

*Understanding the relationship between biomass production and water use of Populus tomentosa trees throughout an entire short-rotation*

Article

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1 **Understanding the relationship between tree production and water use under changing**  
2 **environmental conditions in a short-rotation *Populus tomentosa* plantation**

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21 **Abstract**

22 Understanding the relationship between tree production and water use, as well as the main  
23 environmental and plant-related drivers of water use, is crucial for the establishment of production  
24 prediction models and reliable water management under current and future climatic conditions.  
25 However, the relation between tree water use and biomass production has never been assessed  
26 throughout the entire life-cycle of a poplar rotation; nor have detailed investigations been reported on  
27 how poplar transpiration and its regulation change inter-annually. Therefore, we studied the relationship  
28 between transpiration ( $E$ ) and aboveground biomass (ABM), as well as the main drivers of  $E$ , in a  
29 plantation established on the North China Plain, with 2- to 5-year-old (2016 to 2019) *Populus tomentosa*  
30 trees under three water treatments. Our results indicated that ABM increase depended on annually  
31 accumulated  $E$  and that their relationship can be fitted with a logistic curve for the entire life cycle ( $R^2 >$   
32 0.89). Throughout the whole rotation period, compared with non-irrigated trees, full irrigation trees  
33 produced 59% more biomass with only 12% more  $E$ , while deficit irrigation trees attained 46% more  
34 biomass with 32% more  $E$ . The daily  $E$  had a strong exponential relationship with vapor pressure deficit  
35 ( $D$ ) during years 3-5 of their growth cycle, which the asymptote of this relationship increasing with tree  
36 age (1.6 kPa (2017), 2 kPa (2018), 2.5 kPa (2019)). The  $E$  was also strongly linearly correlated to solar  
37 radiation ( $R_s$ ) for each year although with slightly weaker relationships than for  $D$ . Similar to other  
38 poplar clones, *P. tomentosa* showed effective stomatal control on  $E$ . However, soil water content had  
39 almost no effect, for all treatments, no matter which soil layer was considered. Finally, our research  
40 quantified the relationship between tree production and water use throughout the rotation. We also  
41 confirmed that  $D$  and  $R_s$  are indeed the major drivers of transpiration during the growing season as well  
42 as during drought in this semi-humid boreal region. Our findings should enable a better understanding  
43 of the water-use strategies of poplars in the North China Plain and will help sustainably manage  
44 plantations in water-scarce regions around the world under changing environmental conditions.

45 **Key words**

46 Sap flow; Yield; Environmental variables; Stomatal conductance; Drought; Poplar

47

## 48 1. Introduction

49 Poplar plantations are widely distributed around the world, with a total area of more than 31 million  
50 ha (FAO, 2016). According to the latest report by FAO (2016), Canada accounted for 21.8 million ha of  
51 planted poplar area (69% of the global area), China for 8.5 million (27% of the global area), followed  
52 by France with 0.2 million ha, and finally by Turkey, Iran, Spain and the USA (0.1 million ha each).  
53 *Populus tomentosa* is ubiquitous in poplar plantations on the North China Plain, planted over an area  
54 of > 340,000 ha (Zhang et al., 2012; Xi, 2013). In this region, seasonal drought occurs regularly, due to  
55 the nature of the monsoonal climate. Consequently, water is usually a crucial limiting factor for poplar  
56 growth due to the high-water utilization rates of this species (Xi et al., 2016; Di et al., 2019a). Climate  
57 change projections indicate reduced summer precipitation and increased air temperatures for the North  
58 China Plain (Kang and Eltahir, 2018). Thus, irrigation could become a necessary measure to enhance  
59 tree production in such plantations (Xi et al., 2016; Li et al., 2020). However, the relation between tree  
60 water use and biomass production has never been assessed thoroughly throughout the entire rotation of  
61 poplar. There are only two examples examining this relationship but none of them evaluated a full  
62 rotation. Orság and Trnka (2011) measured this relationship, but only for one month, while Fischer et  
63 al. (2014) researched this relationship for two growing seasons; both studies were for poplar clone J-  
64 105 (*P. nigra* × *P. maximowiczii*) and were conducted in the Czech-Moravian highlands. In both cases  
65 the relationship between water use and biomass production was positive and linear, although, according  
66 to Fischer et al. (2014), this relationship could change as the plantation matures, which could have  
67 important consequences for the irrigation management of the plantation.

68 Moreover, in addition to changes in the biomass-water use relationships caused by tree maturation,  
69 this relationship could also change as a function of environmental variables, as tree water use responds  
70 markedly to changes in soil water content (SWC), vapour pressure deficit (*D*) and solar radiation (*R<sub>s</sub>*)  
71 (e.g. Hernández-Santana et al., 2008; Tognetti et al., 2009; Tie et al., 2017; Wang et al., 2017), largely  
72 as a result of regulation via stomatal conductance (Allen et al., 1999; Zhang et al., 1999; Larchevêque  
73 et al 2011). Specifically, Liang et al. (2006) found that soil water deficit severely limited the  
74 transpiration of *P. simonii* during dry seasons. Chen et al. (2014) reported that changes in *D* and *R<sub>s</sub>*  
75 affected poplar transpiration on short timescales, while the effect of SWC became important on longer  
76 temporal scales. Other studies have shown that *D* fluctuation leads to the alteration of poplar  
77 transpiration rate (Franks et al. 2007) by affecting stomatal conductance (Meinzer et al. 1997; Kucerova

78 et al. 2010; Renninger et al. 2010). However, Larchevêque et al. (2011) and Hamanishi et al. (2010)  
79 found some variability in the type and degree of stomatal control among poplar species and clones.  
80 Other studies suggested that the impact of each environmental variable on tree transpiration varied with  
81 climatic region, species and tree age (Oogathoo et al., 2020). To our knowledge, no attempt has been  
82 made to investigate how tree transpiration and its regulation changes interannually for *P. tomentosa* in  
83 response to varying environmental conditions. Therefore, in order to design management strategies that  
84 minimize the use of irrigation water, it is necessary to develop a thorough understanding of the effects  
85 of environmental drivers on the water use of poplar plantations along a whole rotation.

86 Therefore, the objectives of this study are (1) to quantify the relationship between transpiration  
87 and biomass and (2) identify the main environmental and plant controls on water use of *P. tomentosa*  
88 during a whole short rotation under different soil water supply conditions. Accurate assessment of the  
89 relationship between poplar water use and biomass will help improve irrigation scheduling, e.g., for  
90 sub-humid areas like the North China Plain. To fulfill these objectives, we measured transpiration, tree  
91 biomass, key environmental variables ( $D$ ,  $R_s$  and SWC) and stomatal conductance in *P. tomentosa*  
92 plantations under three irrigation treatments (including rainfed) during years 2-5 of one short rotation  
93 (from 2016 to 2019). We hypothesize that (1) the relationship between accumulated transpiration and  
94 biomass production of *P. tomentosa* is strongly positive and linear, and that this relationship changes for  
95 different tree ages and different water supply conditions, along the entire rotation period. We also  
96 hypothesize that (2)  $D$  and  $R_s$  are the main drivers of transpiration during the growing season for trees  
97 under sufficient water supply, (3) but that under water deficit conditions tree water use depends mainly  
98 on soil water content.

## 99 **2. Materials and Methods**

### 100 *2.1. Experimental site and treatments*

101 The study was conducted at the state-owned Jiucheng Forest Farm, in Gaotang County, Shandong  
102 Province (latitude: 36.81°N, longitude: 116.09 °E, elevation: 30 m) from 2016 to 2019. The farm is  
103 situated in a typical Yellow River alluvial plain located in northern China. The climate in the region is  
104 warm temperate monsoon, with average air temperature of 13.41 °C and average annual rainfall of  
105 562.9 mm (1981–2010 period). The experimental site is flat and has relatively stable groundwater levels,  
106 located at about 6–9 m depth. The soil layers between 0–1.4 m have a sandy loam texture (sand : silt :

107 clay is 62.9% : 34.6% : 2.5%), whereas between 1.4–3.0 m there is silt loam (sand : silt : clay is 29.6% :  
108 65.5% : 4.9%). The soil in the 0.0–0.4 m layer has a pH of 8.1, available N of 41.5 mg kg<sup>-1</sup>, available P  
109 of 7.11 mg kg<sup>-1</sup>, 76.8 mg kg<sup>-1</sup> available K and 0.94% organic matter.

110 The experimental plantation was established in April 2015 with the clone B301 ((*P. tomentosa* × *P.*  
111 *bolleana*) × *P. tomentosa*) of *P. tomentosa*. The trees were spaced 2 m apart within the tree row and 3 m  
112 between rows, leading to a tree density of 1666 trees ha<sup>-1</sup>. On average, the height and diameter at breast  
113 height (DBH) of trees were 3.0±0.1 m and 3.7 ±0.2 cm, respectively, in April 2016, at the beginning of  
114 the experiments. Three soil water treatments were implemented: drip full irrigation treatment (DIFI),  
115 drip deficit irrigation treatment (DICI) and one non-irrigation treatment (CK). Each year, in order to  
116 encourage the leaf out, one irrigation (i.e., leaf spreading irrigation) was applied for all treatments at the  
117 time of tree budding around early April, after which the three water treatments started. In 2016 and 2017,  
118 further irrigation was initiated when the average soil matric potential at 0.2 m depth below the drippers  
119 reached -20 kPa and -45 kPa for DIFI and DICI treatments, respectively. In 2018 and 2019, the original  
120 irrigation threshold for DIFI treatment was increased to -18 kPa while the threshold for the DICI  
121 treatment was left unchanged. The irrigation period lasted from April to October, with further details  
122 given in [Li et al. \(2020\)](#). For each treatment, we had five 24 m × 18 m plots (i.e., five replicates), with  
123 72 trees each (eight tree lines with nine trees per line), distributed in a completely randomized block  
124 design. Within each plot we considered the 20 central trees for measurements and left the others as  
125 border trees. Each tree was fertilized with 80 g N per year and herbicide was regularly applied for weed  
126 control. This experiment is part of a larger project with two further irrigation treatments.

## 127 2.2 Micrometeorological conditions

128 Measurements of air temperature ( $T_a$ , °C), precipitation ( $P$ , mm day<sup>-1</sup>), solar radiation ( $R_s$ , MJ m<sup>-2</sup>  
129 day<sup>-1</sup>) and relative humidity ( $RH$ , %) were obtained at a weather station (Delta-T Devices Ltd,  
130 Cambridge, UK) installed at a distance of 0.9 km from the experimental site. Air vapor pressure deficit  
131 ( $D$ , kPa) was derived from  $RH$  and  $T_a$ , using the empirical equation given in [Campbell and Norman](#)  
132 [\(1998\)](#). All variables were measured every 10 minutes from April to October between 2016 to 2019.  
133 Daily total  $P$ , total solar radiation  $R_s$ , and average  $D$  were calculated based on these records.

134 *2.3 Soil water potential, soil water content monitoring and simulation*

135 Soil matric potential ( $\Psi_m$ , kPa) measurements were made in the vicinity of five representative trees  
136 for both DIFI and DICI treatments, with all five growing in one single plot. For each of these five trees  
137 we installed one tensiometer (TS30, Shunlong, Beijing, China) at 0.2 m below a dripper to measure  $\Psi_m$ .  
138 Data were recorded manually at about 8:00 am, every day, along the four irrigation seasons between  
139 2016–2019.

140 Soil water content (SWC,  $\text{m}^3 \text{m}^{-3}$ ) was measured between 0 to 260 cm soil depth with measurement  
141 intervals of 10 or 20 cm; measurements took place every 5–10 days, from mid-April to early October  
142 2016–2019, for all treatments. For each treatment, we selected three trees near which SWC profiles  
143 were measured. SWC was measured at a distance of 25, 50, 100 cm from each tree using a TDR probe  
144 (TRIME-IPH, IMKO Inc., Ettlingen, Germany).

145 In order to obtain a continuous set of daily SWC data, we used the HYDRUS-1D model to simulate  
146 the daily variation of SWC throughout the year. Optimized model parameters, such as saturated water  
147 content ( $\theta_s$ ,  $\text{cm}^3 \text{cm}^{-3}$ ), residual water content ( $\theta_r$ ,  $\text{cm}^3 \text{cm}^{-3}$ ), and saturated hydraulic conductivity ( $K_s$ ,  
148  $\text{cm d}^{-1}$ ) were obtained through calibration with measured SWC data ( $R^2=0.90$ , Mean Weighted Absolute  
149 Error=0.02  $\text{cm}^3 \text{cm}^{-3}$ , Root Mean Square Error=0.02  $\text{cm}^3 \text{cm}^{-3}$ ) (data not shown). We divided the profile  
150 of simulated daily SWC into four soil layers, informed by distinct differences in soil hydraulic  
151 parameters; hence, in our analyses we used the simulated daily average SWC of 0–40 cm ( $\text{SWC}_{0-40}$ ),  
152 50–80 cm ( $\text{SWC}_{50-80}$ ), 90–160 cm ( $\text{SWC}_{90-160}$ ) and 170–300 cm depths ( $\text{SWC}_{170-300}$ ), respectively.

153 *2.4 Transpiration estimates*

154 Five representative sample trees per treatment, with initial average DBH of  $4.25 \pm 0.16$  cm in DIFI,  
155  $4.45 \pm 0.19$  cm in DICI, and  $4.48 \pm 0.12$  cm in CK, were selected in the same plot to measure trunk sap  
156 flux density ( $J_s$ ,  $\text{cm s}^{-1}$ ) from early April to the end of October, during all four years. For each tree, one  
157 set of thermal dissipation probes (TDP30, Dynamax Inc., TX, USA) was inserted into the sapwood  
158 about 1.5m above the ground on the south face of the trunk using the method of [Granier \(1987\)](#). In early  
159 April of each year, TDP30 probes were reinstalled on different trees in order to avoid permanently  
160 damaging the trees. Waterproof sealant was placed around the probes to prevent water from entering,  
161 and reflective bubble wrap insulation was used to wrap the probes and the trunk to minimize thermal  
162 gradients. Sap flux density was automatically measured every 10 s, and averages were calculated every  
163 10 min and stored in a data logger (CR1000, Campbell Scientific Inc., North Logan, USA). These data



164 were used to estimate  $J_s$  according to [Granier \(1987\)](#), which was then converted to transpiration ( $E$ , mm  
165  $d^{-1}$ ) using the sapwood area at the sap flux measurement position. The sapwood area (SA,  $cm^2$ ) was  
166 calculated using a relationship ( $SA = 0.7587 \times DBH^{1.9541}$ ,  $R^2 = 0.99$ ,  $n=202$ ,  $p < 0.0001$ ) between  
167 diameter and sapwood area measured on 100 harvest trees (see Section 2.6). For all the harvested trees,  
168 we measured DBH, bark thickness and pith diameter at different heights. SA was estimated as the part  
169 of the wood without bark and pith.

## 170 *2.5 Stomatal conductance*

171 In 2018, for each treatment, stomatal conductance ( $g_s$ ) was measured using a leaf porometer (SC-  
172 1, Decagon Devices, Inc, USA) for three leaves on one tree used for sap flow measurements. The fully  
173 developed leaves were chosen at the east side of the canopy exposed to sunlight, approximately 5 m  
174 above the ground. Diurnal  $g_s$  values were measured half-hourly, from 8:00 am to 18:00 pm, on six sunny  
175 days (April 15th, April 18th, April 25th, May 13th, May 23th and June 20th). We also measured noon-  
176 time (around 11:30 am)  $g_s$  on a total of 50 sunny days from April 15<sup>th</sup> to September 3<sup>rd</sup>. In order to  
177 obtain continuous  $g_s$  values throughout the growing season, we established a relationship between  $g_s$   
178 and  $J_s/D$  according to the method of [Hernandez-Santana et al. \(2016\)](#). Optimized model parameters  
179 were obtained through calibration with measured  $g_s$  at noon, for 50 sunny days ( $R^2=0.60$ ,  $P<0.0001$ )  
180 (data not shown). Using this linear relationship, we were able to estimate  $g_s$  for every 10 minute interval,  
181 employing the continuously monitored data of  $J_s$  and  $D$ . From the simulated  $g_s$  data that were now  
182 available throughout the growing season, we calculated the maximum daily stomatal conductance  
183 ( $g_{smax}$ ).

## 184 *2.6 Stem growth and biomass*

185 DBH was measured by a caliper with accuracy of 0.1 mm for all sap flow measurement trees,  
186 either monthly or bi-weekly from April to October during all four years.

187 Whole-tree harvests were conducted in June, September and November of 2016; June and  
188 September of 2017; October of 2018 and October of 2019. A total of 100 sample trees were selected to  
189 establish the relationship between DBH and biomass. For each of the six harvest seasons from 2016 to  
190 2018, fifteen trees were selected for all five treatments belonging to the larger project from three  
191 randomly selected blocks. For the last harvest season of 2019, 10 trees were selected in the DIFI and  
192 CK treatments in a total of 5 blocks, respectively. Aboveground biomass was separated into branch and

193 stem fractions for each sample tree. Fresh mass of all tissues were weighed in the field, and  
194 representative subsamples were taken to the laboratory to determine their water content. All tissues were  
195 dried to constant mass at 70 °C before being weighed again. Dry biomass of wood was the dry biomass  
196 of branch + stem. Based on the biomass and DBH data of all 100 trees, we got the relationship between  
197 DBH and aboveground biomass (ABM, kg):  $ABM = 0.0319 \times DBH^{2.8303}$  ( $R^2 = 0.95$ ,  $n=100$ ,  $P <$   
198  $0.0001$ ). We used this equation to calculate the biomass of the trees instrumented.

### 199 *2.7 Post-processing and statistical analyses*

200 A total of fifteen trees in every growing season with 5 trees per treatment were used for the sap  
201 flow analyses. Based on the seasonal rainfall in the North China Plain, we divided our data into dry  
202 season (April to mid-June and early September to late October) and wet season (mid-June to late  
203 August), each year, to allow us to assess the effect of drought on the measured variables. Relative  
204 importance metrics of environmental predictors of tree sap flow were calculated using the R-package  
205 relaimpo (Grömping, 2006). The use of relative importance is appropriate when some of the regressors  
206 in a model are correlated (i.e.,  $D$  and  $R_s$ ), which is the case with our data. We calculated relative  
207 importance using the LMG (Lindeman, Merenda and Gold) method. LMG calculates the  $R^2$  contribution  
208 averaged over orderings among regressors. All metrics were performed using the statistical software R  
209 (R Development Core Team, 2017).

210 For each year, logistic regressions were used to establish the relationship between accumulated  $E$   
211 and ABM increase, linear relationships were used to describe the relationship between  $E$  and  $R_s$ , and  
212 exponential functions were used to describe the relationship between  $E$  and  $D$ . Decreasing exponential  
213 functions were used to describe how  $g_s$  and  $g_{smax}$  were regulated by  $D$ . In addition, in order to eliminate  
214 the effect of canopy development on tree water use, each meteorological factor was multiplied by leaf  
215 area index (LAI) before investigating the correlations between meteorological drivers and tree water  
216 use (Di et al., 2019 b). LAI was measured in 5 blocks for each treatment about every 15 days, the details  
217 of which can be found in Li et al. (2020). All figures were prepared using Origin 9.0 (OriginLab, USA).

## 218 **3. Results**

### 219 *3.1 Micrometeorological and soil moisture conditions*

220 Fig. 1 shows a summary of the meteorological variables throughout the 4 experimental years. Total  
221  $P$  was 585 (2016), 449 (2017), 700 (2018), and 487 mm (2019), respectively, from April 1<sup>st</sup> to October

222 31<sup>th</sup> (Fig. 1a). Hence, 2018 was a distinctly different year, hydrologically speaking, that was much  
223 wetter than the other years. The first dry spring season normally runs from mid-April to mid-June, for  
224 which total  $P$  was 79 (2016), 85 (2017), 218 (2018), and 50 mm (2019). Hence, the ‘dry’ season in 2018  
225 was in fact more representative of a wet season. The wet summer season generally falls between mid-  
226 June to early-September: total  $P$  for that period was 493 (2016), 295 (2017), 439 (2018), and 368 mm  
227 (2019). The dry autumn season usually occurs between the beginning of September and the end of  
228 October: total  $P$  was 47 (2016), 55 (2017), 35 (2018), and 45 mm (2019). With regards to global  
229 radiation:  $R_s$  for the dry spring season is larger than that for the wet summer season, as a result of higher  
230 cloud content during the rainy season (Fig. 1b). Average  $D$  is larger in the dry spring season than in the  
231 wet summer season, for 2016, 2017 and 2018, but not for 2019 (Fig. 1c). Both the average  $R_s$  and  $D$   
232 were the lowest in the dry autumn season.

233 In the experimental plantation, the SWC of all soil layers was higher in the wet summer than in  
234 spring and autumn, except for SWC<sub>50-80</sub> in 2017. The SWC for the 0–40 cm soil layer displayed  
235 relatively high-frequency fluctuations, as a result of irrigation and rainfall inputs. SWC in this layer  
236 decreased in amount going from DIFI, DICI, to CK, in 2016, 2017 and 2019 (Fig. 1d). However, in  
237 2018, SWC<sub>0-40</sub> was similar for all treatments, because of the higher rainfall in 2018. SWC in the 50–80  
238 cm soil layer was similar among treatments in 2016 and during the spring dry season of 2017 (Fig. 1e).  
239 During the wet season (summer) of 2017 and 2019, SWC<sub>50-80</sub> for the DIFI treatment was larger than  
240 that of DICI, whereas the latter was approximately similar to that of CK. In 2018, SWC<sub>50-80</sub> was similar  
241 for all treatments. For the 90–160 cm soil layer (Fig. 1f), SWC differences among treatments were  
242 comparable with those found at 50–80 cm depth. Finally, for the deepest soil layer of 170–300 cm (Fig.  
243 1g), the difference in SWC between DIFI and CK was bigger than for any of the other soil layers in  
244 2019, with the range of SWC<sub>170-300</sub> for each treatment as follows: 0.28–0.41 (DIFI), 0.25–0.35 (DICI),  
245 and 0.16–0.30 (CK) cm<sup>3</sup> cm<sup>-3</sup>. Furthermore, even in the wet year of 2018, SWC of this soil layer was  
246 lower for CK than for DIFI and DICI treatments.

### 247 3.2 Relationship between transpiration and aboveground biomass

248 Fig. 2 shows that for each year and each treatment, ABM increase could be explained by the  
249 accumulated  $E$ , and their relationship was fitted with a separate logistic curve for each treatment ( $R^2 =$   
250 0.89–0.99,  $P < 0.0001$ ). This means that ABM first increased approximately in step with the accumulated  
251  $E$ ; then the ABM increase slowed down and ultimately stopped whereas  $E$  continued to accumulate for

252 a period of time. As the trees matured, the annually accumulated  $E$  gradually increased, from  $150\pm 20$   
253  $\text{mm year}^{-1}$  (2016) to  $723\pm 157 \text{ mm year}^{-1}$  (2019) (Fig. 2). Throughout the rotation, the annual ABM  
254 increase first increased and then decreased, reaching the largest values ( $14.1\pm 2.3$ – $17.7\pm 0.9 \text{ kg/tree}$ ) in  
255 2017 and the lowest ( $4.4\pm 1.2$  –  $10.8\pm 3.1 \text{ kg/tree}$ ) in 2019 (Fig. 2).

256 Compared with trees in the CK treatment (Fig 3), the percent increase (PI) of annual  $E$  was lower  
257 in DIFI than in DICI for each year. In contrast, the PI of annual ABM was higher in DIFI than in DICI  
258 from 2016 to 2018. During the whole rotation period, the PI of ABM was higher in DIFI (59%) than in  
259 DICI (46%) although it was lower in DIFI than in DICI in 2019. For the DIFI treatment, there was a  
260 lower  $E$  increment but a higher ABM increment for each year, compared with the CK treatment. The  
261 specific PI values of annual  $E$  & ABM in DIFI were -6% & 36% (2016), -9% & 32% (2017), 29% &  
262 74% (2018) and 5% & 89% (2019). However, for the DICI treatment, the result was different, with  
263 higher PI for  $E$  than for ABM, from 2016 to 2017. In 2018 and 2019, the PI of ABM exceeded that of  
264  $E$  in DICI. The negative PI values of annual  $E$  indicated the fact that DIFI trees consumed less water  
265 than CK trees in 2016 and 2017, which was possibly due to the transpiration compensatory effect of CK  
266 trees during the rainy season. This might be also the reason why the PI of annual  $E$  was lower in DIFI  
267 than in DICI for each year.

268 We also explored the relationship between total  $E$  and total ABM for the entire rotation period (Fig.  
269 4), for each treatment. Total ABM increase could be explained by total  $E$ , and a logistic curve was fitted  
270 through the data ( $R^2 = 0.98$ – $0.99$ ,  $P < 0.001$ ). The shape and order of these curves was similar to the ones  
271 observed for biomass versus accumulated  $E$ , for each year separately. At the end of each growing season,  
272 the average total ABM of DIFI was always higher than that of DICI and CK, reaching 46.4 (DIFI), 41.7  
273 (DICI) and 31.0 (CK) kg per tree in the final year. With regards to the average total  $E$  at the end of each  
274 growing season, values for DICI were always higher than those of DIFI and CK, leading to 1496.7  
275 (DIFI), 1773.6 (DICI) and 1340.7 mm (CK) at the end of the last growing season. Over the whole  
276 rotation period, compared with CK, DIFI produced 59% more biomass with only 12% more  $E$ , while  
277 there was 46% more biomass, with 32% more  $E$ , for DICI.

### 278 3.3 Environmental and plant control of transpiration

279 Table 1 shows that the main environmental drivers of  $E$  were  $R_s$  and  $D$  in all seasons except for the  
280 wet season in 2016. For most seasons,  $D$  has a stronger effect than  $R_s$  on  $E$ . On these seasonal time  
281 scales, the influence of SWC, no matter which soil layer was considered, on  $E$  was weaker than that of

282  $R_s$  and  $D$ .

283 [Fig. 5](#) shows the specific relationships between environment variables ( $R_s \cdot LAI$  and  $D \cdot LAI$ ) and  $E$   
284 during the entire short rotation period. The relationships between  $E$  and  $R_s \cdot LAI$  could generally be  
285 described by a strong linear relationship for each growing season, albeit with  $R^2$  in 2016 (0.17-0.23)  
286 being much lower than that in other years (when  $R^2$  ranged from 0.61 to 0.71) ([Fig. 5a, c, e, and g](#)). No  
287 relationship between  $E$  and  $D \cdot LAI$  was found for 2016 ([Fig. 5b](#)). The relationships between  $E$  and  
288  $D \cdot LAI$  can be described by an exponential function from 2017 to 2019, with  $R^2$  ranging from 0.78 to  
289 0.88 ([Fig. 5d, f, and h](#)). These figures also show that  $E$  first increased rapidly when  $D \cdot LAI$  increased,  
290 and then remained approximately constant after  $D$  reached a certain threshold (1.6 kPa (2017), 2 kPa  
291 (2018), 2.5 kPa (2019)); these  $D$  values were converted from the  $D \cdot LAI$  data in [Fig. 5](#)). For the same  
292 season, the relationships between  $E$  and environmental variables were similar among different water  
293 treatments.

294 From 8:00 am to 18:00 pm, for all treatments and using measured stomatal conductance for 2018,  
295 diurnal  $g_s$ , which varied from 60 to 800  $\text{mmol m}^{-2} \text{s}^{-1}$  ([Fig 6a](#)), was regulated by  $D$ . The dependence of  
296 diurnal  $g_s$  on  $D$  could be described by a decreasing exponential function. Similarly, during the whole  
297 growing season, which run from mid-April to early September,  $g_{s\text{max}}$  decreased significantly when  $D$   
298 increased;  $g_{s\text{max}}$  varied from 122 to 900  $\text{mmol m}^{-2} \text{s}^{-1}$  ([Fig 6b](#)). We found no relationship with  $R_s$ , either  
299  $g_s$  or  $g_{s\text{max}}$ . This was consistent with the fact that  $D$  was the main transpiration driver during the growing  
300 season. There was no difference in the functional relationships describing the regulation of stomata by  
301  $D$  among different water treatments.

## 302 **4. Discussion**

### 303 *4.1 Tree water use characteristics and its relationship with biomass production*

304 Information on tree water use characteristics and their quantitative relationship with biomass  
305 production in a rotation is important for the establishment of biomass prediction models, and to establish  
306 a deep understanding of the mechanisms behind the relationship between tree water use and yield, under  
307 current and future climatic conditions. For poplar, many studies have reported its high water  
308 consumption characteristics, compared with other local tree species, which varied in the range of 320–  
309 700  $\text{mm year}^{-1}$  ([Hinckley et al., 1994](#); [Hall et al., 1996](#); [Meiresonne et al., 1999](#); [Bungart and Hüttl.,](#)  
310 [2004](#); [Petzold et al., 2011](#)). In our study, the annual water use of poplar during the full rotation period is

311 within this range, except for the 2-year-old (2016) stand of *P. tomentosa*, with an annual transpiration  
312 of around 200 mm, due to the relatively low LAI (with an annual maximum value of  $2.5 \text{ m}^2 \text{ m}^{-2}$  (Li et  
313 al., 2020)).

314 The high water use of poplar leads to high biomass production in a short period of time, which is  
315 the main reason for the ongoing interest in commercial poplar plantations. Therefore, it is necessary to  
316 accurately evaluate the relationship between transpiration and biomass, as has been done in this study.  
317 Orság and Trnka (2011) and Fischer et al. (2014) revealed that there was a statistically significant linear  
318 relation between water use and biomass growth of hybrid poplar clone J-105 under environmental  
319 conditions representative of the Czech-Moravian Highlands. To our knowledge, no other reports on  
320 these kinds of relationships are available in the literatures. In our study, for each of the four years, the  
321 relationship between  $E$  and ABM increase of *P. tomentosa* followed a logistic relationship, with  $R^2$   
322 values of more than 0.89. No other reports on poplar water use studies mentioned such a relationship.  
323 The explanation for the fact that our findings diverge from those that reported linear relationships may  
324 be that they just explored the relationship before growth cessation (late August). However, for  
325 phenological reasons, the trees stop growing in autumn and hence the above-ground biomass  
326 accumulation stagnates, whereas the roots of the poplar trees are still actively absorbing soil water to  
327 meet the transpiration demand of existing leaves after vegetative growth cessation (Perry, 1971).

328 Thus, our first hypothesis, i.e., there is a strong linear relationship between transpiration and above-  
329 ground biomass, is rejected. Note that the shape of this relationship did not change for different water  
330 treatments and years. The logistic relationship between  $E$  and ABM implies that in autumn the trees are  
331 still consuming a lot of water, but there is no increase in yield. This is very important knowledge for  
332 seasonal irrigation management of poplar trees in the North China Plain. In addition, the relationship  
333 between total  $E$  and total ABM for the entire 5-year rotation period also follows a logistic relationship.  
334 This implies that the biomass increase will be very low in the years following 2019, which fits with the  
335 typical 5-year duration of *P. tomentosa* rotation with this planting density.

#### 336 4.2 Biomass production under different water supply conditions

337 Understanding the effects of irrigation on tree biomass of different ages is crucial for decision-  
338 making on ‘whether to irrigate’ and ‘how to irrigate’ at the whole rotation scale. However, due to the  
339 lack of long-term near-continuous test data, it is difficult to accurately answer this question for *P.*  
340 *tomentosa* and many other poplar species. Some studies have shown that irrigation can significantly



341 improve the biomass yield of poplars (Liang et al., 2006; González-González et al. 2017; He et al.,  
342 2020). Also, Pairs et al. (2018) found that high amounts of irrigation water increased the biomass of 5-  
343 and 6-year-old (*P. × generosa*) × *P. nigra* by 31% and 79%, respectively. However, Hansen (1988)  
344 found that irrigation had no obvious effect on the growth of 5-year-old hybrid poplar trees, even though  
345 the annual biomass yield of 2- to 4-year-old poplar stands under high water supply conditions increased  
346 by 44%–76% compared with those under rainfed treatment. These results indicate that the effect of  
347 irrigation on biomass increase changed with tree age. In our study, the results showed that irrigation  
348 increased the biomass of *P. tomentosa* in each year of the rotation, leading to a total biomass increment  
349 of 59% (DIFI) and 46% (DICI), at the end of the rotation. This implies that irrigation is necessary in  
350 North China from the point of view of increasing biomass. However, trees still consume water at a rate  
351 similar to that observed in our study, which finding is important in the context of efficient irrigation  
352 management. When deciding whether to irrigate or how to irrigate, the focus should be on yield, because  
353 this determines the income for the farmers. However, the plantation water use, energy use and carbon  
354 footprint should also be included in the irrigation decision making process and subsequent irrigation  
355 scheduling. Otherwise, farmers might adopt wasteful energy and water use practices that increase  
356 biomass production at the expense of high energy inputs or high greenhouse gas emissions (Djomo et  
357 al., 2019). In this study, we only provide evidence that irrigation can increase the yield of poplar trees  
358 in short rotations in the North China Plain. However, in future studies, the economic benefits of water  
359 input and yield, and the environmental impacts should be taken into consideration to make efficient,  
360 resource-saving and environmentally friendly irrigation decisions.

#### 361 4.3 Environment and plant controls of tree water use

362  $R_s$  and  $D$  were the dominant variables driving transpiration, which has also been reported in Scots  
363 pine in Scotland (Wang et al., 2017), in balsam fir and black spruce (Oogathoo et al., 2020) in the humid  
364 boreal forest of eastern Canada, and in poplar trees in temperate China (Chen et al., 2014), for example.  
365 Likewise, in our multi-years study with a number of dry and wet seasons,  $E$  was strongly linearly related  
366 to  $R_s$ , which was consistent with the relationship between these variables described by Di et al. (2019b),  
367 also for *P. tomentosa*. However, Guan et al. (2012) reported that the relationship between  $E$  and  $R_s$  of *P.*  
368 *euramericana* was nonlinear. The variable responses of different poplar clones to environmental factors  
369 indicates that poplar species and their hybrids show a wide range of physiological mechanisms to control  
370 transpiration. The influence of  $D$  on  $E$  was different from that of  $R_s$  on  $E$  in our research. Other

371 researchers have described the relationship between  $D$  and  $E$  with exponential regressions (Guan et al.,  
372 2012; Chen et al., 2014) or linear regressions (Di et al., 2019b), for different poplar species. Our results  
373 show that  $E$  depends on  $D$  via an exponential function, that is,  $E$  and  $D$  are strongly linearly correlated  
374 when  $D$  is less than a certain threshold. Similar results have been reported for *P. tremuloides*: sap flow  
375 increased linearly with  $D$ , until  $D$  was about 1 kPa, but remained approximately constant after that  
376 (Hogg and Hurdle, 1997). Zhang et al. (1999) reported that the relationship between  $E$  and  $D$  reached  
377 an asymptote when  $D > 1.2$  kPa for *P. trichocarpa*. Our results showed that the saturation point of  $D$   
378 increased gradually with trees maturing, and changed from 1.6 kPa (2017) to 2.5 kPa (2019). This  
379 finding can probably be explained by the fact that as the trees mature, stronger, more extensive and  
380 deeper root networks can extract more water for transpiration to cope with atmospheric drought (Serra  
381 et al., 2014). For 2016, there was no significant relationship between  $E$  and  $D$ . This is possibly because  
382 2-year-old young poplars have relatively low water requirements, and the soil water is sufficient to meet  
383 their transpiration consumption, so there is only a modest degree of atmospheric regulation of stomatal  
384 conductance.

385 Our results showed that SWC did not directly affect  $E$ , unlike  $R_s$  and  $D$ , even for the rainfed CK  
386 trees. Thus, our third hypothesis, that the main driver of  $E$  during dry seasons will shift from atmospheric  
387 variables ( $R_s$  and  $D$ ) to soil water available, for water deficit conditions trees, is rejected. This indicates  
388 that differences in the amount of irrigation water applied to shallow soil do not directly affect the  
389 response of *P. tomentosa* transpiration to environmental factors. This is most likely due to the deep  
390 rooting characteristics of this species, so that it can extract water from deep soil layers, in the case of  
391 surface soil water deficit, to meet the high transpiration rate (Xi et al., 2018; Li et al., 2020). Chen et al.  
392 (2014), Di et al. (2018), Sun et al. (2018) and Yu et al. (2018) also reported that poplar roots can access  
393 deep soil water to alleviate water stress and help trees survive drought. Similarly, for other woody  
394 species, some studies also reported plants exploiting water reserves from deeper soil layers (David et  
395 al., 2004; Hernández-Santana et al., 2008; Thomas et al., 2006). In our study, for deep soil layers, we  
396 found that SWC<sub>170-300</sub> of the CK treatment was far lower than the equivalent SWC in the DIFI treatment  
397 in 2017 and 2019 (Fig 1g), which also supports this explanation. Moreover, the comparable responses  
398 of  $E$  to environmental variables among the different water treatments confirms this assumption.  
399 However, this finding is based on comparisons using daily SWC fluctuations, but the results may be  
400 different at coarser time scales.



401 Consistent with the high transpiration rates,  $g_s$  measured for *P. tomentosa* was generally high,  
402 with maximum values exceeding those of many other temperate tree species. In an extensive review of  
403  $g_s$  measured in plants growing in natural conditions, [Körner \(1995\)](#) reported  $0.19 \pm 0.71 \text{ mol m}^{-2}\text{s}^{-1}$  as  
404 the mean maximum  $g_s$  of 22 temperate deciduous tree species. The maximum values we found for  $g_s$   
405 ( $900 \text{ mmol m}^{-2}\text{s}^{-1}$ , i.e.,  $0.9 \text{ mol m}^{-2} \text{ s}^{-1}$ ) was much higher. However, high  $g_s$  values have also been  
406 observed in hybrid poplars in other studies. A maximum  $g_s$  of  $760 \text{ mmol m}^{-2}\text{s}^{-1}$  was reported by [Allen](#)  
407 [et al \(1999\)](#) for *P. deltoides*  $\times$  *P. nigra*. Moreover, other studies have shown that  $D$  fluctuation leads to  
408 variation in transpiration rate by affecting stomatal conductance ([Franks et al., 2007](#); [Meinzer et al.,](#)  
409 [1997](#); [Renninger et al., 2010](#)), and that a decline in stomatal conductance with increasing  $D$  indicates  
410 physiological restrictions to transpiration ([Kučerová et al., 2010](#)). Our results showed that in 2018, when  
411  $D$  exceeded 2 kPa,  $g_{s\text{max}}$  was reduced to less than  $200 \text{ mmol m}^{-2} \text{ s}^{-1}$ . These severely reduced values of  $g_s$   
412 helped the canopy to avoid possible embolism caused by long-term atmospheric drought ([Zhang et al.,](#)  
413 [1999](#)). Thus, similar to other poplar clones, *P. tomentosa* also showed effective stomatal control on  
414 transpiration. The similar responses of  $g_s$  and  $g_{s\text{max}}$  to  $D$  among the different water treatments indicated  
415 that the relatively modest differences in shallow soil water status were not enough to change the  
416 physiological response process of *P. tomentosa*.

## 417 5. Conclusions

418 This study focused on the relationship between transpiration and biomass, as well as water use  
419 response to environmental variables, for *P. tomentosa* plantations in a whole short rotation period in the  
420 North China Plain. It also assessed the influence of different soil water conditions on the above  
421 relationships and response, including a rainfed treatment. We found that the aboveground biomass  
422 increase could be expressed as a function of accumulated  $E$ , through logistic curves ( $R^2 > 0.89$ ,  $P <$   
423  $0.001$ ), causing us to reject our first hypothesis. This finding is important for developing biomass  
424 prediction model and to improve our understanding of the mechanisms that shape the relationship  
425 between poplar water use and yield, in particular under changing environmental conditions. Our second  
426 hypothesis was upheld, i.e. that  $E$  was controlled by  $R_s$  and  $D$  for trees that were more than 2-years old  
427 (2017 onwards).  $E$  had a significant exponential relationship with  $D$ , which the maximum value of  $D$   
428 that caused  $E$  to level off increased with tree age. Also,  $E$  had a significant linear relationship with  $R_s$   
429 although with slightly weaker relationships than for  $D$ . Contrary to our third hypothesis, soil water

430 content did not become the dominant factor influencing  $E$  under water deficit conditions even for the  
431 rainfed treatment, and  $R_s$  and  $D$  remained the most important transpiration control variables. Our results  
432 have led to an improved understanding of the water-use strategies adopted by poplars in the North China  
433 Plain, and it will help to realize the sustainable management of poplar plantations in water-scarce  
434 regions around the world under changing environmental conditions.

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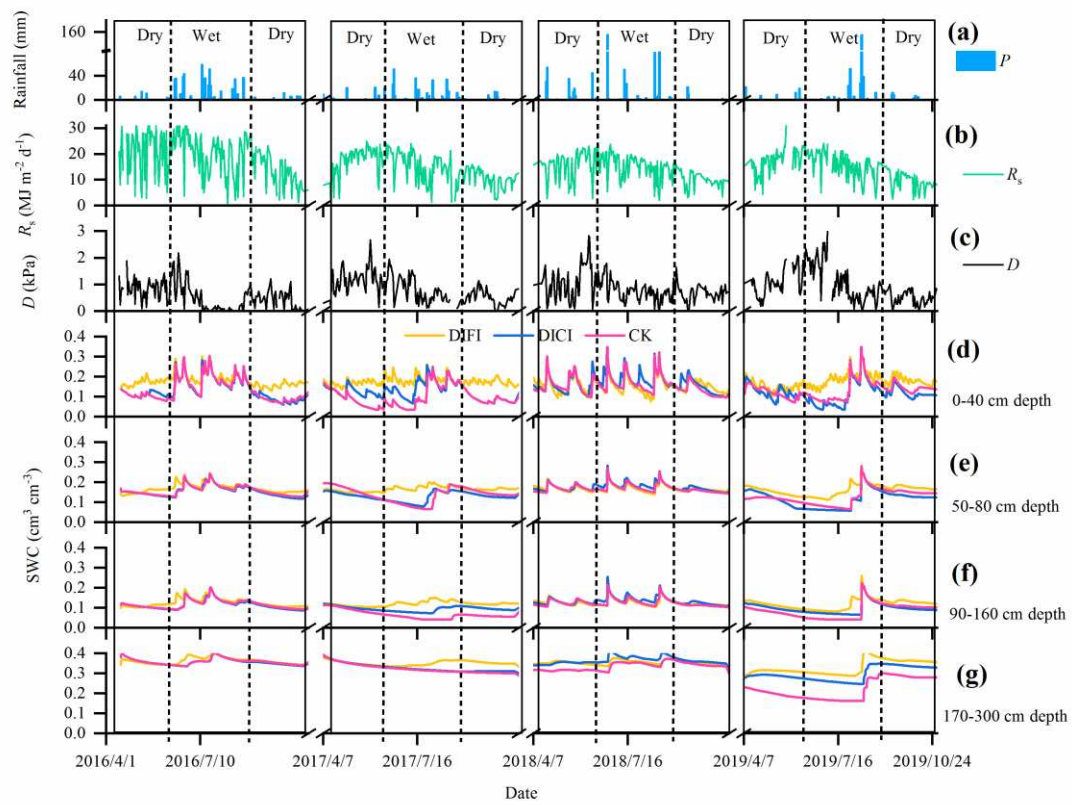
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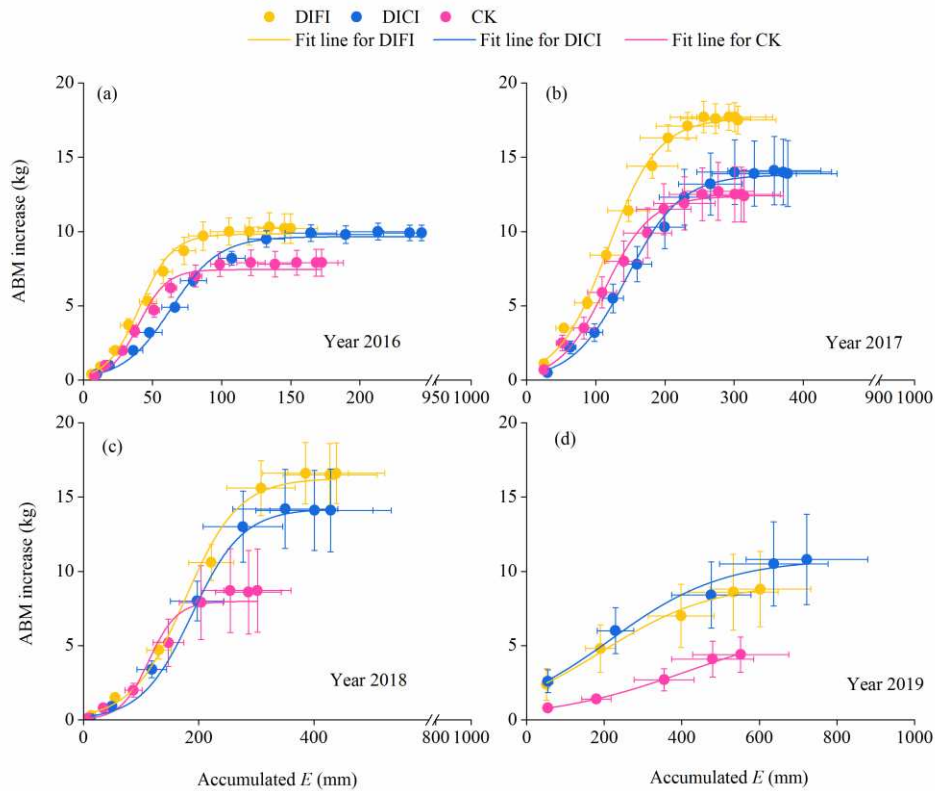
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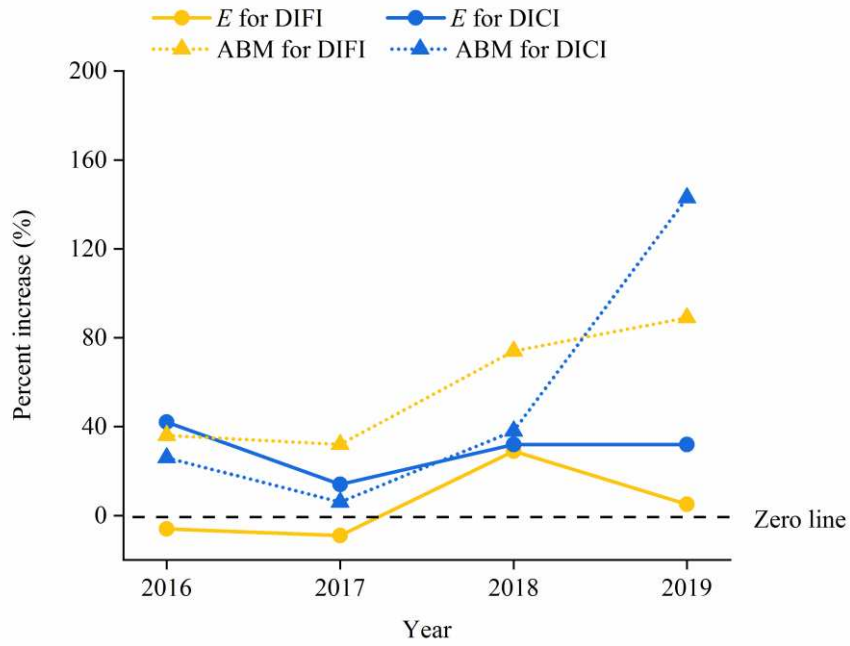


**Fig. 1** Environmental variables during the growing seasons from 2016 to 2019. (a) Daily total precipitation ( $P$ ), (b) solar radiation ( $R_s$ ), (c) daily average vapor pressure deficit ( $D$ ), and (d-g) daily average soil water content (SWC) at different soil depths. The divide between the dry and wet seasons has been set to occur in mid-June and late August as indicated by the dotted vertical line.

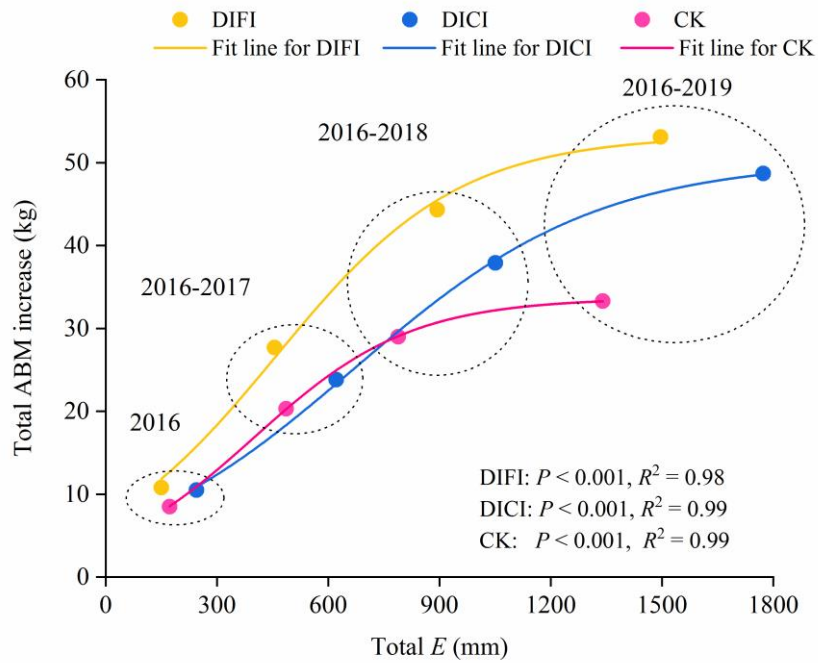




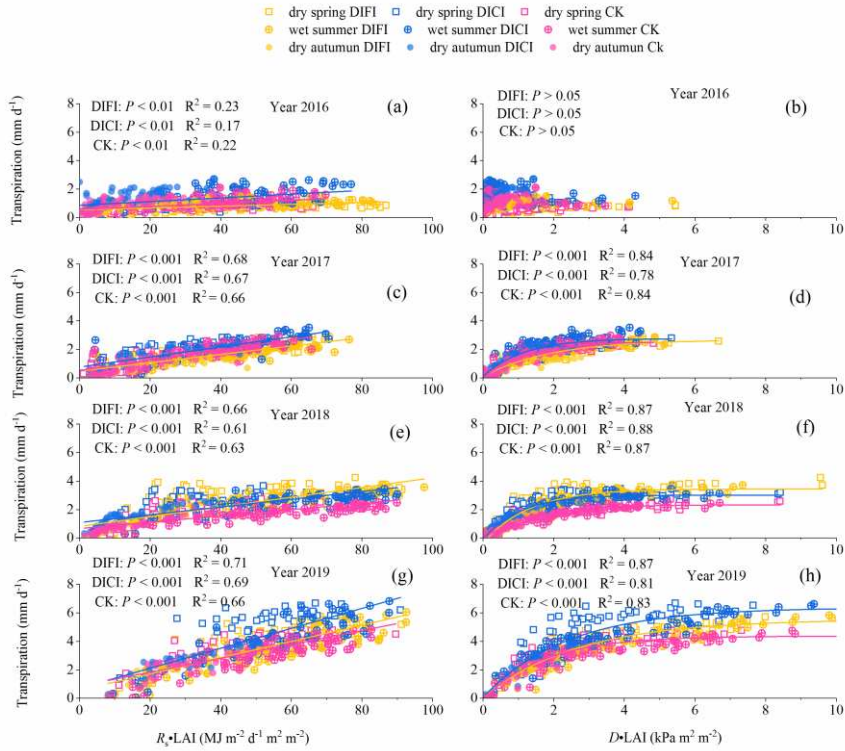
**Fig. 2** Relationship between accumulated transpiration ( $E$ ) and aboveground biomass (ABM) increase throughout the entire short rotation period: (a) 2016, (b) 2017, (c) 2018 and (d) 2019 under drip full irrigation (DIFI), drip control irrigation (DICI) and non-irrigation (CK) treatments. Each point is based on the average ABM increase and accumulated  $E$  of 5 trees per treatment. The calculation of accumulated  $E$  started on the first day that ABM was calculated, for each growing season, and ended in October. Error bars represent standard errors.



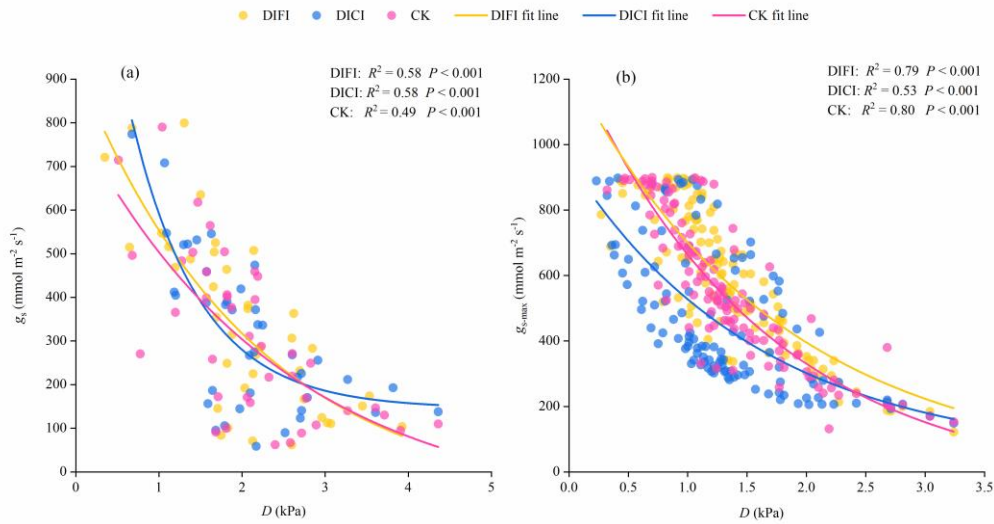
**Fig. 3** The percent increase (PI) of aboveground biomass (ABM) and transpiration ( $E$ ) in drip full irrigation (DIFI) and drip control irrigation (DICI) compared with the non-irrigation treatment (CK), for each year. Each point represents the percentage increase of the annual increase in  $E$  or biomass of trees under DIFI and DICI compared to those of the CK. For example:  $PI_{E-DIFI} = ((E_{DIFI} - E_{CK}) / E_{CK}) \times 100$ . The  $E$  and ABM of each tree were normalized by dividing them by the initial diameter at breast height (DBH) to eliminate the effect of initial tree size on the results. The black dotted line represents the zero PI line.



**Fig. 4** Relationship between total accumulated transpiration ( $E$ ) and aboveground biomass (ABM) increase at the end of each growing season, for the entire rotation period, under drip full irrigation (DIFI), drip control irrigation (DICI) and non-irrigation (CK) treatments. Each point is based on the average ABM increase and accumulated  $E$  of 5 trees per treatment. The calculation of accumulated  $E$  started on the first day that ABM was calculated, for each growing season, and ended in October. The  $E$  and ABM of each treatment were normalized by dividing them by the initial diameter at breast height (DBH) to eliminate the effect of initial tree size on the results. Each dotted circle represents the three treatments at the end of the same growing season.



**Fig. 5** Relationships between transpiration and some environmental variables from 2016 to 2019.  $D$ ,  $R_s$  and LAI indicate vapor pressure deficit, solar radiation and leaf area index, respectively.



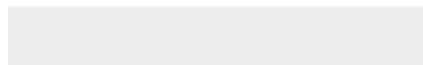
**Fig. 6** (a) Relationship between stomatal conductance ( $g_s$ ) measured with a porometer and vapor pressure deficit ( $D$ ). Each point represents the average of three leaves in one tree per treatment. Monitoring of  $g_s$  took place for each half hour during 8:00 am to 18:00 pm on six sunny days (April 15<sup>th</sup>, April 18<sup>th</sup>, April 25<sup>th</sup>, May 13<sup>th</sup>, May 23<sup>th</sup> and June 20<sup>th</sup>). (b) Relationship between modelled  $g_{s\text{max}}$  and  $D$  from April to late August in 2018. Each point represents the  $g_{s\text{max}}$  of a typical sunny day of the tree which was monitored for modeled  $g_s$ .



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**Table**

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**Declaration of interests**

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests: