

Model-based optimization of agricultural profitability and nutrient management: a practical approach for dealing with issues of scale

Article

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27

28 **Abstract** To manage agricultural landscapes more sustainably we must understand and quantify
29 the synergies and trade-offs between environmental impact, production and other ecosystem
30 services. Models play an important role in this type of analysis as generally it is infeasible to test
31 multiple scenarios by experiment. These models can be linked with algorithms that optimise for
32 multiple objectives by searching a space of allowable management interventions (the control
33 variables). Optimisation of landscapes for multiple objectives can be computationally challenging,
34 however, particularly if the scale of management is typically smaller (e.g. field-scale) than the scale at
35 which the objective is quantified (landscape scale) resulting in a large number of control variables
36 whose impacts do not necessarily scale linearly. In this paper, we explore some practical solutions to
37 this problem through a case study. In our case study we link a relatively detailed, agricultural landscape
38 model with a multiple-objective optimisation algorithm to determine solutions that both maximise on
39 profitability and minimise greenhouse gas emissions in response to management. The optimisation
40 algorithm combines a non-dominated sorting routine with differential evolution, whereby a
41 “population” of 100 solutions evolve over time to a Pareto optimal front. We show the advantages
42 of using a hierarchical approach to the optimisation, whereby it is applied to finer scale units first (i.e.
43 fields), and then the solutions from each optimisation are combined in a second step to produce
44 landscape-scale outcomes. We show that if there is no interaction between units then the solution
45 derived using such an approach will be the same as the one obtained if the landscape is optimised in
46 one step. However, if there is spatial interaction, or if there are constraints on the allowable sets of
47 solutions then outcomes can be quite different. In these cases, other approaches to increase the
48 efficiency of the optimisation may be more appropriate – such as initialising the control variables for
49 half of the population of solutions with values expected to be near optimal. Our analysis shows the
50 importance of aligning a policy or management recommendation with the appropriate scale.

51

- 52 Keywords Landscape modelling, trade-offs, synergies, environmental impact, multiple-objective
53 optimisation

54 **Introduction**

55 Agricultural landscapes provide our food, contribute to the way natural resources are managed, and
56 provide areas for recreation and public wellbeing (Westmacott and Worthington 2006). Pressures to
57 increase food production have led to many unsustainable agricultural practices which can degrade the
58 soil, reduce water quality, increase the likelihood of flooding, impact biodiversity and result in the
59 emissions of greenhouse gases (Bennett et al. 2009; Seppelt et al. 2016; Tilman et al. 2002). Mitigating
60 anthropogenic impacts on the environment and global food security are hence two major challenges,
61 and identifying and exploiting synergies between these should result in social, economic and
62 ecological benefits (Cramer et al. 2017). Sound landscape management strategies are therefore
63 essential for the long-term sustainability of agriculture, and so it is not surprising that there is an
64 increasing amount of research into how we should manage agricultural landscapes to fulfil multiple
65 objectives aligning to production and environmental quality (Kennedy et al. 2016; Groot et al. 2018;
66 Verhagen et al. 2018; Fischer et al. 2017; O'Farrell and Anderson 2010). This ambition, however,
67 inevitably involves trade-offs between conflicting objectives (Howe et al. 2014).

68 In much of the research done on landscape design and management, a recurring theme is the
69 need to understand and quantify the synergies and trade-offs between environmental impact,
70 production and other ecosystem services (Gourevitch et al. 2016; Howe et al. 2014; Kennedy et al.
71 2016). Approaches that rely on data and measurement are hampered by the fact that it is often
72 infeasible to experiment at the scales (both spatial and temporal) appropriate to how best to manage
73 landscapes. Not surprisingly therefore, computer simulation models have an important role to play in
74 filling the large gaps between what we need to know and what is available from measurements. Many
75 approaches rely on scenario analysis whereby various management strategies or policies are tested
76 through simulation. A second approach, which we explore here, is to link a model that describes the
77 impact of management on an agricultural landscape with an optimisation algorithm, and so determine
78 the sets of inputs to the model (known as “the control variables”) that maximise the desired outcomes

79 in the model. These outcomes are framed as an “objective function” and could be any combination
80 of profit and measures of environmental impact. The optimisation algorithm seeks to maximise (or
81 minimise) the objective function by efficiently searching the allowable ranges of the control variables.
82 Linking models of ecosystems services with optimisation algorithms to elucidate mechanisms to fulfil
83 multiple objectives is becoming increasingly popular. Kennedy et al. (2016) used models of agricultural
84 profit, biodiversity and freshwater quality linked to an optimisation algorithm to investigate trade-offs
85 under various land use scenarios. Their objective function was formed from a weighted sum of the
86 individual objectives. They demonstrate the advantages of considering multiple objectives when
87 optimising landscape management strategies, over optimisation based on production or profit alone.
88 Their analysis showed that through joint planning for economic and environmental goals at a
89 landscape-scale, Brazil's agricultural sector could expand production and still meet regulatory
90 requirements, while maintaining biodiversity and ecosystem service provision. Others have advocated
91 the use of multi-objective optimisation, whereby the optimisation algorithm is used to determine
92 Pareto optimal fronts of multiple objectives. The Pareto front describes the trade-off between
93 objective variables such as yield and biodiversity where it is not possible to improve outcomes for one
94 variable without impacting another adversely. For example, Verhagen et al. (2018) present a multi-
95 objective optimization of on- and off-farm agri-environment measures to maximise fruit production,
96 potential habitats for endangered species, and landscape aesthetics whilst minimising loss of pasture
97 production. The models that they use include lookup tables as well as more complex approaches.
98 Groot et al. (2018) present a landscape modelling framework for multi-scale spatially explicit analysis
99 of trade-offs and synergies among ecosystem services. They include a multiple objective optimisation
100 to determine trade-offs between ecosystems services that may be estimated from simple
101 relationships or more complex models. Teillard et al. (2017) apply multiple objective optimization to
102 determine how the spatial planning of agricultural intensity allocation could improve on both food
103 production and the diversity of farmland birds on a national scale. Their optimisation considers the

104 whole of France with control variables applied at the scale of small agricultural regions(590 regions
105 were used in the analysis).

106 A challenge that frequently arises in model-based optimisation of landscape management relates
107 to the scale at which the control variables should be applied. Typically, decisions on landscape
108 management are taken at relatively fine scale: field scale or finer, whereas the objectives we wish to
109 optimise are at the scale of the landscape. This discrepancy in scale can lead to an intractably large
110 number of control variables. For example, if we consider the management of fertilizer on a field-by-
111 field basis across a landscape, (even without consideration of any other control variable), the number
112 of fertiliser controls can be or the order of hundreds to thousands. This number of control variables
113 makes convergence to an optimal solution unlikely. In this study, we have explored some practical
114 solutions to such problems where there is a discrepancy between the scale of implementation of a
115 control and the scale of a desired outcome. To explore these, we linked a relatively detailed model
116 that describes an agricultural landscape (Coleman et al. 2017) with a multiple objective optimisation
117 algorithm. The example that we consider is how to manage a landscape for improved nutrient use
118 efficiency (i.e. reducing nutrient losses through greenhouse gases and leaching whilst maintaining
119 good productivity across the landscape). Here we consider the implications of taking a hierarchical
120 approach to this type of problem, whereby we optimise the management decisions made on a field-
121 by-field basis first, and then combine these in subsequent steps. We explore the conditions under
122 which such an approach would be beneficial, and where it would not. We work with a simulated
123 landscape based on a 1km x 1km square of arable land in the UK, and demonstrate that our approach
124 can provide solutions to this large-scale problem. In particular, we explore the implications such an
125 approach has when our landscape has substantial spatial interaction or when there are conditions (or
126 constraints) on the allowable set of solutions. We also consider approaches that may improve on the
127 rate of convergence of our optimisation. We conclude with some broad recommendations and discuss
128 how more complex scenarios could be approached.

129

130 **Method**

131 We linked the Rothamsted Landscape model (RLM) with a multiple objective optimisation algorithm
132 to explore practical approaches to scaling up model-based optimisation of landscape management.
133 We start by describing the model and case studies before going on to describe the optimisation
134 algorithm and the strategies we investigated to more efficiently explore the search space for optimal
135 management.

136

137 Landscape model

138

139 The Rothamsted Landscape model, RLM, (Coleman et al. 2017) simulates the effect of fertilizer
140 management on profit (calculated as the difference between income from yield and the costs
141 associated with fertilizer and its application), yield and the environment. This model operates at a
142 daily time step and simulates the essential processes of soil, water, crop growth and biodiversity for
143 agricultural landscapes in the UK (Fig. 1). The crop model is a generic plant growth model based on
144 LINTUL (Wolf 2012; Shibu et al. 2010). The model has been parameterised for 20 crops including major
145 cereal crops, grass, potatoes sugar beet, and onions. The RLM also has an arable weed component
146 that simulates 136 weed species (Metcalf et al. 2019).

147 The simulation of soil-water dynamics uses a capacity based approach (Addiscott and Whitmore 1991)
148 where the capacity of each layer depends on soil texture, soil organic matter and bulk density. Water
149 is available for crop uptake and is lost through percolation, runoff, evaporation and transpiration. The
150 soil organic carbon (SOC) dynamics are based on the Rothamsted carbon model, RothC, (Coleman and
151 Jenkinson 2014) Soil organic nitrogen (SON) and soil organic phosphorus (SOP) are modelled in a
152 similar way to the SOC dynamics, both SON and SOP have the same pool structure as the active SOC

153 pools. Soil mineral nitrogen comprises ammonium (NH_4^+) and nitrate (NO_3^-) and is input through
154 atmospheric deposition, and inorganic fertilizer application as well as mineralisation from soil organic
155 matter. When organic amendments are added, N enters the soil inorganic nitrogen pools by
156 mineralisation. Mineral nitrogen may be taken up by the crop and is lost through runoff, leaching (
157 NO_3^- only) and emissions from the soil. Mineral phosphorus is added as fertilizer may be taken up by
158 the crop and can be lost through runoff. Full details are given in Coleman et al. (2017).

159

160 The RLM is spatially explicit. This is achieved by considering the area to be modelled as a grid
161 of cells where each cell represents a field or part of a field (depending on the scale of interest). To
162 initialise the landscape, soil properties are set in each cell and the soil water content of each cell is set
163 to field capacity. Within each cell, we model crop growth, the dynamics of soil water, SOC, SON, SOP,
164 changes in bulk density and nutrient (i.e. inorganic N and P) flows on a daily time step. Water and
165 nutrients can move laterally between cells as runoff, as well as vertically through the soil profile, as
166 drainage. The landscape model is modular with the main infrastructure (calls to subroutines and data
167 handling) written in C++ and other modules (crop growth, soil and water processes, weed dynamics
168 and livestock) written in either Fortran or C++.

169

170 Modelled landscape scenarios

171

172 To explore the basic principles of scaling up the optimisation to landscape scale, we considered three
173 different scenarios. Each scenario was run over nine seasons. First, we considered a simple 1x2 grid
174 with no spatial interaction and a crop of continuous winter wheat in both cells. We assumed each grid-
175 cell to be of size 100m x 100m (which equates to 1ha). The soil properties for each cell were based on
176 the soil found in two fields in Silsoe, Bedfordshire, UK, which we examined in a previous study (Lark

177 et al. 2004). We chose these soils because they are contrasting yet found close to one another, making
178 our simplistic scenario plausible yet diverse enough for optimal solutions to vary between cells. The
179 soil conditions for the two fields are shown in Table 1. The model requires initial conditions for soil
180 properties three layers deep, but we only had measurements for the top layer (Table 1). We based
181 the soil conditions for the other two layers on some broad assumptions. We assumed that the sand,
182 silt, clay, and pH took the same value throughout the three layers. We assumed that the organic
183 carbon in the second (23–46cm) and third (46–69cm) was 50% and 25% of the value for the top layer
184 respectively. The bulk density for layers 2 and 3 was estimated using the (Rawls 1983) nomogram
185 which uses values of texture and organic carbon to estimate bulk density. As our aim was to simulate
186 plausible field conditions, and not specifically evaluate the two fields from Silsoe, we considered these
187 assumptions acceptable. The second scenario was identical except that this time we assume that there
188 is a 5% slope and that water and nutrients flow laterally from “Field 2” to “Field 1” from where it runs
189 off and is accounted for in the drainage water.

190 In our third scenario, we consider a more realistic landscape using a larger 10x10 grid (cell size
191 100m x 100m) which is based on a 1 km x 1 km area of the UK in cereal production. For this scenario,
192 we assume that each field is in a three-year or six-year rotation somewhat typical of a rotation found
193 in the UK (wheat–beans–wheat–barley–wheat–oilseed rape or wheat–wheat–oilseed rape). The
194 point in the rotation that each field is started with varies across the landscape (see Fig. 2). Although
195 we had information on the topography of this area of the UK, we did not have detailed information
196 on soil type. We therefore assumed that the soil properties had a similar range to those we used in
197 our 1x2 grid and allowed the properties to vary in relation to elevation with lighter sandier soils
198 associated with higher cells and heavier soils associated with lower points.

199

200 The Optimisation Algorithm

201

202 We coupled the simulation model with an optimisation algorithm to determine Pareto optimal fronts
203 between multiple objectives defined in terms of outputs from the model. For each management unit
204 (e.g. field), the control variables comprised the amount of inorganic N-fertilizer applied, the amount
205 of inorganic P- fertilizer applied and the amount of organic amendment, farmyard manure (FYM),
206 applied. Because these are control variables, we do not fix the amounts of fertilizer a- priori as one
207 would in scenario analysis, rather we let the optimisation algorithm search the allowable space for the
208 amounts that optimise the objective function. In the optimisation, fertilizer-N can be applied on any
209 of nine dates starting from the sowing date or the 14th February (whichever is later) and then every
210 ten days after. This is a pragmatic way to include variable timing in the optimisation, without explicitly
211 adding timing as an additional control variable (Parsons and Beest 2004), as we expect that many of
212 the nine application rates will be zero. The timings of fertilizer-P and FYM are fixed to a week before
213 sowing and the sowing date, respectively. The N fertilizer variables were bounded between 0 and 300
214 kg N ha⁻¹ per application, P fertilizer between 0 and 100 kg P ha⁻¹, and the FYM between 0 and 3 t C
215 ha⁻¹. So that our results are straightforward to interpret, we restrict the number of objectives to two:
216 profit (£ ha⁻¹) and nitrous oxide emissions (expressed in kg CO₂-equivalent ha⁻¹ year⁻¹ where we
217 assume a conversion factor of 298 CO₂ to N₂O).

218 The profit function is calculated as sum of the yield multiplied by the price of the crop each
219 season, minus the total cost of applying fertilizer, which is made up of an application cost (£ per
220 application) and the price of the N and P applied (£). This is divided by the number of seasons (9 as
221 stated above) to give the average profit. In the simulations shown here FYM is assumed to be free but
222 does incur an application cost.

223 The optimisation algorithm that we used combines a non-dominated sorting routine from
224 NSGA-II (Deb et al. 2002) with differential evolution (Storn and Price 1997). These algorithms were
225 coded in C++ and linked directly to the RLM code. Our aim is to use the optimisation algorithm to
226 define a Pareto front of optimal solutions. For this we maintain a population of 200 solutions. Initially,

227 the optimisation algorithm randomly generates values for the control variables for each member of
228 the initial population. In our case this is 200 sets that define the rates on N, P and FYM fertilizer to be
229 applied. These management strategies are then implemented in the model resulting in 200 sets of
230 values for the optimisation objective function (in our case profit and greenhouse gas emissions). The
231 non-dominated sorting identifies the options that result in the 'best' 100 objectives, i.e. those that are
232 non-dominated in the sense that no other point has both a greater profit *and* a lower rate of emissions.
233 A point is said to be dominated by another if it is worse for every single objective (for example, for a
234 two-dimensional Pareto front describing the trade-off between profit and greenhouse gas emissions
235 a scenario whereby profit was p_1 and emissions were g_1 would be dominated by another if $p_2 > p_1$
236 and $g_1 > g_2$ where p_2 and g_2 represent the profit and emissions from the second scenario). The
237 differential evolution algorithm then combines aspects of the management options that led to non-
238 dominated objectives (i.e. takes two sets of control variables and swaps some of the elements
239 between the two), along with some randomisation to identify new management options that could
240 potentially perform even better and forms a new population of 200 from which the best 100 are again
241 selected. The process is iterated in directions that the differential evolution algorithm suggests will be
242 an improvement, until the results converge and produce a similar Pareto front with each iteration.

243

244 Landscape optimisation Strategies

245

246 We compared four strategies for optimising landscape units for our 1x2 grid scenarios. In the
247 first approach (Strategy 1), we optimised the landscape units separately and produced Pareto frontiers
248 for each landscape unit. These frontiers were then combined in a second step to produce an optimal
249 frontier for the landscape (Todman et al. 2019). Any interaction between the two units was therefore
250 neglected. In the second approach (Strategy 2), we assumed that the same fertilizer management
251 should be applied to all landscape units and optimised accordingly (that is to say, the landscape was

252 optimised at a larger scale). In the third approach (Strategy 3), we optimised the landscape in one
253 step, assuming that each unit was managed separately. For this third approach we started the
254 optimisation with a population set where the control variables were generated randomly. In our
255 fourth approach (Strategy 4) we initialised half of the population of controls using the solutions
256 generated when we optimised the units separately. In control theory terms, we “seeded” part of our
257 population of controls with values likely to be near optimal. We also explored the difference between
258 sets of solutions generated using Strategies 1 and 3 when a condition that the amount on maximum
259 amount of N that could leach (an arbitrarily set threshold of 20 kg N ha⁻¹) was imposed on the
260 allowable set of solutions. For each approach, we determined the number of iterations before the
261 solution converged and the time taken for convergence. Based on our findings from this investigation,
262 we applied the optimisation to the larger more realistic 10x10 landscape.

263

264 **Results**

265

266 Optimisation without condition on the maximum amount of N leached

267

268 The number of iterations for the solutions to converge and the times taken are shown in Table 2. We
269 note that the absolute times to converge depend on the computer hardware, but the relative lengths
270 of timings are informative. The time taken for the two single fields to converge, was less than half of
271 that taken for the two-cell grid to converge. When the population of solutions was partially initiated
272 with solutions from the single cell optimisations this time reduced to be similar to that taken for the
273 single cell optimisation. However, the time to optimise the single cells should be also accounted for
274 in this scenario.

275 There was no substantial difference in the time taken for the 1 x 2 grid with spatial interaction to
276 converge compared with the time taken for the grid without spatial interaction.

277 The time for the case where management is assumed to be the same across the 1x2 landscape was
278 similar, to the single cell solutions. Based on these results we optimised our 10 x 10 cell landscape
279 using solutions from single cell optimisations to initialise half the population of solutions. The other
280 half of the population was initialised randomly. We found that the population of solutions were able
281 to converge to a frontier, although this took a substantial amount of time (see Table 2).

282 The optimised solutions for the two separate fields show distinct populations (Fig 3) that
283 relate to various types of fertilizer treatments. In both fields, there is a population of solutions where
284 only P fertilizer is applied (shown in green). These solutions are characterised by low profit and low
285 emissions. In fact, in these solutions applying P fertilizer is not cost effective and only has advantage
286 because the slight increase in yield that it causes results in more N going into the plant and so less lost
287 as N₂O emissions. The populations shown in blue related to solutions where only fertilizer-N is applied.
288 Increasing N fertilizer results in larger and more profitable yield, but emissions of N₂O increase. Field
289 1 has an additional population of solutions (shown in orange) these relate to applications of FYM. This
290 source of fertilizer is cheaper than mineral N so gives greater profit in Field 1 but also result in greater
291 emissions. There are no equivalent sets of solutions for Field 2. This difference is due to the soil. The
292 soil in Field 1 has a greater content of clay and so additions of FYM have greater impact on improving
293 the bulk density of the soil and hence water holding capacity than Field 2. The crop, therefore, suffers
294 less water stress. The optimised solutions for the 1x2 grids are shown in Fig. 4–6. Combining the two
295 sets of optimal solutions shown in Fig. 3 gives the set of solutions shown in Fig. 4. If there is no
296 interaction between fields, the Pareto optimal frontier of this set of solutions is the same that is given
297 by optimising the landscape as a whole (shown by the black discs in Fig. 4) i.e. the solution of a problem
298 with, in this case, twice as many control variables. If, however, there is interaction between the
299 landscape units (i.e. fields) then the two-step optimisation process does not reach the same solution

300 as when the landscape is optimised in one stage (Fig. 5). We also optimised the landscape with the
301 assumption that management was uniformly applied (Fig 6). Not surprisingly, improvements in both
302 emissions and profit can be made if the control is allowed to vary at the finer scale (single cell) rather
303 than be uniformly applied across soils that are substantially different. The improvements, however,
304 are small for the solutions that relate to mineral nitrate application (on average £30 ha⁻¹ year⁻¹ and 30
305 kg CO₂ eq ha⁻¹ year⁻¹) compared with the solutions where FYM or P-fertilizer is applied. In particular,
306 the two solutions with the largest emissions derive from occasions where FYM is applied in both fields.

307

308 Optimisation with constraints

309 When the constraint was imposed at the larger scale (i.e. when the cells were optimised together
310 rather than separately and then the solutions merged) more solutions were viable (Fig. 7) as N leached
311 in from one cell could be compensated for by smaller losses from the other cell. In particular, this
312 affected the profitability that could be achieved with the given constraint.

313

314 Optimisation of 10 x 10 cell landscape

315

316 The 10 x 10 cell grid converged to a frontier with similar (but less distinct) populations of solutions to
317 that observed for the 1x2 grid (Fig. 8). That is to say, there was a distinct set of solutions that related
318 to P-fertilizer only, which were characterised by low emissions and small profit. A second cluster was
319 characterised by moderate rates of N- and P-fertilizer but little to no FYM. The final set solutions
320 comprised solutions with larger additions of all fertilizer types.

321

322 **Discussion**

323 Optimisation of landscapes for multiple objectives is complex particularly if the management controls
324 available are applied at fine scale, for example, field scale management. In such cases, the number of
325 control variables can become infeasibly large and it may no longer be possible to use an optimisation
326 algorithm. We have explored some practical solutions to approach such a difficulty.

327 One way to reduce the number of control variables used in any single optimisation step is to
328 take a hierarchical approach whereby the optimisation is applied to finer scale units, for example field
329 scale, and then the solutions from each optimisation are combined in a second step. We show that if
330 there is no interaction between units then the solution derived using such an approach will be the
331 same as the one obtained if the landscape is optimised in one step, provided of course that neither
332 approach gets stuck in a local minimum. A hierarchical approach could also be used if the number of
333 control variables within each spatial unit is large. In this case the control variables could be grouped
334 into sub-groups such that the expected interaction between the control variables within each sub-
335 group is large and the interaction between the sub-groups of control variables is minimal. The
336 advantages of the hierarchical approach are clear: the number of control variables used to determine
337 the solution of a single unit is far fewer and the search space is therefore far less complex meaning
338 that the chances of getting stuck in a local minimum are greatly reduced. Secondly the process of
339 optimising the landscape can be parallelised reducing the time taken to reach a solution.

340 A second strategy is to apply the control variables at a larger scale than an individual unit. We
341 showed that this had clear advantages in the time taken to converge to a solution and can reduce
342 complexity enormously. To use this strategy wisely, some form of pre-clustering algorithm should be
343 applied to the landscape to group similar landscape units together and apply the controls at the scale
344 of these groupings.

345 The problem is less straightforward if there are interactions between cells. In these cases, the
346 optimal solution discovered using the hierarchical approach is likely to come to a different solution
347 compared with the one found when the landscape is optimised in one step. As we demonstrate, there

348 is also an issue with the hierarchical approach if we apply conditions on the set of allowable solutions
349 at a scale greater than the size of the unit that we optimise. In the example that we consider, we
350 imposed a condition that N leaching could not exceed a specified limit per hectare. If this limit is
351 imposed at the scale of the field (or unit cell) then we miss solutions that exploit the opportunity to
352 exceed the limit in certain cells, compensating for this by imposing much lower levels than the
353 threshold in others. This is analogous to imposing a regulation on water quality at catchment scale
354 despite the fact pollutants are generally managed at field scale.

355 Where it is not possible to take a hierarchical approach to the optimisation, it may be
356 advantageous to strategically “seed solutions”. This is particularly appropriate with the genetic
357 algorithm that we used as it is possible to pre-populate a proportion of the solutions leaving the
358 remaining solutions random and hence maintaining the potential for a broad group of optimal
359 solutions. In our case, we pre-seeded 50% of our controls with values that led to optimal solutions in
360 the individual units. Because we seek to optimise multiple objectives, we needed to ensure that these
361 composite sets were similarly sorted from objectives that favoured lower emissions to those that
362 favoured profit so that the composite solutions were closer to the feasible frontier than one we might
363 expect from random. This approach, admittedly has drawbacks. It is time consuming to set up the
364 initial solution set, and such a construction is more likely to lead the algorithm to get stuck in local
365 minima compared with truly random initial conditions. This risk, however, could be minimised by using
366 different seeding strategies such as using a small percentage of seeded solutions, or seeded from
367 partial solutions (e.g. with the controls for one spatial unit, but with randomised controls for all other
368 spatial units). Further options for this initial population could also be developed based on the ideas of
369 stakeholders or by generating possible scenarios, as has been done elsewhere (Hu et al. 2015). Here,
370 however, we demonstrated that a simple seeding approach can make it possible to optimise relatively
371 large and complex landscape units.

372 In the case study we considered we looked at two objectives to simplify our exposition,
373 however it is straightforward to include more. With this particular model we can include up to six. The
374 objectives may be synergistic, whereby an improvement in one is positively correlated with another,
375 or more interestingly there maybe trade-offs between pairs of objectives. The two that we chose to
376 use demonstrate a trade-off between production and environment – with little obvious synergy. To
377 increase profit we must fertilize (accepting there is some economic optimum) but this is often to the
378 detriment of the environment. However, one interesting interaction picked up by the model was that
379 if we increase P-fertilizer, potential yield can increase allowing more N to be taken up by the plant
380 resulting in smaller N₂O emissions; however, the application of P was not cost effective in this case.
381 This relationship between yield potential and fertilizer demand is widely acknowledged (Kindred et al.
382 2015). Hughes et al. (2011) observed that the use of crop protection chemicals reduces greenhouse
383 gas emissions per unit N applied. The practical message for farmers is that alleviating limitations on
384 yield potential increases nutrient use efficiency which can lead to larger yields and reduced N-losses.

385 Interestingly, the clustering solutions as described by Todman et al. (2019) shows that they
386 fall into two or three different fertilization strategies (depending on soil type) that group somewhat
387 along the trade-off curve (i.e. result in similar outcomes). This demonstrates the power of the
388 optimisation approach, in that it elucidates clear patterns which are helpful when evaluating
389 environmental response to management. In particular, we saw that on the clay soil additions of FYM
390 can increase yield substantially but at the cost of increased emissions. This highlights the potential for
391 increasing the value of the objectives by allowing for finer-scale management solutions (as illustrated
392 by Fig. 6), and the importance of aligning management recommendation with the appropriate scale.
393 Indeed, there is potential for model-based optimisation (such as that presented here) to aid farmers
394 in decisions related to resource allocation to maximise nitrogen use efficiency.

395 We also showed that the scale at which a constraint or condition is applied can have a large
396 impact on the sets of allowable solutions (Fig. 7). This has implications for policy as it demonstrates

397 the importance of aligning a policy with the appropriate scale. Policy-makers might relax the
398 requirement for water draining from each field to be of satisfactory quality if aggregate water from
399 several fields meets standards. In practical terms, our analysis revealed that there is potential value in
400 devising policy restrictions where cooperation is both allowed and encouraged. Indeed, model-based
401 landscape optimisation, offers a key tool for policy to determine where cooperation and more flexible
402 approaches to regulatory mitigation strategies could enhance the multiple objectives we seek to fulfil
403 with landscape management.

404 The methodology described here can be extended to explore the implications of landscape
405 management on wider sets of ecosystems services and natural capital: in particular provisioning (food
406 production and fresh water), regulating services (climate, flood, pest and disease regulation, and
407 pollination), and biodiversity. We can capture these facets as objectives in our optimisation producing
408 a multidimensional surface on which each point represents a set of management options that are
409 optimal in some way. Whilst, infield management of crop pests might aim to reduce some aspects of
410 biodiversity (for example weed control), at the larger landscape scale we typically aim to enhance
411 biodiversity. Indeed, there is a growing interest in the role of biodiversity and the services it generates
412 such as natural crop protection as well as its role in cultural ecosystems service provision (Letourneau
413 et al. 2009).

414 Crop choice (including grazing systems) and the associated concepts of in-field rotation are
415 the key drivers of landscape outcomes (production and environmental impacts) and so offers an
416 obvious yet complex set of control variables (Dury et al. 2012; Sethi et al. 2006). Varying crops
417 intelligently in the landscape, including some sort of set-aside to enhance biodiversity, should work
418 well cooperatively where a high-yielding but polluting crop is matched with poorer-yielding but
419 cleaner companion. This concept sits uncomfortably with modern pressures and ways of working,
420 however, such as block cropping and contract management that deliver economies of scale. In
421 practice, rotation may remain a stratagem that continues to deliver sustainability over time. Model-

422 based optimisation of such a problem could offer great insights but the complexity is enormous and
423 so developing a hierarchical approach, similar to that described here would almost certainly be
424 essential.

425

426 **Conclusion**

427 Model-based landscape optimisation is hampered by the fact that management interventions occur
428 at a relatively fine-scale meaning that the number of control variables can become intractably large.
429 We show that if there is limited interaction between spatial units (e.g. fields) then a hierarchical
430 approach, whereby the optimisation is applied to finer scale units before being combined in a second
431 step, can be used to advantage. If there are spatial interactions between units or constraints are
432 applied at the landscape scale, then this approach may not be appropriate. Model-based landscape
433 optimisation can reveal opportunities for more efficient management by farmers and for
434 improvements to policy interventions aimed at mitigating the environmental impacts of landscape
435 management.

436

437 **References**

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549 **Table 1** Soil properties for the topsoil (0-23cm) of the fields 1 and 2. Here sand has a particle size
 550 distribution between 2000-60 μm , silt is between 60-2 μm , and clay is <2 μm .

	Soil - type	Texture			Organic C	pH	Bulk density
		Sand	Silt	Clay			
		%	%	%	%		(g cm ⁻³)
Field 1	Clay	9.8	14.3	75.8	2.49	7.6	1.231
Field 2	Sandy loam	68.0	17.9	14.2	0.96	6.0	1.337

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553 **Table 2** Time taken for the optimisation to converge and the number of iterations before convergence
 554 was achieved. Scenario 1 is a 1x2 grid of cells with no spatial interaction, Scenario 2 is a 1x2 grid of
 555 cells with lateral flow (i.e. spatial interaction), Scenario 3 is a 10x10 grid with spatial interaction.
 556 Strategy 1 is where the optimisation is applied to individual cells and solutions combined post-hoc,
 557 Strategy 2 assumes that management (controls) is applied uniformly across all cells, Strategy 3
 558 optimises the whole grid assuming that management may vary from cell to cell and Strategy 4 is the
 559 same as Strategy 3 but with the initial conditions of the control variables partially defined by the
 560 results from single cell optimisations.

	Number of control variables	Number of iterations to convergence	Time taken to converge
Single cell field 1	11	48	32 mins, 16 secs
Single cell field 2	11	70	46 mins, 27 secs
Scenario 1 with Strategy 1	22	85	1 hr, 50 mins
Scenario 1 with Strategy 2	11	30	41 mins, 45 secs
Scenario 2 with Strategy 3	22	77	1 hr, 40 mins
Scenario 2 with Strategy 4	22	24	33 mins, 1 sec
Scenario 3 with Strategy 4	4752	1760	64 days, 8 hrs

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563 **Figure Captions**

564 **Fig. 1** A schematic of the landscape model showing the processes that are simulated and how they
565 interact.

566 **Fig. 2** (a) A 1km x 1km landscape in East Anglia, UK (b) A map of the elevation of that landscape (c)
567 the course representation of the landscape in the model with each cell (100 m x 100m). The grey
568 areas represent non-agricultural areas (buildings or woods), the coloured squares indicate the
569 rotation that cell is run with. Yellow, light green, dark green and light blue cells are in a six-year
570 rotation of wheat–beans–wheat–barley–wheat–oilseed rape. Each colour starts at a different point
571 in the rotation. The dark blue and orange cells, are in a wheat–wheat–oilseed rape rotation.

572 **Fig. 3** Phosphorus fertilizer only (green), mineral fertilizer and no FYM (blue) and FYM only (orange).
573 Note that, as increases in nitrous oxide emissions are a negative environmental impact, the y-axis
574 shows values increasing downwards resulting in a convex frontier.

575 **Fig. 4** Comparing the results from optimising the landscape in one stage (black open discs) with the
576 two-stage optimisation, where the results from optimising Field 1 are combined with the results
577 from optimising Field 2 (the frontier of the closed discs). The green discs result from simulations
578 where fertilizer P is applied to both fields, the grey discs indicate solutions where fertilizer P is
579 applied in one field and fertilizer-N or FYM is applied in the other. The blue discs indicate solutions
580 where fertilizer-N is applied in both fields and the orange where FYM applied in Field 2 and fertilizer-
581 N in Field 1.

582 **Fig. 5** The optimisation results from the 1x2 cell optimisation with spatial interaction (blue solid
583 discs) compared with the results where there is no interaction (black open discs). In the case where
584 there is spatial interaction nutrients and water flow from Field 1 to Field 2 due to an elevation
585 gradient between the two fields.

586 **Fig. 6** The optimisation results from the 1x2 cell optimisation assuming uniform management across
587 the landscape (red solid discs) compared with the results where the control (fertilizer application)
588 can vary between fields (black open discs).

589 **Fig. 7** Comparing the results from optimising the landscape in (a) one stage with the (b) two-stage
590 optimisation, where the results from optimising Field 1 are combined with the results from optimising
591 Field 2. The black solid discs relate to solutions that comply with the constraint, whereas the red solid
592 discs do not and so the N-leaching limit is exceeded.

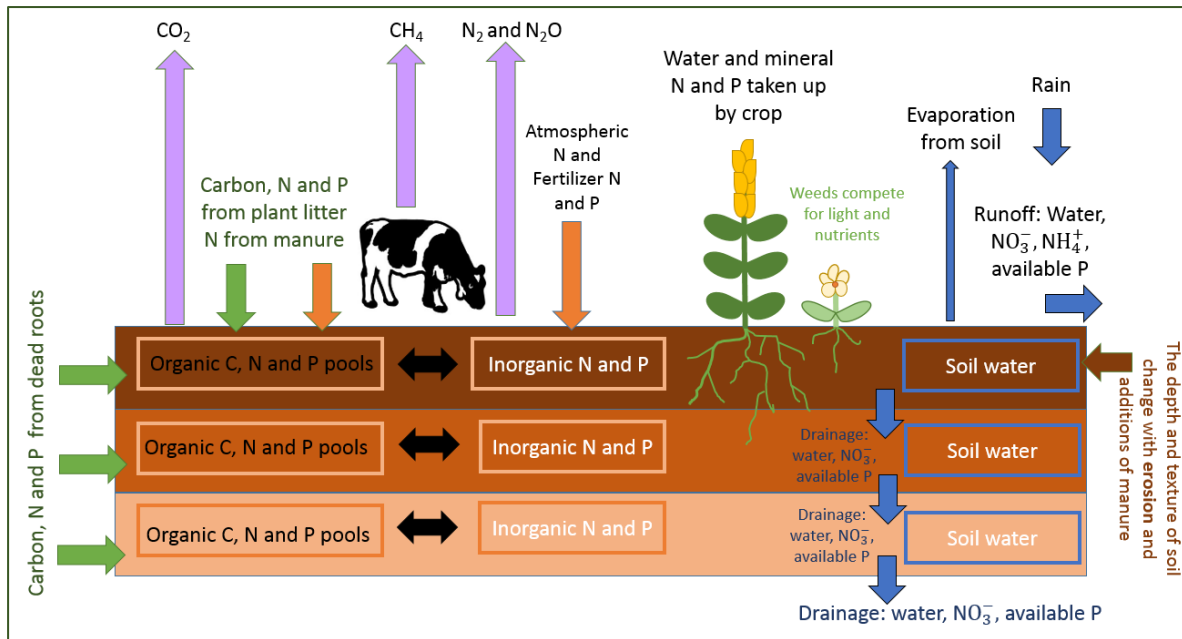
593 **Fig. 8** Green P-fertilizer applied to wheat and oilseed rape only, lower levels of mineral N- and P-
594 fertilizer on all crops and lower levels of FYM applied to oilseed rape (blue) and larger levels of
595 mineral fertilizer with FYM (orange). Note that, as increases in nitrous oxide emissions are a negative
596 environmental impact, the y-axis shows values increasing downwards resulting in a convex frontier

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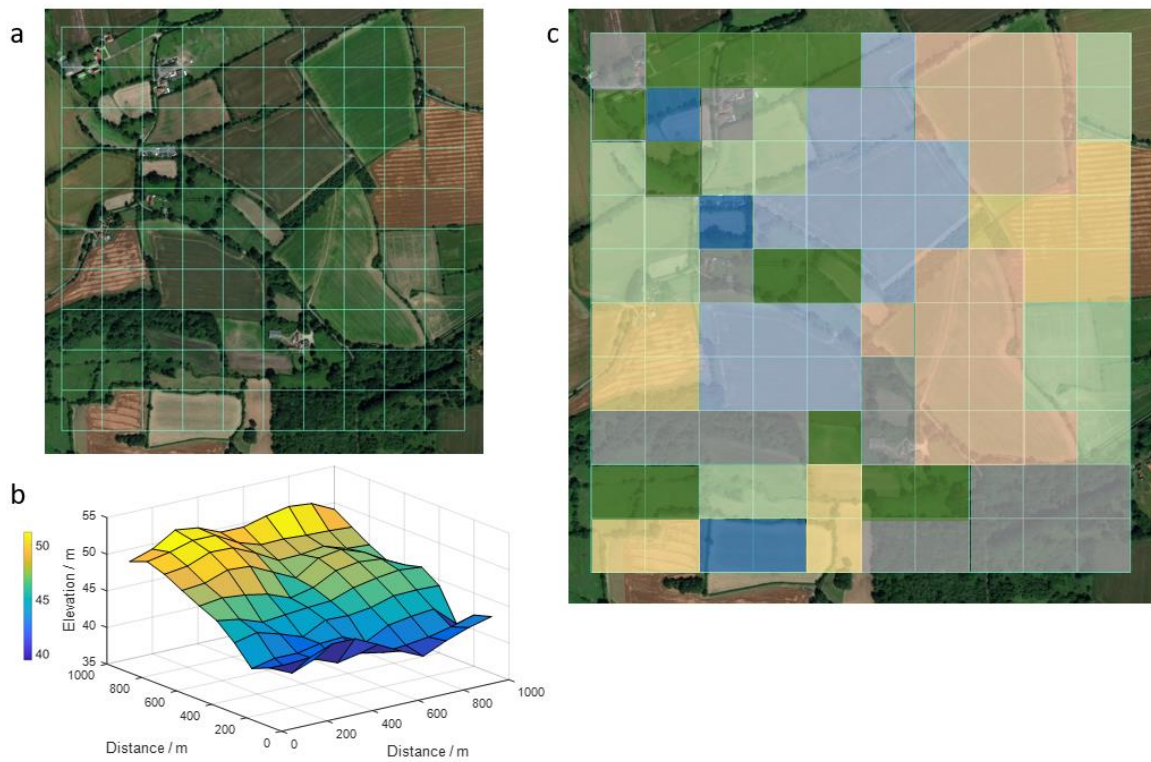


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 603 interact.

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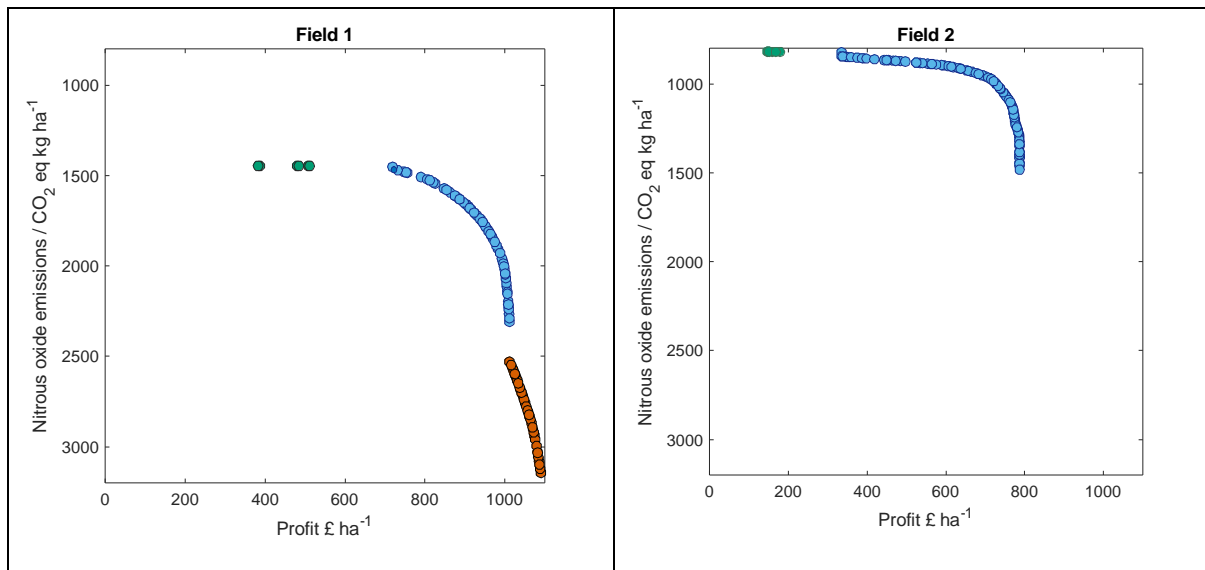


Fig. 3 Green P fertilizer only, mineral fertilizer FYM (blue) and FYM only (orange). Note that, as increases in nitrous oxide emissions are a negative environmental impact, the y-axis shows values increasing downwards resulting in a convex frontier.

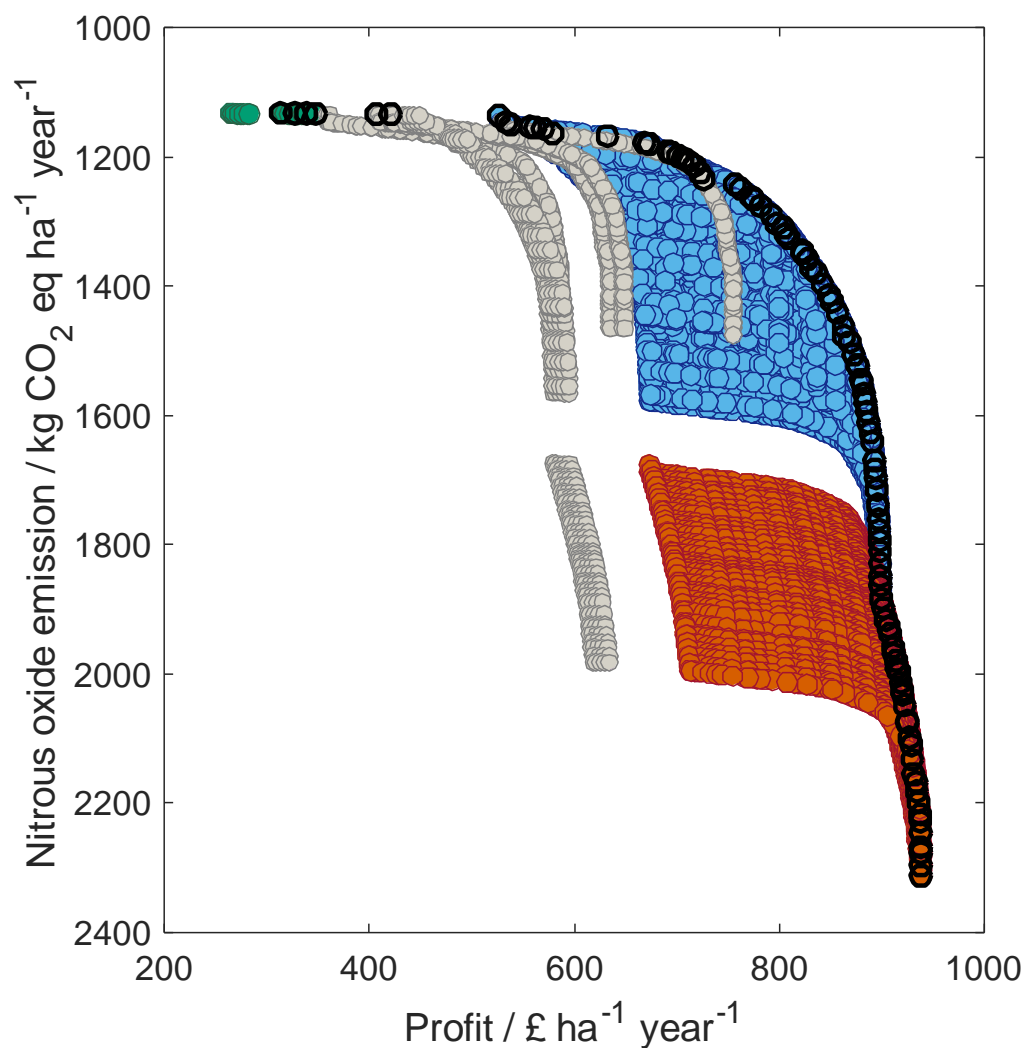
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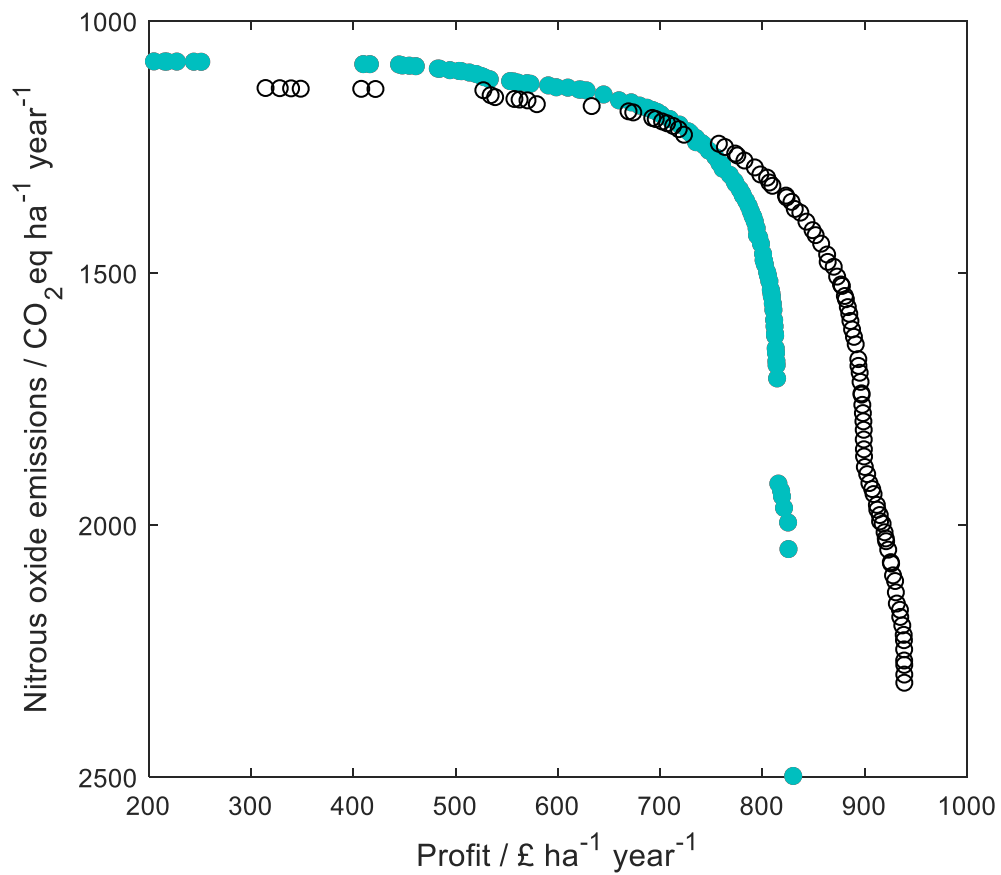
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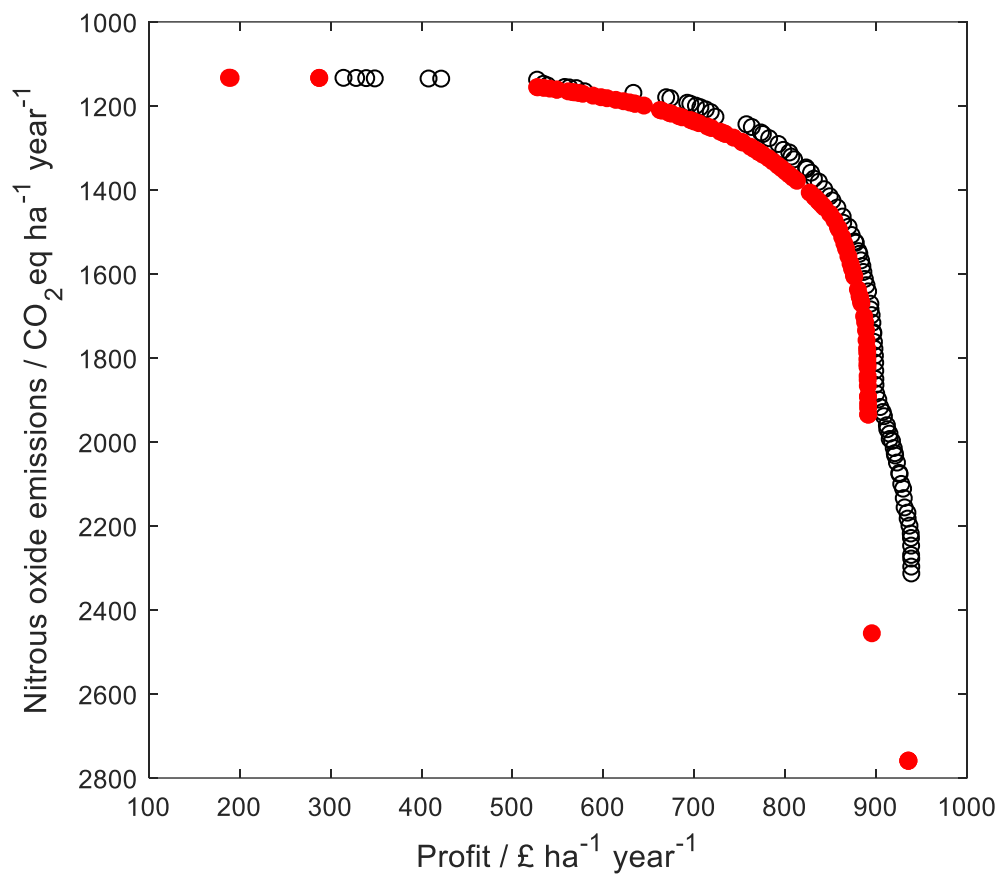


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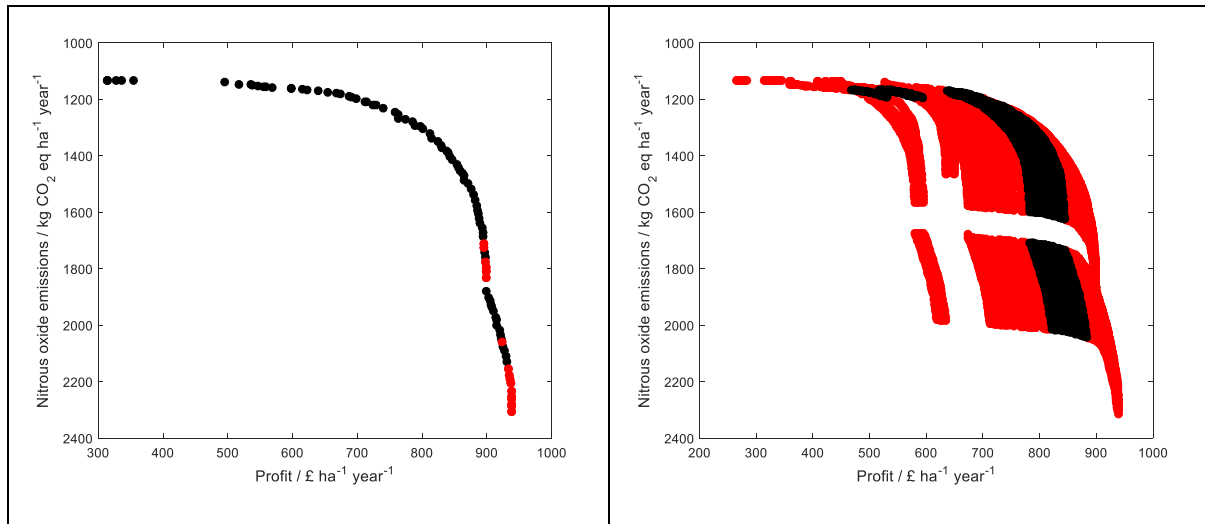


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636 Fig. 6 The optimisation results from the 1x2 cell optimisation assuming uniform management across
 637 the landscape (red solid discs) compared with the results where the control (fertilizer application)
 638 can vary between fields (black open discs).

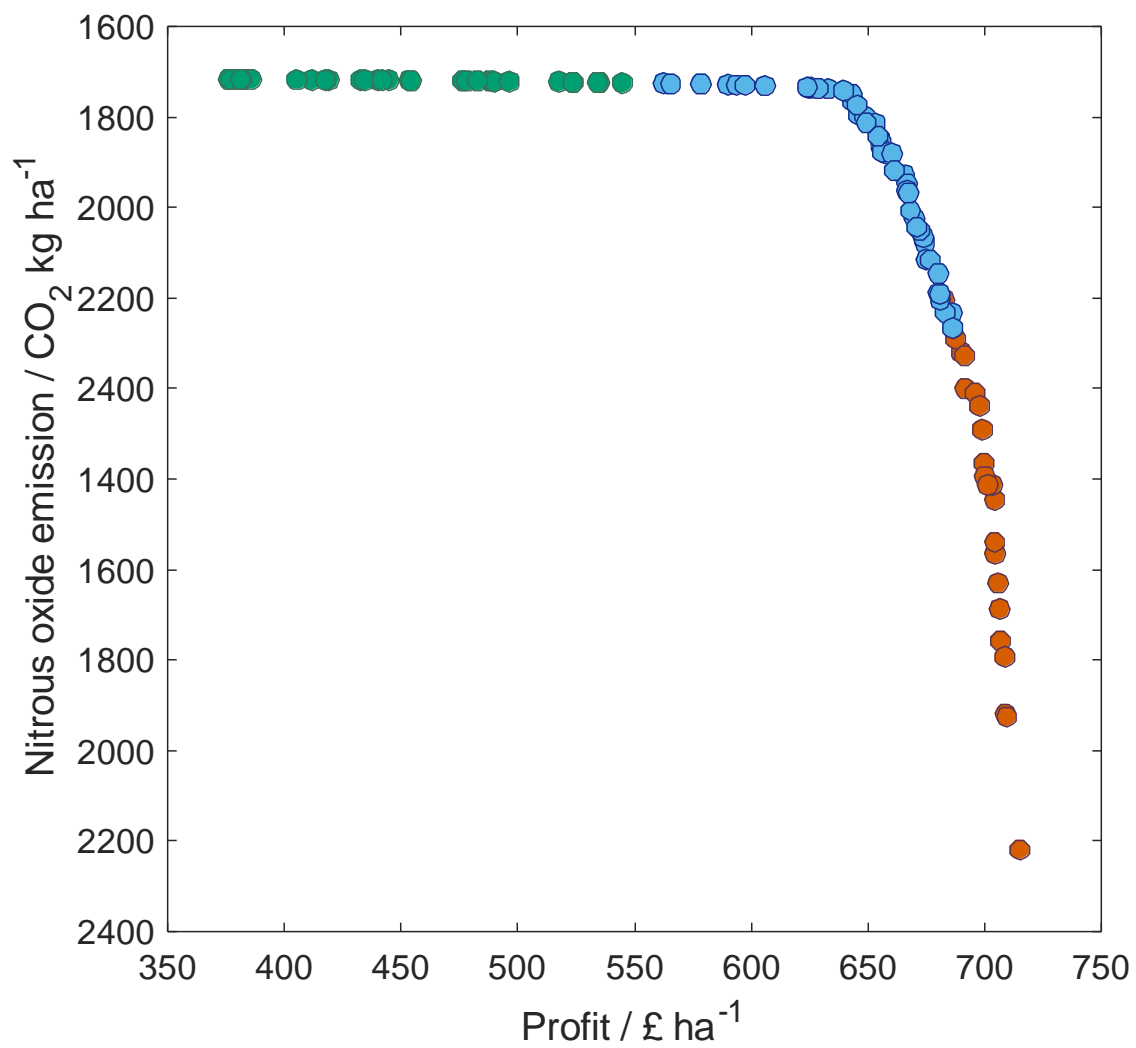
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647 Fig. 8 Green P-fertilizer applied to wheat and oilseed rape only, lower levels of mineral N- and P-
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