

The design of conservative finite element discretisations for the vectorial modified KdV equation

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An exponential model of urban geometry for use in radiative transfer applications

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Abstract In radiative transfer schemes for urban areas it is common to approximate 6 urban geometry by infinitely long streets of constant width, or other very idealized forms. For solar and thermal-infrared radiative transfer applications, we argue that 8 horizontal urban geometry is described uniquely by the probability distribution of 9 wall-to-wall separation distances. The analysis of building layout from contrasting 10 neighbourhoods in London and Los Angeles reveals this function to be well fitted by 11 an exponential distribution. Compared to the infinite-street model, this exponential 12 model of urban geometry is found to lead to a significantly more accurate description 13 of the rates of exchange of radiation between the sky, the walls and the streets of an 14 urban canopy. 15

¹⁶ **Keywords** Radiative transfer · Street canyon · Urban Meteorology

17 1 Introduction

18 With the increasing urbanization of the world's population (United Nations, 2015)

¹⁹ and the ever higher resolution of weather and climate models, there is a need to

 $_{\rm 20}$ $\,$ improve the fidelity with which urban areas are represented in such models. This is

 $_{\rm 21}$ $\,$ a pre-requisite for better prediction of the urban-heat-island effect and its impact on

 $_{\rm 22}$ $\,$ both city inhabitants at street level and the atmosphere downstream (e.g. Grimmond

et al., 2010). The complexity and variety of urban structure, with streets of different

²⁴ widths, intersections, parking areas and parks, presents a challenge for modelling

²⁵ both the exchange of solar and thermal-infrared radiation, and the turbulent transport

²⁶ of heat, momentum and pollutants. Inevitably the geometry must be simplified in

²⁷ order that processes can be represented efficiently, and the complexity needs to be

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commensurate with the small number of parameters that are typically available to
 describe variations in urban geometry within regional and global models.

In the case of urban radiation schemes, a common simplification is to consider 30 an infinitely long street of fixed width with random azimuthal orientation relative to 31 the sun (e.g. Masson, 2000; Harman et al., 2004; Li et al., 2016). In the horizon-32 tal plane, the geometry of this 'infinite-street model' can be described by just two 33 parameters: the fraction of built-up area occupied by buildings, λ_P , and the street 34 width, W. These are accompanied by the building height, H, which is typically as-35 sumed constant. From these parameters, several radiative exchange factors (called 36 shape factors by Harman et al., 2004) can be computed such as the fraction of direct 37 (i.e. unscattered) solar radiation that penetrates down to street level, and the fraction 38 of diffuse radiation emitted or scattered by the walls that then intercepts another wall. 39 Somewhat more sophisticated descriptions of horizontal urban geometry have been 40 proposed, such as a regular array of square-based blocks with intersections at regular 41 intervals (Kondo et al., 2005), but in the intercomparison of urban models by Grim-42 mond et al. (2010), only six of the 33 models described horizontal urban geometry 43 by anything more sophisticated than an infinite-street canyon. A number of models 44 now incorporate radiative interaction with buildings of different height (e.g. Martilli 45 et al., 2002; Schubert et al., 2012) and street trees (Krayenhoff et al., 2014; Redon 46 et al., 2017), but they are still typically underpinned by the infinite-street assumption. 47 48 Clearly there is a need to test and if necessary improve this assumption. In this paper an alternative 'exponential model' for characterizing horizontal ur-49 ban geometry is proposed and evaluated. It uses the same number of parameters as 50 the infinite-street model, yet has the potential to describe the much more complex 51 geometry of real cities. Section 2 demonstrates that for the purposes of radiation, hor-52 izontal building layout may be described uniquely by the probability distribution of 53 wall-to-wall separation distances, and it is shown how the radiative exchange factors 54 may be derived from this function. Section 3 describes how the infinite-street model 55 may be posed in terms of this probability distribution, and confirms that the resulting 56 formulas for the radiative exchange factors match those in the literature. Section 4 57 introduces the exponential model, and derives alternative formulas for these factors. 58 Then in Sect. 5, probability distributions are derived from real building distributions 59 in residential and commercial parts of London and Los Angeles, and used to evaluate 60 the accuracy of the infinite-street and exponential models in terms of how well they 61 predict the 'true' radiative exchange factors. It is important to stress that radiative 62 exchange factors provide a convenient way of evaluating the validity of the two as-63 sumptions for radiative transfer, but do not themselves represent the important effects 64 of street trees, buildings of different heights, or absorption by air in the urban canopy. 65 In Sect. 6 we discuss how the exponential model could be incorporated into more 66

⁶⁷ sophisticated schemes that do capture these effects.

68 2 Urban geometry in terms of probability distributions

⁶⁹ We here consider how best to describe the horizontal distribution of buildings, so

⁷⁰ for simplicity we assume that all buildings are the same height (H) with flat roofs



Fig. 1 Plan view of a small section of an urban canopy illustrating the definitions of the probability distributions p_{ww} and p_{gw} . (a) The red lines depict wall-to-wall distances *x* originating from a small vertical strip of wall (in blue); the probability distribution of *x* from all such strips is denoted $p_{ww}(x)$. The thickness of each line is proportional to the angle subtended by the strip in that particular direction. (b) The green lines depict the ground-to-wall distances *x* from a small facet of the ground (depicted by the blue square); the probability distribution of *x* from all such facets is denoted $p_{gw}(x)$. (c) Illustration of the property that a single wall-to-wall distance x' (the red line) is associated with ground-to-wall distances *x* in the range 0 < x < x' (shown by the four green lines), leading to the relationship between the two probability distributions given by (1). In each panel the buildings are shown in light grey and the ground in black.

⁷¹ and vertical walls. Consider diffuse radiation emitted or scattered from a thin verti-

r2 cal strip of wall in a particular azimuthal direction. Since radiation travels in straight

⁷³ lines, the probability of it being intercepted by another wall, rather than escaping to

⁷⁴ the atmosphere above or striking the ground, is a function of the distance between

⁷⁵ the two walls and their height. To determine the fraction of diffuse radiation emit-

ted isotropically from all the walls in the neighbourhood that intercept another wall,

⁷⁷ we need to consider $p_{ww}(x)$, the probability distribution of wall-to-wall horizontal

responsible as separation distances, x, considering all possible azimuth angles. Thus, a pedestrian walking away from a randomly selected point on a wall in a random direction has a

probability $p_{WW}(x)dx$ of encountering another wall after walking a distance between

x and x + dx. This is illustrated in Fig. 1a, where the variable thickness of the red lines

⁸² highlights that the probability of radiation being emitted or scattered from the strip in

a particular azimuthal direction ϕ varies as the cosine of the angle between ϕ and the

84 wall normal.

For computing radiative exchanges between the ground (or street) and the walls, we need instead $p_{gw}(x)$, the probability distribution of ground-to-wall horizontal distances within the urban canopy at all possible azimuth angles. In this case, a pedestrian walking in a random direction from a randomly selected point at ground level has a probability $p_{gw}(x)dx$ of encountering a wall after walking a distance between xand x + dx, as illustrated in Fig. 1b.

There is a unique relationship between p_{ww} and p_{gw} , since as shown in Fig. 1c, any single wall-to-wall distance x' can be split into many ground-to-wall distances x, where x < x'. Therefore, the probability density $p_{gw}(x)$ of a particular ground-to-wall ⁹⁴ distance *x* is proportional to the probability of x' > x:

$$p'_{gw}(x) = \int_x^\infty p_{ww}(x') \mathrm{d}x', \qquad (1a)$$

$$p_{gw}(x) = p'_{gw}(x) \Big/ \int_0^\infty p'_{gw}(x) \mathrm{d}x,$$
 (1b)

where (1b) normalizes the 'raw' distribution p'_{gw} such that the normalized distribution p_{gw} integrates to unity.

From these two probability distributions, and assuming a vacuum, we may com-97 pute radiative exchange factors, F_{ij} , which denote the fraction of radiation originat-98 ing from source i that illuminates destination j, where we assign the ground, wall 99 and 'sky' facets the subscripts g, w and s, respectively. We add an additional possible 100 source subscript '0' denoting direct solar radiation from the sky facet, whereas all 101 other sources are diffuse. Some authors (e.g. Masson, 2000; Li et al., 2016) refer to 102 F_{ij} as 'sky view factors', but we avoid this term as it is more commonly used in the 103 literature to refer to the sky fraction viewed by an observer at a specific point on a 104 facet (e.g. Johnson and Watson, 1984), rather than integrated over all points on a facet 105 as signified by F_{ii} . All the equations for the F_{ii} exchange factors that follow involve 106 integration over one of the two probability distributions above, and may be applied 107 either analytically to parametric models for the probability distributions (as in Sects. 108 3 and 4), or numerically to probability distributions derived from real building layouts 109 (as in Sect. 5). 110

111 Consider first direct solar radiation, which travels horizontally a distance x_0 be-

tween the top and bottom of the urban canopy given by

$$x_0 = H \tan \theta_0, \tag{2}$$

where θ_0 is the solar zenith angle. This means that direct radiation entering the top of

the canopy at a particular point only penetrates to ground level if the nearest wall in

the azimuthal direction of the radiation is at least a distance x_0 away. Therefore, the

fraction F_{0g} of direct radiation just below canopy top that penetrates down to ground

117 level without being intercepted by a wall is

$$F_{0g} = \int_{x_0}^{\infty} p_{gw}(x) \mathrm{d}x. \tag{3}$$

Any direct radiation just below canopy top that does not reach the ground must be intercepted by a wall, so $F_{0w} = 1 - F_{0g}$.

The fraction of diffuse radiation emitted or scattered from ground level that is intercepted by a wall is

$$F_{gw} = \int_0^\infty p_{gw}(x) f_{gw}(H/x) \mathrm{d}x, \qquad (4)$$

where $f_{gw}(H/x)$ is the fraction of diffuse radiation emitted from a small horizontal area at ground level into the quadrant towards a wall of height *H* a distance *x* away, which is intercepted by the wall. To derive an expression for f_{gw} , consider the beam of radiation emitted from point *A* in Fig. 2a that intercepts the wall at point



Fig. 2 Schematic of thin slices through an urban area illustrating the geometry used in Sect. 2 to compute the fraction of diffuse radiation emitted or scattered from (a) the ground and (b) a wall, which subsequently intercepts a wall. If the wall at *B* has an azimuthal orientation such that the light beam strikes it at an oblique azimuthal angle, then note that elemental length dy is the horizontal width of the beam, not the horizontal length of the wall at *B* that is illuminated by the beam (which could be larger).

- ¹²⁶ *B*. If the emission is isotropic then the radiative power in this infinitesimally nar-¹²⁷ row beam is proportional to the solid angle $d\lambda d\theta$, multiplied by $\cos \theta$ to account ¹²⁸ for the dependence on θ of the angle subtended by the small horizontal area at *A* to ¹²⁹ an observer at *B*. From geometry we have $d\lambda = \sin \theta dy/x$, so the radiative power is
- proportional to $\sin\theta\cos\theta \,\mathrm{d}\theta \,\mathrm{d}y/x$. The fraction of radiative power emitted into the
- quadrant $0 < \theta < \pi/2$ that intercepts the wall is therefore given by

$$f_{gw}(H/x) = \frac{\int_{\theta_c}^{\pi/2} \sin\theta\cos\theta \,\mathrm{d}\theta}{\int_0^{\pi/2} \sin\theta\cos\theta \,\mathrm{d}\theta},\tag{5}$$

where the dy/x term is not a function of
$$\theta$$
 so cancels between numerator and denom-
inator. The critical zenith angle beyond which the beam starts to intersect the building

is $\theta_c = \tan^{-1}(H/x)$, so (5) simplifies to

$$f_{gw}(H/x) = \frac{1}{1 + (x/H)^2}.$$
(6)

The fraction of diffuse radiation emanating from the ground that escapes to the sky is simply the fraction not intercepted by the walls, so we can write $F_{gs} = 1 - F_{gw}$, or equivalently

$$F_{gs} = \int_0^\infty p_{gw}(x) f_{gs}(H/x) \mathrm{d}x,\tag{7}$$

138 where

$$f_{gs}(H/x) = 1 - f_{gw} = \frac{1}{1 + (H/x)^2}.$$
 (8)

¹³⁹ Moreover, the symmetry of the problem with respect to the sky and the ground im-¹⁴⁰ plies that for diffuse radiation emanating from the sky we can write $F_{sg} = F_{gs}$ and ¹⁴¹ $F_{sw} = F_{gw}$. The fraction of diffuse radiation emitted or scattered from a wall that then encounters another wall is a function of the wall-to-wall probability distribution,

$$F_{ww} = \int_0^\infty p_{ww}(x) f_{ww}(H/x) \mathrm{d}x,\tag{9}$$

where $f_{WW}(H/x)$ is the fraction of diffuse radiation emitted from a small width of 144 wall (but all heights up the wall) that intercepts another wall at distance x given that 145 the buildings are of height H. This calculation is more involved as we need to inte-146 grate over all emission heights. We define $g_{WW}(z/x)$ as the fraction of diffuse radiation 147 emitted into the downward quadrant from a small area of wall at height z that inter-148 cepts the other wall at distance x, rather than the ground. Consider the infinitesimally 149 narrow beam of radiation emitted from point A in Fig. 2b that arrives at point B. The 150 radiative power in the beam is again proportional to $\cos\theta \,d\lambda \,d\theta$, where θ is now the 151 angle relative a horizontal line emanating from the wall in the direction of B (not 152 necessarily the normal to the wall since the wall elements at A and B need not be 153 azimuthally parallel to each other). This time $d\lambda = \cos\theta dy/x$, so the radiative power 154 is proportional to $\cos^2 \theta \, d\theta \, dy/x$, leading to 155

$$g_{ww}(z/x) = \frac{\int_0^{\theta_c} \cos^2 \theta \,\mathrm{d}\theta}{\int_0^{\pi/2} \cos^2 \theta \,\mathrm{d}\theta} = \frac{2}{\pi} \left[\tan^{-1} \frac{z}{x} + \left(2 + \frac{z^2}{x^2} + \frac{x^2}{z^2} \right)^{-1/2} \right], \quad (10)$$

where the critical angle is $\theta_c = \tan^{-1}(z/x)$. Integrating g_{WW} over all heights up the wall yields

$$f_{ww} = \frac{1}{H} \int_0^H g_{ww} \, \mathrm{d}z = \frac{2}{\pi} \tan^{-1} \frac{H}{x}.$$
 (11)

¹⁵⁸ Note that here we have considered only radiation emitted into the downward quadrant ¹⁵⁹ ($0 < \theta < \pi/2$ in Fig. 2b), but the symmetry of the problem means that the fraction ¹⁶⁰ of diffuse radiation emitted from a wall into the equivalent upward quadrant that ¹⁶¹ intercepts another wall is the same, so (11) is valid for radiation emitted into either ¹⁶² quadrant.

In assessing different models for urban geometry, we shall use the equations in this section to evaluate how well the models predict the exchange factors F_{0g} , F_{gs} and F_{ww} . The other exchange factors are unique functions of these three; we have already seen that $F_{0w} = 1 - F_{0g}$, $F_{gw} = 1 - F_{gs}$, $F_{sg} = F_{gs}$ and $F_{sw} = F_{gw}$. Furthermore, the diffuse radiation emanating from a wall that does not hit another wall must be evenly divided between the sky and the ground, so $F_{wg} = F_{ws} = (1 - F_{ww})/2$.

3 The infinite street canyon model

¹⁷⁰ To demonstrate how the general approach in terms of probability distributions may be

applied to a specific geometry, we consider the case of infinitely long street canyons

 $_{172}$ of width W, a common assumption as discussed in Sect. 1. The wall-to-wall distance

¹⁷³ in the horizontal plane is then given by

$$x = W/\cos\phi,\tag{12}$$

where ϕ is the azimuthal direction from the wall normal such that $\phi = 0$ is the direction of shortest distance across the street, and $\phi = \pi/2$ is directed along the street. If the fraction of the urban area occupied by buildings is λ_p then the distance between adjacent streets in direction ϕ is $S = W/[(1 - \lambda_p)\cos\phi]$. The probability of wall-towall separation distances lying in the range *x* to *x* + d*x* is then equal to the probability

of azimuthal angles lying in the range ϕ to $\phi + d\phi$, i.e.

$$p_{ww}(x)dx = p(\phi)d\phi.$$
(13)

Each azimuthal street orientation is equally likely, implying that $p(\phi)$ should be constant, but from the definition of *S* we see that the distance between streets in direction ϕ is proportional to $1/\cos\phi$, implying that the probability density of streets in direction ϕ is actually $p(\phi) = \cos\phi$. Differentiating (12) and substituting into (13) yields $p_{WW} = \cos^3 \phi / (W \sin \phi)$. Using (12) to express this in terms of *W* and *x*, and recognizing that this expression is only valid for distances larger than the street width, yields

$$p_{ww}(x,W) = \begin{cases} 0: & x \le W, \\ \frac{W^2}{x^2} \left(x^2 - W^2\right)^{-1/2}: x > W. \end{cases}$$
(14)

The probability distribution of ground-to-wall distances is found by applying (1) to(14), to obtain

$$p_{gw}(x,W) = \frac{2}{\pi W} \left(1 - \sqrt{1 - \frac{\min(W, x)^2}{x^2}} \right).$$
(15)

The radiative exchange factors may now be derived. Applying (3) to (15) we obtain

$$F_{0g} = \frac{2}{\pi} \left[\frac{Y - x_0}{W} + \tan^{-1} \frac{W}{Y} \right],$$
 (16)

where $Y = \max(x_0^2 - W^2, 0)^{1/2}$. This is mathematically equivalent to Eq. 13 of Masson (2000). Similarly we apply (7) and (8) to (15), and (9) and (11) to (14), to obtain

193 (after considerable manipulation)

$$F_{gs} = \sqrt{\frac{H^2}{W^2} + 1} - \frac{H}{W};$$
 (17)

$$F_{ww} = \sqrt{\frac{W^2}{H^2} + 1} - \frac{W}{H},$$
(18)

which match the relations found previously (e.g. Sparrow and Cess, 1970; Noilhan,

¹⁹⁵ 1981; Masson, 2000; Harman et al., 2004).

4 The exponential model

In this section an alternative model for horizontal urban geometry is proposed in
 which the two probability distributions are assumed to follow an exponential distri bution,

$$p_{ww}(x) = p_{gw}(x) = \exp(-x/X)/X,$$
 (19)

which satisfies the relationship between the two distributions given by (1). This distri-200 bution was assumed for the separation of trees in the forest radiative-transfer scheme 201 of Hogan et al. (2018). The validity of the exponential model for urban areas is evalu-202 ated using real building layouts in the next section. As with the infinite-street model, 203 only one parameter is used to characterize the distribution, in this case the 'e-folding' 204 building separation X. Since X is also the mean value of the exponential distribution, 205 it can be interpreted physically as the mean wall-to-wall distance considering all di-206 rections (i.e. the mean length of the red lines in Fig. 1a) or the mean ground-to-wall 207 distance (i.e. the mean length of the green lines in Fig. 1b). However, when fitting 208 an exponential distribution to the geometry of real cities, the method described in 209 Sect. 5 should be used rather than simply setting X to the observed mean wall-to-wall 210 separation distance. 211

The radiative exchange factors may again be derived by applying the integrals in Sect. 2. The penetration of direct radiation to ground level also has an exponential form,

$$F_{0g} = \exp(-x_0/X),$$
 (20)

where x_0 is given by (2). This is essentially the Beer-Lambert law, and indicates that the penetration of direct radiation through an urban scene obeying the exponential model is the same as the penetration of direct radiation through a turbid medium with an extinction coefficient that does not vary with height.

²¹⁹ The radiative exchange factors for diffuse radiation have a more complex form,

$$F_{gs} = 1 + \zeta \left[\cos \zeta \left(\operatorname{Si} \zeta - \frac{\pi}{2} \right) - \sin \zeta \operatorname{Ci} \zeta \right];$$
⁽²¹⁾

$$F_{ww} = 1 + \frac{2}{\pi} \left[\cos \zeta \left(\operatorname{Si} \zeta - \frac{\pi}{2} \right) - \sin \zeta \operatorname{Ci} \zeta \right] = 1 + \frac{2}{\pi \zeta} \left(F_{gs} - 1 \right), \quad (22)$$

where $\zeta = H/X$, Si(·) is the sine integral and Ci(·) is the cosine integral. In an operational model, these exchange factors could be implemented efficiently as onedimensional look-up tables or Padé approximants.

Figure 3 compares the radiative exchange factors between the infinite-street model and the exponential model, as a function of the ratio of total wall area A_w to total ground area A_g . In the case of the infinite street, the ratio is

$$A_w/A_g = 2H/W,\tag{23}$$

since there are two walls for every street. For the exponential model, we apply energy

conservation principles: if each surface of the urban area is at the same temperature(including the sky) and has an emissivity of unity then the energy emitted from a

²²⁹ surface equals the energy received. For the walls this leads to

$$A_w B = 2A_g F_{gw} B + A_w F_{ww} B, \qquad (24)$$



Fig. 3 Comparison of radiative exchange factors between the infinite-street model and the exponential model. The wall/ground area ratio, A_w/A_g , is defined in terms of the parameters of the two models by (23) and (25), and varies in the range 0.26–1.4 for the scenes analyzed in Sect. 5. Panel b shows F_{0g} for the three different solar zenith angles indicated in the legend.

where *B* is the power emitted per unit area (in W m⁻²), the term on the left-hand side is the total power emitted from the walls, the first term on the right is the power received at the walls from the ground and sky (which is the same) and the second term on the right is the power received from other walls. Combining with (22), and noting again that $F_{gw} = 1 - F_{gs}$, we obtain

$$A_w/A_g = \pi H/X. \tag{25}$$

Equations 23 and 25 enable the two models to be plotted on the same axes in Fig. 3. These equations imply that the parameters *W* and *X* could be fitted to real cities from

measurements of A_w/A_g , but in practice the wall area A_w is a somewhat ill-defined

quantity in that it depends on the resolution of the measurements, and some buildings

239 have fine-scale details that are not important for radiative exchange. Therefore we

prefer the approach taken below, where W and X are fitted such that one of the radiative exchange factors is predicted exactly, and the validity of the model is assessed

²⁴² by how well the other factors are predicted.

243 **5** Analysis of real cities

Here, the wall-to-wall and ground-to-wall probability distribution functions are com-244 puted for real cities, from which the radiative exchange factors are calculated numer-245 ically. This enables us to evaluate the different approximations to urban geometry 246 described in Sects. 3 and 4. Building outlines and heights have been obtained for 247 two cities, London and Los Angeles, and Fig. 4 depicts four 3 km×3 km scenes 248 in which the buildings have been rendered on grid with a horizontal resolution of 249 $\Delta x = 2$ m. The scenes have been chosen to be very contrasting: the streets in Cen-250 tral London have an irregular layout and a range of different widths, the Residential 251 London scene consists of a patchwork of rows of terraced housing, Downtown Los 252

Angeles consists of a grid layout with large buildings in each block, and the Residen-253 tial Los Angeles scene consists of a grid layout but with many small detached houses 254 in each block. In the case of Central London, the location of the River Thames has 255 been added manually using Google Maps imagery. The choice of 3×3 km domains 256 is a compromise between the need for a scene to be large enough to sample streets of 257 different orientation and to minimize sampling noise in the probability distributions, 258 but small enough that the 'character' of the building layout is similar everywhere in 259 a scene. The datasets do not contain information about the location of trees, which 260 are known to be important for urban radiative transfer (Grimmond et al., 2010), but 261 in Sect. 6 we discuss how our results could be incorporated into a more sophisticated 262 urban radiation scheme that includes urban vegetation. 263

Before analyzing the building spacings, a question arises as to how to treat large open areas such as rivers and parks. Most global weather and climate models treat 265 each gridbox of the surface by a number of tiles of different types, including open 266 water, grassland and forest, in addition to urban. When green areas are small, such 267 as gardens and small parks, their associated radiative and turbulent fluxes are sig-268 nificantly affected by nearby buildings and they are best treated as part of the urban 269 tile. When they are large and most of their area is a long distance from the nearest 270 building, it is more appropriate to treat them as a separate tile. However, there is no 271 consensus on the size of the green space at which the transition should take place. We 272 do not attempt to answer this question in this paper, but rather examine its effect on 273 the probability distributions. 274

Contiguous regions of the domain that are at least 0.5 hectares in area and at 275 least 20 m from the nearest building or river pixel have been identified automatically. 276 Google Maps was then used to manually determine whether each such region is a 277 parking area or plaza, a park, or a built-up surface not frequented by pedestrians 278 (such as a railway or major highway). Parking areas and plazas are assigned to the 279 same category as streets, while the other two are treated separately as shown in Fig. 4. 280 The rationale of keeping major highways separate is that one of the main purposes of 281 an urban model is to predict the conditions experienced by pedestrians at street level, 282 but the impact of this decision is investigated below. The first three rows of Table 1 283 list some basic properties of the four scenes. 284

Each gridded scene has been analyzed in four azimuthal directions, as illustrated 285 in Fig. 5. Considering first the north-south and east-west directions in Figs. 5a and 286 5b, the scene is analyzed in one-dimensional strips of width Δx , and in each strip the 287 transitions from building-to-street and street-to-building are identified. From these 288 the contiguous spans of the street category are identified, shown by the red lines. 289 Note that in the first analysis any spans that include rivers, parks, railways or major 290 highways are excluded, but in the second analysis towards the end of this section only 291 those including rivers are excluded. Thus we may build up the probability distribution 292 of wall-to-wall separation distances, p_{ww} , at the resolution of the grid (in this case 293 2 m). A similar analysis of the diagonal strips (Figs. 5c and 5d) produces a probability 294 distribution with a grid spacing $\sqrt{2}$ times larger. This is interpolated back on to the 295 2-m grid and averaged with the first p_{ww} estimate, using a weighting that accounts 296 for the fact that each diagonal strip is a factor of $\sqrt{2}$ times narrower. The probability 297 distribution of ground-to-wall separation distances, p_{gw} , is computed by applying (1) 298



Fig. 4 Building layouts for four contrasting neighbourhoods of London and Los Angeles. The axes in the top two panels are indicated relative to a point $51.45^{\circ}N$, $0^{\circ}E$. The axes in the bottom two panels are indicated relative to a point $34^{\circ}N$, $118.25^{\circ}W$. Panel b shows the Palmers Green area of north London, while Panel d shows the Panorama City area of Los Angeles.

²⁹⁹ numerically to p_{WW} . A small fraction of the street pixels in the scene, particularly ³⁰⁰ in the corners and at the borders of parks, are not sampled by this analysis in any ³⁰¹ of the four directions due to them not lying between two buildings in the directions ³⁰² considered; these are shown in dark grey in Fig. 4.

Care should be taken in applying the strip method of Fig. 5 to parts of several North American cities if all the streets are preferentially aligned along two of the strip

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Table 1 Numerical properties of the four scenes depicted in Fig. 4. 'Urban fraction' is the fraction of the domain occupied by streets, plazas, parking areas, gardens or buildings, and 'building fraction' is the fraction of this urban area that is occupied by buildings. The street width (*W*) of the infinite-street model and the e-folding separation (*X*) of the exponential model have each been fitted to ensure that these models predict the ground-to-sky factor (F_{gs}) exactly. Therefore, the errors presented in the table are only for the predicted wall-to-wall factor (F_{ww}).

	Central	Residential	Downtown	Residential
Property	London	London	Los Angeles	Los Angeles
Mean building height H (m)	17.0	6.6	19.7	4.8
Urban fraction	0.88	0.83	0.94	0.97
Building fraction λ_p	0.47	0.20	0.43	0.25
Diffuse ground-to-sky factor F_{gs}	0.60	0.84	0.66	0.88
Diffuse wall-to-wall factor F_{WW}	0.39	0.16	0.37	0.15
Fitted street width W (m)	32.0	38.8	46.4	36.0
Fitted e-folding separation X (m)	38.2	52.8	56.9	50.1
Error in F_{WW} from infinite-street model	-36%	-48%	-45%	-55%
Error in F_{WW} from exponential model	+10%	+27%	+3%	+18%



Fig. 5 Illustration of how the wall-to-wall probability distribution, $p_{ww}(x)$, is computed numerically from a digitized building layout, in this case considering an 80×80-m subset of Fig. 4a at a resolution of 2 m. The scene is analyzed in four directions: (a) north-south, (b) east–west, (c) northeast–southwest and (d) northwest–southeast, and $p_{ww}(x)$ is constructed from the valid wall-to-wall distances *x* depicted by the red lines in each panel. The dark grey triangles in panels c and d are excluded from consideration since they are too small to contain the larger *x* values so could skew the distribution towards small *x*.

³⁰⁵ directions. One approach to mitigate potential biases would be to rotate the building

³⁰⁶ polygon data by several different angles before discretizing to a grid and performing

the strip analysis. There is some preference for northwest–southeast and northeast–

southwest street orientation in the Residential Los Angeles scene (Fig. 4d), but we
 find below that the results for this scene are very similar to those from the Residential
 London scene (Fig. 4b), which has a much more random street orientation.

The black lines in Figs. 6a–6h depict the probability distributions derived from the four scenes. From these the various radiative exchange factors have been calculated numerically. The black lines in Fig. 6i–6k depict F_{0g} as a function of $\cos \theta_0$, while the diffuse factors F_{gs} and F_{WW} are shown in Table 1. Building height appears to be the dominant factor controlling radiative exchange, with the two downtown scenes having much lower penetrations of direct and diffuse radiation between sky and ground than the two residential areas.

We next investigate how well these distributions are fitted by the infinite-street and exponential models. The question arises of how best to fit the characteristic lengths



Fig. 6 (a–d) In black, the wall-to-wall probability distributions, p_{ww} , derived from the locations of the 'street, plaza, parking area or garden' category for the four scenes shown in Fig. 4. In red and blue, the fitted infinite-street and exponential models. (e–h) The corresponding ground-to-wall probability distributions, p_{gw} . (i–l) The corresponding direct penetration fraction F_{0g} as a function of the cosine of solar zenith angle.

for the two models, W and X. We have chosen to select these lengths such that the 320 diffuse ground-to-sky exchange factor, F_{gs} , is predicted exactly. This is achieved by 321 numerically inverting (17) and (21) to obtain the values of W and X from the observed 322 values of F_{gs} and H; the values obtained by this method are shown in Table 1. The 323 associated analytical probability distributions for the two models (Eqs. 14, 15 and 19) 324 are shown by the red and blue lines in Figs. 6a–6h. For all scenes, and for both p_{ww} 325 and p_{gw} , the exponential distribution fits much better than the infinite-street model 326 327 for building separations between 0 and at least 200 m. The infinite street is a particularly poor fit for $p_{WW}(x)$, predicting $p_{WW} = 0$ for x < W, a delta function at x = W, 328 and an underestimation by around a factor of two at $x \approx 200$ m. For larger building 329 separations there is more variability between scenes, but arguably the infinite-street 330 model fits a little better. 331

The red and blue lines in Figs. 6i–6l depict the predicted direct sky-to-ground exchange factor, F_{0g} , revealing that the exponential model provides a better match to the values calculated from the real building distributions for all solar zenith angles.



Fig. 7 Relationship between the diffuse wall-to-wall exchange factor F_{ww} and ground-to-sky exchange factor F_{gs} for the two analytic models (red and blue lines) and the four scenes depicted in Fig. 4 (black symbols). The green symbols depict the results from an alternative analysis of the four scenes in which parks, railways and major highways are added to the 'street' category.

This is because the probability distribution of building separations in the 0–200 m range, where the exponential model performs best, is more important for radiative exchange than larger building separations; indeed, only 1.0–3.9% of p_{ww} and 1.6– 6.6% of p_{gw} is contained in building separations greater than 200 m.

In the case of diffuse exchange factors, the two models have already been fitted to 339 ensure that F_{gs} is predicted exactly, but F_{ww} provides an independent point of evalua-340 tion. The lowest two rows of Table 1 show that the infinite-street model underpredicts 341 F_{WW} by on average 46%, whereas the exponential model tends to overpredict F_{WW} but 342 by only 15% on average. This is analyzed in more detail in Fig. 7, which depicts 343 the unique relationships between F_{gs} and F_{ww} predicted by the two analytical models. 344 The black symbols show the corresponding values for the four real scenes. The poorer 345 performance of the infinite-street model is due to F_{WW} being particularly sensitive to 346 $p_{ww}(x)$ for small x, where the two models are most different. Figure 3c also shows 347 much lower F_{WW} for the infinite-street than the exponential model for wall/ground 348 area ratios in the range found in these four scenes $(0.26 < A_w/A_g < 1.4)$. 349 We now examine the impact of an alternative analysis of the four scenes, in which 350

We now examine the impact of an alternative analysis of the four scenes, in which parks, railways and major highways are included in the 'street' category when deriving wall-to-wall and ground-to-wall probability distributions. The results are shown in Fig. 8, revealing that the probability distributions show somewhat higher tails for the larger building separations, but the fitted exponential model still fits better for separations of less than 200 m, and also for the direct exchange factor shown in Figs. 8i–8l. The green symbols in Fig. 7 show the F_{gs} and F_{ww} values for this alternative analysis, and again it is clear that the exponential model fits better.

If an urban radiation scheme using the exponential model were to be deployed in a weather or climate model then naturally the e-folding length *X* would first need



Fig. 8 As Fig. 6, but with parks, railways and major highways added to streets before performing the analysis.

to be estimated from the building layouts of a much larger number of cities. The 360 strip method illustrated in Fig. 5 could of course be used to derive p_{ww} and p_{gw} , but 361 the inversion of the rather complex relation (21) to find the value of X that predicts 362 F_{gs} (and hence $F_{gw} = 1 - F_{gs}$) exactly could be regarded as cumbersome. A simpler 363 approach is to instead find the value of X that predicts an approximate form of F_{gw} in 364 which f_{gw} in (4) is replaced by an exponential of the form $f_{gw} \approx \exp(-x/Z)$, where 365 Z is a length scale to be defined. This leads to the following formula for estimating X366 from an observed ground-to-wall probability distribution p_{gw} : 367

$$X \approx Z \left[\left(\int_0^\infty p_{gw} \mathrm{e}^{-x/Z} \, \mathrm{d}x \right)^{-1} - 1 \right].$$
 (26)

When used with a length scale of Z = 1.5H, the estimated values of X agree with those in Table 1 to within 1%. Mean building height H can be a somewhat ill-defined quantity in real cities, but we have found that using a fixed length scale of Z = 10 m also leads to acceptable results, with X estimates then agreeing with those in Table 1 to within 1.2%.

6 Discussion and conclusions 373

In this paper it has been demonstrated that treating urban areas as streets of infinite 374 length and constant width, as done in many weather and climate models, leads to sig-375 nificant errors in modelling the mean rates of exchange of solar and thermal-infrared 376 radiation between the sky, walls and ground. Analysis of the probability distributions 377 of wall-to-wall separation distances from real cities reveals that an exponential distri-378 bution is a good fit, and leads to a significantly better prediction of radiative exchange 379 factors. Naturally, if this 'exponential model' of urban radiation were combined with 380 an existing treatment of turbulent fluxes to create a full urban exchange scheme, care 381 would need to be taken to ensure a consistent assumption about the areas of walls and 382 ground. The exponential model for urban geometry could also be useful for other ap-383 plications sensitive to building layout, such as blockage of mobile telephone signals 384 (Bai et al., 2014). 385 While the radiative exchange formulas presented are a straightforward replace-386 ment for those in 'simple' existing urban radiation schemes (such as that described 387

by Harman et al., 2004), an important question is how to incorporate the exponential 388 model into more sophisticated schemes (e.g. Schubert et al., 2012; Krayenhoff et al., 389 2014; Redon et al., 2017) that represent vegetation and buildings of different height, 390 yet are still underpinned by the infinite-street assumption. One approach could be to 39 explore a useful property of the exponential model, which is that streams of radia-392 tion with a particular zenith angle in an urban canopy are attenuated according to the 393 Beer-Lambert law, in the same way as light propagating through a turbid atmosphere. 394 Equation 20 demonstrates this for direct solar radiation, but it is applicable to the en-395 tire radiation field if diffuse radiation is represented by a set of discrete zenith angles 396 (e.g. Stamnes et al., 1988), an approach that underpins almost all one-dimensional 397 multi-layer atmospheric radiative transfer schemes. This suggests that the infrastruc-398 ture of such schemes could be adapted to the urban problem, enabling the prediction 399 of the vertical profile of radiation within an urban canopy containing buildings of 400 different heights, as well as the treatment of atmospheric absorption, emission and 401 scattering. Note that it is ubiquitous for current urban radiation schemes to treat the 402 space between buildings as a vacuum, but this is a dubious assumption in the thermal 403 infrared. 404 In terms of vegetation, Hogan et al. (2018) used ideas from one-dimensional at-

405 mospheric radiation schemes to develop an accurate multi-layer model for treating 406 radiation in forest canopies, embedded within which is the assumption that the hor-407 izontal separation of obstacles (which could be trees or buildings) follows an ex-408 ponential distribution. This would therefore be an appropriate starting point for a 409 more comprehensive urban radiation scheme that could accommodate street trees, 410 atmospheric effects and multiple building heights. Naturally a crucial step is to eval-411 uate any new urban radiation scheme using calculations on real urban geometry 412 by explicit three-dimensional radiation models (e.g. Krayenhoff and Voogt, 2007; 413 Gastellu-Etchegorry, 2008; Lindberg et al., 2008).

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ing geometry for London was obtained from Emu Analytics, whose data combine building outlines from 416

417 Ordnance Survey Open Map with building height from lidar data collected in 2014 and 2015. Building 418 geometry data for Los Angeles were obtained from the Los Angeles County GIS Data Portal, with the

419 original data generated from aerial imagery. A number of the integrals were calculated using the online

420 symbolic integration tools at www.wolframalpha.com and www.integral-calculator.com.

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