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1 **Early 21st Century cyclone climatology: a 3D perspective. Basic**
2 **Characterization**

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34 **Abstract**

35 Extratropical cyclones are a relevant feature in the climate at middle and high latitudes. Despite their
36 relevance, most of studies typically focus on cyclones identified at a single atmospheric level and on events
37 close to the surface. This paper provides a new perspective on the Southern Hemisphere cyclone events
38 based on the multilevel cyclone tracking algorithm STACKER. The algorithm, using relative vorticity,
39 detects the raw tracks at single levels and objectively combine them to provide the 3D events and their
40 evolutionary timeline. As result, 3D cyclone climatology, based on ECMWF Reanalysis ERA-I data from
41 12 pressure levels in the troposphere and lowermost stratosphere is presented. To the best of our knowledge
42 this is the first analysis carried out throughout the troposphere and the lowermost extratropical/subpolar
43 stratosphere in order to give a comprehensive picture of the cyclone events as physical entities throughout
44 their lifetime. Cyclone properties analysed are track densities, translational velocity, vorticity and lifetimes.
45 For the subtropical and extratropical SH, results support many previous ideas about cyclone characteristics,
46 but new insights are also obtained. A total of 58231 multilevel cyclone events lasting at least 2 days were
47 detected, with vertical structures spanning two or more levels. This means an average of 303 cyclone events
48 of all types per month, between 2001 and 2017, disregarding seasonality. Results shows that the lowermost
49 level of cyclones are most frequently detected at 925 and 700 hPa. Considering that cyclonic systems can be
50 grouped into families, results per month on average, show that shallow systems are the most frequent events
51 with approximately 248 systems detected, followed by 43 intermediates and 11 deep events. Shallow and
52 deep systems have a large percentage of events with genesis at 925 and 700hPa. Density statistics show that
53 shallow events are present at all latitude ranges mostly poleward 30°S with high and medium intensities,
54 while intermediate ones are mostly restricted to mid-latitudes and deep events are mostly confined to sub-
55 polar and polar latitudes. Cyclones over Antarctica seems to be mostly intermediates and deeps, with longer
56 lifetimes and lower velocities.

57 Keywords: STACKER, 3D cyclone climatology, feature tracking, relative vorticity, multilevel structures.
58 Dynamic programming, Optimal algorithm

59

60 1. Introduction

61 Cyclone activity represents an important feature of the general circulation of the atmosphere. Because they
62 transport large amounts of energy, momentum and moisture, the passage of cyclones is linked to rainfall,
63 snowstorms and strong winds (Sinclair, 2020), and hence with severe weather and climate variability. In a
64 climate that is expected to become warmer, with more frequent severe events, understanding changing
65 cyclone behaviour is a particularly complex and important challenge, especially considering their potential
66 economic and human impact (IPCC, 2007, 2014). At mid-latitudes, cyclones are known to be linked with
67 storm tracks (Catto,2018). As Valsangkar et al. (2018) pointed out with regards to future climate change and
68 associated changes in storm tracks, understanding the genesis and life-cycle of extratropical cyclones is an
69 issue of great relevance. The analysis of the cyclones must include not only their characteristics, location
70 and frequency but also identify and track their path, origin and evolution.

71 Many studies have addressed the topic since van Bebber (1882) studied cyclones with manual analysis of
72 synoptic weather charts. Since then, a considerable number of analysis methods have been developed with
73 a wide variety of results, depending on the availability of the parameters considered, the cyclone definition
74 applied and the algorithm designed to track them (e.g., Neu et al, 2013, Grieger et al, 2018). Examples of
75 such studies are, among others, Sinclair (1994, 1995, 1997; 2002); Inatsu, (2009); Reboita et al. (2009);
76 Eichler and Gottschalck, (2013).

77 Most methods used to detect and track cyclones are based on some variant of the neighbour point tracking
78 (NPT) method, which consists of a two steps approach: first, the cyclonic system centres, defined as a local
79 maximum or minimum value of a climatic variable, are detected at one time step; and secondly the track
80 based on the nearest neighbour concept (Kelemen et al., 2015) is obtained through the temporal connection
81 between cyclone centres (Murray and Simmonds, 1991 a,b; Satake et al., 2013; Flaounas, 2014). Currently
82 available algorithms mostly track atmospheric events broadly across the globe using mean sea level pressure
83 (MSLP), MSLP gradients, geopotential heights, potential and relative vorticity, singly or in combination
84 (Hanson et al., 2004; Picornell et al., 2001; Jansa et al., 2001; Trigo et al., 1999, Hoskins and Hodges, 2002;
85 Flocas et al, 2010; Kouroutzoglou, et al., 20 12) even though the most frequent variables used are local

86 minima in MSLP or maxima in vorticity at a single geopotential height or pressure level (Pepler and Dowdy,
87 2020; Walker et al., 2020).

88 An efficient algorithm implies the use of a decision tree formulated around constraints on cyclone properties
89 in order to able to identify feature values (typically values above or below a prescribed value), the link
90 between the detected centres at different times and therefore the paths of particular cyclones over time, as
91 well as their life-cycle. The algorithm also has to deal with the fact that cyclones may split or merge with
92 other cyclones. Because cyclones can have diverse characteristics and evolution at various levels, single-
93 level will affect the climate analysis derived from such algorithms. On the basis that most cyclones are
94 typically detected on or near surface levels, and despite the fact that the vertical structure of a system is
95 known to play a major role in its development and impacts (Pepler and Dowdy, 2020), most of the analyses
96 typically focus on cyclones identified at a single atmospheric level and in events close to the surface.

97 There are very few analyses that consider multilevel events and usually spanning levels up to 500 hPa. Lim
98 and Simmonds (2007) compared cyclone tracks at six levels, between MSLP and 500hPa, to assess the
99 vertical climatology of SH cyclones for the austral winter months (JJA), for the period 1979-2001. The
100 analysis was based on cyclone tracks defined in terms of multiple-level events, by considering their
101 variations in height, or sequences of multilevel overlaps only, defined for track points at different contiguous
102 levels at a given timestep, i.e., in an immediate upper level, whose coordinates fall within a 4° latitude radius
103 (≈ 444 km) of the track point being considered at the lower level. This is carried out at each time step,
104 comparing track points at subsequent levels. Results of this bottom-to-top approach composition were further
105 separated into shallow (up to 700hPa) and well-organized cyclones (at least up to 500hPa). Their results
106 showed that cyclones are frequently detected at the surface and at 500 hPa, with a minimum frequency value
107 at 700 hPa, while about 52% of SH winter events have a vertically well-organized structure, extending
108 through the 500-hPa level. Pepler and Dowdy (2020) based on the results of Lim and Simmonds (2007) and
109 using mean sea level pressure (MSLP) from ERA-Interim reanalysis data, carried out a global distribution
110 of cyclones at six vertical levels to analyse how the frequency of deep or well-organized events varies around
111 the world, with a focus on southeastern Australia. They found that about 50% of global cyclones show a
112 coherent vertical structure extending to at least 500 hPa, while shallow cyclones are most common in the

113 global midlatitudes. On the other hand, the results obtained when the cyclone analysis was restricted to
114 Australia showed that deep surface cyclones tend to be stronger, larger, and longer-lived than shallow surface
115 cyclones, and they also have higher maximum rain rates and wind speeds than shallow surface cyclones,
116 resulting in significantly larger total rainfall accumulations. Therefore, their findings clearly highlight the
117 need and benefits from examining cyclones over multiple levels of the troposphere due to the importance of
118 deep events for causing extreme weather events. Given these results, and in order to give a more coherent
119 three-dimensional picture of cyclone structure and evolution, a multi-level, self-consistent cyclone tracking
120 algorithm is needed. Including a greater number of atmospheric levels in the algorithm will allow us not only
121 to delve into more detailed information of each level, but also to analyse from a new perspective the systems,
122 giving to the cyclones a certain sense of wholeness, i.e., a 3D entity, throughout their entire lifetime.

123 Hodges (1999) proposed a detecting and tracking algorithm TRACK with a method that starts by building
124 all potential tracks using NPT. The algorithm uses relative vorticity rather than pressure/geopotential
125 anomalies computed from MSLP and geopotential as in Lim and Simmonds (2007). By using Hodges's
126 initial algorithm and the starting point provided by Lim and Simmonds (2007) for the implementation, we
127 have developed a comprehensive, fully automated algorithm for the processing and combination of cyclone
128 tracks at multiple levels. A detailed description of this algorithm, named STACKER, can be found in Lakkis
129 et al. (2019). Briefly, the algorithm determines which cyclone events independently tracked at different
130 levels are to be combined into single event, preserving all the track features detected at each level. The
131 STACKER was built using a Smoothness Cost Function approach (Lakkis et al. 2019). European Centre for
132 Medium-Range Weather Forecasts (ECMWF) Reanalysis ERA-Interim (ERA-I) products for the SH were
133 used. In Lakkis et al (2019), STACKER was applied to track events for the 2015 winter (JJA) in the SH,
134 using tracks determined at 7 levels between the surface and the vicinity of the tropopause. For algorithm
135 validation, three multilevel events developing with different characteristics near Southern South America
136 were selected and compared with GOES cloud imagery, ERA-I cloud cover and winds. A very high
137 correspondence was observed between the multilevel tracked events, GOES cloud imagery and ERA-I
138 selected variables.

139 The purpose of the present study is to develop a 3D cyclone climatology for the SH during the first decades
140 of the 21st century using the STACKER algorithm, including both single-level and multilevel analysis. This
141 climatology is based on 17 years (2001-2017) of ECMWF Reanalysis ERA-I data from 12 pressure levels
142 in the troposphere and lowermost stratosphere. Considering the vertical tracking, cyclones are categorized
143 into families defined by their vertical extent given by the maximum number of levels present in each cyclone
144 event (shallow, intermediate and deep) and height of occurrence, respectively. In section 2, we present the
145 ERA dataset and the analysis techniques used. Results are presented in Section 3, focusing on the analysis
146 of the density, intensity, lysis and genesis region as well as translational velocity. This first coherent picture
147 provides the raw materials to develop a more comprehensive analysis of specific family and subtype
148 characteristics as well as the cyclone dynamics in a later paper (Canziani et al., 2020, manuscript in
149 preparation). Finally, a discussion and concluding remarks are presented in section 4. **To the best of our
150 knowledge, this is the first analysis to attempt the combination of such a large number of atmospheric levels
151 in the lifetime of the cyclone systems, viewing them as physical entities, rather than sample slices at specific
152 pressure levels.**

153

154 **2. Data and Methodology**

155 This study follows closely in methodology the previous SH cyclone tracking study of Lakkis et al (2019,
156 L4DC from now on), and draws from concepts introduced in Lim and Simmonds (2007) and Hoskins and
157 Hodges (2005). **In order to cover the tropospheric thickness more completely and to provide insights of the
158 cyclonic events throughout their entire life cycle, the analysis explores a wider range of pressure fields for
159 cyclone track activity between 925hPa and 100hPa than in our previous work (12 levels vs. 7 levels in
160 L4DC).** The study area spans the latitude band 14°S to 78°S. The tracking is performed using the STACKER
161 algorithm (L4DC) involving single level cyclone tracks obtained with the TRACK algorithm used by Hodges
162 (1995, 1999) for the following twelve pressure levels: 100, 125, 150, 200, 250, 300, 400, 500, 600, 700, 850
163 and 925 hPa. Potential cyclone centres at a given level are identified by comparing the relative vorticity
164 values of each grid point to its neighbouring grid points. Following the criteria applied by Hodges (1999) in
165 TRACK, the tracks are formed using the minimization of a Smoothness Cost Function (SMF) (L4DC) which

166 is based in Segmentation, Feature Point Detection and Tracking steps (Hoskins and Hodges, 2005). In order
167 to expand the analysis including more pressure levels to improve the space resolution and time evolution of
168 cyclones, with respect to L4DC, the STACKER algorithm, designed so as to associate all tracks obtained
169 from a given feature-tracking algorithm that represent the same synoptic event at different vertical levels, is
170 used to combine an enhanced number of TRACK outputs from an increased number of pressure levels at a
171 time, and then to link them according to an objective function criterion, as in L4DC. The process is
172 sequentially repeated with the next adjacent pressure level until the stacking of tracks is complete. For
173 robustness of results, the algorithm works both bottom-to-top and top-to-bottom (stacking lower level tracks
174 under higher level tracks in the latter case), capturing such features as upper level troughs or cut off lows.
175 Following the criteria adopted in L4DC, the relative vorticity threshold used is $-1.0 \times 10^{-5} \text{ s}^{-1}$, for all
176 levels, without height nor latitude dependence. This is set at a comparatively low value to capture as much
177 of the cyclone life cycle as possible during any season. A more restrictive threshold will reduce the number
178 of systems identified but will also shorten the life cycles of those that are identified, even more so for the
179 weaker summer systems. Further details of the STACKER algorithm, that includes the relative vorticity
180 choice, parameterization criteria, event validation and preliminary statistics can be found in L4DC.

181 The data used in this study are from the ERA-I reanalysis products, generated with a numerical model
182 constrained by observational data (Dee et al., 2011). The data products include a large variety of variables,
183 but in this study the core data were fields of relative vorticity (RV) at 6 hourly intervals, spanning the period
184 2001-2017, with the horizontal reanalysis resolution of $1.5^\circ \times 1.5^\circ$. For the interpretation of results in the
185 discussion, horizontal wind components U, V in the upper troposphere and SST in the ERA-I database are
186 also used.

187 In terms of the choice of data, an extensive discussion about the impact on the results of using different
188 climate variables for the event identification, different databases and methods, can be found in recent
189 literature. As mentioned in L4DC, Hodges et al. (2003), using four different analyses (Japanese JR25, ERA-
190 Interim, National Aeronautics and Space Administration Modern Era Retrospective – analysis for Research
191 and Applications (NASA MERRA) reanalysis and the National Center for Environmental Prediction Climate
192 Forecast System Reanalysis (NCEP CFSR)) for composite cyclone diagnostics, showed that the detected

193 cyclones, in terms of numbers, location and spatial distribution, compared well between each other. Slight
194 uncertainties may arise primarily in the cyclone intensities and they are greater in the SH, in regions of
195 growth or decay. Ulbrich et al. (2009) also found some disagreements between results using different
196 reanalysis for both hemispheres, being the differences noteworthy in summer months. According to them,
197 the differences when comparing reanalysis datasets are mostly linked to different spatial resolutions.

198 Three cyclone families are defined in terms of the maximum number of levels present in the events: shallow,
199 intermediate and deep. Figure 1 shows this classification of events, as well as subdivisions into subfamilies,
200 or subtypes, depending on the height ranges of the pressure levels where the events are detected. Note that
201 the cyclones are classified into families on the basis of the maximum number of levels an event has at any
202 stage during its lifetime. Events belonging to shallow and intermediate cyclone families are assigned to a
203 sub-family depending on the level of occurrence of the lowermost level of each cyclone event. Deep events
204 are not separated into subfamilies.

205 The overall cyclone events and their family and type are considered in the subsequent analysis in order to
206 develop the 3D SH cyclone climatology. This analysis is further broken up into seasons: austral summer
207 (DJF), austral autumn (MAM), austral winter (JJA) and austral spring (SON). The cyclone properties
208 analysed are: track densities, translational velocity, vorticity and lifetimes, between 14°S to 78°S. Genesis
209 and lysis density distributions are also considered. All variables are plotted in 2x2° resolution for the full
210 study area. In the case of track densities, at a given timestep the total number of events where there is a track
211 position present at each 2°x2° area or pixel are computed and given as monthly mean seasonal values for each
212 season. These are corrected for pixel central latitude so that the density refers to equal area pixels. Lifetimes
213 are obtained by considering the times and dates of the first and last positions of each cyclone event. These
214 values are then assigned to the pixels over which the cyclone event moved. All the lifetimes in each pixel
215 were then vertical averaged. Translational velocity and vorticity, these calculated at each timestep and
216 pressure level in a given event, averaged in height and assigned to the corresponding pixel at each timestep.
217 All values for events in each pixel were then averaged. The first (last) event position were considered for
218 genesis (lysis) plots. Trajectories from (to) major genesis (lysis) areas are also considered.

219

220 3. Results: 3D Cyclone climatology, a family perspective

221 3.1 An overview of family distribution

222 The annual and seasonal frequencies of cyclones over the SH were obtained between 14° and 78°S between
223 2001 and 2017. A total of 58231 multilevel events were detected corresponding to an average of 303 per
224 month. This means approximately 248-249 shallow systems, 43 intermediate systems and 11-12 deep events
225 each month on average. Note that, the percentage of shallow events is greater than that reported by Pepler
226 and Dowdy (2020) and Lim and Simmonds (2007) and even in L4DC, note that the latter only considered a
227 single winter season. Test carried out with the STACKER show that the greater the number of pressure levels
228 included in the analysis, the greater percentage of shallows are detected, while intermediate and deep
229 number of events remains constant or even decrease. It is important to bear in mind that using different
230 approaches in tracking and different dataset as input, can lead to variations in the output statistics. According
231 to Raible et al. (2008), differences in the location and number of tracks could be due to the choice of variable
232 used. Additionally, the difference in frequency and number of events of the results may also be linked to the
233 criteria of minimum lifespan of the events included in the analysis. For example, Pepler and Dowdy (2020)
234 included events without a minimum lifespan threshold.

235 A preliminary inspection of the frequency distribution of events per level indicates that more cyclones are
236 detected in the mid-troposphere, 600 and 500 hPa being the pressure levels with most events. The average
237 frequency in cyclonic systems decreases, albeit slightly, in the 700-925 hPa range, with minimum values at
238 700. A similar minimum was observed in both Lim and Simmonds (2007) and Pepler and Dowdy (2020).
239 In the upper troposphere/lowermost stratosphere, 250 hPa was the level with most events detected (Table 1).
240 The annual histograms for cyclone families at different levels for all the events (not shown), show the shallow
241 family is the most populous with 47751 events, followed by 8235 intermediates family events and 2245 deep
242 family events. When the frequency distribution is given in terms of the lowermost pressure level per event,
243 i.e., constructed by assigning an event to the lowermost level present during its lifecycle as previously
244 explained, it shows two maxima at 925 and 700 hPa, with close to 13000 and 9000 cyclonic systems
245 respectively. In other words, we are counting events as units or entities defined by STACKER, not overall
246 number of cyclone tracks obtained with TRACK. Above 700 hPa and up to 300 hPa the vertical distribution

247 of events is approximately constant in height, with an average of 6000 events per level. When the lowermost
248 level of a system is near the tropopause, and hence the system may extend into the lowermost stratosphere,
249 the number of events decreases to 4000 or less per level. In general terms, minimum values are detected in
250 125, 200 and 850 hPa. Minimum values in the number of events are observed near the surface, at 850hPa.
251 Overall, close to 40% of detected events thus occur near the surface, while about 50% of cyclones have their
252 lowermost level in the mid troposphere up to 300hPa.

253 Figure 2a shows the lowermost level distribution by family. For the shallow events the distribution shows
254 that these systems are the most frequently detected throughout the entire period of analysis, with a large
255 percentage of events have their lowermost level at 925 and 700hPa. Another secondary peak is found at
256 300hPa. Beyond that level the event count decreases rapidly. The intermediate cyclone family (Fig. 2b) has
257 rather similar number of events at 925, 700, 500, and 400hPa with maxima near the surface and at 500hPa.
258 Finally, the family of deep events (Fig. 2c) has similar counts of events at 925 and 700hPa with an
259 exponential decay in the count above. All families have low event counts at 850hPa, i.e., fewer events appear
260 to have their lowermost level at 850hPa. Results per family also show that in average, per year, shallow
261 system translational velocity values were found up to 8.8 m/s, with lifetime values up to 6.5 days, while the
262 intermediate and deep systems display translational velocities of the order of 5.5 and 3.1 m/s respectively,
263 with similar mean lifetime values of 8.9 and 8.3 days. Translational velocities for shallow systems reported
264 here, are in good agreement with Lim and Simmonds who reported a translational velocity ranging between
265 8.7-9.8 m/s. However, lifetimes here appear to be somewhat longer, probably due to the use of relative
266 vorticity tracking. In order to provide a more detailed overview of these mean values of each family, Table
267 2 shows the zonal mean average translational velocity, vorticity, lifetime and density values, where each
268 latitude band spans 10° of latitude and the values are given in terms of the average value of each properties
269 within each band. On average, higher density values for all the events can be found between 50°S-
270 60°S, while overall properties values decrease towards the Equator. Similar results were found in Keable
271 et al. (2000). However, shallow systems, by far the most numerous, have their highest frequency around
272 45°S-50°S. Rudeva et al. (2015) focused on the global analysis of variability of frontal activity using the
273 Interim ECMWF Re-Analysis (ERA-Interim) from 1979 to 2013, pointed out that in DJF, a shift of

274 atmospheric fronts to the high latitudes can be observed in the SH and this shift is consistent with the
275 seasonality of other synoptic trends that show maxima during the austral summer in the SH. On the other
276 hand, Keable et al (2002) observed that cyclone systems in this region exhibit meridional tilts.
277 For intermediate and deep events, results also show increasing differences poleward, in particular for deep
278 ones. Higher densities can be found around 60°S-65°S, with translational velocities close to 10-12 m/s
279 Vorticity in all cases show relative stable values across latitude bands. The results also show that overall,
280 shallow and intermediate events are the fastest systems, with shorter lifetime while deep systems tend to
281 move slower for the longest time. These characteristics are also analysed subsequently considering seasonal
282 behaviour.

283

284 **3.2 2001-2017 Basic annual and seasonal cyclone spatial characteristics**

285

286 **3.2.1 Cyclone densities**

287 Figure 3 shows the annual spatial distribution of cyclone density during 2001-2017 for all multilevel
288 cyclones detected (Fig. 3a). Cyclones are broadly distributed from the subtropics (approx. 30°S) into polar
289 regions. During this period, the highest cyclone density occurs in the extra-tropics, from mid-latitudes
290 towards Antarctica. High cyclone densities occur over the South Pacific. Lower cyclone densities are
291 observed at mid latitudes over the southern central Indian Ocean. Density variations, with maxima and
292 minima, can be observed near and over East Antarctica. Peak densities occur over the Antarctic Peninsula
293 and into the Weddell sea as well as to the east of the Southern Andes, over the Santa Cruz province,
294 Argentina, and over the adjacent Atlantic Ocean. A secondary density maximum is also present over the
295 eastern Ross Sea. In the subtropics note the relatively high event density extending from the eastern edge of
296 the Central Andes (Mendoza and Neuquén provinces, Argentina) eastward over the Pampas region of
297 Argentina and Uruguay into the South Atlantic. Note also the density minimum surrounding the coast of
298 South Africa, particularly on the Atlantic side. An interesting feature is the N-S density ridge to the west of
299 the Andes a few degrees off the coast of Chile, and the very low density region in between (cf. case studies
300 in L4DC).

301 The density distribution changes significantly when the density by cyclone families is considered (please
302 note the change in the scales). For shallow events (Fig. 3b), the distribution appears very similar to that
303 shown for all events, but in this case the higher density values that extend from Antarctica to mid-latitudes
304 can reach the subtropics with high densities, reaching the Rio de la Plata lower basin and coastal areas over
305 Argentina, Uruguay and southernmost Brazil. Another subtropical high-density region is observed over
306 eastern South Africa, extending towards the Indian Ocean. The similarity between the two distributions
307 confirms that the shallow events are predominant in the total distribution throughout the entire period. The
308 largest cyclone activity for these events can be found at 90°E, over Kaiser Wilhelm II Land, near the Dome
309 Argus on the Antarctic Plateau, and over Tierra del Fuego, Argentina.

310 The intermediate cyclone family density plot (Fig. 3c) shows a cyclone belt surrounding Antarctica, centred
311 near 50°S. It also shows significant regional density, albeit weaker, affecting part the Pampas region,
312 Argentina, as well as the Rio de La Plata, between Argentina and Uruguay, at subtropical latitudes. At polar
313 latitudes a very high density region can be seen to the east of the Antarctic Peninsula, extending over the
314 Weddell Sea into the Southern Ocean/South Atlantic. Another small region of very high densities can be
315 found over Kaiser Wilhelm II Land over Eastern Antarctica. Scattered higher density values can also be
316 observed in the Southern Ocean, near 60°S, extending south of New Zealand almost to Tierra del Fuego.

317 The deep cyclone family density distribution shows a core region of cyclone activity in a ring around
318 Antarctica near 60°S (Fig. 3d). The Weddell Sea once more is an area with higher cyclone activity. **These**
319 **family density plots show that as the number of levels determining a cyclone event increase their spatial**
320 **distribution moves poleward, i.e., the possibilities for a cyclone to develop vertically increase poleward. (cf.**
321 **Table 2 where the number of events increases while the latitude increases).** From the results it is evident that
322 most of the cyclones in the SH tend to develop over the oceans and their distribution show zonal features
323 linked to each family. While shallow events can be detected almost at all the latitude ranges, intermediate
324 cyclones mainly develop at midlatitudes, and deep system are mostly confined to the polar region in
325 agreement with Table 2. The Andes mountain range and the South African plateau appear as significant
326 orographic features impacting cyclone distribution, in agreement with Inatsu and Hoskins (2004)

327 **Figures 4 shows, for the SH during 2001-2017, the histograms of seasonal distribution of all the events**
328 **according to the lowermost cyclone pressure level.** Seasonally, the highest event count occurs in autumn,

329 with 14682 systems detected over the 17 years, while the lowest count takes place in winter with 13780
330 events. Event counts for summer and spring are 14504 and 14638 respectively. However, results per family
331 (not shown) show a different seasonal distribution. While the shallows are mostly detected in summer and
332 the lowest counts occur in winter, intermediate and deep events are more frequent in winter, with fewer
333 systems observed in summer. This means that detected intermediate cyclones are approximately 12.7% of
334 all events in summer and 15.9% in winter. Deep events have a seasonal distribution similar to intermediates,
335 albeit with an enhanced seasonal variability. They represent close to 3% of all events in summer and 5% in
336 winter. This last result could show a similar behaviour to that reported by Reboita et al (2014) and Simmonds
337 and Keay (2000), who noted that at 980hPa pressure, the austral winter is the most cyclogenetic season,
338 closely followed by autumn, spring and summer. Results show that in all seasons the most populated
339 lowermost levels are 925 and 700 hPa. In summer and autumn events with lowermost level at 300hPa have
340 counts similar to the 700hPa values. Such a secondary maximum agrees with the seasonal behaviour of cut-
341 off lows (Reboita et al, 2010, and Pinheiro et al, 2017). Above 300hPa the number of events decrease rapidly.
342 Figure 5 show the seasonal cyclones density for all the events. During the autumn (Fig. 5b), the cyclone
343 activity shows high values over West Antarctica, with hotspots in the area of the eastern side of the Antarctica
344 Peninsula, Weddell Sea, and the Filchner-Ronne Ice Shelf. Two other small high-density areas can be
345 observed for Antarctica. The cyclone density shows a ring of high values around the hemisphere with
346 maximum values near 50°S decreasing equatorward. This band has peak values south of Australia and New
347 Zealand and in the vicinity of Tierra del Fuego/southern Patagonia, extending into the neighbouring South
348 Atlantic. In the subtropics cyclonic activity is present over Central Argentina to the east of the Andes
349 extending over the South Atlantic almost to South Africa. To the west of the Andes there is a minimum in
350 activity along most of the coast of Chile and, a few degrees into the Pacific Ocean, a parallel ridge of higher
351 density values. On the equatorward edge of the subtropics a secondary ridge of slightly higher density spirals
352 across the Pacific into the ridge off the coast of Chile.

353 This overall pattern prevails during all other seasons, with some variations. For example, in summer (Fig.
354 5a), a weak spiral signature can be seen over the Atlantic lower subtropics, from the coast of Brazil towards
355 South Africa. In winter (Fig. 5c) and spring (Fig. 5d), when there are higher values in the 50°S band, the
356 peak over the Weddell Sea region does not appear so strongly even though the cyclone density also increases

357 there. During these seasons the subtropical density ridges spiral towards higher latitudes, over the Pacific
358 and Atlantic Oceans, with values similar to autumn. This spiralling structure constantly present throughout
359 the year and with variable seasonal intensity, was also observed by Lim and Simmonds (2007) but only for
360 JJA. According to their study and Inatsu and Hoskins (2004), the spiralling structure in the lower level of
361 activity can be related with the stronger SST gradient of the oceans, particularly in winter as well as the
362 topography of South America and Africa. For all the cyclone systems identified, as would be expected, the
363 seasons that displays the lowest and highest densities are respectively the summer and the winter/spring. It
364 is interesting to note that throughout the entire period, for all seasons the highest cyclonic density values,
365 except for some specific hotspot that occur with variations, are detected in the belt between 45-70°S. This
366 area is associated with the polar jet. The subtropical jet, which maximises in winter/spring appears to be
367 associated with higher densities regionally observed in the subtropics, e.g., over the Pampas. Such behaviour
368 was also reported by Lim and Simmonds (2007) and more extensively addressed by Nakamura and Shimpo
369 (2004) in their description of the seasonal variation of the eddies, pointing out that in the middle and upper
370 troposphere, strong westerly winds can act forcing eddies to migrate away from the baroclinic zones,
371 interacting in their growth or even leading to the suppression of their activity.

372

373 **3.2.2 Cyclone Genesis and Lysis**

374 Figure 6 shows the genesis of cyclone activity throughout the year and seasonally disaggregated for all
375 events. Note that genesis is defined as the first appearance of the cyclone event, i.e., the first point identified
376 by the algorithm (hence sparse plots). Other researchers, by contrast, have defined genesis as a developing
377 stage (Grise et al; 2013). Most of the SH activity develops between 30°S and 70°S. There are three areas of
378 interest with high genesis that should be noted when considering all the events throughout the year for 2001-
379 2017: along the lee side of the South American Andes between 30°S and 55°S; southern New Zealand; and
380 a broad band over the oceans, between Africa and New Zealand at 45-50°S (Fig.6a). According to Figure 3,
381 the largest number of events detected in these areas corresponds to shallows and intermediates systems. Over
382 South America can be found the two most active genesis regions in the hemisphere: Tierra del Fuego and
383 Santa Cruz provinces in southern Argentina, and the eastern slope of the Andes in the Argentine provinces
384 of Mendoza and Neuquén, both areas with predominantly shallow events. Secondary genesis regions in the

385 hemisphere with high to intermediate density values of shallow and intermediate events, include the southern
386 part of South Island, New Zealand, the western edge of the Ross Sea, near Oates Land, and the poleward end
387 of the Antarctic Peninsula, both over Antarctica.

388 Hoskins and Hodges (2005) noted that the Patagonian genesis maximum is located along the downslope side
389 of the Andes. This region is located to the east of the Pacific stormtrack. The other maximum genesis region
390 on the lee side of the Central Argentina Andes, with an average height close to 6000 m a.s.l., which Hoskins
391 and Hodges (2005) suggest is most probably linked to “the shallow but strong systems on the subtropical jet
392 that cross the Andes” in agreement with Figure 3(b). The Ross Sea coast, is an intermediate genesis region
393 throughout the year, maximising in winter. These regions, together with the genesis area in the Antarctic
394 Peninsula, were also highlighted by Bengtsson et al. (2006), who observed that the area of cyclogenesis
395 across the Andes extended practically along the same longitude to the northern part of the Antarctic
396 Peninsula, i.e., along the main orographic barrier of the SH with its only break in the Drake Strait. These
397 cyclogenesis areas are yield weaker activity in the SH summer than in in the other seasons.

398 Figure 6b to 6e show the seasonal variability of SH cyclogenesis regions. These regions have intense activity
399 throughout the year as well as seasonally, with relatively stable values, with some spatial variations. The
400 vicinity of Antarctica generally has lower event counts during the summer, and as the cold season approaches
401 the activity increases to reach maximum activity near the Antarctic Peninsula/Weddell Sea in winter and
402 spring. The high activity area near Oates Land on the Ross Sea is observed throughout the year but especially
403 in summer. The region of the Argentine Central Andes also exhibits enhanced cyclogenesis during the austral
404 winter and spring. On the other hand, the genesis regions over southern Santa Cruz and Tierra del Fuego
405 have enhanced cyclogenesis during spring and summer. In the South American subtropics enhanced
406 cyclogenesis can be observed over the southern humid Pampas region and over the Rio de La Plata Estuary
407 from autumn through spring. Cyclogenesis over South Africa also increases during winter and spring. Over
408 the oceans cyclogenesis varies more prominently both geographically and in intensity. During summer
409 cyclogenesis appears to be limited to the coast and Atlantic Ocean near the south of the Pampas region and
410 northern Patagonia. During autumn and winter enhanced cyclogenesis can be observed, albeit to a lesser
411 extent in winter, as far equatorward as the coast of southern Brazil and Uruguay. Over open ocean regions,
412 cyclogenesis is weak during summer and mostly limited to the Indian Ocean near 40-45°S. During autumn

413 this region broadens poleward and extends into the Pacific. Such broadening extends towards the subtropics
414 during winter and spring. It finally becomes a ring spanning southern mid latitudes. Also during this period
415 over the Pacific Ocean, and to a lesser extent the Atlantic Ocean, subtropics, weakly enhanced cyclogenesis
416 areas can be observed spiralling from west to east towards mid latitudes over both ocean basins.

417 Similar to Figure 6, Figure 7 shows the pattern of the lysis behaviour of the cyclone activity throughout the
418 year and seasonally disaggregated, for all events. The plot for all events over SH during 2001-2017 (Fig. 7a)
419 shows that most of the lysis events appear to be concentrated between 30°S-80°S with maximum values
420 between 5°-10° west of the Andes, over the Pacific Ocean. This major lysis region remains active throughout
421 the year, but is particularly noticeable in the summer and spring (Fig. 7b, 7d). It also coincides with a relative
422 maximum in cyclone density all year round. Moreover, this region displays genesis of shallows and
423 intermediate events, larger than the lysis (Fig 6), which could suggest that systems do not disappear and
424 reappear over the lee side side of the Andes. In L4DC a case study shows how a cyclone starts moving along
425 the N-S axis when it moves close to the Andes, in the vicinity of the highest peaks north of 40°S. Note the
426 lysis minimum surrounding the coast of South Africa, is surrounded on the Atlantic side by a somewhat
427 higher density of lysis events with genesis and density values that remain relatively constant and low
428 throughout the period. Again the South African Plateau appears to impact cyclone displacements, as noted
429 in storm tracks by Inatsu and Hoskins (2004). Overall, it should be noted that the highest density region in
430 terms of number of events does not have a direct correspondence with the more active genesis and lysis
431 zones. Although the belt between 45°- 75°S has a high density of events and high genesis values, the highest
432 lysis values correspond to a narrower portion of this ring detected between 50°-65°S with the exception of
433 West Antarctica that remains with high values in all three features.

434

435 **3.2.3 Cyclone intensity**

436 Figure 8 shows the relative vorticity scaled by 1×10^{-5} , corresponding to cyclone centres, used here to
437 identify cyclone intensity. As Gramcianinov et al. (2019) pointed out, the vorticity of a cyclone may
438 represent the event impacts on the continent, considering that “three main cyclogenesis regions of the domain
439 are located near the coast and major cities” in Southern South America. The higher relative vorticity values

440 (Fig. 8a), considering the whole period of analysis, can be found in the ring 50-80°S with values ranging
441 between 2.5 and $4.5 \times 10^{-5} \text{s}^{-1}$ where high system densities are detected. An outer ring that spans most of the
442 subtropics with intermediate values is located between 50-25°S. Note that near the coast of Chile, close to
443 the Andes a small wedge of higher vorticity extends north into the subtropics, while a wedge of lower values
444 coincident with the area of maximum lysis and lower genesis values, further out in the Pacific, extends south
445 from the subtropics.

446 These values are relatively constant throughout the year, with almost no noteworthy seasonal spatial
447 variability. During the MAM and JJA, West and East Antarctica shows slightly higher vorticity values. Over
448 the South Atlantic most of the cyclones display somewhat higher values in MAM and JJA. During the spring,
449 results (Fig. 8e) show that in general vorticity values are lower compared to the other seasons. In general
450 terms, the vorticity values are in agreement with those reported by Gramscianinov et al. (2019). They
451 observed that vorticity for the Southeast coast of Brazil (SE-BR in their work) and Northeastern Argentina
452 and the Uruguay region, close to the La Plata river (LA PLATA, in their work) areas, display a peak between
453 -2 and $-3 \times 10^{-5} \text{s}^{-1}$ in the summer. In the winter, SE-BR cyclones present initial vorticity between -4 and
454 $-6 \times 10^{-5} \text{s}^{-1}$. Moreover, the majority of the South Atlantic cyclones have weaker vorticity in summer than
455 winter.

456 **3.2.4 Lifetime and translational velocity**

457 Figures 9 and 10 show, respectively, cyclone mean lifetime and translational velocity for all the systems
458 detected. Annually (Fig. 9a) the longest cyclone lifetime is found over East Antarctica with 4 to 6-day
459 lifetimes, coincident with the area of highest vorticity. During the whole period of analysis, the other regions
460 show lifetimes between 3-4 days, decreasing from mid-latitudes towards the subtropics. Seasonally, the
461 longest lived events occur during the summer (Fig. 9b), with lifetimes up to 11 days. Many of these longer
462 lasting systems occur over and around Antarctica, where intermediate and deep events are more frequently
463 present. The remaining areas in this season show a fairly homogeneous behaviour with lifetime values
464 between 3 and 6 days. The filament-like pattern, i.e., narrow extended regions, of somewhat longer lived
465 events in the subtropics may suggest that events along or close to the subtropical jet may have slightly longer
466 lifetimes. Argentina, southern Brazil and Uruguay regions have lifetime values in agreement with those

467 reported by Gramscianinov et al (2019). According to Figures 9 b, c, d and e, the season with the highest
468 density of events with the longest mean lifetime is winter, with lifetime values ranging between 7-10 days
469 over Antarctica and spanning some hotspots in the Pacific and Atlantic Oceans.

470 Translational velocity results (Fig. 10) show three well-defined areas with distinguishable velocity values.
471 The first ring is over and around Antarctica, except the tip of the Antarctic Peninsula (Fig. 10a), with systems
472 moving with velocities between 4-6 m/s, surrounded by a higher velocity annular structure located 30-50°S,
473 with the highest values reaching up to 15 m/s over the Indian Ocean sector. According to Figures 6 to 9 this
474 area includes the higher vorticity, genesis, lysis and lifetimes values. There are some N-S anomalies to the
475 west of both the Andes and South Africa, where cyclones undergo significant lysis and high density values,
476 in the process changing from a predominantly zonal displacement to a meridional one, e.g. cf. L4DC. There
477 are also some regions of somewhat higher velocities off the coast of Uruguay and southern Brazil. In certain
478 areas such as the central zone of Argentina, there are lower values of the order of 6 m/s. The overall pattern
479 does not vary significantly from one season to the next. Velocities increase towards Antarctica in JJA and
480 peak velocities are found over the Indian ocean during this period, of the order of 20m/s. Over the subtropics
481 velocities are of the order of 12m/s on average. Somewhat higher speeds appear to occur in the vicinity of
482 the subtropical jet from MAM through SON. The lowest translational velocities over the extratropics are
483 observed in JJA. If the velocities of the systems is analysed considering the families, the results show that
484 the shallow and intermediate events are faster, while the deep ones, mostly located in the polar area, have
485 lower speeds.

486

487 **3.3 Lower and upper troposphere: 925 and 250 hPa “non family” cyclone results**

488

489 In order to introduced a preliminary look at height dependent cyclone behavior, results for 925 and 250 hPa
490 are introduced. For the present purpose all events present at each of these two levels will be considered
491 together, independent of their family classification, i.e., a “non family” approach. Note that no single level
492 events are included in this analysis, only multi-level events present at each of these pressure levels. A more

493 detailed analysis on the height behavior of families and subfamilies will be provided in Canziani et al. (2020,
494 manuscript in preparation)

495

496 **3.3.1 Lower troposphere: 925 hPa**

497 Figure 11 shows monthly mean seasonal track density at 925hPa. Present results show large densities over
498 and to the east of the Rio de la Plata and southern Argentina, close to Ushuaia. Higher values can be found
499 close to the Andes over the Pacific Ocean, where high lysis values are also observed, are and in areas over
500 East Antarctica with hotspots extending from Dronning Maud Land to Oates Land mixed with areas of
501 minimums values. Overall, the higher density of events can be observed between 35°-75°S. The values
502 throughout the year show limited seasonal variability, although it can be noted same differences. During
503 SON, higher densities appears between Australia and New Zealand. In JJA present results show less cyclone
504 activity over the eastern South Atlantic and western Indian Oceans. Lim and Simmonds (2007), using the
505 Melbourne University tracking algorithm in their 3D climatology for the period 1979-2001, found at sea-
506 level results close to the present study, for JJA, and they did note higher cyclone density near the Antarctic
507 Peninsula's tip, i.e., to the north of the high density region reported here, although their results did not find
508 higher densities at subtropical/midlatitudes as observed here. Results are also in agreement with **Simmonds**
509 **et al. (2003)** and Pepler and Dowdy (2020), though the maximum near the Antarctic Peninsula is to the west
510 of the peninsula in their analysis.

511 Figure 12 shows the cyclone translational velocity field at 925hPa. A quick inspection of the figure shows
512 that the area with the highest density of events is the one that shows the greatest range of speeds with
513 exception of East Antarctica were velocities appear to be the lowest. Though maximum observed velocities
514 reach values of the order of 20m/s year round there are some seasonal variations in their distribution. During
515 DJF peak translational velocities near 18m/s are observed over the South Atlantic and 20m/s over the Indian
516 Oceans between 40 and 60°S. During MAM maximum translational velocities in the vicinity of 20m/s
517 maximize mainly over the Indian Ocean, extending into the higher subtropics. Higher velocities are also
518 found over the South Pacific. During JJA translational velocity maximize in the vicinity of 20m/s over the
519 Indian Ocean primarily between 35 and 60°. Translational velocities between 12 and 15m/s extend well into

520 the subtropics over the South Atlantic and Central South Pacific Oceans, appearing as a spiraling pattern
521 similar to the subtropical jet. A similar behavior is observed for SON. Although there are fewer studies
522 presenting seasonal translational velocities, Hoskins and Hodges (2005) show that JJA velocities at 850hPa
523 maximize around 18m/s, with an overall distribution similar to present results, even when the velocities over
524 the subtropical latitudes are somewhat lower in their study. Similarly, translational velocities at MSLP for
525 DJF and JJA in Sinclair (1994) are also in very good agreement with present 925hPa results. Figure 13
526 shows the seasonal intensity distribution at 925hPa given in terms of relative vorticity distribution. Relative
527 vorticity and cyclone depth, given in terms of the pressure or pressure anomaly at the centre of the cyclone
528 event, are most commonly used to show the intensity of cyclone events. The highest relative vorticity values
529 which match with higher velocities, are found in a broad band in the extratropics and subpolar latitudes, with
530 some seasonal variations in maximum values and distribution. During DJF, relative vorticity values of the
531 order of $4 \times 10^{-5} \text{s}^{-1}$ are observed in the western south Pacific near the Rio de la Plata and the coast of southern
532 Patagonia and Malvinas (Falkland) sector, which merge towards the central South Atlantic. Values between
533 3 and $4 \times 10^{-5} \text{s}^{-1}$ extend in a latitudinally narrowing belt over the Indian Ocean all the way to Australia. This
534 relative vorticity belt expands into the subtropics and onto the Antarctic coast on the western half of the
535 South Pacific. During MAM somewhat lower relative vorticity values are observed with maximum values
536 of the order of $3.5 \times 10^{-5} \text{s}^{-1}$, with a broader, more homogeneous distribution throughout the southern
537 extratropics. During winter months, relative vorticity in the extratropics can reach values close to $5 \times 10^{-5} \text{s}^{-1}$
538 over the eastern South Atlantic and south of New Zealand. The latitudinal distribution shows a minimum
539 over the Indian Ocean around 90°E . During SON the current analysis in agreement with Hoskins and Hodges
540 (2005), yields a broad latitudinal band from the subtropics into subpolar latitudes with values in the vicinity
541 of $3.5 \times 10^{-5} \text{s}^{-1}$. Broadly speaking the overall depth patterns find here are in agreement with the relative
542 vorticity patterns described by Lim and Simmonds (2007) and Eichler and Gottschalck (2013). It is
543 important to note that maxima for the different reanalyses may differ as much as 30% in a given region.

544

545

546

547 **3.3.2 Upper troposphere: 250 hPa**

548 There are fewer studies tracking cyclonic activity in the upper troposphere and they also define certain
549 criteria to classify TRACK results as cut-off lows (COLs). Pinheiro et al. (2017) noted that there are
550 differences between cut-off low results and unfiltered TRACK outputs. Figure 14 shows that during DJF
551 high densities are still observed close to Antarctica. In the subtropics there is a weak density maximum
552 similar to the one in Hoskins and Hodges (2005). During autumn there is a better overall agreement in the
553 density distribution between both studies. A double spiraling structure can be observed, one in the subtropics
554 and another at higher extratropical latitudes, extending from Australia well into the South Pacific Ocean.
555 During JJA the maximum values are higher in the present analysis spiraling from the subtropics into the
556 extratropics and extending all the way to Antarctica except in the vicinity of the Ross Sea. There is only a
557 very weak evidence of subtropical densities at this time of year. The higher latitude distribution is observed
558 during the spring in fairly good agreement with Hoskins and Hodges (2005) and Pinheiro et al (2017). The
559 higher density region, when compared to the winter behaviour also broadens towards midlatitudes. There is
560 also some evidence of activity at subtropical latitudes, particularly over and around southern Africa, the
561 Indian Ocean between Madagascar and Australia, and over the South Pacific east of Australia. These results
562 show the influence of the jet structure in the observed distribution of the upper tropospheric cyclone families.
563 Spatially the higher COL densities tend to cluster around and over the continents in three regions, South
564 America, Southern Africa and Australia, during most of the year. High densities are also observed over the
565 Indian Ocean and the South Pacific during summer. Results are in agreement with Pinheiro et al. (2017) and
566 Reboita et al. (2010), who pointed out that COLs over the Southern Hemisphere are subtropical and lower
567 midlatitude phenomena.

568 The 250hPa translation velocity distribution is presented in Figure 15. During summer maximum velocities
569 are mainly observed between 40 and 60°S with maximum velocities in the vicinity of 20m/s. These maxima
570 are observed primarily over the Indian Ocean and to the west of Chile, over the South Pacific. During MAM
571 the average speeds above 16ms⁻¹ are more evenly distributed over the SH subtropics and midlatitudes.
572 Comparatively high translational velocities are also observed coincident with the subtropical poleward
573 spiraling branch previously mentioned. Winter velocities are also more evenly distributed and the spiraling

574 structures are similar to the SH winter jet streams. Velocities in spring are similar to those in autumn and
575 winter, and also show the poleward spiraling pattern, which is also well-defined over the South Atlantic and
576 Indian Ocean subtropics. The spatial distribution is in excellent agreement with that found by Pinheiro et al.
577 (2017),

578 Finally, Figure 16 shows the spatial distribution of event intensity in terms of relative vorticity. The seasonal
579 cycle appears to have somewhat limited seasonal changes: during DJF, MAM and JJA a broad ring of
580 vorticity of the order of -6 to $-8 \times 10^{-5} \text{ s}^{-1}$ extends from the poleward edge of the subtropics into polar latitudes.
581 There are some areas with values up to $-10 \times 10^{-5} \text{ s}^{-1}$ over the Indian Ocean near 55°S , during autumn. The
582 latitudinal extent varies over the season, during DJF and MAM extending into the subtropics with areas with
583 values between -6 and $-8 \times 10^{-5} \text{ s}^{-1}$ near or above New Zealand, South America and southern Africa. During
584 spring, the overall pattern is similar, but the relative vorticity values are all under $-8 \times 10^{-5} \text{ s}^{-1}$. There is a
585 relative minimum spanning the ocean due south of South Africa extending towards Antarctica. The relative
586 vorticity also shows higher values associated with the spiralling structure of the upper tropospheric jets.

587 3.3.3 Stacked plots of the lower and upper troposphere

588 In order to shed some light in the comparison between the 925 hPa and 250 hPa and attempt to further explore
589 into the spatial patterns of the cyclonic events and their vertical distribution in the atmosphere, Figure 17
590 shows the “stacked” plots of the two levels. Figure 17a presents the overlapped vorticity fields of the two
591 level. A distinct stronger vorticity average value at 250 hPa can be observed with respect to 925 hPa values,
592 suggesting a strong vertical vorticity gradient, which more than doubles in the upper troposphere with respect
593 to the lower troposphere. The spatial pattern also shows that the values are more uniform in the upper
594 troposphere than near the surface, where events, mostly shallow ones, are observed to die in the vicinity of
595 the Andes. There is also a highlighted area over Argentina that displays low values of vorticity near the
596 surface, that in the upper troposphere become uniform. This behaviour seems to be directly opposite to that
597 shown by the velocities compared at both levels (Figure 17 b), where faster systems near the surface are
598 observed, slowing down into the upper troposphere. Note that, once again over Argentina and the vicinity
599 of the Andes, events show decreasing values from 925 hPa to 250 hPa. Regarding the lifetime, a much more
600 homogeneous spatial pattern can be appreciated, with events lasting up to almost 8 days at both levels.

601 However, it can be noted that while the events at 925hPa are more concentrated in middle latitudes, for the
602 upper troposphere, the density of events with the same speed expands to middle and high latitudes (Figure
603 17 c). Finally, density patterns (Figure 17 d) appear to be similar in both levels, however events over South
604 America are most frequently detected near the surface (more shallows) than in the upper troposphere.
605 Another characteristic is that near Antarctica, events are stronger at 250 hPa, and weaker near the surface.

606

607 **4. Discussion and summary**

608 Cyclonic systems are one of the most widely studied topics from different perspectives based on theoretical,
609 numerical analysis and observational data. However most observational studies are restricted to the surface
610 or near surface. Those involving various levels of pressure are scarce and it is important to highlight that
611 there are also differences in the characteristics analysed between them. The STACKER algorithm was used
612 to build an initial 3D cyclone climatology, based on 18 years of ECMWF Reanalysis ERA-I data from 12
613 pressure levels in the troposphere and lowermost stratosphere. Cyclone events were categorized into
614 shallows, intermediate and deep families. This complex cyclone tracking scheme based on the methods of
615 Hoskins and Hodges (2005), and applied over the Southern Hemisphere for 2001-2017 focused on density,
616 translational velocity, relative vorticity lifetime, genesis and lysis for event occurrences between 14° and
617 78°S, providing the raw material for ongoing research on cyclone dynamics.

618 Basic aspects of the characterization were presented, with 58231 multilevel cyclones being detected during
619 the study period, an average of 303 events for all types per month, lasting at least 2 days with vertical
620 structures spanning two levels or more. In terms of families, there is a clear identification in the distribution
621 of densities. The shallow family cyclones are observed from the subtropics well into polar latitudes.
622 Intermediate cyclones extend from the extratropics into polar latitudes while deep cyclones are essentially
623 sub-polar and polar. This does not mean the intermediate or deep events cannot extend into the subtropics,
624 just that at lower latitudes they are comparatively rare events, in particular deep cyclones. Table 2 also
625 provides information regarding lifetime and velocity and shows that, on average, shallows, are usually faster
626 in contrast with deep events that tends to move more slowly but with longer lifetimes. As the results also
627 highlight, an equatorward shift in the higher density frequency can be noted for shallow events. This may be

628 linked to the nature of the shallow systems which apparently are usually elongated toward the Equator from
629 the cyclone centre, but also with the shift in the SH circulation noted since the mid-1970s, as was pointed
630 out by Pezza et al. (2007); Chen and Held (2007), among others.

631 For the 17-year sample from 2001 to 2017, results show that most of the events develop over oceans rather
632 than over land, and the 700 hPa pressure level plays an important role in the analysis, regardless of the family
633 or seasonality. Shallow and deep cyclones tend to have a large percentage of genesis with their lowermost
634 level at 925 and 700hPa, while the intermediate ones have their genesis maxima near the surface and at
635 500hPa. During the whole period, the highest cyclone density can be observed in the extratropics, from mid-
636 latitudes towards Antarctica while high and low cyclone densities occur over the South Pacific and over the
637 southern central Indian Ocean respectively. Peak densities can be detected over the Antarctic Peninsula,
638 Weddell sea and the east of the Southern Andes, over the Santa Cruz province, Argentina and the adjacent
639 Atlantic Ocean. Family analysis also reveals that shallow events are predominant in the total distribution,
640 with more than 81% systems detected per month throughout the entire period with the largest cyclone activity
641 confined to 90°E, over Kaiser Wilhelm II Land, near the Dome Argus on the Antarctic Plateau, and over
642 Tierra del Fuego, Argentina. Results also show that for all the detected cyclone events, the seasons that yield
643 the lowest and highest densities are respectively the summer and the winter/spring. It is interesting to note
644 that throughout the entire period and for all seasons, the highest cyclonic density values can be observed in
645 areas associated with the polar jet instead the subtropical jet, even when the latter is stronger in winter, when
646 higher densities are regionally found. With respect to genesis and lysis it is important to highlight that the
647 more dense regions in terms of number of events do not have a direct correspondence with the genesis and
648 lysis high values zones. Although the belt between 45°- 75°S has a high density of events and high genesis
649 values, the highest lysis values correspond to a narrower portion of this ring detected between 50°-65°S with
650 the exception of West Antarctica. The extensive Andes region, coinciding with previous analyses, reappears
651 as a region of high hemispheric relevance in terms of genesis and lysis, showing that their unique orographic
652 characteristic may play a key role in the development of the systems. The area displays genesis of shallows
653 and intermediate events, larger than the lysis which could suggest that systems do not disappear but reappear
654 in the downstream side of the Andes, depending on latitude as discussed in L4DC. In terms of translational

655 velocity, the analysis suggests that shallow and intermediate events are faster, while the deep ones, located
656 in the vicinity of the polar areas, move at lower velocities.

657 In order to take the first steps toward the height dependence in cyclones systems behaviour, 925 and 250 hPa
658 were analysed. In the lower troposphere, present results show large densities over and to the east of the Rio
659 de la Plata basin and southern Argentina, as well as over the Weddell Sea, east of the Antarctic Peninsula.
660 Regarding transitional velocity, though maximum observed velocities reach values of the order of 20m/s
661 year round there are some seasonal variations in their distribution at this pressure level. There are fewer
662 studies presenting seasonal translational velocities, but results presented here are in very good agreement
663 with translational velocities at MSLP for DJF and JJA in Sinclair (1994). The highest relative vorticity values
664 are found in a broad band in the extratropics and subpolar latitudes, with some seasonal variations in
665 maximum values and distribution. With respect of 250 hPa, high densities are observed close to Antarctica
666 with a weak density maximum similar to the one in Hoskins and Hodges (2005) in the subtropics. There is
667 also some evidence of activity at subtropical latitudes, particularly over and around southern Africa, the
668 Indian Ocean between Madagascar and Australia, and over the South Pacific east of Australia, albeit weaker
669 than in Hoskins and Hodges (2005). Results show the influence of the jet structure in the observed
670 distribution of the upper tropospheric cyclone families. Spatially distribution of the COL show the higher
671 densities tend to cluster around and over the continents in three regional groups (South America, Southern
672 Africa and Australia) during most of the year. High densities are also observed over the Indian Ocean and
673 the South Pacific during summer. Despite the density differences, current results over the subtropics agree
674 with Pinheiro et al. (2017) and Reboita et al. (2010). A novel way of presenting the results through the
675 stacked plots between levels also revealed that there is a distinctly stronger vorticity at 250 hPa than 925
676 hPa, and that the translational velocity of the systems is faster close to the surface than in the upper
677 troposphere/lowermost stratosphere.

678 In general terms, current bibliography usually provides results obtained in terms of agreement between
679 studies. However, it should be noted that most of them are based on methods that usually involve either
680 surface systems and/or single or few pressure levels. In this sense, we believe that the STACKER provides
681 a more complete perspective of the cyclone systems by involving 12 pressure levels, and therefore the

682 comparison with previous results is at most partial, if not limited. Bearing that in mind, it is important to
683 highlight that features observed here were present in some studies but not in others, and none of the other
684 studies were closer among themselves than with the present results if we consider a partial analysis. Local
685 regional differences in the lower troposphere can be observed and can be attributed to different tracking
686 methodologies, different study periods or reanalysis products used. As Walker et al (2020) pointed out, it is
687 important to note that each method has its uncertainties and these differences, may result from differences
688 in the data used or differences in the methodology of tracking or even in the way the properties are presented.
689 Hence overall the STACKER seasonal climatology results, without including single level events, only family
690 classified events present, agree well with previous cyclone climatologies in the lower troposphere. Larger
691 differences occur in the upper troposphere where, for example, generic multilevel cyclone events can be only
692 primarily compared with more selective studies with specific constraints of COLs (Pineiro et al., 2017)
693 determined from single surfaces studies. Some of the results have suggested linkages between major
694 atmospheric features such as jets and probably, as argued by Gramscianinov et al (2019), SST gradients or
695 with the main modes of atmospheric variability, in particular with the SAM and ENSO in the SH (Rudeva
696 et al, 2015). Many of these features, however, are not well defined in the current analysis given that all
697 cyclone families are considered together. A family analysis is necessary to highlight the details of such
698 relationships (Canziani et al., 2020, manuscript in preparation).

699

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710 **6. References**

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