

Pluvial periods in Southern Arabia over the last 1.1 million-years

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- 7 Samuel L. Nicholson¹, Alistair W.G. Pike², Rob Hosfield¹, Nick Roberts³, Diana Sahy³, Jon Woodhead⁴,
- 8 Hai Cheng^{5,6}, R. Lawrence Edwards⁵, Stéphane Affolter^{7,8,11}, Markus Leuenberger⁷, Stephen J Burns⁹,
- 9 Albert Matter¹⁰, Dominik Fleitmann^{1,11}
- 10

^{1.} Department of Archaeology, University of Reading, United Kingdom.

- 12 ^{2.} Department of Archaeology, University of Southampton, United Kingdom.
- ^{3.} Geochronology and Tracers Facility, British Geological Survey, Nottingham, United Kingdom.
- ^{4.} School of Earth Sciences, University of Melbourne, Victoria, Australia.
- ^{5.} Department of Earth Sciences, University of Minnesota, Minneapolis, MN, USA.
- 16^{6.} Institute of Global Environmental Change, Xi'an Jiaotong University, Xi'an, China
- 17 ^{7.} Physics Institute, University of Bern, Switzerland.
- 18 ⁸ International Foundation for High Altitude Research Stations Jungfraujoch and Gornergrat, Bern,
- 19 Switzerland.
- ^{9.} Department of Geosciences, University of Massachusetts, United States of America.
- 21 ^{10.} Institute of Geological Sciences, University of Bern, Switzerland.
- 22 ^{11.} Quaternary Environmental Geology, Department of Environmental Sciences, University of Basel,
- 23 Switzerland.

24	Corresponding authors:
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- 25 Samuel L. Nicholson
- 26 <u>s.l.nicholson@pgr.reading.ac.uk</u>
- 27 Dominik Fleitmann
- 28 <u>dominik.fleitmann@unibas.ch</u>
- 29
- 30 Department of Archaeology,
- 31 Whiteknights Box 227,
- 32 Reading,
- 33 RG6 6AB,
- 34 United Kingdom
- 35

36 **Pluvial periods in Southern Arabia over the last 1.1 million-years.**

37 Abstract

Past climates and environments experienced by the Saharo-Arabian desert belt are of prime importance for palaeoclimatic and palaeoanthropological research. On orbital timescales transformations of the desert into a savannah-like landscape in response to higher precipitation provided "windows of opportunity" for hominin dispersal from Africa into Eurasia. On long timescales, palaeoenvironmental reconstructions for the region are predominantly derived from marine sediments and available terrestrial records from the Arabian Peninsula are limited to 450 ka before present (BP). Here, we present a new stalagmite-based palaeoclimate record from Mukalla Cave in 45 Yemen which extends back to ~1.1 million years BP or Marine Isotope Stage (MIS) 31, as determined 46 by Uranium-lead dating. Stalagmite Y99 grew only during peak interglacial periods and warm 47 substages back to ~1.1 Ma. Stalagmite calcite oxygen isotope (δ^{18} O) values show that every past 48 interglacial humid period was wetter than the Holocene, a period in which large lakes formed in the now arid areas of southern Arabia. Carbon isotope (δ^{13} C) values indicate habitable savannah-like 49 50 environments developed during these pluvial periods. A total of 21 pluvial periods with precipitation of more than 300 mm yr⁻¹ occurred since ~1.1 Ma and thus numerous opportunities for hominin 51 52 dispersals occurred throughout the Pleistocene. New determinations of hydrogen (δD_{Fl}) and oxygen 53 $(\delta^{18}O_{\rm Fl})$ isotopes in stalagmite fluid inclusion water demonstrates that enhanced precipitation in 54 Southern Arabia was brought by the African and Indian Summer Monsoons. When combined with subannual calcite analysis of δ^{18} O and δ^{13} C, these data reveal a distinct wet (summer) and dry (winter) 55 56 seasonality. 57 <u>Highlights</u>

58	•	Pluvial periods recorded in stalagmites from Southern Arabia up to 1.073 Ma (MIS 31)
59	•	Speleothem growth in Yemen only occurred during interglacial maxima and warm substages
60	•	The African Summer Monsoon (ASM) and Indian Summer Monsoon (ISM) increased
61		precipitation to Southwestern Arabia
62	•	Monsoonal rainfall increased precipitation to south-eastern Arabia
63	•	All Pleistocene pluvial periods were wetter than the Holocene pluvial period
64	•	Grassland environments formed during peak interglacials
65	•	Interglacial grasslands provided "windows of opportunity" for hominin occupation of the now
66		arid Arabian interior and dispersals from Africa.

67 Keywords

Human dispersal, Middle East, Pleistocene, Speleothems, Arabia, Oxygen-isotopes, Carbon-isotopes,
Water-isotopes, Uranium-series dating, Monsoon.

70 <u>1. Introduction</u>

71 The Saharo-Arabian desert belt is a key-area for both palaeoclimatic and palaeoanthropological 72 research. On orbital timescales, changes in the intensity and spatial extent of the African (ASM) and 73 Indian Summer monsoons (ISM) transformed the Saharo-Arabian desert belt into a "green" landscape 74 with abundant lakes (Drake et al., 2011; Fleitmann et al., 2011; Rosenberg et al., 2011, 2012, 2013; 75 Bretzke et al., 2013; Larrasoaña et al., 2013; Matter et al., 2015; Breeze et al., 2016; Drake and Breeze, 76 2016). The timing and duration of these humid periods were pivotal "windows of opportunity" for 77 hominin dispersals from Africa into Eurasia ("out-of-Africa"), which caused substantial demographic 78 shifts during the last 130 ka (Timmermann and Friedrich, 2016; Bae et al., 2017). Knowledge of the 79 "permeability" of the Saharo-Arabian desert belt on longer timescales could therefore be linked to 80 potentially earlier hominin dispersals (e.g., Hershkovitz et al., 2018; Harvati et al., 2019). To date, two 81 dispersals routes into Eurasia are favoured, the Levantine corridor (the *northern route*) and the narrow 82 strait of Bab-al-Mandab (the *southern route*) (Fernandes et al., 2006; Fleitmann et al., 2011; Lambeck 83 et al., 2011; Grant et al., 2012; Rohling et al., 2013; Breeze et al., 2016).

84 Marine sediments from the Mediterranean (ODP 967, Larrasoana et al., 2003; Grant et al., 2017), the 85 Red Sea (KL 11, Fleitmann, 1997) Gulf of Aden (KL 15, Fleitmann, 1997; RC09-166, Tierney et al., 2017) 86 and Arabian Sea (ODP 721/722, deMenocal, 1995; Clemens and Prell, 2003) provide long and 87 continuous records of climate changes in the Saharo-Arabian desert belt, with a few extending back 88 to the Pliocene. The majority of these records use terrigenous dust as a proxy for continental wetness, 89 where reduced dust input and grain size data are related to enhanced vegetation cover during periods 90 of higher precipitation (Fleitmann, 1997; Larrasoana et al., 2003). However, mobilisation, transport 91 and deposition of dust is determined by multiple non-linear factors, such as production of dust,

92 transport paths (wind direction), wind strength, erosion and vegetation density (Zabel et al., 2001).

93 Terrestrial archives are thus required to test and mitigate uncertainties within marine dust records.

94 Terrestrial records from the main dispersal routes (Fig. 1) are primarily based on lacustrine sediments 95 and speleothems (Burns et al., 2001; Armitage et al., 2007; Vaks et al., 2010; Fleitmann et al., 2011; 96 Petraglia et al., 2011; Rosenberg et al., 2011, 2012, 2013; Jennings et al., 2015b), which cover only the 97 last 350 to 450 ka before present (BP) (Rosenberg et al., 2013; Parton et al., 2018). While lake records 98 provide information on the timing of these pluvial periods, it is much more difficult to use them for 99 characterizing the climatic conditions at the time of their formation (Rosenberg et al., 2011, 2012, 100 2013). Palaeolake formations currently only provide limited "wet" or "dry" environmental 101 information; comparison of climates among interglacial periods is much more challenging. Moreover, 102 the nature of the lakes is the subject of debate, i.e. whether seasonal "wetlands" or perennial lakes 103 existed (Enzel et al., 2015; Engel et al., 2017; Quade et al., 2018). Furthermore, palaeolake records 104 from Arabia cannot currently be used to determine the source of moisture; a contentious issue within 105 palaeoclimate research (Fleitmann et al., 2003b; Rosenberg et al., 2013; Kutzbach et al., 2014; 106 Jennings et al., 2015b; Torfstein et al., 2015). Thus, an independent archive of continental wetness is 107 required to elucidate these issues.

108 Speleothems (stalagmites, stalactites and flowstones) from the Arabian Peninsula and Middle East 109 have great potential to deliver more comprehensive climatic records as they are protected from 110 erosion. In addition, they can be used to extend the terrestrial palaeoclimate record beyond 600 ka 111 using the Uranium-Lead (U-Pb hereafter) chronometer (Woodhead et al., 2006, 2012; Vaks et al., 112 2013, 2018). In arid regions such as Arabia, speleothem growth is dependent on both availability of 113 moisture and vegetation respired CO₂ in soils (Burns et al., 1998; McDermott, 2004). The amount and 114 source of precipitation are important controls on speleothem calcite $\delta^{18}O_{ca}$ values (Dansgaard, 1964; 115 Fleitmann et al., 2003a, 2011); whereas carbon isotopes ($\delta^{13}C_{ca}$) can provide information on the type 116 (C₃/C₄ plants) and density of vegetation above the cave (McDermott, 2004; Cerling et al., 2011; Rowe

et al., 2012). Finally, δD_{FI} and $\delta^{18}O_{\text{FI}}$ values of water trapped in speleothem fluid inclusion provide direct evidence of moisture sources when compared to modern isotopes in precipitation and regional meteoric waterlines (Bar-Matthews et al., 1996; Dennis et al., 2001; Meckler et al., 2015).

120 Previously published stalagmite records from Mukalla Cave in Yemen and Hoti Cave in Northern Oman 121 (Fig. 1) extend back to ~330-300 ka BP, or Marine Isotope Stage (MIS) 9 (Fleitmann et al., 2011). The 122 unique geographical position of Mukalla cave means speleothem growth occurs only when the 123 northern limit of the monsoon rain belt passes ~14°N. Stalagmite Y99 (Mukalla Cave) is therefore an 124 ideal specimen to track both meridional and zonal movements of the monsoon rain belt in southern Arabia and eastern Africa. Here, we present new Uranium-Thorium (²³⁰Th) and Uranium-Lead (U-Pb) 125 dates for stalagmite Y99, which allows us to expand the Arabia terrestrial palaeoclimate record back 126 127 to ~1.073 Ma, or MIS 31. Additional isotope measurements performed on Mukalla and Hoti Cave 128 stalagmite calcite and fluid inclusion water allow us to track changes in the amount and source of 129 rainfall.

130 2. Climatic and Cave settings

Stalagmites presented in this study were collected from Mukalla Cave in Yemen and Hoti Cave in Northern Oman (Burns et al., 2001; Fleitmann et al., 2003b, 2011). Present-day climate in Southern Arabia is strongly governed by two major weather systems: The North Atlantic/Siberian pressure system in winter/spring and the ASM/ISM in summer (Fleitmann et al., 2003b). At present, hyper-arid to arid climate conditions prevail on the Arabian Peninsula and only the southernmost parts, such as the Yemen Highlands and Dhofar Mountains, are affected by the ASM and ISM.

137 2.1 Mukalla Cave, Yemen

Mukalla Cave (14°55'02"N; 48°35'23" E; ~ 1500 metres above sea level, masl) is situated in the arid
desert of Yemen, approximately 70 km North of Al Mukalla, Hadhramaut (Fig. 1). The current climate
of Southern Yemen is dependent on the annual northward movement of the Intertropical

141 Convergence Zone (ITCZ) and associated monsoonal rainfall belt. Annual precipitation is highly 142 variable, yet averages ~120 mm yr⁻¹, mostly delivered in the spring and summer months (Mitchell and 143 Jones, 2005). Bedrock thickness above the cave is approximately 30 m, and soil above the cave is 144 mostly absent. No actively growing stalagmites were found when stalagmites Y99, Y97-4 and Y97-5 145 were collected in 1997 and 1999 respectively (Fleitmann et al., 2011), indicating that modern rainfall 146 is too low to recharge the aquifer above Mukalla Cave. Based on these samples, Fleitmann et al. (2011) 147 produced an environmental record up to MIS 9 (~330 ka), identifying four distinct growth intervals (GI 148 I-IV) within stalagmite Y99. However, only the top section (collected in whole; Fig. 2B and S1) of a 3.2m 149 sample (Y99) was analysed. Here, remaining growth intervals from the lower part of Y99 (which was 150 cored in several overlapping sections; Fig. 2C, S2 and S3), was dated to expand the terrestrial 151 palaeoclimate record of Arabia. Calcite isotope measurements were performed throughout these 152 growth intervals to characterise the climatic and environmental conditions during stalagmite growth. 153 Additional calcite isotope measurements were performed at greater resolution in the top section of 154 Y99.

155 2.2 Hoti Cave, Oman

Hoti Cave (23°05'N; 57°21'E: ~ 800 masl, Fig. 1) is located in the northern Oman mountains, where
annual precipitation ranges between 50 and 255 mm yr⁻¹ (station AI Hamra, 700 masl, 1974–1997).
Precipitation is highly variable and mainly derived from three sources: the Mediterranean frontal
system (December-March: Weyhenmeyer et al., 2002); orographic rain produced over the Jabal
Akhdar Mountains during summer; and tropical cyclones, originating in the south-eastern Arabian Sea
and the Bay of Bengal, every 5 to 10 years (Pedgley, 1969).

Stalagmites from Hoti Cave have been extensively studied (Burns et al., 2001; Neff et al., 2001;
Fleitmann et al., 2003b, 2007). Several stalagmites cover the Holocene (samples H5, H12 and H14) and
beyond (samples H1, H4, and H13). Stalagmite H13 is a ~3 m tall stalagmite covering MIS 5e, MIS 7e

and MIS 9. Further details on the chronology and sampling location of Hoti Cave were presented in
previous publications (Burns et al., 1998, 2001; Neff et al., 2001; Fleitmann et al., 2003b, 2007, 2011).

167 <u>3 Methods</u>

168 <u>3.1 Dating</u>

169 Stalagmites presented in this study were dated using the ²³⁰Th dating method back to ~550 ka and the 170 U-Pb method for older samples (Woodhead et al., 2006; Cheng et al., 2013). The ²³⁰Th ages for Hoti 171 Cave stalagmites are reported in Fleitmann et al. (2007, 2003a). For stalagmite Y99 (Mukalla Cave), a total of seventy ²³⁰Th ages were determined back to approx. 550 ka BP (Tab. S1-S3). Nineteen samples 172 173 were analysed at the University of Minnesota (following the methods outlined by Cheng et al., 2013) 174 and twelve additional samples were analysed at the British Geological Survey, Nottingham, UK 175 (following the methods outlined by Crémière et al., 2016). Dates were calculated using the decay 176 constant of Cheng et al. (2013), and a correction for the presence of initial ²³⁰Th was applied assuming 177 a detrital U-Th isotope composition of $(^{232}Th/^{238}U) = 1.2 \pm 0.6$, $(^{230}Th/^{238}U) = 1 \pm 0.5$ and $(^{234}U/^{238}U) = 1$ 178 ± 0.5. The ages for GI XII and GI XVIII were determined via U-Pb methods. U-Pb ages for the lower part 179 of Y99 were produced using both traditional solution-mode multi-collector inductively coupled plasma 180 mass spectrometry (MC-ICP-MS) (following the methods detailed in: Woodhead et al., 2006) analysis 181 (University of Melbourne, Australia) along with the recently developed Laser ablation (LA) method 182 (BGS) (Tab. S4 and S5). For LA-ICP-MS, the methods and analytical protocol follows that described by 183 Coogan et al. (2016); U/Pb ratios were normalised to WC-1 carbonate (Roberts et al., 2017) and Duff 184 Brown carbonate (Hill et al., 2016) was run as a check on accuracy.

185 <u>3.2 Calcite oxygen and carbon isotope analysis</u>

A total of 910 samples were collected along the main growth axes of stalagmite Y99 GIs for stable isotope analysis. Samples were collected at resolutions of ~1mm for growth phase I and II, ~2 mm for growth phases III-VII and ~5 mm for growth phases VII-XVIII (Tab. S6). Due to the variable size, visibility and direction of independent growth layers, it was not possible to produce Hendy tests. To provide
addition support for our Growth Interval assignments, additional samples were collected across visible
growth discontinuities at 1 mm resolution within the lower sections of Y99 (Tab. S7; Fig. 2).
Furthermore, H13 (Hoti Cave) was selected for sub-annual isotopic analysis to examine seasonality,
due to its annual laminations. Samples were collected at 0.1mm resolution (Tab. S8).

194 Isotope measurements were performed using a Finnigan Delta V Advantage Isotope Mass 195 Spectrometer (IRMS) coupled to an automated carbonate preparation system (Gasbench II). Precision 196 (1 σ) is $\leq 0.2\%$ for δ^{18} O and $\leq 0.1\%$ for δ^{13} C. Measurements were performed at the Chemical Analysis 197 Facility (CAF), University of Reading, UK, and the Institute of Geological Sciences, University of Bern, 198 Switzerland. Isotope values are reported relative to the Vienna Peedee Belemnite (VPDB) standard.

199 <u>3.3 Fluid inclusion deuterium and oxygen isotope analysis</u>

200 Deuterium (δD_{FI}) and oxygen ($\delta^{18}O_{FI}$) isotopes of speleothem fluid inclusion water were analysed at 201 the Physics Institute, University of Bern, Switzerland, using a recently developed extraction method 202 (Affolter et al., 2014, 2015). Sixteen calcite blocks of ~25 x 5 x 5 mm (L, W, H) for fluid inclusion analysis 203 were collected from Y99, H13 and H5. Samples were placed into a copper tube and connected to the 204 measuring line, heated to ~140°C and crushed, the liberated water was then transported to a 205 wavelength scanned cavity ring down spectroscopy system (Picarro L2401-i analyser) under humid 206 conditions (with standardised water of known isotopic composition) to prevent fractionation and 207 minimize memory effects. The crushing of samples released, on average, ~1 µl of water. Precision is 208 1‰ for δD_{FI} and 0.2‰ for $\delta^{18}O_{\text{FI}}$. Fluid inclusion values are reported on the Vienna Standard Mean 209 Ocean Water (V-SMOW) scale (Tab. S9).

210 <u>4. Results and Discussion</u>

This section is divided into two parts. In the first part we focus on rainfall variability during the last 350 ka. We provide additional and more precise ²³⁰Th ages for Y99 (Mukalla Cave), as well as stable 213 isotope analysis of calcite and fluid inclusion water from Y99 (Mukalla Cave), H5 and H13 (Hoti Cave). 214 We combine these ages with previously published Mukalla and Hoti Cave speleothem data to discuss 215 the timing and environmental conditions of South Arabian Humid Periods (SAHPs) since 350 ka BP. By 216 comparing our multiproxy records with marine and terrestrial palaeoclimate records from the African 217 and Asian monsoon domains, we show that periods of enhanced rainfall and speleothem growth in 218 Southern Arabia are related to a strengthening and greater spatial extent of the ASM and ISM during 219 peak interglacials and interstadials. Within the second section, we provide an extended chronology 220 and $\delta^{18}O_{ca}$ and $\delta^{13}C_{ca}$ stable isotope data for the lower portion of Y99 (Fig. 2C) in order to characterise 221 humid periods in Southern Arabia back to ~1.1 Ma BP, making the stalagmite Y99 record one of the 222 longest continental records from Southern Arabia.

223 <u>4.1 Timing and Nature of SAHPs during the last 350 ka</u>

224 4.1.1 Chronology of Y99 GI I to V

The chronology of Y99 growth phase I to V is based on a total of 53 ²³⁰Th ages (Fig. 3). These include 225 226 38 ages presented in Fleitmann et al. (2011) and 15 additional more precise ²³⁰Th ages analysed for 227 this study (Tab. S1 and S2). Stalagmite Y99 GIs I-V coincide with peak interglacial periods and 228 interstadials corresponding to MIS 5e, 7a, 7e, 9c and 9e (Fig. 4) when Southern Arabia was affected 229 by the ASM and ISM (Fleitmann et al., 2011; Rosenberg et al., 2013). While age reversals are observed in GI IV and V, kernel probability density plots of all ²³⁰Th ages obtained from Mukalla Cave (Y99, Y97-230 231 4.-5) and Hoti Cave (H1, H4, H5, H10, H11 and H14) indicates Y99 growth was more likely to occur 232 within MIS 9c and 9e (Fig. 3).

233 4.1.2 SAHPs in Oman and Yemen during the last 350 ka

Growth intervals of stalagmites from Mukalla and Hoti Caves mark climatic intervals when effective precipitation was high enough to recharge the aquifers above both caves (Burns et al., 2001; Fleitmann et al., 2003b, 2011; Fleitmann and Matter, 2009). At present, total annual rainfall averages ~120 mm 237 yr⁻¹ and ~180 mm yr⁻¹ at Mukalla and Hoti Cave respectively, and actively growing stalagmites are 238 either absent (Mukalla Cave) or very rare (Hoti Cave) (Burns et al., 2001; Fleitmann et al., 2003a, 2007, 239 2011). Thus, the existence of very tall and large diameter stalagmites such as H13 and Y99 (Fig. 2) in 240 both caves is clear evidence that precipitation was considerably higher than today when they were 241 formed (Vaks et al., 2010; Fleitmann et al., 2011; El-Shenawy et al., 2018). Based on the spatial 242 distribution of actively growing stalagmites in the Levant and Negev – areas with very similar climatic 243 conditions compared to Yemen and Oman – precipitation should have been around 300 mm yr⁻¹ or 244 greater to recharge the groundwater and trigger growth of stalagmites (Vaks et al., 2010, 2013). 245 Considering the height and diameter of stalagmites Y99 and H13 (Fig. 2), precipitation was most likely 246 considerably higher than 300 mm yr⁻¹. Intervals of speleothem growth at both cave sites are therefore 247 a first indicator for continental wetness in Southern Arabia. An important feature of stalagmites Y99 248 and H13 is that their growth was reactivated multiple times, suggesting that the long-lasting cessations 249 of stalagmite growth are related to arid climatic conditions (Burns et al., 2001; Fleitmann et al., 2011).

250 Over the last 350 ka BP, stalagmite growth in Mukalla and Hoti Caves (Fig. 4) occurred during peak 251 interglacial periods and warmer substages corresponding to the early and mid-Holocene, MISs 5a, 5c, 252 5e, 7a, 7e, 9c and 9e (Fig. 4; Burns et al., 2001; Fleitmann et al., 2003a, 2003b; 2011; Fleitmann and 253 Matter, 2009). SAHPs were related to intensified African and Indian summer monsoon circulation and 254 a northward displacement of the tropical rain-belt and ITCZ at times of high boreal summer insolation 255 and low ice volume (LR04 stack) (Burns et al., 1998, 2001; Fleitmann et al., 2011; Beck et al., 2018). 256 Both the timing and frequency of SHAPs over the last 350 ka are in excellent agreement with other 257 marine and terrestrial hydroclimate records from the Saharo-Arabian desert belt (Fig. 4). In the Gulf 258 of Aden, low $\delta D_{\text{leafwax}}$ values in Core RC09-166 (Fig. 4) indicate greater rainfall in the Horn of Africa and 259 Afar regions during the early to mid-Holocene (SAHP 1), MIS 5a, 5c, 5e (SAHPs 2-4) and MIS 7a (SAHP 260 5) (Tierney et al., 2017). Two aeolian dust records from the Gulf of Aden (KL 15) and central Red Sea 261 (KL 11) show generally lower median grain size values during peak interglacial periods when erosion 262 and mobilization of dust was significantly reduced as a result of a denser vegetation cover in North

263 Africa and Arabia (Fleitmann, 1997). Similarly, speleothem growth in Southern Arabia and Northern 264 Egypt (Wadi Sannur Cave) are in good agreement, occurring at MIS 5e, MIS 7c and MIS 9c and 9e (El-265 Shenawy et al., 2018). Absence of speleothem growth in Northern Egypt during relatively warm 266 substages (MIS 5c and 5a and MIS 3) suggests that ASM rainfall did not reach far into Egypt, 267 highlighting a degree of regional and temporal variability. Sapropel layers in the Eastern 268 Mediterranean are an additional proxy for ASM and ISM intensity and were mainly deposited during 269 periods of increased Mediterranean rainfall and significantly higher monsoon precipitation in the 270 Ethiopian highlands and resultant higher Nile discharge (Fig. 4; summarized in Rohling et al., 2015; 271 Grant et al. 2016). The timing of SAHPs 1 to 8 is in excellent agreement with sapropels records, with 272 the exception of the "ghost sapropels" 2 and 6 which are most likely not associated with higher Nile 273 discharge (Rohling et al., 2015). Further north, the Soreq and Peqiin Cave $\delta^{18}O_{ca}$ records from the 274 Levant are also sensitive recorders of changes in δ^{18} O of eastern Mediterranean surface seawater 275 related to Nile discharge (Bar-Matthews et al., 2003; Rohling et al., 2015), with more negative $\delta^{18}O_{ca}$ 276 values indicating higher Nile discharge during peak interglacial and interstadial periods (Fig. 4). 277 Likewise, speleothem-based Negev Humid Periods (NHPs; based on speleothem ages) 1-4 are 278 synchronous to SAHPs (Fig. 4), with the exemption of SAHP 6 (~245-241 ka), in which there is only 279 limited evidence of speleothem deposition (Vaks et al., 2010). Also, SAHPs 1-8 correlate to phases of 280 lake formation in the Nafud desert in Northern Arabia related to enhanced ASM rainfall (Rosenberg 281 et al., 2013; Jennings et al., 2015b). SAHPs are therefore in phase with wet intervals in Northern 282 Arabia. One notable discrepancy, however, is the lack of evidence for stalagmite growth in Mukalla 283 and Hoti Caves during MIS 7c (Fig. 4); whereas increased precipitation is observed in Wadi-Sannur 284 Cave, Peqiin and Soreq δ^{18} O_{ca} records, KL-15 grain size and Mediterranean sapropels (S8) (Fig. 4). MIS 285 7c is also reflected by a less substantial enhancement of the monsoon in Asia (Beck et al., 2018) and 286 KL-11 (Fleitmann et al., 1997) (Fig. 4). The reason of lack of evidence for an SAHP during MIS 7c remains 287 unknown. Furthermore, we acknowledge that some fluvio-lacustrine deposition and alluvial 288 aggradation occurred in Arabia during MIS 6 and 3 (e.g., McLaren et al., 2009; Parton et al., 2013,

2015, 2018; Hoffmann et al., 2015). Previous analyses have shown that that only 200 mm yr⁻¹ is
 required to activate alluvial systems in Arabia (Parton et al., 2015); whereas more than 300 mm yr⁻¹
 required to active the growth of tall stalagmites (Vaks et al., 2010; Fleitmann et al., 2011).

292 The influence of precessional and glacial boundary forcing on Asian monsoon intensity remains 293 controversial, as some monsoon records suggest dominant precession-driven monsoon maxima 294 during Northern hemisphere summer insolation maxima (Cheng et al., 2016) while others show 295 evidence for a dampening effect of glacial boundary conditions on monsoon strength during glacial 296 periods (Burns et al., 2001; Fleitmann et al., 2003a; Beck et al., 2018). A recently published East Asian 297 summer monsoon (EASM) reconstruction based on ¹⁰Be-flux from Chinese loess shows highest 298 summer monsoon rainfall during peak interglacial periods (Fig. 4). The ¹⁰Be-flux rainfall EASM record 299 is closely linked with global ice volume, which is consistent with the timing of SAHPs 1-8 in our 300 speleothem record (Fig. 4).

301 In summary, there is excellent agreement between SAHPs and low latitude northern-hemisphere 302 insolation, glacial boundary conditions and African and Asian (Indian) monsoon records. This adds 303 confidence that the Mukalla and Hoti Cave speleothems are an accurate recorder of changes in ASM 304 and ISM intensity and extent in north-eastern Africa and Southern Arabian Peninsula.

305 4.1.3 Source of moisture in Southern Arabia during SAHPs 1 to 8

306 Current climate reconstructions derived from lacustrine sediments and dune deposits are unable to 307 identify the source of precipitation during Arabian pluvial periods (Fleitmann et al., 2003b; Kutzbach 308 et al., 2014; Enzel et al., 2015; Torfstein et al., 2015; Engel et al., 2017). This has triggered controversial 309 debates about the origin of rainfall at the time of their formations. Enzel et al. (2015), for instance, 310 questioned the paradigm that enhanced precipitation in Arabia was related to an amplification of the 311 ASM and ISM and northward displacement of the summer ITCZ. Instead, Enzel et al. (2015) proposed 312 two other potential sources of precipitation in Oman during the early and mid-Holocene humid period 313 (SAHP 1): more frequent Arabian Sea cyclones or enhanced advection of moisture from the Gulf of 314 Oman in winter. Direct measurements of hydrogen and oxygen isotope values in speleothem fluid 315 inclusions from Hoti and Mukalla Caves provide direct information on drip water isotopic composition 316 and palaeoprecipitation respectively (Fleitmann et al., 2003b). Stalagmite δD_{Fl} and $\delta^{18}O_{Fl}$ values 317 enable us to determine the origin (e.g., Mediterranean or Indian Ocean) and transport of moisture to 318 Southern Arabia during pluvial periods. Furthermore, they also permit a direct comparison with 319 isotope-enabled climate model simulations, to benchmark the models (Herold and Lohmann, 2009) 320 and also help to settle current debates about the origin and seasonality of precipitation in Southern 321 Arabia.

322 At present, a large proportion of moisture in Yemen derives from the northern reach of the ISM 323 (Fleitmann et al., 2011) with additional moisture originating from Africa (by the ASM) and the Red Sea 324 (mainly in winter/spring) (e.g., Al-ameri et al., 2014). The isotopic composition of modern precipitation 325 (collected between 2008 and 2010) from sampling sites between 500 and 1700 meters asl in Yemen 326 ranges from around -40 to 40% and -4 to 8% in δD and δ^{18} O respectively, and rainfall plots along the 327 Global Meteoric Waterline (GMWL; δD =8 δ^{18} O + 10; Fig. 5A; Al-ameri et al., 2014). In contrast, 328 stalagmite Y99 fluid inclusion isotope values for MISs 5e (SAHP 4) and 7e (SAHP 6) are more negative 329 and range from -64.5‰ to -35.0% and -8.6 and -4.5‰ in δD and δ^{18} O respectively (Fig. 5A; Tab. S9). 330 Like modern rainfall, Y99 fluid inclusion isotope values plot close to the GMWL, whereas some samples 331 appear to be slightly affected by evaporation as they plot below the GMWL. Stalagmite Y99 MIS 5e 332 and MIS 7e δD_{FI} and $\delta^{18}O_{FI}$ values are more negative than isotope values in modern summer 333 monsoonal rainfall (June to September) in Addis Ababa, Ethiopia, where moisture is delivered by the 334 African and Indian summer monsoons. This suggests that enhanced rainfall at Mukalla Cave during 335 MIS 5e (SAHP 4) and MIS 7e (SAHP 6) resulted from an amplification of the ASM and/or ISM (Fig. 5C). 336 Our assumption is also supported by climate model data for MIS 5e (Herold and Lohmann, 2009; 337 Jennings et al., 2015b), which indicate a more zonal transport of moisture from Africa to the Arabian Peninsula during MIS 5e (SAHP 4). Y99 $\delta^{18}O_{FI}$ values of around -7.2 ± 1.5‰ during MIS 5e are within 338 the range of modelled summer precipitation δ^{18} O values of between -6 and -7‰ in Yemen (Fig. 5C). 339

Finally, significant contributions of rainfall from a Mediterranean source can be excluded as Y99 δD_{FI} and $\delta^{18}O_{FI}$ values plot below the Mediterranean Meteoric Waterline (MMWL; $\delta D = \delta^{18}O + 22$; Fig. 5A).

342 In Northern Oman, present-day rainfall originates predominantly from a northern (Mediterranean) 343 and a southern (Indian Ocean) moisture source. As a result, two distinctly different local meteoric 344 waterlines exist, the Northern Meteoric Waterline (N-LMWL; $\delta D = 5.0 \, \delta^{18}O + 10.7$) and the Southern 345 Local Meteoric Waterline (S-LMWL; $\delta D = 7.1 \delta^{18}$ O -1.1) (Fig. 5B; Weyhenmeyer et al., 2002; Fleitmann 346 et al., 2003b). Precipitation originating from a northern moisture source ranges from -4.5 to 1.0% in 347 δ^{18} O and from -25 to 5‰ in δD , whereas precipitation from a southern moisture source is more 348 negative, with δ^{18} O values varying from -10 to -2‰ and δD values from -75 to -15‰ (Weyhenmeyer 349 et al., 2002; Fleitmann et al., 2003b). Modern groundwater in Northern Oman (N-OGL: δD = 5.3 δ^{18} O 350 + 2.7) and cave drip water in Hoti Cave is intermediate between both sources, indicating that both 351 contribute to groundwater recharge (Weyhenmeyer et al., 2002; Fleitmann et al., 2003b; Fig. 5B). The 352 isotopic composition of fluid inclusion water extracted from the Holocene stalagmite H5 (SAHP 1: 10.9 353 ka-6.2 ka; Neff et al., 2001; Fleitmann et al., 2007) ranges from -21.4‰ to -13.2‰ in δD_{Fl} , and -3.2‰ 354 to -0.7‰ in $\delta^{18}O_{Fl}$. Fluid inclusion water extracted from the MIS 5e (SAHP 4) section of stalagmite H13 355 is more negative and measured -41.7‰ for δD_{FI} and -7.8‰ to -4.2‰ for $\delta^{18}O_{FI}$. Both H5 and H13 fluid 356 inclusion values plot closer to the S-OMWL (Fig. 5B), indicating the ISM was the primary moisture 357 source in Oman during peak interglacials (Fleitmann et al., 2003b). One sample, however, plots above 358 the S-OMWL, yet this remains more closely aligned to modern southern groundwater values. Overall, 359 Hoti Cave δD_{FI} and $\delta^{18}O_{FI}$ values show clear evidence that enhanced rainfall during the early to middle 360 Holocene (SAHP 1) and MIS 5e (SAHP 4) was related to an intensification of the ISM. This is in stark 361 contrast to suggestions that enhanced frontal depressions from the Persian Gulf and/or 362 Mediterranean increased precipitation during the early to mid-Holocene wet period (SAHP 1) (Enzel 363 et al., 2015).

364 When compared to isotope-enabled climate model simulation, the measured isotope $\delta^{18}O_{FI}$ values at 365 both caves for SAHP 4 (MIS 5e) are in good agreement with modelled δ^{18} O of summer precipitation 366 (Fig. 5C). Furthermore, the distinct isotopic gradient across Southern Arabia is also supported by the Y99 and H13 $\delta^{18}O_{FI}$ values, with more negative modelled summer rainfall $\delta^{18}O$ values prevailing in the 367 368 west due to a greater moisture supply from the African summer monsoon (Herold and Lohmann, 369 2009). Thus, growth intervals and isotope values in stalagmites from Mukalla Cave are excellent 370 proxies for the intensity of the ASM in eastern Africa, whereas stalagmites from Hoti Cave are more 371 closely connected to intensity changes of the ISM and, to a lesser extent, ASM. This is also in 372 agreement with climate model simulations for MIS 5e, which indicate that higher precipitation in 373 Northern Oman was associated with the ASM and ISM, with negligible and fairly stable contribution 374 of rainfall from Mediterranean westerlies (Jennings et al., 2015b).

375 4.1.4 Comparison between pluvial conditions in Southern Arabia during the last 350 ka

376 $\delta^{18}O_{ca}$ values of stalagmites from Southern Arabia are primarily controlled by two effects: i.e. the amount and source of rainfall (Fleitmann et al., 2003b, 2004, 2007, 2011). δ¹⁸O_{ca} values of Mukalla 377 378 Cave stalagmites are generally more negative than those of stalagmites from Hoti Cave (Fig. 6A), with 379 a west-east (Mukalla-Hoti) isotopic gradient of between 2 and 4 ‰ during SAHPs 1-7. This gradient is 380 also evident in δD_{FI} and $\delta^{18}O_{FI}$ values from both caves and in simulated MIS 5e $\delta^{18}O$ in summer 381 precipitation across Southern Arabia (Fig. 6A). This adds further confidence in the palaeoclimatic 382 significance of $\delta^{18}O_{ca}$ values from Mukalla and Hoti Caves. Furthermore, $\delta^{18}O_{ca}$ values from both cave 383 sites reveals marked and consistent differences in the amount of rainfall between among the SAHPs 384 (Fig. 8). The most striking feature of the Mukalla and Hoti Cave records is the fact that least negative 385 $\delta^{18}O_{ca}$ values were obtained from early to mid-Holocene stalagmites, indicating that monsoon rainfall 386 during SAHP 1 was the lowest in the last 350 ka. On the other hand, monsoon precipitation was highest 387 at both caves during SAHP 4 (MIS 5e). When we combine our fluid inclusion data with the relatively 388 consistent $\delta^{18}O_{ca}$ between SAHPs, we can show that the moisture source was likely consistent

389 throughout SAHPs. Modern $\delta^{18}O_{ca}$ values from Hoti Cave (derived from the winter Mediterranean 390 precipitation source) are more positive than SAHP values (Fig. 6A). SAHP 1 (early to middle Holocene), 391 4 (MIS 5e) and 6 (MIS 7e) FI data allows us to confidently state that $\delta^{18}O_{ca}$ of these periods represents a monsoon rainfall signature. Thus, we can use the more positive $\delta^{18}O_{ca}$ values (Mediterranean 392 393 signature) of modern and more negative $\delta^{18}O_{ca}$ values (monsoon signature) of past precipitation to 394 posit that monsoon precipitation was the dominant source of preceding SAHPs. This isotopic 395 relationship has also been observed in previously published high-resolution $\delta^{18}O_{ca}$ profiles of H5 and 396 H12 (Fig. 6B), where an abrupt shift from more negative values (increased precipitation from the ISM) 397 to more positive values (reduced precipitation delivered by Winter Mediterranean Cyclones (WMCs)) 398 occurred at the termination of the early Holocene pluvial period (SAHP 1) (Fleitmann et al., 2007).

399 4.1.5 Environmental conditions

400 Mukalla Cave speleothem $\delta^{13}C_{ca}$ values vary between -8 and 2‰ (VPDB) (Fig. 7; Tab. S11). Such a wide 401 range in $\delta^{13}C_{ca}$ is quite common in speleothems as $\delta^{13}C_{ca}$ depends on a variety of environmental, partly 402 counteracting, parameters, including: (1) type and density of vegetation, (2) soil thickness and 403 moisture, (3) biological activity within the soil, (4) recharge conditions and (5) kinetic isotope 404 fractionation during calcite precipitation, the latter factor is influenced by cave air PCO₂ and drip rate 405 (e.g., Baker et al., 1997). At times of high precipitation and short soil-water residence times, 406 equilibration between soil CO₂ and percolating water may be incomplete. Under such a scenario, 407 seepage water would have a stronger atmospheric CO₂ component and thus speleothem $\delta^{13}C_{ca}$ values 408 would be more positive. In addition, CO₂ degassing within the cave can lead to more positive speleothem $\delta^{13}C_{ca}$ values and thus blur the biogenic signal. Overall, speleothem $\delta^{13}C_{ca}$ values can be 409 410 difficult to interpret, which is one reason why the Hoti Cave $\delta^{13}C_{ca}$ records were never used for 411 palaeoenvironmental reconstructions. This is also related to the fact that Hoti Cave has two entrances 412 and therefore strong ventilation, leading to fluctuations in cave air PCO2 and strong kinetic fractionation of $\delta^{13}C$ during calcite precipitation. In contrast, Mukalla Cave has only one narrow 413

414 entrance and ventilation within the cave is therefore low. Mukalla Cave stalagmite $\delta^{13}C_{ca}$ values are 415 therefore more closely related to surface vegetation and biological soil activity, provided that 416 complete equilibration ("open system conditions") between soil CO₂ and soil water has occurred. 417 Under such conditions, $\delta^{13}C_{ca}$ values of a stalagmite growing under a C₃ plant dominated environment 418 vary between -14 and -6‰ (VPDB) and -6 to +2‰ under C₄ plants (Clark and Fritz, 1997; McDermott, 419 2004). Assuming open system conditions, Mukalla Cave speleothem $\delta^{13}C_{ca}$ values fall into the range of 420 C_4 plant dominated vegetation with occasional C_3 plants (Fig. 7), indicating herbaceous semi-desert 421 grassland environment above the cave during SAHPs 1-8.

422 Our data also shows that C₄ environments were present during the warm substages of MIS 5. This is 423 in good coherence with phytolith data from the Jabal Faya archaeological site, UAE, showing denser 424 and more diverse vegetation was present during MIS 5 than succeeding substages (Bretzke et al., 425 2013). Grassland taxa (Kobus, Hippopotamus, Pelovoris) and H. sapiens were uncovered from MIS 5a 426 palaeolake sediments in the Nafud showing that grasslands were present in northern Arabia (Groucutt 427 et al., 2018). In particularly, *Hippopotamus* is not a long-distance migratory species, and requires year-428 round access to water. Similarly, the MIS 5e speleothem $\delta^{13}C_{ca}$ values from Ashalim Cave, Negev, range 429 from -8‰ to -2‰ (Vaks et al., 2010), suggesting comparable environments existed in the northern 430 and southern extent of the Saharo-Arabian desert. Archaeological and fossils finds have demonstrated 431 that H. sapiens were present in Arabia during MIS 5 interstadials (Groucutt et al., 2018). Furthermore, 432 Mukalla Cave $\delta^{13}C_{ca}$ values are coherent with palaeontological evidences from older pluvial periods. 433 Faunal assemblages from the Ti's al Ghadah palaeolake (MIS 9-13) exhibit large mammals from African 434 and European sources (Thomas et al., 1998; Rosenberg et al., 2013; Stimpson et al., 2016), showing 435 these wet periods were sufficient to sustain fauna that required a perennial water supply. Overall, our 436 data adds to the growing evidence that the formation of widespread 'green' environments formed 437 across Arabia during peak interglacial periods, which facilitated H. sapiens occupation and movement 438 across the now desert areas of Arabia.

440 Some stalagmites from Hoti Cave exhibit distinct annual layers, with a thickness varying between 0.1 441 and 1.2 mm (e.g., stalagmite H14; Cheng et al., 2009). Such layers are also visible un the MIS 5e section 442 of stalagmite H13, composed of a white porous laminae and dense translucent laminae. Their 443 presence suggests distinct seasonal changes in the drip rate in response to surface precipitation. 444 Nearly monthly resolved $\delta^{18}O_{ca}$ and $\delta^{13}C_{ca}$ profiles over 4 years (Fig. 8B; Tab. S8) show seasonal 445 variations of more than 1 ‰, where denser layers display more negative $\delta^{18}O_{ca}$ and $\delta^{13}C_{ca}$ values. We 446 suggest that these denser layers were formed during the monsoon seasons, at times of higher drip 447 rate, slower CO₂ degassing and lower evaporation of cave drip waters (Fleitmann et al., 2004). In. 448 contrast, the more porous white layers display more positive $\delta^{18}O_{ca}$ and $\delta^{13}C_{ca}$ due to a reduced drip 449 rate, resulting in greater CO₂ degassing and evaporation. Combined with δD_{FI} and $\delta^{18}O_{FI}$ values from 450 H13, the presence of annual layers during SAHP 1 (early to mid-Holocene; Cheng et al., 2009) and 451 SAHP 4 (MIS 5e; this study) and seasonal changes in $\delta^{18}O_{ca}$ and $\delta^{13}C_{ca}$ indicates that Southern Arabia 452 experienced a rainy (monsoon) season during boreal summer and a drier season during boreal winter. 453 This is in good agreement with climate simulations for MIS 5e, with simulations at 130, 125 and 120 454 ka BP (Gierz et al., 2017). These simulations show a strong increase in summer (JJA) precipitation at 455 130 and 125 ka BP, whereas no significant increase in winter (DJF) is observed in Southern Arabia (Fig. 456 8C). We can therefore exclude that increased precipitation was provided by enhanced Mediterranean 457 cyclone activity in winter/spring as suggested Enzel et al. (2015). Taken together, there is clear 458 evidence that climatic conditions during SAHPs were still characterized by a strong seasonality with 459 wet summers and rather dry winters.

460 <u>4.2 Timing and Nature of SAHPs beyond 350 ka</u>

461 4.2.1 Chronology of stalagmite Y99 beyond 350 ka

The identification of Y99 GIs beyond 350 ka BP is based (1) on thirty-one ²³⁰Th and three U-Pb ages
(Tab. S1, S2 and S4), (2) macroscopic evidence for major discontinuities (e.g., abrupt changes in colour

464 of the fabric, abrupt changes in the frequency of laminae, finely defined and bright laminae and lateral 465 displacements of the growth axis), and (3) abrupt shifts in $\delta^{18}O_{ca}$ over potential discontinuities (Fig. 466 2D). The latter are strong evidences for the termination of a SAHP, as they occur immediately before 467 the cessation of stalagmite growth, when annual precipitation dropped below 300 mm yr⁻¹ (Burns et al., 2001; Fleitmann et al., 2003b). Positive shifts in $\delta^{18}O_{ca}$ are also observed in stalagmites from Hoti 468 469 Cave, where they mark a weakening and termination of pluvial monsoon periods within a few decades. 470 This is particularly evident ~6.2 ka BP (Neff et al., 2001; Fleitmann et al., 2007; Fig. 6B). Using these 471 criteria, we identified 12 further GIs (VI to XVIII) in stalagmite Y99. However, it is slightly more 472 challenging to assign absolute ages to these GIs, as it becomes increasingly difficult to obtain accurate 473 and precise ages ²³⁰Th ages beyond 400 ka BP. While the chronology of Y99 GIs VI-XVIII is supported 474 by thirty-one ²³⁰Th and three U-Pb ages, ²³⁰Th ages for GIs VI to VIII are not in perfect stratigraphic 475 order, with several age reversals occurring between 400 and 550 ka BP. This is not related to analytical 476 problems but rather caused by post-depositional mobilisation of U and Th, potential small-scale 477 dissolution and re-precipitation of calcite or incorporation of ²³⁰Th adsorbed to organic acids (Borsato 478 et al., 2003; Scholz et al., 2014). These effects can imply localized open-system behaviour (Bajo et al, 479 2016). While post-depositional leaching of U would lead to older ages, re-precipitation of calcite or 480 incorporation of ²³⁰Th would result in younger ages as observed in some GIs. All these effects are critical for very old samples that are close to the ²³⁰Th-dating limit of ~500-600 ka as even minute post-481 482 depositional alterations and several phases of dissolution and/or re-precipitation can have significant 483 effects on the age. The higher porosity and micro-voids make in the upper section of stalagmite Y99 484 (Fig. 2A and B) more prone to post-depositional loss or addition of radionuclides. In contrast, the lower 485 part of Y99, comprising GIs IX to XVIII, is composed of very dense calcite but too old for the ²³⁰Th-486 dating method. Nevertheless, two U-Pb ages determined in different laboratories are consistent and 487 date the base of stalagmite Y99 to 1.07 ± 0.04 Ma (GI XVIII; MIS 31). One additional U-Pb age of 0.85 488 \pm 0.07 Ma BP for GI XII serves as an additional tie point for the chronology of the lower part of 489 stalagmite Y99. Based on the consistent pattern of high-monsoonal rainfall and stalagmite growth

during interglacial intervals during the last 350 ka BP (Fig. 4), we used orbital tuning to the LR04 stack
(Lisiecki and Raymo, 2005) to assign absolute ages for Y99 GIs VI to XIII and XIV to XVII (Fig. 9). The
good match between the number of identified GIs and peak interglacial periods gives credence to the
Y99 chronology.

494 4.2.2 Climate and environmental conditions in Southern Arabia over the last 1.1 Ma

495 At least 21 SAHPs occurred over the last 1.1 Ma at times when low ice volume and high summer 496 insolation strengthened both the ISM and ASM. AS mentioned previously, there is a general scarcity 497 of terrestrial records covering more than 400 to 500 ka BP in Northern Africa and the Arabian 498 Peninsula, and marine sediments are the only source of information. Two dust records from the 499 Eastern Mediterranean (ODP 967; Grant et al., 2017) and Arabian Sea (ODP 721/722; deMenocal et 500 al., 1995) extend beyond 500 ka BP and are interpreted to reflect continental wetness in the wider 501 northeast African region and the Arabian Peninsula (Fig. 10). The ODP 967 PCA index of rainfall and 502 aridity (PC2; Fig. 10) is based on trace element content (e.g., titanium) and sapropels and shows 503 distinct fluctuations in the strength and spatial extent of the ASM. The ODP 967 record thus provides 504 evidence for multiple "Green Sahara Periods". When compared to the stalagmite record of SAHPs, 505 some wet periods in the ODP 967 record coincide with SAHPs, such as during the early to middle 506 Holocene, MIS 5e, 7a, 9e and 13a (Fig. 10). There are also notable differences and wet climatic phases 507 in the ODP 967 PC2 record are not always consistent with SAHPs, such as MIS 6 or MIS 16. Adversely, 508 between 950-650 ka BP, the ODP 967 rainfall index is typically in a 'dry' mode while at least three 509 SAHPs occurred within MIS 17, 19 and 21. Likewise, the association between SAHPs and low dust 510 content in the ODP 721/722 core is not always evident. For instance, dust content is relatively low and 511 constant between MISs 12 and 16, suggesting rather humid climatic conditions between 425 and 675 512 ka BP. The discrepancy between dust and stalagmite records has been observed before (Fleitmann et 513 al., 2011) and could be related to regional variability, availability of dust and changes in wind direction 514 and strength.

Stalagmite Y99 $\delta^{18}O_{ca}$ values of all GIs are very similar and typically range from -7 to -11 ‰ (Fig. 9), indicating that the ASM was the dominant source of precipitation during all SAHPs. There are, however, differences in the degree of wetness between SAHPs as more negative $\delta^{18}O_{ca}$ values indicate higher ASM and ISM rainfall in Yemen and Oman. The boxplot (Fig. 9) of $\delta^{18}O_{ca}$ values of all Mukalla Cave stalagmites show that SAHPs 4, 5, 18, 19 and 20 exhibit the most negative $\delta^{18}O_{ca}$ values and are thus characterized by the highest monsoonal rainfall.

521 Y99 $\delta^{13}C_{ca}$ values range 2 to -8‰ (Fig. 9) and differences are apparent between SAHPs. As stated 522 above, these ranges are typical of C₄ environments above the cave assuming 'open system' conditions. 523 However, $\delta^{13}C_{ca}$ values can be influenced by various parameters such as vegetation type and density, 524 soil thickness and moisture, as well as atmospheric and other processes (McDermott, 2004; Rowe et 525 al., 2012). Moreover, deluge of thin soils at times of very high rainfall can lead to more positive 526 speleothem $\delta^{13}C_{ca}$ values due to rapid infiltration into the karst system and reduced interaction with 527 soil CO₂ (e.g., Bar-Matthews et al., 2003). Increased rainfall during SAHP 19-20 could have led to more 528 positive $\delta^{13}C_{ca}$ values. In contrast, reduced rainfall and increased interaction of percolating water with 529 soil CO₂ may have had the opposite effect, contributing to more negative $\delta^{13}C_{ca}$ values during SAHP 530 14-17. Due to the numerous controls on speleothem $\delta^{13}C_{ca}$, alterations of the principal determinant 531 can be expected over such a long period of time. Despite this, the overall range of $\delta^{13}C_{ca}$ values 532 indicates C₄ grasslands were present during SAHP VI-XVII. This shows that interglacial periods routinely 533 saw vegetation form in the now desert areas of southwestern Arabia.

534 <u>4.3 Hominin migrations</u>

535 4.3.1 Early-Middle Pleistocene

Estimates for the potential timing of hominin dispersals during the last few hundred thousand years
are mostly modelled on palaeoclimate conditions of East Africa (deMenocal, 1995; Shultz and Maslin,
2013; Maslin et al., 2014) or Eurasia (Muttoni et al., 2010; Kahlke et al., 2011). These models do not
consider whether and when the Saharo-Arabian desert was traversable. Yet the formation of so called

540 "green corridors" between sub-Saharan Africa, northern Africa and Eurasia created "windows of 541 opportunity" that would have been critical for hominin occupation and dispersal. Though, it is surely 542 more apt to consider these areas as "green landscapes" in which hominin populations inhabited -543 rather than a route to the 'other' side. Based on the timing of SAHPs and their close connection to 544 humid intervals in Northern Africa, we suggest that the Saharo-Arabian grasslands could facilitate 545 occupation and dispersal during MIS 31 (~1080 ka: SAHP 21), MIS 29 (~1014 ka: SAHP 20), MIS 28b 546 (~1000 ka: SAHP 19) MIS 27a (~982 ka: SAHP 18) MIS 25 (~955 ka: SAHP 17), MIS 21 (~850 ka: SAHP 547 16) and MIS 19 (~760 ka: SAHP 15) (Fig. 10). Frequent windows of opportunity take place between 548 SAHP 21 to SAHP 17 (MIS 31 to MIS 25), varying between 40-10 ka intervals. SAHP 21 to 18 are also 549 marked as some of the most negative $\delta^{18}O_{ca}$ values in Y99 (Fig. 8), indicating intense monsoon periods. 550 Succeeding this, SAHPs reduced in frequency, with ~100-70 ka intervals between SAHPs 17-13 (MIS 551 25-15e). These are also marked by increased $\delta^{18}O_{ca}$ values – indicating somewhat drier periods – and 552 reduced occurrence of Mediterranean sapropels (Fig. 10). This shift echoes the transition from ~40 ka 553 to ~100 ka glacial interglacial cycles, known as the Middle Pleistocene Transition (MPT) (Lisiecki and 554 Raymo, 2005; Railsback et al., 2015; Tzedakis et al., 2017). Thus, it is likely that the pattern of hominin 555 occupation of Arabia shift in line with this transition, with longer gaps between occupations phases. 556 However, we must emphasise that direct ages have not been attained for SAHPs 20-17 and 19-13, 557 meaning this argument is currently somewhat tentative. Attaining direct ages for these SAHPs should 558 be a target of future analysis.

The early appearance of *H. heidelbergensis* at Melka Kunture (Ethiopia) soon after ~875 ± 10 ka (MIS 21; Profico et al., 2016) and subsequent appearances in Eurasia is a key event in Early-Middle Pleistocene hominin evolution. SAHPs within this period provide potential timings for *H. heidelbergensis* dispersal, assuming an African origin for this species. While there is a paucity of absolute dating, Oldowan and Acheulean tool typologies have been uncovered in Southern Arabia (Chauhan, 2009; Groucutt and Petraglia, 2012 and references therein; Bailey et al., 2015; Bretzke et al., 2016). This suggests an additional behavioural adaptation was not required for Mode-1 or Mode2 bearing hominins to occupy Arabia, at least as represented by the lithic record, but occupation was
likely dependent on periods of ameliorated climatic conditions. Here, we have provided timings in
which the Arabian Peninsula was occupiable and traversable.

569 SAHPs during MIS 17 (~700 ka: SAHP 14), MIS 15e (~600 ka: SAHP 13), MIS 15a (~675 ka: SAHP 12), 570 MIS 13c (~530 ka: SAHP 11) and MIS 13a (~480 ka: SAHP 10) may have facilitated dispersals from 571 Africa. Y99 $\delta^{18}O_{ca}$ have positive (SAHP 14 and 13) and variable (SAHP 12, 11 and 10) values, indicating 572 somewhat drier or more variable climates, respectively. Nevertheless, these values are more negative 573 than Holocene values, demonstrating the climate was significantly wetter. As stated above, Oldowan 574 and Acheulean sites are distributed across western and Southern Arabia (Groucutt and Petraglia, 575 2012), most likely representing Lower Palaeolithic occupation. In particular, flint scatters from the Nafud – found in conjunction with grassland fauna – indicates hominin occupation of Arabia during 576 577 MIS 13 or 9 (Rosenberg et al., 2013; Stimpson et al., 2016; Roberts et al., 2018). While these SAHPs 578 facilitated occupation of Arabia, it is difficult to relate these to demographic changes in Eurasia due to 579 the persistent presence of Acheulean typologies since ~1.4 Ma (Moncel et al., 2015; Gallotti, 2016). 580 Nonetheless, future studies of Middle Pleistocene population dynamics in Eurasia may benefit from 581 consideration of SAHP timings.

582 *4.3.2 Early* H. sapiens *dispersal*

583 H. sapiens emerged as a distinct species in Africa during the Middle Pleistocene (Hublin et al., 2017; 584 Richter et al., 2017; Scerri et al., 2018b). Behavioural and anatomical modernity evolved gradually 585 throughout the later Middle Pleistocene and into the Upper Pleistocene (Mcbrearty and Brooks, 586 2000). Whilst *H. sapiens* dispersals during the Upper Pleistocene led to colonisation of Eurasia, it has 587 been suggested that *H. sapiens* may also have dispersed within the Middle Pleistocene (Breeze et al., 588 2016). This may be validated by a *H. sapiens* maxilla from Misliya Cave, Israel, dated between 194 and 589 177 ka (Hershkovitz et al., 2018; but see Sharp and Paces, 2018). Hershkovitz et al. (2018) suggested 590 a dispersal may have occurred during MIS 6e (~191-170 ka). Similarly, the recent identification of H.

sapiens at Apidima, Greece, ~210 ka (MIS 7) provides further support for earlier dispersals (Harvati et
al., 2019; but see Wade, 2019).

593 Travertine deposition in the Negev (Waldmann et al., 2010), increase rainfall in the Levant and Dead 594 Sea catchment (Frumkin et al., 1999; Bar-Matthews et al., 2003; Gasse et al., 2015; Torfstein et al., 595 2015), decreased RC09-166 $\delta D_{\text{leafwax}}$ (Tierney et al., 2017), and increased Saharan run-off (Williams et 596 al., 2015) indicate ameliorated conditions which may have facilitated H. sapiens dispersal within MIS 597 6e (~191-170 ka) (Breeze et al., 2016; Garcea, 2016). Lack of speleothem growth at Mukalla and Hoti 598 Cave and absence of lake formations (Rosenberg et al., 2011, 2012, 2013) indicate the tropical rain 599 belt did not migrate past 14°N during MIS 6. Moreover, absence of speleothem growth in the central 600 Negev (Vaks et al., 2010) also demonstrates that winter Mediterranean precipitation regimes were 601 not substantially enhanced during MIS 6e. Without these widespread changes in regional 602 precipitation, it is unlikely that green landscapes and inter-regional range expansion could have been 603 sustained.

604 We therefore suggest dispersals may have occurred during SAHP 5 and 6 (MIS 7a and 7e). Y99 $\delta^{18}O_{ca}$ 605 reveals SAHP 5 (MIS 7a) was as wet as SAHP 4 (MIS 5e: discussed below), and $\delta^{13}C_{ca}$ demonstrate a C₄ 606 biome flourished (Fig. 7). Moreover, SAHP 5 (~205-195 ka) corresponds to lake formations in northern 607 Arabia (Rosenberg et al., 2013), and the Sahara (Armitage et al., 2007, 2015), central ages of palaeosol 608 formation on the Sinai Peninsula (Roskin et al., 2013) and speleothem growth in the central Negev 609 (Vaks et al., 2010). Thus, not only did pluvial landscapes connect northern Arabia and the Levant 610 (Breeze et al., 2016), but corridors connected northern and Southern Arabia. While archaeological 611 evidence for such an early dispersal is currently very limited, recent dating of the Saffaqah 612 archaeological site demonstrates hominins occupied Arabia during MIS 7, with techno-cultural 613 similarities to Mieso (Ethiopia) archaeological assemblages (Scerri et al., 2018a). Further evidence is 614 required, however, taken with recent findings from the Levant (Hershkovitz et al., 2018) and southeastern Europe (Harvati et at., 2019), our data suggests MIS 7a enhancement of the monsoon domain
could have facilitated *H. sapiens* range expansion into Eurasia.

617 Furthermore, our data shows that Southern Arabia could have facilitated occupation by *H. sapiens* 618 shortly after their African emergence during MIS 9 (Hublin et al., 2017; Richter et al., 2017). 619 Considering recent discussions of the pan-African origin of *H. sapiens* (Scerri et al., 2018b), we suggest 620 that Arabia may have been frequently occupied by various H. sapiens lineages. The role of Green 621 Arabia as a habitat for early *H. sapiens* may therefore add to ongoing discussions concerning localized 622 adaptations and genetic flow between subdivided populations (Scerri et al., 2018b). The identification 623 of favourable conditions in MIS 7 and 9 will remain of interest if *H. sapiens* are not identified: i.e. what 624 prevented their expansion into the region at these times?

625 4.3.3 Late Pleistocene H. sapiens dispersal

626 The dispersal of *H. sapiens* during the Late Pleistocene is a topic of intense debate, with models 627 changing frequently with new fossil finds. Generally, there is an acceptance that formation of green 628 landscapes in the Saharo-Arabian desert belt facilitated dispersals during MIS 5e (~128-121 ka), MIS 629 5c (~104-93 ka) and MIS 5a (85-71 ka) (Bae et al., 2017; Groucutt et al., 2018; Rabett, 2018), which is 630 supported by the Mukalla and Hoti Cave records. During the last 130 ka, SAHP 4 (127.8 \pm 0.626 to 631 120.3 ± 0.399 ka) was the most intense pluvial period (Fig. 5), which is in good agreement with lake 632 records in Arabia (Rosenberg et al., 2011, 2012, 2013; Matter et al., 2015). Furthermore, $\delta^{13}C_{ca}$ values 633 demonstrate a grassland environment flourished in the now desert areas of Yemen (Fig 6), which is 634 corroborated by phytolith evidence from Jabal Faya, UAE (Bretzke et al., 2013). SAHP 3 (MIS 5c) and 635 SAHP 2 (MIS 5a) are consistent with intervals of lake formations in Arabia (Petraglia et al., 2012; 636 Rosenberg et al., 2011, 2012, 2013; Parton et al., 2018) during MIS 5c and 5a and It is clear that H. 637 sapiens occupied the now desert interior of Arabia within MIS 5a (e.g., Groucutt et al., 2018).

A subsequent dispersal is argued to have taken place during MIS 4-3 (Mellars, 2006, 2013; Shea, 2008;
Rohling et al., 2013; Langgut et al., 2018). A growing body of archaeological evidence shows *H. sapiens*

640 were present in Arabia during MIS 3 (Armitage et al., 2011; Delagnes et al., 2012; Jennings et al., 2016), 641 though occupation may have been limited to punctuated pluvial periods (Groucutt and Petraglia, 642 2012). Whether these could have facilitated more widespread dispersals is a subject of controversial 643 debate (Mellars et al., 2006; Groucutt et al., 2015a; Bae et al., 2017). The palaeoclimatic evidence for 644 increased rainfall during MIS 4/3 is variable between records. It has been argued that punctuated 645 humid intervals in northern Africa and Levant may have facilitated dispersal during MIS 4-3 (Hoffmann 646 et al., 2016; Langgut et al., 2018). However, the lack of speleothem growth in southern Arabia during 647 MIS 4-3 suggest that the ASM was considerably weaker and did not penetrate into the Arabian 648 Peninsula. Our assumption is supported by more positive $\delta D_{\text{leafwax}}$ values in Core RC09-166 from the 649 Gulf of Aden (Fig. 4), indicating lower monsoonal rainfall compared to MIS 5a or the early to middle 650 Holocene in the Horn of Africa and Afar regions. Additional supporting evidence comes from median 651 grain sizes in cores KL 11 (Red Sea) and KL 15 (Gulf of Aden) which are also larger and indicative of 652 more arid climatic conditions during MIS 4-3 (Fig. 4). In contrast, palaeolake formation in the Nafud 653 (northern Saudi Arabia) (Parton et al., 2018) and fluvial activity at Al-Quwaiayh, central Saudi Arabia 654 (McLaren et al., 2009) Yemen (Delagnes et al., 2012), and in Oman (Blechschmidt et al., 2009; Parton 655 et al., 2013, 2015; Hoffmann et al., 2015) have been dated to early MIS 3. Records in Southern Arabia 656 are not corroborated by speleothem growth at Mukalla Cave or Hoti Cave, indicating that the tropical 657 rain-belt was suppressed. This discrepancy may stem from the potential for fluvio-lacustrine and 658 alluvial records to record high intensity, but brief, storm and flooding events (e.g., Rosenberg et al., 659 2012; Hoffmann et al., 2015); whereas speleothems require prolonged and more substantial changes 660 in regional rainfall. Moreover, brief and intense storms currently occur under modern climates during 661 hot summers, which could mean MIS 3 alluvial records show precipitation regimes were not greatly 662 different than current conditions (Hoffmann et al., 2015). Taken together, MIS 3 precipitation may not 663 have been sustained or intense enough to have substantially impacted environments in Southern 664 Arabia. Despite archaeological evidence for MIS 3 occupation, our findings suggest that MIS 5 665 interstadials were 'climatic optima' for hominin dispersal; whereas H. sapiens dispersal opportunities

during MIS 3 may have been more limited or required different behavioral adaptations. If, however,
MIS 3 environments could not sustain dispersal (meaning MIS 3 populations can be related to groups
that entered during MIS 5), this implies *H. sapiens* persistence during MIS 4, a time with very limited
evidence for ameliorated conditions (Parton et al., 2015).

670 5. Conclusion

671 New ²³⁰Th and U-Pb dates for stalagmite Y99 from Mukalla Cave in Yemen allow to extend the 672 speleothem-based record of continental wetness back to 1.1 Ma BP. In combination with previously 673 published stalagmite records from Southern Arabia (Burns et al., 2001; Fleitmann et al., 2011), at least 674 twenty-one humid intervals with precipitation above ~300 mm yr⁻¹ developed in Southern Arabia, all 675 them occurred during peak interglacial periods. Of all SAHPs the early to mid-Holocene humid period 676 was the least humid period. Hydrogen and oxygen isotope measurements on water extracted from 677 stalagmite fluid inclusions indicate that enhanced rainfall during SAHPs resulted from an 678 intensification and greater range of the ASM and ISM. This assumption is further supported by the 679 presence of annual laminae in some stalagmites and nearly monthly-resolved oxygen and carbon 680 isotope measurements which indicate a strong seasonal climate during SAHP, with one rainy 681 (monsoon) season during SAHPs. While there is restricted archaeological evidence for hominin 682 occupation beyond 350 ka BP, these landscapes could have facilitated occupation of late H. erectus 683 populations. Subsequent SAHPs may have also facilitated the dispersals of early *H. sapiens* soon after 684 their emergence ~300 ka BP.

685

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693 Appendix A. Supplementary data

- 694 The following are the supplementary data to this article:
- 695 Fig. S1:
- 696 Fig. S2:
- 697 Fig. S3:
- 698 Tab. S1-S3:
- 699 Tab. S4-S5:
- 700 Tab. S5:
- 701 Tab. S6:
- 702 Tab. S7:
- 703 Tab. S8:
- 704 Tab. S9:
- 705 Tab. S10:
- 706 Tab. S11:

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1120 *Fig. 1. Map of the Arabian Peninsula with present day (1970-2000) annual precipitation (accessible at:* 1121 worldclim.org; Fick and Hijmans, 2017). Red circles denote Middle Palaeolithic archaeological sites 1122 (red circles; Bailey et al., 2015; Breeze et al., 2015; Groucutt et al., 2015a, 2015b; Jennings et al., 2015a; 1123 Petraglia et al., 2011; Rose et al., 2011). Dashed lines show potential hominin dispersal routes 1124 (Rosenberg et al., 2011). Also shown are caves (white cirlces; Bar-Matthews et al., 2003; El-Shenawy 1125 et al., 2018; Frumkin et al., 1999; Vaks et al., 2010); palaeolake sites (blue diamonds; Rosenberg et al., 1126 2011, 2012, 2013; Petraglia et al., 2012; Matter et al., 2015), marine records (green circles; deMenocal, 1127 1995; Fleitmann, 1997; Almogi-Labin et al., 2000; Larrasoana et al., 2003; Tierney et al., 2017), lake



- 1128 records (blue circles; Torfstein et al., 2015) and Mukalla and Hoti caves (hollow square and diamond,
- 1129 respectively; Burns et al., 1998, 2001; Fleitmann et al., 2003b, 2011).

- 1131 Fig. 2. (A) Stalagmite Y99 in situ in Mukalla Cave. (B and C) Y99 consecutive growth intervals (Fig. S1-
- 1132 S3). Location of ²³⁰Th and U-Pb ages marked by black (Fleitmann et al., 2011) and white (this study)
- 1133 circles. (D) Plots show $\delta^{18}O_{ca}$ shifts over discontinuities between GIs (Tab. S6 and S7).
- 1134



Fig. 3. ²³⁰Th ages of Hoti Cave and Mukalla Cave speleothems. Red dots denote new Y99 ²³⁰Th ages
determined for this study. Age kernel probability density plots of Hoti (blue; 5 pt. moving average) and
Mukalla (green) and green bars show periods of most likely speleothem deposition. These were used
to assign South Arabian Humid Periods (SAHP) 1-8.



1141 Fig. 4. (A) Location of speleothems (black circles), palaeolakes (yellow circles) and marine sediment 1142 cores from the eastern Saharo-Arabian deserts compared to simulated precipitation anomalies (MIS 1143 5e – pre-industrial: Herold and Lohmann, 2009). (1) Sapropel layers in the Eastern Mediterranean Sea 1144 (green diamonds; Williams et al., 2015; Grant et al., 2017) vs Peqiin Cave (red) and Soreq Cave (black: 1145 Bar-Matthews et al., 2003) δ^{18} O records; (2) Central Negev (black; Vaks et al. 2010) and Wadi Sannur 1146 (green; El-Shenawy et al. 2018) speleothem deposition periods compared to north (red; Petraglia et 1147 al., 2012; Rosenberg et al., 2013; Parton et al., 2018) and South Arabian lakes (blue; Rosenberg et al., 1148 2011, 2012; Matter et al., 2015); (3) Red Sea median grain size (Fleitmann, 1997); (4) age kernel density 1149 plots of Hoti Cave (blue; 5pt moving average) and Mukalla Cave (green) stalagmites; (5) Gulf of Aden 1150 median grain size (Fleitmann, 1997) and $\delta D_{leafwax}$ ‰ (Tierney et al., 2017); (6) Chinese reconstructed 1151 rainfall (Beck et al., 2018) vs Sanbao Cave composite speleothem $\delta^{18}O_{ca}$ record (Cheng et al., 2016); (7) 1152 Northern hemisphere June insolation at 15°N (Berger and Loutre, 1991, 1999) vs global marine 1153 $\delta^{18}O_{benthic}$ (Lisiecki and Raymo, 2005). Marine Isotope Stages follow the taxonomy of Railsback et al. 1154 (2015).





1156Fig. 5. Water isotope (δD_{Fl} and $\delta^{18}O_{Fl}$) values from stalagmites from Mukalla and Hoti Caves (Tab. S9).1157(A) Stalagmite Y99 δD_{Fl} and $\delta^{18}O_{Fl}$ values in comparison to δD and $\delta^{18}O$ in modern precipitation in

1158 Yemen (Al-ameri et al., 2014) and Ethiopia (IAEA/WMO, 2019. Global Network of Isotopes in

1159 Precipitation. The GNIP Database. Accessible at: https://nucleus.iaea.org/wiser). Black line denotes 1160 the Global Meteoric Waterline (G-MWL: $\delta D = 8 \delta^{18}O + 10$). Brown line denotes the Mediterranean 1161 Meteoric Waterline ($\delta D = 8 \delta^{18}O + 22$) (Gat and Carmi, 1970; Matthews et al., 2000; McGarry et al., 2004). (B) δD_{Fl} and $\delta^{18}O_{Fl}$ values H5 and H13 compared to regional precipitation values and meteoric 1162 1163 waterlines from Northern Oman (N-LMWL: $\delta D = 5.0 \delta^{18}O + 10.7$; Weyhenmeyer et al., 2000, 2002) and 1164 Southern Oman (S-LMWL: $\delta D = 7.2 \, \delta^{18}O + 1.1$; Weyhenmeyer et al., 2000, 2002). (C) Locations of 1165 Mukalla Cave and Hoti Cave relative to modelled $\delta^{18}O_{precipitation}$ values for boreal summer precipitation 1166 during MIS 5e (modified after Herold and Lohmann, 2009). Yellow circles mark the location of Mukalla 1167 and Hoti Caves.





1170 Fig. 6. (A) $\delta^{18}O_{ca}$ whisker-boxplot of Mukalla Cave and Hoti Cave composite records (new Y99 $\delta^{18}O_{ca}$ 1171 values combined with data from Fleitmann et al. 2011; Tab. S10). Numbers below whiskers denote

1172 sample labels and number of $\delta^{18}O_{ca}$ measurements Statistically extreme values marked as black circles.





1174

1175 Fig. 7. $\delta^{13}C_{ca}$ values of Mukalla Cave speleothems during SAHPs I-V (Tab. S11) compared to the LRO4

1176 stack δ^{18} O record (Lisiecki and Raymo, 2005).



1178 Fig. 8. (B) Sub-annual $\delta^{18}O_{ca}$ and $\delta^{13}C_{ca}$ values from a MIS 5e section of stalagmite H13 (A) from Hoti

1179 Cave (Tab. S8). Shaded blue areas and numbers mark the monsoon seasons. (C) Mukalla Cave (blue

- 1180 *circle) and Hoti Cave (red circle) mapped to modelled MIS 5e winter and summer precipitation anomaly*
- 1181 (compared to pre-industrial) (Gierz et al., 2017).



1182
1183Age (Ka)1183Fig. 9. 230 Th (Tab. S1-S3) and U-Pb (Tab. S4 and S5) ages for stalagmite Y99 compared to the LR04 stack1184 $\delta^{18}O$ record (Lisiecki and Raymo, 2005) and extended $\delta^{18}O_{ca}$ and $\delta^{13}C_{ca}$ records of Mukalla Cave1185stalagmites (Y97-4, Y97-5 and Y99). Undated Y99 growth intervals were assigned to intermediate1186interglacials and warm substages. Green bars denote timing of SAHPs (South Arabian Humid Periods).1187Marine Isotope Stages follow the taxonomy of Railsback et al. (2015).



Fig. 10. SAHPs (green bars) and palaeoclimate records. (Eastern Mediterranean) ODP 967 sapropels (black = identified, red = 'ghost') and wet/dry PCA model (Grant et al., 2017); central Negev desert speleothem ages (Vaks et al., 2010); northern and Southern Arabian palaeolake ages (Rosenberg et al., 2011, 2012, 2013; Matter et al., 2015; Parton et al., 2018) and Mukalla Cave $\delta^{18}O_{ca}$ values; ODP 721/722 terrigenous dust (deMenocal, 1995); EASM reconstructed rainfall from Chinese ¹⁰Be_{loess} (Beck et al., 2018); NHI insolation (W m⁻²) at 15°N (Berger and Loutre, 1991, 1999) and LRO4 stack

- 1195 for a minifera $\delta^{18}O_{benthic}$ (Lisiecki and Raymo, 2005) and Marine Isotope Stages following the taxonomy
- *of Railsback et al. (2015).*