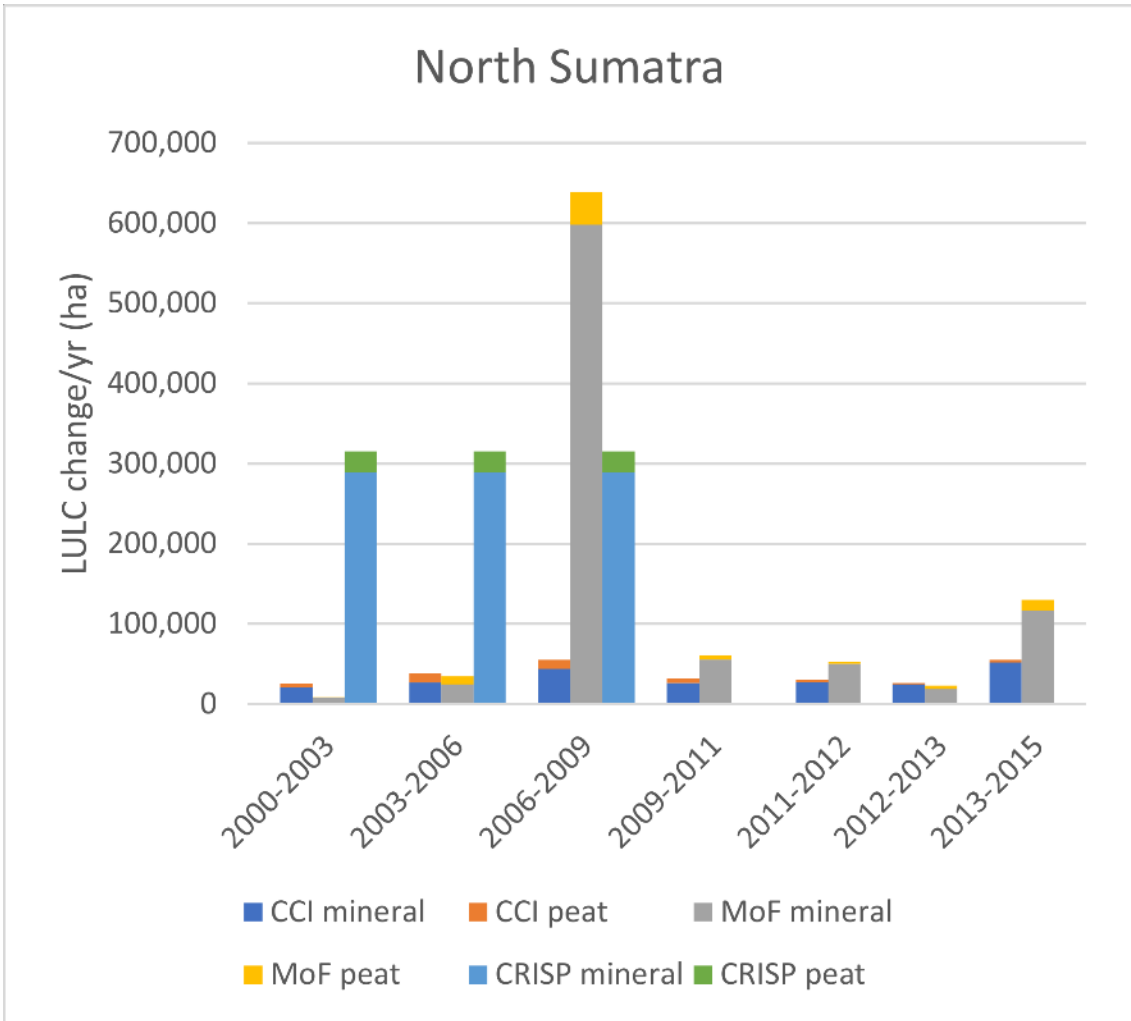
669

670 Figure 2



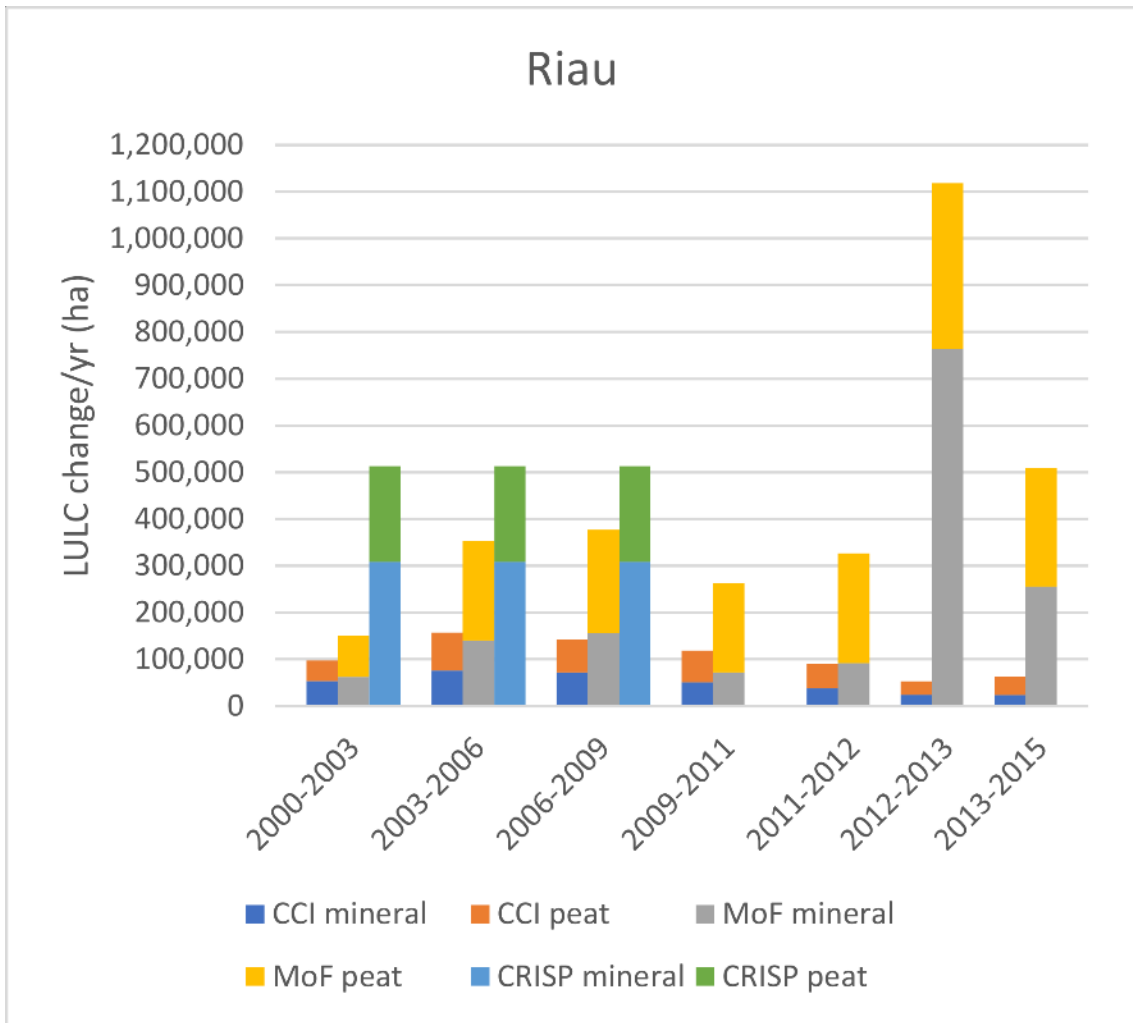
671

672 Figure 3



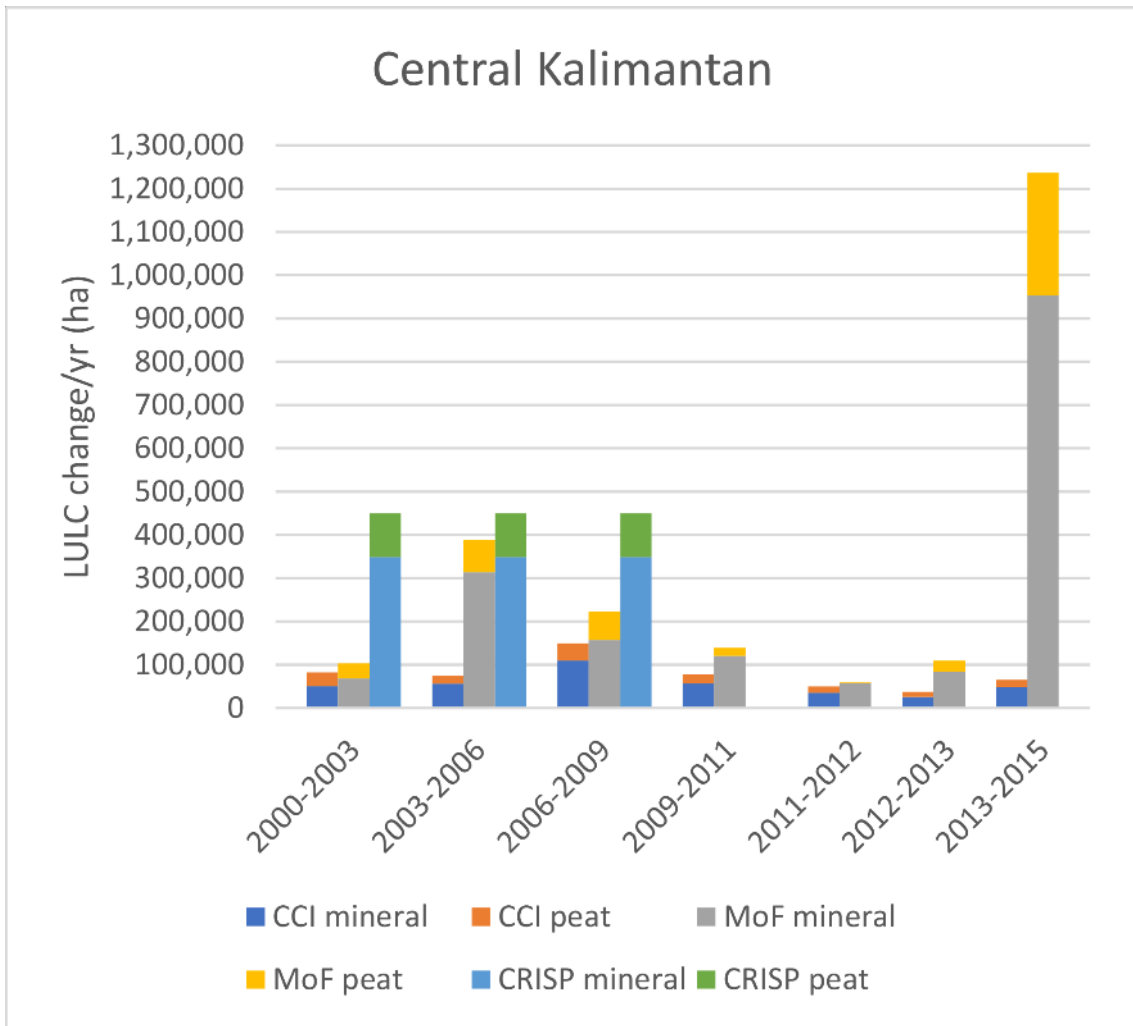
673

674 Figure 4



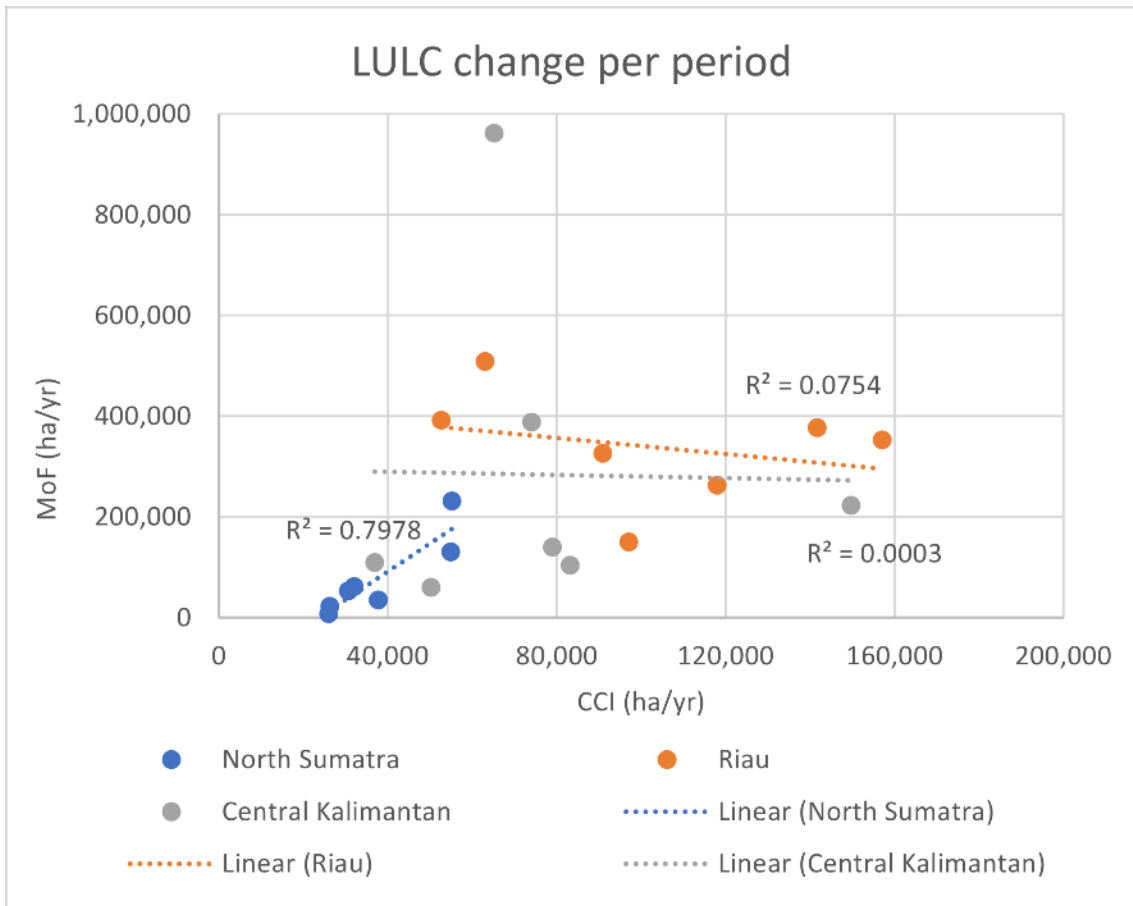
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676 Figure 5



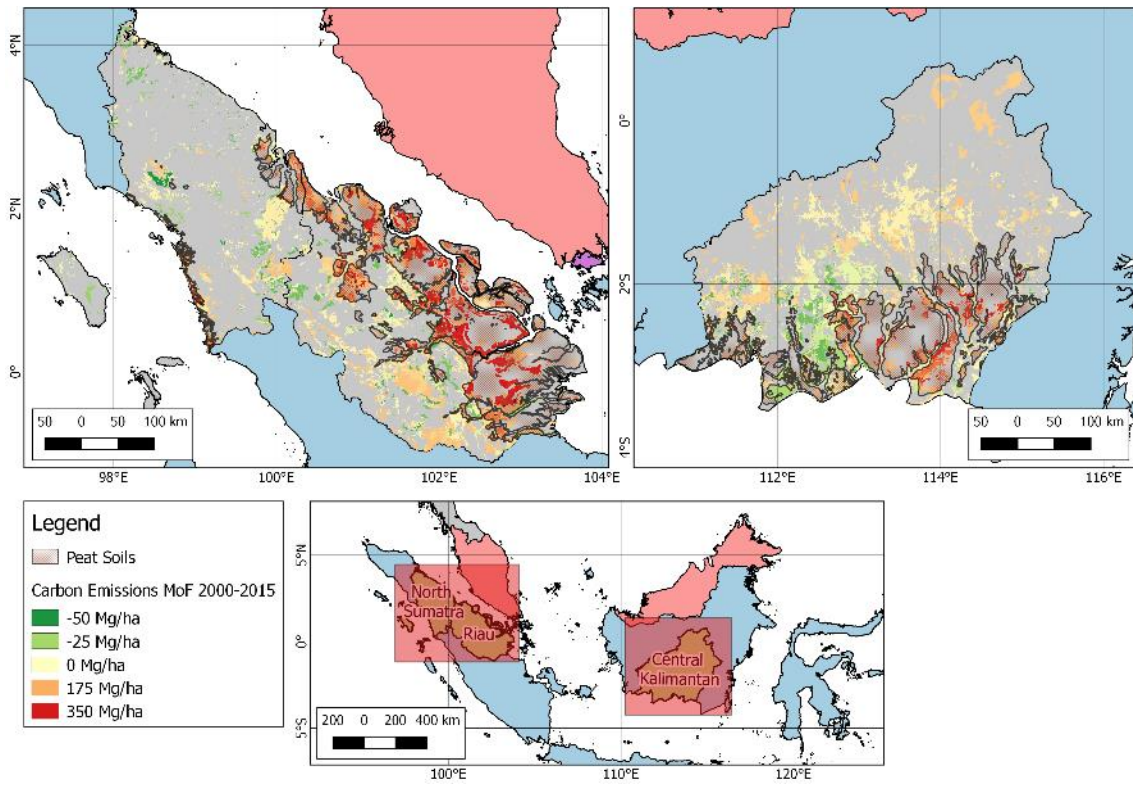
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678 Figure 6



679

680 Figure 7



681

682 Figure 8

683 ***Figure captions***

684 Figure 1 - The AOIs in Indonesia, with PO plantation concessions and peat soil areas
685 indicated.

686 Figure 2 - Data analysis workflow diagram

687 Figure 3 – Best fitting mapcurve plots for North Sumatra (3a and 3d), Riau (3b and 3e)
688 and Central Kalimantan (3c and 3f) for 2000 and 2015, respectively

689 Figure 4 - LULC change in North Sumatra between 2000 and 2015

690 Figure 5 - LULC change in Riau between 2000 and 2015

691 Figure 6 - LULC change in Central Kalimantan between 2000 and 2015

692 Figure 7 – Scatter plot LULC change estimates in all three AOIs in the period 2000-
693 2015 from CCI and MoF

694 Figure 8 - GHG emission map of AOIs, based on MoF data for the period 2000-2015